## **Screening for Dysphagia: Time Is Now!**

M. Fedecostante\*, G. Dell'Aquila\*, A. Cherubini

Geriatria, Accettazione geriatrica e Centro di ricerca per l'invecchiamento. IRCCS INRCA, Ancona, Italy; \* These authors equally contributed to this work

Corresponding Author: Antonio Cherubini, Geriatria, Accettazione geriatrica e Centro di ricerca per l'invecchiamento. IRCCS INRCA, Ancona, Italy, a.cherubini@inrca.it

## Introduction

ropharingeal dysphagia (OD) is a condition characterized by symptoms and signs which refer to a difficulty in forming or moving a bolus safely and efficacy from the oral cavity to the oesophagus. The aging process induces several changes of the head and neck anatomy and function, the so called presbyphagia, that may falter the mechanisms of swallowing and make older subjects more prone to develop OD.

Because of its high frequency among older people, its multifactorial aetiology, its association with several diseases, its negative consequences, and the need of a multidimensional approach to be treated, OD has been recognized as a geriatric syndrome (1, 2).

OD can also be induced or worsened by multiple factors that might contribute to create a temporary difficulty in swallowing, such as drug treatments (3, 4), in particular those with a high anticholinergic burden, or acute diseases and conditions, especially those impairing consciousness, e.g. sepsis (5) and delirium (6).

OD prevalence increases with increasing age and clinical complexity, including a greater number of chronic conditions, more severe disability and frailty, and varies between different care settings as well as in relation to the diagnostic methodology used, e.g. questionnaire, clinical or instrumental evaluation (7). In community-dwelling older people its prevalence ranges from about 10% up to 30-40% (2), and it is even more common condition in nursing homes where it might be found in up to 60% (2) of the residents and in hospitalized older adults, with figures as high as 80% (8).

OD is also strongly and independently associated with other common geriatric syndromes and conditions, such as frailty and sarcopenia (9), with which shares several risk factors, pathophysiological pathways and complications.

OD, especially when it goes unidentified, can cause severe complications, i.e. malnutrition (10), dehydration and penetration and aspiration, which in turn might cause respiratory infections and aspiration pneumonia, leading to hospitalization, institutionalization and mortality (2).

On the other hand, the recognition that the patient has dysphagia can allow to implement specific treatments, considering the OD severity and patient characteristics, which can reduce the risk of negative clinical outcomes (11).

Despite its negative consequences OD is frequently underdiagnosed: there is a common tendency to consider

symptoms such as coughing a trivial finding in older people, older subjects themselves and their caregivers may not recognize coughing as a problem and may not be aware of the presence of dysphagia (12), and also many physicians and health care professionals do not regularly investigate symptoms of OD and swallowing difficulties.

Several tools have been developed to perform the screening of dysphagia. However, the majority of health services rarely screen older patients for dysphagia and do not train their staff to diagnose it (12, 13).

In this issue of The Journal of Nutrition, Health & Aging, Schidler A. et al provide a comprehensive review of the literature on the Eating Assessment Tool-10 (EAT-10) (14, 15), evaluating and discussing the scientific evidence supporting its validity and its use in routine clinical practice.

The authors reported that the EAT-10 is a rapid (3-4 minutes), easy and self-administered (10 questions exploring functional, emotional, and physical domains) screening tool, which has good psychometric properties.

Although different cut-offs have been used in the literature, the value of 3 is recommended, as it has the best diagnostic accuracy (16).

It has also the advantage to have undergone numerous transcultural adaptations and translations in different languages.

When patients screen positive at the EAT-10 assessment, they should undergo further clinical and instrumental assessment, to confirm OD and assess its severity.

It should be pointed out that EAT-10 has its own limitations in certain populations, mainly in individuals with moderate to severe cognitive impairment or severe psychiatric conditions, in which difficulties in understanding the questions and limitations in providing self-reports of symptoms may affect the accuracy and validity of the tool's results, although it has been also used in studies on older adults affected by dementia (17). Moreover, recent re-assessement of the EAT-10 using Rash analysis has questioned its psychometric properties (18, 19).

In recent years, the incidence and prevalence of OD (20) have been rising, reflecting the increasing complexity of the aging population. Therefore, it becomes mandatory to raise the awareness about dysphagia in older people and in those involved in their care.

In conclusion, three main suggestions can be made concerning OD:

1) Being a geriatric syndrome, it is necessary to overcome the concept of dysphagia only as a condition strictly related to specific diseases, such as stroke, dementia and Parkinson's disease. In older adults, especially when risk factors are present, a screening for OD should be always performed to identify those subjects who could benefit for further clinical and instrumental assessments in order to early diagnose OD and put in place treatment strategies to prevent OD complications.

For instance, dysphagia should be systematically sought in the institutionalized and hospitalized older adults, as well as in frail older adults. Other authors have proposed to screen at least once a year all older adults aged 80 and over (21).

- 2) Screening for dysphagia should be also performed when an acute condition develops, possibly impairing patient's swallowing function. In these cases, OD should be reassessed once the precipitating factors have been treated or resolved, to identify a possible recovery of the swallowing function.
- 3) Periodic re-evaluations of swallowing in older adults is also strongly recommended, since many clinical conditions such as frailty and dementia tend to evolve over time or a new disease may develop.

Conflict of interest: Prof Antonio Cherubini received personal fees from Nestlé Health Science.

## References

- Payne MA, Morley JE. Dysphagia: A New Geriatric Syndrome. J Am Med Dir Assoc. 2017 Jul 1;18(7):555-557. doi: 10.1016/j.jamda.2017.03.017. Epub 2017 May 16. PMID: 28526586.
- Baijens LW, Clavé P, Cras P, Ekberg O, Forster A, Kolb GF, Leners JC, Masiero S, Mateos-Nozal J, Ortega O, Smithard DG, Speyer R, Walshe M. European Society for Swallowing Disorders - European Union Geriatric Medicine Society white paper: oropharyngeal dysphagia as a geriatric syndrome. Clin Interv Aging. 2016 Oct 7;11:1403-1428. doi: 10.2147/CIA.S107750. PMID: 27785002; PMCID: PMC5063605.
- Crouse EL, Alastanos JN, Bozymski KM, Toscano RA. Dysphagia with secondgeneration antipsychotics: A case report and review of the literature. Ment Health Clin. 2018 Mar 23;7(2):56-64. doi: 10.9740/mhc.2017.03.056. PMID: 29955499; PMCID: PMC6007670.
- Castejón-Hernández S, Latorre-Vallbona N, Molist-Brunet N, Cubí-Montanyà D, Espaulella-Panicot J. Association between anticholinergic burden and oropharyngeal dysphagia among hospitalized older adults. Aging Clin Exp Res. 2021 Jul;33(7):1981-1985. doi: 10.1007/s40520-020-01707-9. Epub 2020 Sep 19. PMID: 32949383.
- Sasegbon A, O'Shea L, Hamdy S. Examining the relationship between sepsis and oropharyngeal dysphagia in hospitalised elderly patients: a retrospective cohort study. Frontline Gastroenterol. 2018 Oct;9(4):256-261. doi: 10.1136/flgastro-2018-100994. Epub 2018 Jun 2. PMID: 30245787; PMCID: PMC6145432.
- Grossi E, Rocco C, Stilo L, Guarneri B, Inzitari M, Bellelli G, Gentile S, Morandi A. Dysphagia in older patients admitted to a rehabilitation setting after an acute hospitalization: the role of delirium. Eur Geriatr Med. 2023 Apr 13. doi: 10.1007/ s41999-023-00773-2. Epub ahead of print. PMID: 37052832.
- Rivelsrud MC, Hartelius L, Bergström L, Løvstad M, Speyer R. Prevalence of Oropharyngeal Dysphagia in Adults in Different Healthcare Settings: A Systematic Review and Meta-analyses. Dysphagia. 2023 Feb;38(1):76-121. doi: 10.1007/s00455-022-10465-x. Epub 2022 May 31. PMID: 35639156; PMCID: PMC9873728.

- Mateos-Nozal J, Montero-Errasquín B, Sánchez García E, Romero Rodríguez E, Cruz-Jentoft AJ. High Prevalence of Oropharyngeal Dysphagia in Acutely Hospitalized Patients Aged 80 Years and Older. J Am Med Dir Assoc. 2020 Dec;21(12):2008-2011. doi: 10.1016/j.jamda.2020.04.032. Epub 2020 May 6. PMID: 32499182.
- Zhao WT, Yang M, Wu HM, Yang L, Zhang XM, Huang Y. Systematic Review and Meta-Analysis of the Association between Sarcopenia and Dysphagia. J Nutr Health Aging. 2018;22(8):1003-1009. doi: 10.1007/s12603-018-1055-z. PMID: 30272106.
- Huppertz VAL, Halfens RJG, van Helvoort A, de Groot LCPGM, Baijens LWJ, Schols JMGA. Association between Oropharyngeal Dysphagia and Malnutrition in Dutch Nursing Home Residents: Results of the National Prevalence Measurement of Quality of Care. J Nutr Health Aging. 2018;22(10):1246-1252. doi: 10.1007/s12603-018-1103-8. PMID: 30498833; PMCID: PMC6302767.
- Ballesteros-Pomar MD, Cherubini A, Keller H, Lam P, Rolland Y, Simmons SF. Texture-Modified Diet for Improving the Management of Oropharyngeal Dysphagia in Nursing Home Residents: An Expert Review. J Nutr Health Aging. 2020;24(6):576-581. doi: 10.1007/s12603-020-1377-5. PMID: 32510109.
- Ortega O, Martín A, Clavé P. Diagnosis and Management of Oropharyngeal Dysphagia Among Older Persons, State of the Art. J Am Med Dir Assoc. 2017 Jul 1;18(7):576-582. doi: 10.1016/j.jamda.2017.02.015. Epub 2017 Apr 12. PMID: 28412164.
- Smithard D, Westmark S, Melgaard D. Evaluation of the prevalence of screening for dysphagia among older people admitted to medical services – an international survey. OBM Geriatrics 2019;3(4):1–8
- Belafsky PC, Mouadeb DA, Rees CJ, Pryor JC, Postma GN, Allen J, et al. Validity and reliability of the Eating Assessment Tool (EAT-10). Ann Otol Rhinol Laryngol. diciembre de 2008;117(12):919–24.
- Schindler A, Alvite Mde F, Robles-Rodriguez WG, Barcons N, Clavé P. History and science behind the eating assessment tool-10 (EAT-10): lessons learned. J Nutr Health Aging.2023;27(8):597-606. doi: 10.1007/s12603-023-1950-9
- Zhang P et al. Diagnostic Accuracy of the Eating Assessment Tool-10 (EAT-10) in Screening Dysphagia: A Systematic Review and Meta-Analysis. Dysphagia (2023) 38:145–158
- Özsürekci C, Arslan SS, Demir N, Çalışkan H, Şengül Ayçiçek G, Kılınç HE, Yaşaroğlu ÖF, Kızılarslanoğlu C, Tuna Doğrul R, Balcı C, Sümer F, Karaduman A, Yavuz BB, Cankurtaran M, Halil MG. Timing of Dysphagia Screening in Alzheimer's Dementia. JPEN J Parenter Enteral Nutr. 2020 Mar;44(3):516-524. doi: 10.1002/ ipen.1664. Epub 2019 Jun 6. PMID: 31172554.
- Cordier R, et al. Evaluating the psychometric properties of the Eating Assessment Tool (EAT-10) using Rasch analysis. Dysphagia. 2017;32(2):250–60.
- Speyer R, Cordier R, Farneti D, Nascimento W, Pilz W, Verin E, Walshe M, Woisard V. White Paper by the European Society for Swallowing Disorders: Screening and Non-instrumental Assessment for Dysphagia in Adults. Dysphagia. 2022 Apr;37(2):333-349. doi: 10.1007/s00455-021-10283-7. Epub 2021 Mar 31. PMID: 33787994; PMCID: PMC8009935.
- Rajati F, Ahmadi N, Naghibzadeh ZA, Kazeminia M. The global prevalence of oropharyngeal dysphagia in different populations: a systematic review and metaanalysis. J Transl Med. 2022 Apr 11;20(1):175. doi: 10.1186/s12967-022-03380-0. PMID: 35410274; PMCID: PMC9003990.
- 21. Umay E, Eyigor S, Bahat G, Halil M, Giray E, Unsal P, Unlu Z, Tikiz C, Vural M, Cincin AT, Bengisu S, Gurcay E, Keseroglu K, Aydeniz B, Karaca EC, Karaca B, Yalcin A, Ozsurekci C, Seyidoglu D, Yilmaz O, Alicura S, Tokgoz S, Selcuk B, Sen EI, Karahan AY, Yaliman A, Ozkok S, Ilhan B, Oytun MG, Ozturk ZA, Akin S, Yavuz B, Akaltun MS, Sari A, Inanir M, Bilgilisoy M, Çaliskan Z, Saylam G, Ozer T, Eren Y, Bicakli DH, Keskin D, Ulger Z, Demirhan A, Calik Y, Saka B, Yigman ZA, Ozturk EA. Best Practice Recommendations for Geriatric Dysphagia Management with 5 Ws and 1H. Ann Geriatr Med Res. 2022 Jun;26(2):94-124. doi: 10.4235/agmr.21.0145. Epub 2022 May 9. PMID: 35527033; PMCID: PMC9271401.

© Serdi and Springer-Verlag International SAS, part of Springer Nature 2023

How to cite this article: M. Fedecostante, G. Dell'Aquila, A. Cherubini. Editorial: Screening for Dysphagia: Time Is Now! J Nutr Health Aging.2023;27(8):593-594; https://doi.org/10.1007/s12603-023-1960-7