

## Weaning critically ill adults from invasive mechanical ventilation: a national survey

## Le sevrage de la ventilation mécanique invasive des patients adultes gravement malades: une étude nationale

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### Abstract

**Purpose** To determine the stated practices of clinicians in weaning critically ill adults from invasive ventilation.

**Methods** We conducted a cross-sectional, self-administered postal survey of Critical Care physicians and respiratory therapists (RTs) in leadership roles at Canadian teaching hospitals. We identified respondents using electronic mail and telephone correspondence. We used rigorous survey methodology to develop, test, and administer the questionnaire.

**Results** One hundred ten of 162 (67.9%) clinicians returned the survey with 99 respondents (55 physicians and 44 RTs) completing it either in-part or in-full. Approximately 95% of respondents acknowledged ever performing spontaneous breathing trials (SBTs) in clinical practice. Of these, 95.6% and 32% of respondents reported conducting daily and twice-daily screening to identify SBT candidates, at least sometimes. The three most common techniques to conduct SBTs included; pressure support (PS) with positive end-expiratory pressure (70.8%), continuous positive airway pressure (35.7%), and use of a T-piece (25.0%). PS ventilation was the weaning strategy used most frequently before SBTs. Most respondents (57.1%) considered continuous infusion of sedative-hypnotics to be a relative contraindication to tracheal extubation. However, concurrent administration of low dose vasopressors, inotropes, and analgesic boluses, or continuous analgesic infusions were considered acceptable amongst 60.8%, 73.2%, 78.4%

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and 58.8% of respondents, respectively. We did not observe regional variation in whether clinicians ever perform SBTs, the ventilatory modes used prior to an SBT nor in the use of PS and SBTs during the weaning process.

**Conclusions** Pressure support and SBTs are common features of weaning in Canadian teaching hospitals. Compared to the published literature, our survey suggests that weaning practices have evolved over time and that practice variation may be greater on an international level compared to a national level.

## Résumé

**Objectif** Déterminer les pratiques déclarées des cliniciens concernant le sevrage des patients adultes gravement malades de la ventilation invasive.

**Méthode** Nous avons réalisé un sondage transversal, auto-administré et envoyé par courrier auprès des médecins des soins critiques et des inhalothérapeutes occupant des positions de leadership dans les hôpitaux d'enseignement canadiens. Nous avons identifié les répondants à l'aide de correspondance par courrier électronique et par téléphone. Nous avons utilisé une méthodologie de sondage rigoureuse afin d'élaborer, de tester et d'administrer le questionnaire.

**Résultats** Sur un total de 162 cliniciens, 110 (67,9%) ont renvoyé le questionnaire; 99 répondants (55 médecins et 44 inhalothérapeutes) ont complété le questionnaire en entier ou en partie. Environ 95 % des répondants ont reconnu qu'ils réalisaient des tests de ventilation spontanée (TVS) dans leur pratique clinique. Parmi ceux-ci, 95,6 % et 32 % des répondants ont affirmé réaliser des dépistages quotidiens ou deux fois par jour pour identifier les candidats potentiels à un TVS, au moins des fois. Les trois techniques les plus fréquentes pour réaliser les TVS étaient : aide inspiratoire (AI) avec pression positive télé-expiratoire (70,8 %), ventilation en pression positive continue (35,7 %), et utilisation d'un tube en T (25,0 %). L'aide inspiratoire était la stratégie de sevrage la plus fréquemment utilisée avec les TVS. La plupart des répondants (57,1 %) ont estimé que la perfusion simultanée d'agents sédatifs hypnotiques constituait une contre-indication relative à l'extubation trachéale. Toutefois, l'administration simultanée de vasopresseurs, d'inotropes et de bolus d'analgésiques en dosage réduit, ou de perfusions analgésiques continues, était considérée comme acceptable par 60,8 %, 73,2 %, 78,4 % et 58,8 % des répondants, respectivement. Nous n'avons pas observé de variations régionales dans la fréquence de dépistage, les modes de ventilation utilisés avant de réaliser un TVS ou l'utilisation d'AI et de TVS pendant le processus de sevrage.

**Conclusion** L'AI et les TVS sont des traits communs du sevrage dans les hôpitaux d'enseignement au Canada. Par rapport à la littérature publiée, notre sondage suggère que les pratiques de sevrage ont évolué avec le temps et que les

variations de pratique pourraient être plus grandes entre les régions plutôt qu'au sein des régions du Canada.

Patients with acute respiratory failure (ARF) frequently require mechanical ventilation. Life support technology accounts for approximately 5–10% of acute care bed occupancy<sup>1</sup> and has been identified as a key factor escalating intensive care unit (ICU) costs.<sup>2</sup> Weaning accounts for 41% and 60% of the total ventilatory time in mixed, medical-surgical ICU populations and in populations with chronic obstructive pulmonary disease (COPD), respectively.<sup>3</sup>

Over the past decade, clinical investigations have focused on strategies to limit the duration of ventilation, for example, early identification of patients who are likely to be weaned,<sup>4–6</sup> tests of readiness to resume spontaneous breathing trials (SBTs),<sup>7–9</sup> and strategies to reduce support in patients who fail a SBT.<sup>10–12</sup> Several modes and techniques have been used to liberate critically ill adults from invasive mechanical ventilation. The optimal strategy to wean patients from invasive ventilation remains unclear. Compared with traditional care, protocols have been shown to decrease the time to ventilator discontinuation and the total duration of mechanical support.<sup>4–6</sup> However, many barriers exist to implementing weaning protocols in clinical practice.<sup>13,14</sup>

Despite the wide use of mechanical ventilation and the recent proliferation of studies on mechanical ventilation discontinuation strategies, little is known about methods of discontinuing mechanical ventilation in clinical practice. In particular, limited information exists regarding the frequency by which clinicians screen patients to determine their ability to undergo a SBT, the criteria that clinicians used to identify weaning and extubation “readiness”, and the approach clinicians use prior to and during SBT conduct. Our goals were to conduct a self-administered, postal questionnaire to characterize current weaning practices and organizational aspects regarding weaning at adult ICUs in Canada.

## Methods

### Sampling frame

We conducted a cross-sectional, self-administered survey at Canadian teaching hospitals. We identified Critical Care Site Chiefs and head Respiratory Therapists (RTs) at these hospitals anticipating that individuals in leadership roles would be aware of local weaning policies and have a broad understanding of how critically ill adults are weaned from mechanical ventilation, not only in their personal practices but also in their ICUs. Using telephone and electronic mail correspondence, we identified potential respondents by

contacting Critical Care training program directors, teaching hospitals, and colleagues. We obtained mailing addresses from the Canadian Medical Association directory, the Canadian Critical Care Trials Group membership list, and hospital websites. The Research Ethics Board of St. Michael's Hospital, Toronto approved this study.

#### Questionnaire development and formatting

We searched MEDLINE, EMBASE, and the Cochrane Central Register of Controlled Trials for relevant evidence pertaining to weaning and tracheal extubation. We identified five content areas of interest (domains), including (1) identification of weaning candidates; (2) conduct of SBTs; (3) preferred methods for adjusting support; (4) tracheal extubation assessment; and (5) other aspects of weaning (including but not limited to sedation titration, use of noninvasive positive pressure ventilation (NIV), and Glasgow Coma Scale (GCS) assessment). Most questions inquired about the practices of individual respondents. We also posed questions to characterize weaning practices in respondents' ICUs. We developed questions highlighting important issues in weaning and tracheal extubation within each domain.<sup>15</sup> Through discussion, two investigators (KB, FL) reduced the number of questions in order to obtain a maximum of five items within core domains. We formatted questions to provide a range of responses exploring weaning practices using nominal, interval, and ordinal responses within domains. We used ordinal response formats (Never [0%], Rarely [1–10%], Infrequently [11–39%], Sometimes [40–60%], Frequently [61–89%], Usually [90–99%], and Always [100%]) to reflect the frequency (percentage of time) with which tasks were performed or techniques were used. We posed five unique questions specifically intended for the RT respondents regarding humidification, the number and type of ventilators available, the frequency with which changes are made to ventilator settings, and the ratio of RTs to patients.

We included instructions at the beginning of the questionnaire to inform respondents that the questions referred to non-tracheostomized patients requiring invasive mechanical ventilation for more than 24 hr. We defined weaning as “adjusting ventilator support with the goal of removing patients from invasive support during the recovery phase (i.e., after at least partial resolution of the acute illness that precipitated intubation)”, and we defined SBT as “a focused assessment of the patient's capacity to breathe spontaneously with any one of a number of techniques (i.e., continuous positive airway pressure [CPAP], T-piece, and pressure support [PS] with minimal assistance)”. The complete questionnaire included 29 questions. Eight questions specifically addressed practices in the

respondent's ICU, and five questions were completed by RTs alone. The remaining questions reflected respondents' practices.

#### Questionnaire testing

We pilot tested the questionnaire to assess comprehensiveness and clarity using semi-structured interviews with two RTs, three Critical Care physicians, and one Research Coordinator.<sup>15</sup> We assessed face validity, clarity, and content in a focus group session involving four intensivist–methodologists from Hamilton and Toronto.<sup>15</sup> After translating the questionnaire into French, we assessed reliability by administering the questionnaire to eight adult intensivists and eight RTs using six French and ten English questionnaires at three sites (St. Michael's Hospital, Hôpital de l'Enfant Jésus, and the London Health Sciences Centre) on two occasions within about a 2- to 4-wk timespan.

#### Questionnaire administration

We mailed the questionnaire to all ( $n = 162$ ) identified potential respondents for personal completion. Non-respondents were sent at least two additional questionnaires. Participation was voluntary and all responses were confidential.

#### Statistics

As a measure of test–retest reliability, we calculated Cohen's kappa ( $\kappa$ ) for each response option or survey item when responses appeared in tabular format. We considered  $\kappa \geq 0.40$  to represent moderate to good agreement. For test–retest reliability, we found 68.6% of all  $\kappa$  scores  $\geq 0.40$ , suggesting moderate to good agreement on most items. We present descriptive statistics as proportions and either means ( $\pm$ SD) or median (25th, 75th percentile), as appropriate. We collapsed ordinal categories, where appropriate, to enhance clarity. In reporting proportions, we excluded ‘not applicable’ and ‘missing items’ to reflect applicable responses only. We compared proportions using the Chi-square test statistic. We partitioned responses into four regions; i.e., West Coast (British Columbia, Alberta, Saskatchewan, and Winnipeg), Ontario, Quebec, and East Coast (New Brunswick, Nova Scotia, Prince Edward Island, and Newfoundland) to examine the effect of region on the frequency of screening for SBTs, the method of conduct of SBTs, the mode most frequently used before a SBT, and the use of PS and SBTs together during weaning using the Chi square test (alternatively, Fisher's exact test). We excluded null proportions in analyzing regional variance and considered  $P$ -values  $< 0.05$  to represent statistical significance.

## Results

### Respondents

One hundred ten of 162 (67.9%) clinicians responded with 99 respondents (55 physicians and 44 RTs) completing the survey in-part or in-full (Table 1). Whereas Critical Care leaders referred to medical-surgical, neurosurgical, and multidisciplinary ICUs when completing the survey, head RTs referred to more diverse locations, including medical-surgical, multidisciplinary ICUs, and coronary care units, as well as cardiovascular, medical, surgical, trauma, and

**Table 1** Respondent characteristics

Respondent characteristics	Physicians (n = 55)	Respiratory therapists (n = 44)
English/French questionnaire	47/8	37/7
Region of respondent n (%)		
West Coast	20 (36.4)	15 (34.1)
Ontario	20 (36.4)	17 (38.6)
Quebec	12 (21.8)	11 (25.0)
East Coast	3 (5.5)	1 (2.3)
# Years in practice (mean, SD)	14.3 ± 7.4	16.0 ± 7.7
Time in clinical practice (%)	45.7 ± 20.5	39.7 ± 28.3
# Years as leader (mean, SD)	6.5 ± 5.1	6.8 ± 5.2
# ICUs supervised (median, IQR)	1.0 (1.0, 2.0)	2.0 (1.0, 3.0)
Type of ICUs referred to in survey n (%)		
Medical-surgical	37 (69.8)	30 (68.2)
Medical	4 (7.5)	14 (31.8)
Surgical	5 (9.4)	12 (27.3)
Multidisciplinary	10 (18.9)	17 (38.6)
Neurosurgical	11 (20.8)	11 (25.0)
Pediatric	0	2 (4.5)
CCU	4 (7.5)	17 (38.6)
Burns	6 (11.3)	4 (9.1)
Trauma	8 (15.1)	11 (25.0)
Cardiovascular surgery	9 (17.0)	15 (34.1)
ICU down unit with ventilators	4 (7.5)	4 (9.1)
ICU down unit without ventilators	1 (1.9)	3 (6.8)
# ICU beds referred to (median, IQR)	16.0 (11.3, 24.0)	20.0 (14.0, 27.5)
Total # ICU beds in hospital (median, IQR)	24.5 (16.5, 41.5)	24.0 (16.5, 36.5)
Years experience using NIV (mean, SD)	10.6 ± 4.3	11.2 ± 3.5

Values represent means ± SD unless otherwise specified

NIV non-invasive positive pressure ventilation; ICU intensive care unit; SD standard deviation; IQR interquartile range; CCU critical care unit

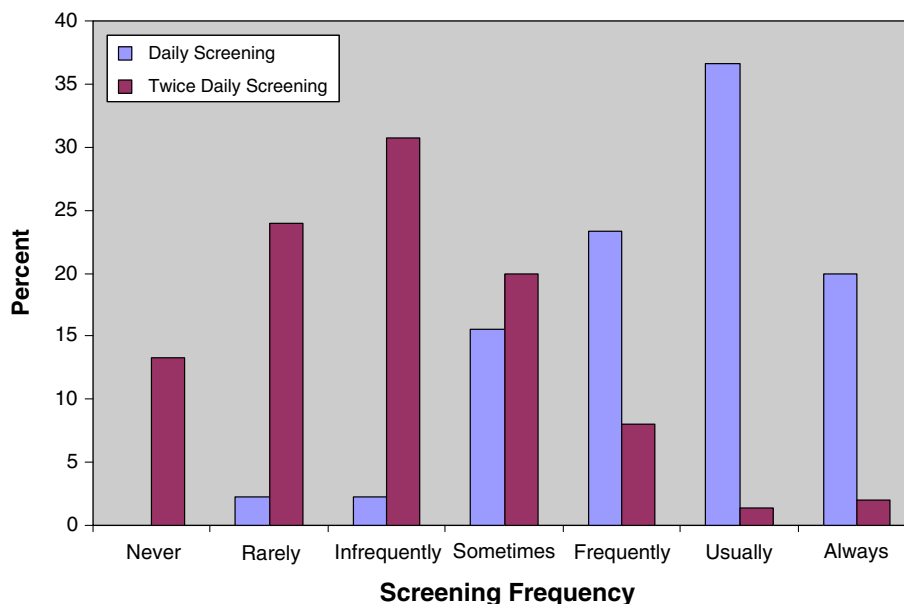
neurosurgical ICUs. Physician respondents held certifications in Emergency Medicine (1.9%), Cardiology (3.8%), Surgery (9.4%), Anesthesia (30.2%), Respiriology (34.0%), Internal Medicine (45.3%), and Critical Care (77.8%).

### Mechanical ventilation discontinuation practices

#### Screening for the ability to undergo trials of spontaneous breathing

While 95.6% of applicable respondents reported screening patients once daily, at least sometimes (40–60% of the time), for the ability to undergo a SBT, only 32% of respondents stated that they conduct twice daily screening with similar frequency (Fig. 1). The majority of respondents considered a maximal fractional concentration of oxygen (FiO<sub>2</sub>) threshold of 41–50% (64.8%), a minimum arterial oxygen saturation (SaO<sub>2</sub>) threshold of 91–93% (61.2%), and a maximum positive end-expiratory pressure (PEEP) of 7–9 cm H<sub>2</sub>O (48.3%) in assessing SBT readiness. Regardless of the type of humidification used (Heat and Moisture Exchanger [HME] 38.5% or Heated Humidification [HH] 30.9%), respondents most frequently reported using a threshold PS level of 9–12 cm H<sub>2</sub>O in contemplating SBT readiness. Approximately 17% of respondents reported using a PS level as low as 5–8 cm H<sub>2</sub>O in identifying SBT candidates.

Respondents most commonly use maximum respiratory rates (RR) of 29–34 breaths · min<sup>-1</sup> (33.0%) or 23–28 breaths · min<sup>-1</sup> (31.9%) in deciding SBT candidacy. While 36.0% of respondents use a minimum GCS of 12–14, 31.4% of respondents reported using a GCS of 9–11 in considering SBT readiness. Most commonly, respondents report using maximum minute ventilation (V<sub>E</sub>) of either 14–15 L · min<sup>-1</sup> (28.9%) or 12–13 L · min<sup>-1</sup> (25.6%) and a rapid shallow breathing index (RSBI) of ≤105 (52.2%) to identify SBT candidates. With the exception of RR (8.8%), we found that minimum GCS (26.7%), maximum tolerated Norepinephrine dose (28.1%), V<sub>E</sub> (31.1%), and RSBI (29.3%) either were not used or were not considered by respondents in decision-making in this regard. Most respondents either did not use or did not consider the minimum forced vital capacity (67.4%), negative inspiratory force (52.2%), or maximum expiratory pressure (94.6%) to identify SBT candidates. The majority of respondents (30.3%) considered low-dose vasopressors (0.01–0.07 µg · kg<sup>-1</sup> · min<sup>-1</sup> Norepinephrine or equivalent) as a maximum threshold in assessing SBT eligibility. While 18.0% of respondents reported considering SBT readiness with moderate dose vasopressors (0.08–0.14 µg · kg<sup>-1</sup> · min<sup>-1</sup> of Norepinephrine or equivalent), 20.2% of respondents only considered an SBT in patients not requiring vasopressors.

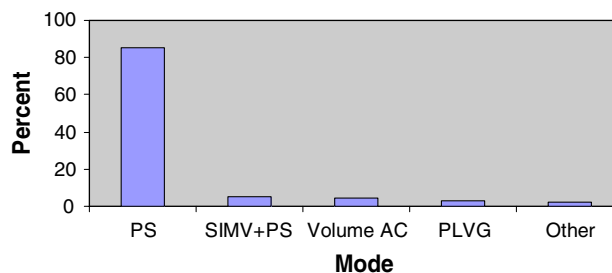
**Fig. 1** Spontaneous breathing trial screening frequency

### Spontaneous breathing trials

Approximately 95% of respondents acknowledged *ever* performing SBTs in clinical practice. Respondents reported primarily using three techniques ‘at least sometimes’ in conducting SBTs; PS with PEEP (70.8%), CPAP without PS (35.7%), and T-piece without CPAP (25.0%). A minority of respondents conduct SBTs using PS without PEEP (9.4%) and T-piece with CPAP (8.5%), at least sometimes. With regard to levels of PS used during SBTs, the majority of respondents reported using PS 5–7 cm H<sub>2</sub>O with HME and HH for SBTs (74.3% and 77.1%, respectively). A PS level of 8–10 cm H<sub>2</sub>O was infrequently used during SBTs conducted with HME (18.6%) or HH (15.7%). Respondents using CPAP for SBTs predominantly use levels of 5–7 cm H<sub>2</sub>O (86.1%), with and without PS.

### Modes of ventilation

Respondents reported using PS (85.3%) most frequently or at least sometimes (96.9%) prior to a SBT. A minority reported using other modes before a SBT, including synchronized intermittent mandatory ventilation (SIMV) with PS, volume Assist Control (AC), and pressure-limited modes with volume guarantee (PLVG) (Fig. 2). Prior to a SBT, clinicians infrequently reported using SIMV with PS (22.7%), PLVG (18.9%), and volume AC (16.7%) and rarely reported using pressure AC (8.4%), automatic tube compensation (7.5%), and SIMV without PS (1.1%). The majority of respondents reported that they gradually decrease the level of PS and conduct SBTs (77.9%) rather

**Fig. 2** Modes of mechanical ventilation most frequently used for weaning. PS pressure support; SIMV + PS synchronized intermittent mandatory ventilation plus pressure support; Volume AC volume assist control; PLVG pressure-limited modes with guaranteed volume

than gradually reducing the level of PS without conducting SBTs (40.9%) or maintaining a constant level of PS, while conducting SBTs (32.6%), at least sometimes.

### Tracheal extubation assessment

The majority of respondents reported considering the presence of a cuff leak on inspiration and expiration (34.0%), a level of consciousness consistent with a Sedation Agitation Scale score<sup>16</sup> of 3 (45.5%), a gag reflex (48.5%) and cough of moderate strength (75.8%), and a suctioning frequency of q 2–3 hr (as indicated by secretion volume) (39.2%) in assessing extubation readiness. Except for assessments of level of consciousness (2.0%) and cough strength (6.1%), assessments of cuff leak (26.8%), gag reflex strength (35.1%), and suctioning frequency (19.6%) either were not used or were not considered by many respondents in determining extubation readiness.

Compared with non-brain-injured patients, more respondents considered the GCS score, at least sometimes, when assessing brain-injured patients for tracheal extubation (60.8% vs 75.8%,  $P < 0.05$ ).

While most respondents considered continuous sedative infusions (57.1%) to be a relative contraindication to tracheal extubation, 68.4%, 78.4%, and 58.8% of respondents did not consider intermittent sedative, intermittent analgesic boluses, or continuous analgesic infusions to be contraindications to tracheal extubation, respectively. Most respondents did not consider low-dose vasopressors ( $\leq 0.1 \mu\text{g} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$  Norepinephrine [60.8%], and inotropes [73.2%]) as contraindications. However, 36.1% and 24.7% of respondents considered low-dose vasopressors and inotropes, respectively, to represent relative contraindications to tracheal extubation. While most respondents considered brain-injured (61.1%) and non-brain-injured patients (65.3%), who arise to stimuli and localize pain but not obey commands, to have a relative contraindication to tracheal extubation, approximately 25% of respondents did not consider this constellation of features to be a contraindication. In Table 2, we summarize respondents' responses regarding whether patients under their care would be extubated after hours (19:00–07:00 hr) following a successful SBT and assuming few concerns surrounding extubation readiness.

**Table 2** Clinician willingness to tracheally extubate after-hours

Patient population	Yes (%)	No (%)
General medical	71.9	28.1
Surgical (not abdominal, thoracic, cardiac, or neurosurgical)	81.4	18.6
Surgical (abdominal or thoracic)	63.9	36.1
Cardiac surgical	82.7	17.3
Neurosurgical	50	50
Neurological (no surgery)	54.7	45.3

**Table 3** Clinician involvement in various aspects of weaning and tracheal extubation

Task	RT (%)	Intensivist (%)	Fellow (%)	Junior resident (%)	Nurses (%)
Daily screening	90/98 (91.8)	55/98 (56.1)	41/98 (41.8)	28/98 (28.6)	20/98 (20.4)
Decision to conduct SBT	77/99 (77.8)	78/99 (78.8)	59/99 (59.6)	31/99 (31.3)	9/99 (9.1)
Actual SBT conduct	99/99 (100)	13/99 (13.1)	6/99 (6.1)	2/99 (2.0)	5/99 (5.1)
Decision to adjust settings	86/98 (87.8)	87/98 (88.8)	69/98 (70.4)	45/98 (45.9)	8/98 (8.2)
Actual adjustment of settings	98/98 (100)	28/98 (28.6)	15/98 (15.3)	4/98 (4.1)	–
Decision to extubate	41/99 (41.4)	96/99 (97.0)	67/99 (67.7)	37/99 (37.4)	15/99 (15.2)
Actual tracheal extubation	94/99 (94.9)	27/99 (27.3)	18/99 (18.2)	12/99 (12.1)	17/99 (17.2)

RT respiratory therapist; SBT spontaneous breathing trial

### Other aspects of weaning

We posed several additional questions to highlight the presence of written directives (guideline, protocol, or policy) for the conduct of SBTs and weaning and to elucidate roles played by various health care providers in several aspects of weaning and tracheal extubation. Written guidelines, protocols, or policies for the conduct of SBTs and to guide weaning were present in 62.9% and 47.9% of respondents' ICUs, respectively. The SBT techniques most frequently recommended by the written directive for SBT conduct included PS with PEEP (62.7%), CPAP without PS (28.8%), and T-piece without CPAP (23.7%). While no mode of ventilation was specified in 22.2% of medical directives for weaning, PS (68.9%) and SIMV plus PS (26.7%) were the most frequently recommended modes in ICUs with a weaning directive.

Table 3 shows the roles performed by health care providers in weaning and tracheal extubation in Canada. In Table 4, the use of NIV is summarized during weaning and in the post-extubation period. While several respondents reported not having used NIV during weaning or prophylactically in high-risk patients following tracheal extubation, it is particularly interesting that only 2% of respondents never use NIV for post-extubation respiratory failure. Moreover, respondents reported using NIV for post-extubation respiratory failure in diverse patients, including those with COPD (86.9%), obesity  $\pm$  obstructive sleep apnea (79.8%), cardiogenic pulmonary edema (73.7%), and in postoperative (69.7%) and immunocompromised (38.4%) patients.

With regard to sedation scale use and GCS computation, 62.6% of respondents acknowledged having a written directive for sedation administration in their ICU. However, 15.2% of respondents were uncertain as to whether a written directive existed to guide sedation administration in their ICUs. Three sedation scale scores [Ramsay<sup>17</sup> (27.2%), Sedation Agitation Scale Score<sup>16</sup> (25.0%) and the Richmond Agitation Scale Score<sup>18</sup> (20.7%)] are most frequently used in our ICUs. Most respondents reported disregarding

**Table 4** NIV use during weaning and in the post-extubation period

Indication	Never (%)	COPD (%)	CPE (%)	Post-op (%)	Immune-compromised (%)	Obese ± OSA (%)	Other (%)
Weaning strategy	39.4	49.5	16.2	11.1	10.1	43.4	2.0
Prophylactic application post-tracheal extubation	37.4	48.5	28.3	21.2	12.1	46.5	3.0
Post-tracheal extubation respiratory failure	2.0	86.9	73.7	69.7	38.4	79.8	3.0

*Definitions:* Weaning Strategy: Early tracheal extubation directly to NIV to reduce the duration of invasive ventilation. Prophylactic application post-extubation: Application of NIV immediately following tracheal extubation in patients at high-risk of extubation failure. Post-extubation respiratory failure: Application of NIV for patients developing respiratory failure after tracheal extubation

*COPD* chronic obstructive pulmonary disease; *CPE* cardiogenic pulmonary edema; *Post-op* post-operative; *OSA* obstructive sleep apnea

the verbal component of the GCS (24.7%), assigning a verbal score of 1 (15.5%), or prioritizing verbal scores of 1, 3, and 5 (14.4%) in computing the GCS. Twenty-nine percent of respondents confirmed that they do not calculate the GCS.

Respiratory therapists reported using HH (47.7%) more often than using HME (22.7%) or an equal combination of humidification systems (29.5%). On average, RTs titrate PS  $3.7 \pm 1.4$  times (median: 3) from 7:00 am to 7:00 pm and  $1.8 \pm 1.1$  times (median: 2) from 7:00 pm to 7:00 am and RR  $1.7 \pm 2.1$  times (median: 1) and  $1.4 \pm 1.0$  times (median: 1) during day and night shifts, respectively.

#### Regional variation in weaning practices

We identified significant regional variation in the frequency of screening more than twice daily ( $P = 0.047$ ) and in the use of CPAP (without PS) to conduct SBTs ( $P < 0.0005$ ) (Table 5). We did not find differences among regions in whether clinicians *ever* perform SBTs, in how PS and SBTs are used in combination during weaning, and in the modes of ventilation used (and most frequently used) prior to an SBT.

#### Discussion

Our survey of RT and Critical Care leaders identified several consistent practices in weaning patients from mechanical ventilation. Over 95% of respondents reported conducting once daily screening to identify SBT candidates, at least sometimes, in their ICUs. Additionally, SBTs are conducted most frequently using three techniques; PS with PEEP, CPAP (without PS), and T-piece. PS was the most frequently used mode of ventilation prior to a SBT, with the majority of respondents acknowledging a preference to gradually reduce PS and conduct SBTs, rather than reducing PS without conducting SBTs or maintaining constant PS and conducting SBTs. Most respondents did not consider low-dose vasopressors or inotropes as

contraindications to tracheal extubation. While continuous sedative infusions were considered a relative contraindication to tracheal extubation by our respondents, intermittent sedative and analgesic boluses and continuous analgesic infusions were not regarded as contraindications to tracheal extubation. During weaning and in the post-extubation period, we noted practice variation in sedation titration, GCS measurement, and NIV use.

Previous research in this area of critical care practice is limited. Using a one-page self-administered questionnaire, Venus *et al.*<sup>19</sup> surveyed hospital-based Respiratory Care Departments in the United States in the mid 1980s. The authors found that intermittent mandatory ventilation (IMV) was the most commonly used mode of ventilation (71.6%) and the most frequently used weaning technique (90.2%). In this survey, respondents reported that IMV was frequently transitioned to CPAP (26.4%) or T-piece (63.8%). Nearly a decade later, in a 1-day, cross-sectional study involving 290 patients mechanically ventilated for at least 24 hr in 47 medical surgical ICUs in Spain, Esteban *et al.* found that a broader array of techniques, including T-piece trials (24%), SIMV (18%), PS (15%), SIMV plus PS (9%), and a combination of methods (33%) were used during weaning.<sup>3</sup> In contrast to our findings, patients in this study were supported using either AC (55%) or SIMV (26%), and few were managed with PS (8%). In a subsequent observational study involving 5,131 patients in 20 countries, Esteban *et al.*<sup>20</sup> found that once-daily or multiple daily weaning trials were used in 77.8% and 14.0% of weaning attempts. The authors observed that gradual reductions in PS with SIMV, PS or SIMV were utilized in 21.8%, 20.7% and 8.5% of weaning attempts, respectively, and weaning trials were predominantly conducted using T-piece (51.6%), PS (28.2%), and CPAP (19.2%).

Several observations can be made in comparing our survey results with those of prior publications. First, we note a trend away from the use of IMV and SIMV during discontinuation of mechanical ventilation. This trend likely reflects the temporal development of pressure-limited modes of ventilation and concerns regarding the potential

**Table 5** Regional variation in weaning practices

Practice	Western Canada	Ontario	Quebec	Eastern Canada	P-value
Ever perform SBTs	97.1	91.7	95.7	100.0	0.87
Screening					
Twice daily screening	89.3	81.5	94.4	50.0	0.26
More than twice daily screening	57.1	44.0	77.8	0.0	0.05
Spontaneous breathing trials					
T-piece with CPAP	41.9	34.6	54.6	100.0	0.13
T-piece without CPAP	87.1	67.9	90.9	100.0	0.14
PS with PEEP/CPAP	90.9	77.4	100.0	100.0	0.08
PS without PEEP/CPAP	54.8	41.4	54.6	66.7	0.63
CPAP without PS	96.9	89.3	68.2	0.0	0.0005
Use of pressure support during weaning					
Constant PS with conduct of SBTs	81.2	84.6	90.5	66.7	0.53
Decrease PS with conduct of SBTs	100.0	100.0	95.7	100.0	0.27
Decrease PS without conducting SBTs	84.4	93.8	81.0	66.7	0.24
Mode used prior to an SBT					
SIMV without PS	14.3	23.5	18.2	0.0	0.75
SIMV with PS	74.3	73.5	87.0	75.0	0.60
Volume-cycled assist control	65.7	70.6	68.2	50.0	0.85
Pressure-cycled assist control	57.1	79.4	59.1	33.3	0.10
Automatic tube compensation	51.5	28.1	59.1	33.3	0.09
Pressure-limited modes with volume guaranteed	20.6	20.6	40.9	0.0	0.25

SBTs spontaneous breathing trials; PS pressure support; CPAP continuous positive airway pressure; PEEP positive end-expiratory pressure; SIMV synchronized intermittent mandatory ventilation

for IMV to increase work of breathing.<sup>21</sup> Second, unlike the initial Esteban study<sup>3</sup> wherein patients were predominantly supported using AC (a volume-limited mode), our respondents reported preferential use of PS (a pressure-limited mode) prior to SBTs. Whereas Esteban found a high use of once-daily SBTs during weaning, our respondents preferred to gradually reduce the level of PS while intermittently conducting SBTs during weaning. This raises the possibility that clinicians may approach weaning differently by using different modes of initial support and conducting SBTs with different intent and timing. Whereas clinicians who use volume-limited ventilation prior to a SBT manually titrate  $V_T$  and RR, clinicians who use pressure-limited ventilation permit patients to auto-titrate these parameters. As a result, clinicians using volume ventilation may use SBTs as a “process of discovery”,<sup>3</sup> while those using pressure ventilation may conduct SBTs as a “pre-separation technique”. It remains to be determined whether the alternative approaches liberate patients who use comparable techniques at similar or different time points during weaning. Third, in contrast to the findings of Esteban *et al.*,<sup>20</sup> our respondents preferred to conduct SBTs with techniques that permit adding low levels of PEEP. Use of a T-piece may be a less desirable technique in Canada,

as it physically separates the patient from the monitoring capabilities of the ventilator, and it is cumbersome for RTs to assemble. Fourth, despite physiological studies<sup>22–25</sup> demonstrating the impact of humidification on breathing during assisted ventilation, the type of humidification used was not considered in determining SBT candidacy or the level of PS used during SBTs.

Three additional observations regarding to roles of health care providers, GCS measurement, and NIV utilization merit commentary. In Canada, while RTs and physicians share responsibility for daily screening and decisions regarding SBT performance, adjusting ventilator settings, and tracheal extubation; RTs actually perform these tasks. Regarding GCS computation in intubated patients, our survey results suggest a level of uncertainty concerning how best to assess the verbal scale score. It is essential to underscore the importance of standardizing GCS assessment and computation in order to enhance communication and to ensure the reliability and accuracy of illness severity scores that use the GCS as a component part. Finally, we noted considerable ambiguity regarding the role of NIV during weaning and in the post-extubation period. Ambiguity surrounding the role for NIV was lowest for post-extubation respiratory failure, despite evidence



from RCTs<sup>26,27</sup> demonstrating a lack of benefit for this indication. Conversely, although evidence supports a role for prophylactic application of NIV following tracheal extubation in high-risk patients, at least one-third of our respondents never apply NIV for this indication.<sup>28–31</sup>

Our survey represents a systematic attempt to characterize mechanical ventilation discontinuation practices in Canada. With the goals of obtaining information regarding clinical practice and organizational aspects of weaning and tracheal extubation in our ICUs, we used a multimodal approach to identify RTs and Critical Care Site physicians in leadership roles at teaching hospitals across Canada. To limit instrument bias and to enhance questionnaire clarity, comprehensiveness, and reliability, we used a rigorous approach to questionnaire development, testing, and administration. We formatted, tested, and administered our questionnaire in both national languages using rigorous methodology.<sup>15</sup> We used strategies in postal questionnaire development (university origin, cover letter endorsement, colour printing), testing (pilot testing), and administration (provision of an incentive, first-class mail delivery, reminders to non-respondents) demonstrated to enhance response rate.<sup>32</sup> Finally, we obtained a high response rate to our questionnaire which enhances the external validity of our findings. Our survey also has limitations. As we administered the survey to head RTs and Critical Care Site Chiefs at teaching hospitals, our findings may not be generalizable to community hospitals and other clinicians involved in weaning. Our survey reflects the *stated* rather than the *actual* practices of our respondents. While information regarding practices can be obtained using both study designs, information regarding practitioners' attitudes and beliefs<sup>33</sup> can only be ascertained using survey methodology.

In conclusion, our cross-sectional, self-administered, descriptive, postal survey summarizes the stated practices and organizational aspects of weaning in Canadian teaching hospital ICUs. We found that SBTs and PS are common features of weaning in our teaching hospitals. We did not identify important regional variation in whether clinicians *ever* perform SBTs, the modes used prior to a SBT, and the use of PS and SBTs during weaning. Compared with the published literature, our survey suggests that weaning practices have evolved over time and that weaning practice variation may be greater on an international level compared to a national level.

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