#### ORIGINAL PAPER



# Cereals, calories and change: exploring approaches to quantification in Indus archaeobotany

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**Abstract** Several major cereal groups have been identified as staples used by the pre-urban, urban and post-urban phase populations of the Indus Civilisation (3200-1500 BCE): wheat, barley, a range of small hulled millets and also rice, though their proportional exploitation is variable across space and over time. Traditional quantification methods examine the frequency, intensity and proportionality of the use of these crops and help ascertain the 'relative importance' of these cereals for Indus populations. However, this notion of 'importance' is abstracted from the daily lives of the people using these crops and may be biased by the differential production (as well as archaeological survival) of individual cereals. This paper outlines an alternative approach to quantifying Indus cereals by investigating proportions of calories. Cereals are predominantly composed of carbohydrates and therefore provided much of the daily caloric intake among many late Holocene farming populations. The four major cereal groups cultivated by Indus farmers, however, vary greatly in terms of calories per grain, and this has an impact on their proportional input to past diets. This paper demonstrates that, when converted

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to proportions of calories, the perceived 'importance' of cereals from five Indus sites changes dramatically, reducing the role of the previously dominant small hulled millet species and elevating the role of Triticoid grains. Although other factors will also have affected how a farmer perceived the role and importance of a crop, including its ecological tolerances, investments required to grow it, and the crop's role in the economy, this papers suggests that some consideration of what cereals meant in terms of daily lives is needed alongside the more abstracted quantification methods that have traditionally been applied.

 $\label{lem:continuous} \textbf{Keywords} \ \ \text{Indus Civilisation} \ \cdot \ \text{Plant macroremains} \ \cdot \ \text{South} \\ Asia \ \cdot \ \text{Bronze age} \ \cdot \ \text{Calories} \ \cdot \ \text{Quantification}$ 

#### Introduction

The role of agriculture in South Asia's Indus Civilisation (3200– 1300 BCE) (Table 1, Fig. 1) is a growing area of research. As in most complex societies, food production was integral to all aspects of the society and economy of the Indus Civilisation, potentially impacting upon its development and decline (Madella and Fuller 2006), and the interaction between cities and their hinterlands (Weber 2003; Wright 2010). It has thus been incorporated into models of its social organisation (e.g. Wheeler 1950; Fairservis 1967; Kenoyer 1997, 2000; Wright 2010). Since the 1990s, the number of sites with archaeobotanical datasets has increased to the point where an overall picture of Indus agriculture can be outlined (e.g. Fuller and Madella 2002). However, despite this growing corpus of data, many researchers seeking to characterise Indus agriculture still refer to a core-periphery model, which was developed using data from the site of Harappa (Weber 1992, 1997, 2003), combined with datasets several sites in from Gujarat (Weber 1989, 1991; Reddy 1994, 1997, 2003). A different pattern has been



**Table 1** Chronology of the Indus Civilisation (after Possehl 2002: 29)

| Stage                             | Dates         | Regional phases                           |
|-----------------------------------|---------------|---|
| Early Harappan                    | 3200–2600 BCE | Amri-Nal                                  |
|                                   |               | Kot Diji                                  |
|                                   |               | Sothi-Siswal                              |
|                                   |               | Damb Sadaat                               |
| Early-Mature Harappan Transition  | 2600-2500 BCE |   |
| Mature Harappan                   | 2500-1900 BCE | Sindhi Harappan                           |
|                                   |               | Kulli Harappan                            |
|                                   |               | Sorath Harappan                           |
|                                   |               | Punjabi Harappan                          |
|                                   |               | Eastern Harappan                          |
|                                   |               | Quetta                                    |
|                                   |               | Late Kot Diji                             |
| Late Harappan                     | 1900-1300 BCE | Jhukar (1900–1700 BCE)                    |
|                                   |               | Early Pirak (1800–1700 BCE)               |
|                                   |               | Late Sorath Harappan (1900–1600 BCE)      |
|                                   |               | Lustrous Red Ware (1600–1300 BCE)         |
|                                   |               | Cemetary H (1900–1500 BCE)                |
|                                   |               | Swat Valley Period IV (1650–1300 BCE)     |
|                                   |               | Late Harappan in Haryana and Western      |
|                                   |               | Uttar Pradesh (1900–1300 BCE)             |
| Painted Grey Ware (PGW)           | 1300-500 BCE  | Late Harappan—PGW overlap (1300–1000 BCE) |
| (north-east regional development) |               | PGW (1100–500 BCE)                        |

observed in several new archaeobotanical datasets from the north-east zone of the Indus Civilisation, however (Bates 2016; Bates et al. 2017a, 2017b; Petrie and Bates in press; Petrie et al. 2016, 2017). Analysis of floated samples from five of the six small village sites excavated by the Land, Water and Settlement project (Singh et al. 2008, 2010a, 2010b, 2011, 2012, 2013a, 2013b; Petrie et al. 2009, 2016, 2017; Pawar 2012; Bates 2016; Bates et al. 2017a, 2017b; Parikh and Petrie 2017) that are spread across the plains of north-west India has suggested that despite there being some similarities (such as the range of taxa cultivated), there were differences in the proportions of individual crops at each settlement and in each period (Bates 2016; Bates et al. 2017a, 2017b; Petrie et al. 2016, 2017). One element that was common at these settlements across time and space was the preponderance of small hulled native millets (Echinochloa cf. colona, Setaria cf. pumila, and Panicum sp.), which appeared more regularly and often in a greater frequency and proportion than other cereals (Bates 2016; Petrie et al. 2016). These millets were likely to have been grown under mixed intercropping conditions when found together (Petrie and Bates, in press). In the process of exploring these data, we began to question the role these crops might have played in the lives, and especially the diets, of the people actually growing and eating them, particularly their 'relative importance' to one another in practical nutritional terms. This paper develops these questions further, outlining a new, experimental quantification

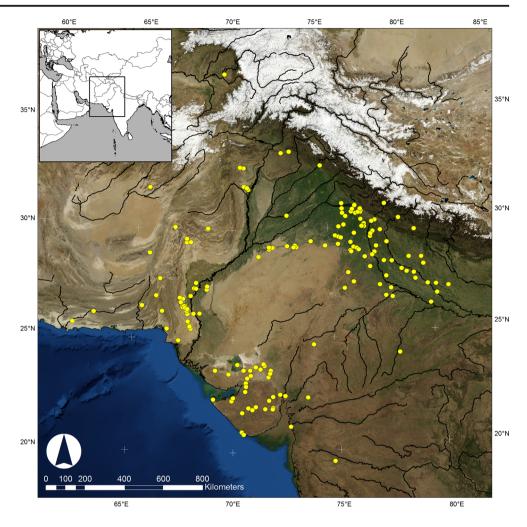
technique that can be employed to consider how different crops were perceived or utilised by people in the past. It also considers what impact this new approach might have on the interpretations that can be drawn from archaeobotanical datasets.

#### Quantifying archaeobotanical datasets

There has been much debate about the way that archaeobotanical datasets should be analysed and interpreted (e.g. Hastorf and Popper 1988), and no standard method is applied in all studies. Instead, a range of methods is applied, with the selection being based on the questions being asked and the nature of the remains (Pearsall 1989; Popper 1988). These methods fall into two broad categories: qualitative and quantitative (Pearsall 1989). Qualitative analysis typically presents presence/absence information and explores the data without reference to numbers and has been the most common form of data presentation in Indus archaeobotany (Fuller and Madella 2002; Fuller 2002; Bates forthcoming). While such analysis can be used to address questions such as when a taxon was first introduced at a site or which species co-occur in contexts, it cannot be used to infer the relative importance of individual species (Pearsall 1989). In contrast, quantitative analysis involves methods that explore standardised data (Weber 1999; Pearsall 1989) and can make use of multivariate



Fig. 1 Map showing excavated sites belonging to the Indus Civilisation and Painted Grey Ware periods, based on published data as of date of paper submission. Data obtained from Indian archaeology, a Review and Possehl (1999). For more analysis, see Bates (forthcoming)



and non-multivariate statistical approaches. This paper will focus on non-multivariate methods, as they are the more commonly used both in general and more specifically in Indus archaeology.

Within Indus archaeobotany, the most frequently used set of non-multivariate statistical analyses are measures of density, ubiquity and proportion (e.g. Weber 1989, 2003; Willcox 1991, 1992; Reddy 1994, 1997, 2003). All three approaches aim to standardise archaeobotanical data sets to make comparisons possible, as they often originate from different context types and from samples of different sizes (Miller 1988). Density is expressed as a ratio of the number of seeds per a specific quantity of sediment (Weber 1999, 2003; Pearsall 1989; Miller 1988). Weber (2003) has argued that density is useful for looking at depositional variability. Ubiquity is the percentage of samples in which a taxon was found and acts as a measure of the frequency of accidental charring events that resulted in the assemblage (Minnis 1981; Weber 1992). Minnis (1981) has suggested that as the number of accidental charring events can be viewed as a reflection of the frequency of utilisation, and ubiquity can therefore be used to show relative changes in use of taxa over time. It is not,

however, a reflection of the relative importance of taxa, as a taxon of which only a single seed was found in all contexts would have the same score as a taxon that was found in all contexts in much larger quantities (Popper 1988). Proportion is typically expressed in terms of percentages that look at the relative abundance of each taxa in an assemblage compared with the other taxa present (Weber 1999, 2003; Miller 1988) and can thus be used to gauge floral importance (Weber 1992, 2003; Miller 1988). Miller (1988) has added a caveat that percentages are only meaningful when comparing functionally equivalent elements, so for example including both chaff and seeds in the same percentage analysis would not be appropriate. Fuller (2000; also Fuller and Harvey 2006) has suggested that comparison between groups of crops such as cereals and pulses is also problematic because of differential deposition processes and taphonomy. Despite these issues, proportion remains a common method for looking at the relative importance of individual floral taxa. Combining all three of these analytical methods makes it possible to look at commonality of use (ubiquity), intensity of use (density) and relative importance of taxa (proportion). The datasets explored in this paper have previously been analysed using these three



statistical approaches (Bates 2016) and will be referred to throughout this paper.

Within the zone occupied by Indus Civilisation populations, there was a wide range of different crops that were known and used, therefore, the issue of relative importance of a crop within an individual assemblage becomes more critical and informative. The Indus Civilisation has been described as a melting pot of botanical influences (Bates 2016). Crop types range from the commonly cited rabi (winter) package of Near Eastern cereals and pulses, to kharif (summer) crops including the African millets, whose date of arrival in the Indus has been debated (e.g. Weber 1998; Weber and Fuller 2008; Weber and Kashyap 2016), to native millets and pulses from the south and east of the subcontinent that have been dismissed or disregarded in some discussions (e.g. Fuller and Harvey 2006; Weber and Fuller 2008; Bates 2016; Weber and Kashyap 2016; Petrie et al. 2016) and the much debated issue of the adoption and use of rice (see Fuller 2006; Fuller et al. 2010; Madella 2014; Bates et al. 2017a; Petrie et al. 2016; see Petrie and Bates in press). Here, we will focus on cereals, as they are the most commonly reported botanical remains from Indus sites (Fuller and Madella 2002; Bates 2016, forthcoming). Cereals were also the most dense, the most ubiquitous and proportionately the largest plant group from the quantified sites that have been explored (Bates 2016; Bates et al. 2017a, 2017b; Petrie and Bates in press; Petrie et al. 2016, 2017). Even when focusing just on the cereals, there are difficulties in making comparisons, as each species has different rates of production. For example, the smaller grained millet Echinochloa sp. can produce up to 6000 grains per plant, while larger grained wheat (Triticum sp.), averages 100–300 grains per plant. This variance means that comparing species directly in terms of raw numbers is potentially problematic as to do so assumes direct similarity and therefore comparability between species.

Given this limitation, and given that it is important to actually understand how humans used these plants as food, we suggest that an alternative approach for interpretation is required. For example, it is typically argued that charred remains are likely to reflect the daily routine actions of the final stages of crop processing towards food preparation before consumption and therefore some degree of human meal choice and daily activity on site, rather than acting as a direct reflection of what was growing in the fields (Stevens 2003; Fuller et al. 2014). One of the key elements of diet is calories, and as we will show, in the case of the wide range of cereals available to Indus populations, the quantity of calories provided by each taxa varies greatly. This variability suggests that looking at the proportion of calories provided by different cereals has the potential to indicate their relative importance to the daily lives of the people using them, thereby grounding the idea of 'importance' in the actions and choices of the people of the past. Calories have often been used as a standard metric in other

fields such as optimal foraging theory, where they are used to explore how and why food items have been incorporated into diets (see Hill 1988; Winterhalder and Goland 1997; Borgerhoff Mulder and Schacht 2012). Of course, the importance of a crop goes beyond simply calorific content, and other factors influence whether a farmer chooses to use individual crops, such as ecological tolerances (e.g. Petrie and Bates in press; Petrie et al. 2017), investment of time and labour (e.g. Brookfield 1986; Morrison 1994; Bates et al. 2017a, 2017b; Petrie and Bates in press; Bates in prep) and their role in the economy (e.g. Brookfield 1986; Morrison 1994; Bates in prep). It should also be noted that calorific content is not the only aspect of nutrition that might affect a decision to incorporate a crop into a diet, macronutrients such as carbohydrates, lipids, proteins and mineral content will also affect decisions, and it will be important to undertake further work into these aspects in the future. Similarly, cultural and social choices affect decisions about food (Appadurai 1981; Goody 1982; Fischler 1988; Gumerman IV 1997; Lyons and D'Andrea 2003; Smith 2006; Twiss 2012; Fuller and Rowlands 2011; Hastorf 2016). As Sherratt (1991: 50) has pointed out: "we do not eat species, we eat meals", we eat food. Food is made up of a series of categories of what is good to eat and what is not good to eat (Levi Strauss 1968: xx), and by categorising food in such a way it intersects with aspects of social identity, allowing for it to become embedded in expressions of self, such as ethnicity, gender, age, status, ideology/religion (Appadurai 1981; Goody 1982; Fischler 1988; Gumerman IV 1997; Smith 2006; Twiss 2012; Hastorf 2016). Culturally informed choices about taste and texture will also affect cooking technologies and thus the choices of crops used, for example a desire for bread might affect decisions about grinding and thus also grain choices based on starch, protein and gluten content, or the cultural liking for noodles and stewed/boiled foods might lead to a focus on 'sticky' cereals with high waxy starch content (Lyons and D'Andrea 2003; Fuller and Rowlands 2011). Nonetheless, we argue here that calories are a simple metric that have the potential to begin to break down the way traditional quantification methods have been applied to look more at how people thought about their crops from the view of the farmers and the people eating the food produced.

It is important to note, as with all statistical approaches within archaeobotany, that many factors need to be taken into consideration when quantifying the data, and as such, approaching cereal use from the point of view of calorie proportion is a rough estimate of relative nutritional importance rather to provide an exact reflection of the calorie count within the diets of the past. As in all statistical methods, this approach assumes that differential preservation by charring is negligible, a point that needs to be taken into consideration in interpreting the data. Taphonomy varies depending on the



grain size, with larger grains often being more damaged due to water and starch alternations in cooking, while smaller grains like millets are more likely to be lost during cooking. Millets and rice are also commonly boiled not ground, and this could increase their survival over wheat and barley. Grain processing and storage differences also have the potential to alter ubiquity and abundances of grain, and differences in the role of grains in an economy (e.g. for food and foddering) have the potential to affect their likelihood of preservation. We have previously explored differences in processing (Bates et al. 2017b) and have outlined the different pathways through cleaning at each site for the different grains. Foddering by feeding grains to cattle (in the form of dung fuel containing grain fragments) is also a possibility.

Calorific quantification is not being proposed here as an approach to replace other methods of quantification in the analysis of macrobotanical remains, nor to provide a direct reflection of the exact calorific content of a single meal from the past, but rather to provide another way of thinking about relative importance, in terms of significance to diet rather than in abstracted numbers. The calculations used to estimate the calories in 100 g of grain per species are shown in Table 2, with the data being taken from modern studies. The calories per 100 g of grain were then divided by the number of grains per 100 g (Table 2) to create a figure for the number of calories per grain (Table 2). We recognise that grain size may be a factor in calculations of calories per grain, and suggest that future studies should be carried out to look at calories per grain both in terms of intra-species differences and also chronologically, to ascertain whether the differences seen between taxa are greater than those within species (see Table 2). There is some likelihood that there were changes in grain size over time and within species, particularly in response to changing practice by farmers and the potential impact of climatic and ecological variability. Further research looking into this process, specifically in relation to wheat and barley, and also to address the issue of sheer mass of carbohydrates, is ongoing

**Table 2** Calculations used to create calories per grain. These were then used to create a proportion of the cereal calories. Sources: Calories per 100 g: USDA (2014); grains per 100 g Hordeum vulgare: Penn. State (2014); Triticum aestivum: Ali et al. (2008); Oryza sp.: FAO (2014); Echinochloa colona: Sparacino et al. (2002); Setaria pumila: Steel et al. (1983)

| Species            | Calories<br>(kcal)<br>per 100 g | Grains<br>per 100 g | Calories per grain (kcal/100 g divided by grains/100 g) |
|--------------------|---------------------------------|---------------------|---|
| Hordeum vulgare    | 354                             | 2998                | 0.118   |
| Triticum aestivum  | 361                             | 3096                | 0.117   |
| Oryza sp.          | 358                             | 4000                | 0.09  |
| Echinochloa colona | 300                             | 75,758              | 0.004   |
| Setaria pumila     | 336                             | 90,909              | 0.004   |

(see Bates et al. in prep.). In the absence of relevant ancient datasets, modern studies have been used to provide a first approximation in demonstrating the value of calorific quantification studies, which can be used alongside other quantification methods to look at the role of different grains within archaeological farming diets.

Panicum spp. is a broad group of millets that includes several species that are often difficult to identify given the condition of the charred grains being analysed. The available references suggest that Panicum sp. is similar in calorific value range and grain production to Echinochloa colona and Setaria pumila. As Panicum sp. formed only a small proportion of any of the samples presented here, an assumption was made that it provided 0.004 kcal per grain like the other millets. This was also assumed for the small hulled millets with long embryos of the genera Setaria sp., Echinochloa sp. and Brachiaria sp. (the SEB group) and small indeterminate millets, as these were likely to be Echinochloa sp. and Setaria sp. given that the majority of identifications were of these millets.

The average density of seeds per period was used to work out the calorie proportions: (density of grain × calories per grain)/total calories of all cereals at site in time period ×100.

# The sites and samples

The samples discussed here were recovered from settlement sites excavated by the *Land, Water, Settlement* project (Fig. 2; see Petrie et al. 2017). Charred macrobotanical remains were recovered from five sites using bucket flotation and a 500-µm mesh. These sites will be discussed broadly from west to east. The raw data counts can be found in the Supplementary Information.

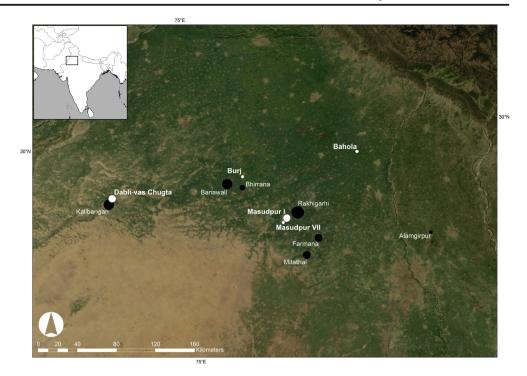
# Dabli vas Chugta

Dabli vas Chugta is the western-most of the five sites, and the extant Mature Harappan levels were excavated and sampled (Singh et al. 2012). This 5–6-ha site lies 7 km north-east of Kalibangan, an important small urban centre. A total of 37 flotation samples from the site were analysed (Bates 2016; Bates et al. 2017a, 2017b; Petrie and Bates in press; Petrie et al. 2016, 2017; see Supplementary Information).

As outlined in Table 3, *Hordeum vulgare* (barley) was the most ubiquitous, dense and highest proportioned of the cereals found at Dabli vas Chugta. However, there was also a high proportion of millets (all species) present with similarly high ubiquity. Due to preservation issues, the majority of the millet grains were either identifiable as small-grained hulled millets or could be attributed to the SEB group category. The next highest proportioned cereal was *Hordeum/Triticum*, which was made up of large grains that could not be identified beyond a generalised barley/wheat



Fig. 2 Land, Water, Settlement sites explored in this study (*shown in white*) and other important sites in study region (*shown in black*). Circle size indicates site size hierarchy



level. These types were found in similar ubiquity to the millets, but in lower density. This pattern suggested that barley and a range of millets were important cereals at the site, as they were used in similar frequency, intensity and proportion (Table 3).

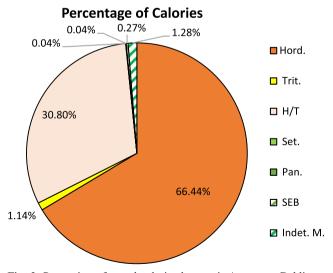
When the cereal caloric proportions are considered (Fig. 3), however, the dominance of barley is more pronounced. This is because even though barley produces fewer grains per plant than the millets, the grains are richer in calories. Therefore, although barley and millets have a similar ubiquity, barley is likely to have provided the main proportion of the calories in the Mature Harappan period at Dabli vas Chugta, whereas

**Table 3** Ubiquity, density and proportion of cereals at Dabli vas Chugta (Bates 2016)

| Cereal   | Ubiquity (% contexts) | Density<br>(per 10 l) | Proportion (% of cereals) |
|--|-----------------------|-----------------------|---------------------------|
| Hordeum vulgare  | 31.58                 | 0.59                  | 45.28                     |
| Triticum sp.   | 2.63                  | 0.01                  | 1.03                      |
| Hordeum/Triticum   | 21.05                 | 0.27                  | 20.75                     |
| Total <i>Hordeum</i> , <i>Triticum</i> and <i>Hordeum/Triticum</i> | 40.54                 | 0.87                  | 67.06                     |
| Setaria sp.  | 2.63                  | 0.01                  | 1.03                      |
| Panicum sp.  | 2.63                  | 0.01                  | 1.03                      |
| SEB  | 13.16                 | 0.07                  | 5.15                      |
| Indet. small millet  | 7.89                  | 0.34                  | 25.73                     |
| Total millet   | 23.68                 | 0.43                  | 32.94                     |

millets and wheat played a lesser role in dietary calorie acquisition.

It could be argued from this evidence that the *rabi* (winter) cereal barley was the most important, frequently and intensively used element of the plant food diet at Dabli vas Chugta, and that the *kharif* (summer) millets were used as a supplement, perhaps not simply in terms of calories but also in terms of other aspects including bulking up a meal, for year-round food security or for specific culinary uses.



**Fig. 3** Proportion of cereal calories by species/genera at Dabli vas Chugta (Bates 2016)



#### Burj

The site of Burj is located in Fatehabad District and was occupied during the Early Harappan and PGW periods (Singh et al. 2010a). It is close to Kunal, which has an unusually rich assemblage of Early, Early-Mature Transition and Mature Harappan material (Khatri and Acharya 1995), and a relatively short distance from both Banawali and Bhiranna. Previous work (Bates 2016; Bates et al. 2017a, 2017b; Petrie and Bates in press; Petrie et al. 2016, 2017) has shown that the Early Harappan samples were poorly preserved and cannot be quantified, but it is noticeable that the only material preserved were large grained cereals, predominantly of wheat/barley type. The PGW period samples were, however, better preserved, and 14 samples were analysed (Bates 2016; Bates et al. 2017a, 2017b; Petrie and Bates in press; Petrie et al., 2016, 2017; see Supplementary Information).

In contrast to Dabli vas Chugta, the majority of cereals at Burj were small hulled millets (Table 4).

Millets were the cereal type that occurred in the highest density and the most ubiquity at Burj. Of those identifiable to genera or species, Echinochloa cf. colona formed the highest proportion, occurring in the highest density and ubiquity. The second most prevalent millet was Setaria cf. pumila, with Panicum sp. being slightly less ubiquitous and occurring in a lower density. Combined, the small millets were the most ubiquitous, had the highest density and were proportionately the largest group of cereals. However, Hordeum vulgare (barley) was also found in over half of all samples, though in a much lower density and proportion than the millets. In contrast, Triticum sp. (wheat) was not ubiquitous, nor was it found in great proportions, forming less than 1% of the entire cereal assemblage. These data suggest that in the PGW period, millets were the dominant crop, with barley being used with some frequency, but with less intensity, while wheat was neither a major nor a regular component of the diet at Burj.

**Table 4** Ubiquity, density and proportion of cereals at Burj in the PGW period (Bates 2016)

| Cereal   | Ubiquity<br>(% contexts) | Density<br>(per 10 l) | Proportion<br>(% of cereals) |
|--|--------------------------|-----------------------|------------------------------|
| Hordeum vulgare  | 57.14                    | 0.82                  | 6.01                         |
| Triticum sp.   | 7.14                     | 0.04                  | 0.26                         |
| Hordeum/Triticum   | 57.14                    | 0.50                  | 3.66                         |
| Total <i>Hordeum, Triticum</i> and <i>Hordeum/Triticum</i> | 64.28                    | 1.36                  | 9.93                         |
| Echinochloa sp.  | 57.14                    | 6.46                  | 47.26                        |
| Setaria sp.  | 42.86                    | 3.50                  | 25.59                        |
| Panicum sp.  | 21.43                    | 0.46                  | 3.39                         |
| SEB  | 21.43                    | 0.86                  | 6.27                         |
| Indet. small millet  | 35.71                    | 1.04                  | 7.57                         |
| Total millets  | 78.57                    | 12.32                 | 90.07                        |

When these data are converted to proportions of calories, however, this pattern changes (Fig. 4). Instead of millets being the dominant group, the major role of barley and *Hordeum/Triticum* is emphasised, and millets are shown to have formed only a quarter of the calorific input into the assemblage. Thus, although the millets were the dominant crop in terms of ubiquity, density and proportions, they provided a lower proportion of the calorific input than barley.

*Kharif* cereals were therefore the most regularly and intensely used cereal group, but not necessarily the most important in terms of calorific input and the less frequently and less intensely used *rabi* cereals may have been the calorific staples.

# Masudpur VII

Masudpur VII is one of the several small village sites located around the modern village of the same name and lies about 15 km from the Indus urban centre of Rakhigarhi (Petrie et al. 2009, 2016; Singh et al. 2010b). This settlement had evidence for occupation in the Early, Mature and Late Harappan periods, and a total of 25 macrobotanical samples were analysed: 10 Early Harappan, 12 Mature Harappan and 3 Late Harappan (Bates 2016; Bates et al. 2017a, 2017b; Petrie and Bates in press; Petrie et al. 2016, 2017; see Supplementary Information).

In the Early Harappan deposits at Masudpur VII (Table 5), millets were the most ubiquitous of the cereals, specifically, *Echinochloa* sp. (mostly *Echinochloa colona*) as well as being the most densely occurring and proportionately the largest group of cereals. *Setaria* sp. (mostly *Setaria pumila*) was also found frequently in large proportions. Some *Panicum* sp. was also identified. *Hordeum vulgare* (barley) was found in high densities and in similar proportions to *Echinochloa* sp., but

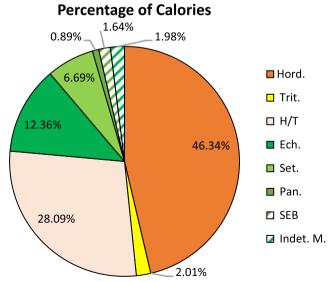


Fig. 4 Proportion of cereal calories by species/genera at Burj in the PGW (Bates 2016)



**Table 5** Ubiquity, density and proportion of cereals at Masudpur VII in the Early Harappan period (Bates 2016)

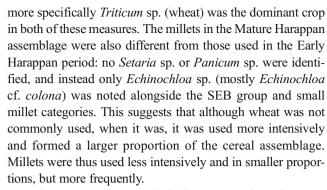
| Cereal   | Ubiquity<br>(% contexts) | Density<br>(per 10 l) | Proportion<br>(% of cereals) |
|--|--------------------------|-----------------------|------------------------------|
| Hordeum vulgare  | 40                       | 1.25                  | 24.27                        |
| Triticum sp.   | 20                       | 0.10                  | 1.94                         |
| Hordeum/Triticum   | 20                       | 0.35                  | 6.80                         |
| Total <i>Hordeum, Triticum</i> and <i>Hordeum/Triticum</i> | 50                       | 1.7                   | 33.01                        |
| Oryza sp.  | 20                       | 0.10                  | 1.94                         |
| Echinochloa sp.  | 70                       | 1.45                  | 28.16                        |
| Setaria sp.  | 40                       | 0.55                  | 10.68                        |
| Panicum sp.  | 30                       | 0.35                  | 6.80                         |
| SEB  | 40                       | 0.40                  | 7.77                         |
| Indet. small millet  | 50                       | 0.60                  | 11.65                        |
| Total millets  | 80                       | 3.35                  | 65.06                        |

barley was not as ubiquitous as the millets, being present in only 40% of contexts. It can therefore be argued that millet was used at the site in the same intensity and proportion as barley, but more frequently. Two other cereals were identified in the Early Harappan period deposits: *Triticum* cf. *aestivum/durum* (bread wheat) and *Oryza* sp. (rice). These were both found in a lower ubiquity, density and proportion, and it can be suggested that they did not play a large role in the diet at the site in this period. The lower rice, wheat and barley metrics could be explained through taphonomy. Rice is often boiled, which could result in it being incorporated less frequently than other cereals, while barley and wheat are often ground, and the high water and starch content in these grains can also lead to damage to the grains that results in poor preservation potential.

This pattern changed in the Mature Harappan period (Table 6). While millets as a group were still more ubiquitous than other cereals, they were not the largest group in either proportion or density, and instead *Hordeum/Triticum*, and

**Table 6** Ubiquity, density and proportion of cereals at Masudpur VII in the Mature Harappan period (Bates 2016)

| Cereal   | Ubiquity<br>(% contexts) | Density<br>(per 10 l) | Proportion (% of cereals) |
|--|--------------------------|-----------------------|---------------------------|
| Hordeum vulgare  | 8.33                     | 0.04                  | 2.19                      |
| Triticum sp.   | 8.33                     | 0.50                  | 27.32                     |
| Hordeum/Triticum   | 25                       | 0.75                  | 40.98                     |
| Total <i>Hordeum, Triticum</i> and <i>Hordeum/Triticum</i> | 25                       | 1.29                  | 70.49                     |
| Echinochloa sp.  | 33.33                    | 0.33                  | 18.03                     |
| SEB  | 16.67                    | 0.08                  | 4.37                      |
| Indet. small millet  | 8.33                     | 0.13                  | 7.10                      |
| Total millets  | 41.67                    | 0.54                  | 29.51                     |



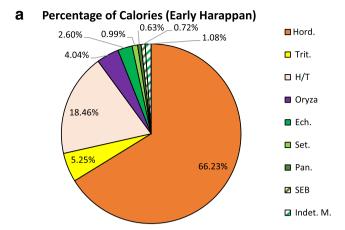
In the Late Harappan period, there was again a change at Masudpur VII (Table 7), and it was in some ways a reversion to the pattern seen in the Early Harappan period, though this interpretation has to be tempered by an acknowledgment of the low number of samples. The data suggested that rice had made a return, but it was now found in the same ubiquity as Hordeum/Triticum, and Panicum sp. also reappeared again. However, although rice and Hordeum/Triticum were the most ubiquitous species, again the small hulled millets group was found in a greater density and was proportionally larger. Of the millets, one species dominated: Echinochloa sp., which was found in the same density and proportion as rice. Its density and proportions was slightly lower than Hordeum/ Triticum, but very little genera specific data for this group could be gathered due to preservation: no wheat was noted and only 0.17 grains of barley was noted per 10 l sediment. From these data, it therefore seems that Oryza sp. and Hordeum/Triticum were the most commonly identified cereals in the Late Harappan period and used in similar intensity and proportion to Echinochloa sp.

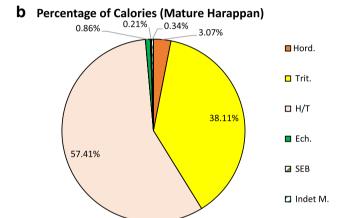
When these data from these three periods of occupation are converted to calories, however, a different picture emerges. Figure 5a shows that in the Early Harappan period, the majority of calories were provided by barley (66%), while wheat, rice and millets make up similar calorific proportions of between 4 and 6%. In the Mature Harappan period (Fig. 5b), the

**Table 7** Ubiquity, density and proportion of cereals at Masudpur VII in the Late Harappan period (Bates 2016)

| Cereal  | Ubiquity (% contexts) | Density<br>(per 10 l) | Proportion (% of cereals) |
|---|-----------------------|-----------------------|---------------------------|
| Hordeum vulgare   | 33.33                 | 0.17                  | 2.70                      |
| Hordeum/Triticum  | 66.67                 | 1.50                  | 24.32                     |
| Total <i>Hordeum, Triticum,</i> and <i>Hordeum/Triticum</i> | 100                   | 1.67                  | 27.02                     |
| Oryza sp.   | 66.67                 | 1.17                  | 18.92                     |
| Echinochloa sp.   | 33.33                 | 1.17                  | 18.92                     |
| Panicum sp.   | 33.33                 | 0.17                  | 2.70                      |
| Indet. small millet   | 33.33                 | 2.00                  | 32.43                     |
| Total millets   | 33.33                 | 3.34                  | 54.05                     |







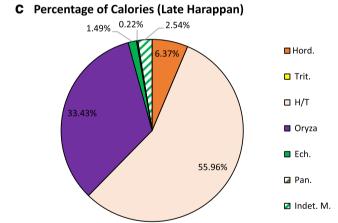


Fig. 5 Proportion of cereal calories by species/genera at Masudpur VII in the  $\bf a$  Early,  $\bf b$  Mature and  $\bf c$  Late Harappan periods (Bates 2016)

role of wheat increased, while millets made up only 1% of the calories. The majority of calorific input was provided by the generalised category of *Hordeum/Triticum*, but looking at the identifiable grains, wheat was proportionately larger in calorie provision than barley. In the Late Harappan period (Fig. 5c), there is a more mixed picture, with *Hordeum/Triticum* forming around half of the calories, rice providing a further

33%, and barley and millet each providing a relatively small proportion of calories.

The data from Masudpur VII thus varies considerably by period in both traditional quantification methods and calculations of calories. While the small hulled millets were ubiquitous in all periods, their density and proportionate role varied by period, and calorifically, they did not form a significant part of the assemblage in any period. It is notable that species with larger grains, although less ubiquitous, played a more significant role in calorie provision. These species varied, however, between periods, mirroring perhaps the role of larger grains in the traditional proportions: with barley being dominant in the Early Harappan, wheat in the Mature Harappan, and Hordeum/Triticum and rice in the Late Harappan.

# Masudpur I

Masudpur I was another of the village sites in the hinterland of Rakhigarhi, slightly to the north-east of Masudpur VII (Petrie et al. 2009; Singh et al. 2010b). This site had only Mature Harappan period remains, and 29 samples were analysed (Bates 2016; Bates et al. 2017a, 2017b; Petrie and Bates in press; Petrie et al. 2016, 2017; see Supplementary Information).

Hordeum vulgare (barley), small-grained hulled millets Echinochloa cf. colona, Setaria cf. pumila, Panicum sp., and the large grained Hordeum/Triticum, Triticum aestivum/durum (bread wheat) and Oryza sp. (rice) were all present (Table 8, Bates 2016). Of these, the small hulled millets were the most ubiquitous group and occurred in the highest density, forming the largest proportion of the cereals (and indeed all crops). Barley and rice, however, were only marginally less ubiquitous than millets but were found in similar densities and proportions to the millets. These proportions suggest that although slightly less common, barley and rice were as

Table 8 Ubiquity, density and proportion of cereals at Masudpur I (Bates 2016)

| Cereal   | Ubiquity (% contexts) | Density<br>(per 10 l) | Proportion (% of cereals) |
|--|-----------------------|-----------------------|---------------------------|
| Hordeum vulgare  | 62.07                 | 8.64                  | 19.90                     |
| Triticum sp.   | 37.93                 | 0.80                  | 1.85                      |
| Hordeum/Triticum   | 72.41                 | 4.18                  | 9.63                      |
| Total <i>Hordeum, Triticum</i> and <i>Hordeum/Triticum</i> | 86.21                 | 13.62                 | 31.37                     |
| Oryza sp.  | 55.17                 | 9.41                  | 21.67                     |
| Echinochloa sp.  | 86.21                 | 5.62                  | 12.94                     |
| Setaria sp.  | 72.41                 | 7.53                  | 17.35                     |
| Panicum sp.  | 55.17                 | 1.70                  | 3.91                      |
| SEB  | 65.52                 | 1.76                  | 4.06                      |
| Indet. small millet  | 62.07                 | 3.78                  | 8.71                      |
| Total millets  | 89.66                 | 20.39                 | 46.96                     |



intensively used and as important to diet in relative terms. Wheat was also present, but as at Burj and Dabli vas Chugta, it was only present in a small number of contexts, in low density and formed less than 2% of the cereal assemblage.

As at the other sites when these data from Masudpur I are converted to proportion of calories (Fig. 6), the calorific role of millets was low, in this instance to less than 3% of the assemblage. The major calorific input is evenly distributed between rice and barley, and wheat makes only a small proportionate contribution, similar to the millets. These data suggests that, although they were not used quite as regularly as millets, barley and rice were perhaps the most important crops at Masudpur I in terms of intensity and proportion of use, and also in calorific terms.

#### Bahola

The final site discussed here, Bahola, provides yet another perspective on rural Indus agriculture in north-west India. Bahola is the most easterly of the sites discussed here and had evidence for Late Harappan and PGW occupation as well as Early Historic levels (Singh et al. 2013a). In total, 20 Late Harappan and 10 PGW samples were analysed.

In the Late Harappan period, small hulled millets dominated in terms of ubiquity, density and proportion statistics (Bates 2016; Bates et al. 2017a, 2017b; Petrie and Bates in press; Petrie et al. 2016, 2017). *Oryza* sp. (rice) and *Hordeum/Triticum* were also present in high ubiquities (only slightly less than the small hulled millets), while barley was low in ubiquity and wheat was not attested (Table 9). This pattern continued in the densities and proportions: with millets, especially *Echinochloa* sp. (mostly *Echinochloa* cf. *colona*), being the largest and most dense group, closely followed by rice which had similar proportions to *Setaria* sp. (most *Setaria* 

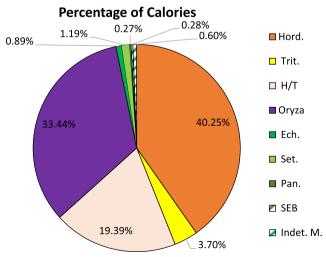
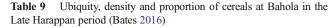


Fig. 6 Proportion of cereal calories by species/genera at Masudpur I (Bates 2016)



| Cereal   | Ubiquity (% contexts) | Density<br>(per 10 l) | Proportion (% of cereals) |
|--|-----------------------|-----------------------|---------------------------|
| Hordeum vulgare  | 20                    | 0.10                  | 0.80                      |
| Hordeum/Triticum   | 60                    | 0.94                  | 7.32                      |
| Total <i>Hordeum, Triticum</i> and <i>Hordeum/Triticum</i> | 60                    | 1.04                  | 8.12                      |
| Oryza sp.  | 60                    | 2.64                  | 20.49                     |
| Echinochloa sp.  | 75                    | 3.92                  | 30.50                     |
| Setaria sp.  | 55                    | 2.25                  | 17.52                     |
| Panicum sp.  | 25                    | 0.29                  | 0.81                      |
| SEB  | 40                    | 0.10                  | 2.22                      |
| Indet. small millet  | 70                    | 2.61                  | 20.32                     |
| Total millet   | 75                    | 9.18                  | 71.37                     |

cf. *pumila*), *Hordeum/Triticum* which had slightly lower proportions, and *Hordeum vulgare* (barley), which comprised only a small proportion of the assemblage.

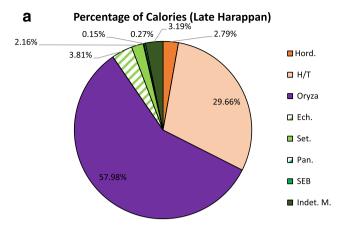
A similar pattern was seen in the PGW period samples at Bahola (Table 10): millets were the most dominant group in terms of ubiquity, density and proportion, closely followed by rice and *Hordeum/Triticum*, with barley being rarely found. Additionally, *Triticum* sp. (wheat) was found in the PGW levels but in low ubiquity, density and proportion.

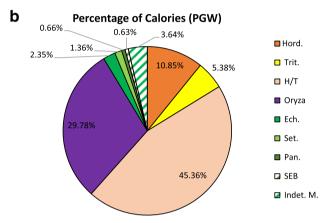
Again, this pattern is different when looking at the proportion of calories (Fig. 7). In the Late Harappan period, rice makes up the largest calorific proportion, followed by *Hordeum/Triticum*, whereas millets make up less than 10% of the calories, and barley is the smallest proportion. In the PGW period, the roles of rice and *Hordeum/Triticum* reverse, and the calorific proportion of barley increases so that it is

**Table 10** Ubiquity, density and proportion of cereals at Bahola in the PGW period (Bates 2016)

| Cereal   | Ubiquity (% contexts) | Density<br>(per 10 l) | Proportion (% of cereals) |
|--|-----------------------|-----------------------|---------------------------|
| Hordeum vulgare  | 20                    | 0.10                  | 3.05                      |
| Triticum sp.   | 10                    | 0.05                  | 1.52                      |
| Hordeum/Triticum   | 50                    | 0.42                  | 12.80                     |
| Total <i>Hordeum, Triticum</i> and <i>Hordeum/Triticum</i> | 60                    | 0.57                  | 17.38                     |
| Oryza sp.  | 60                    | 0.36                  | 10.98                     |
| Echinochloa sp.  | 70                    | 0.64                  | 19.51                     |
| Setaria sp.  | 60                    | 0.37                  | 11.28                     |
| Panicum sp.  | 30                    | 0.18                  | 5.49                      |
| SEB  | 20                    | 0.17                  | 5.18                      |
| Indet. small millet  | 70                    | 0.99                  | 30.18                     |
| Total millet   | 80                    | 2.53                  | 71.65                     |







**Fig.** 7 Proportion of cereal calories by species/genera at Bahola in the **a** Late Harappan and **b** PGW periods (Bates 2016)

greater than that of the millets, but it is still less than 10%. Wheat forms only a small proportion of calorific input.

#### **Discussion**

The data outlined here suggests that millets formed a large proportion of the assemblage at all sites, and as a group, millets were the most frequently utilised crop. However, when the statistics for millets are converted into proportions of calories, it is evident that even in the assemblages where they were particularly abundant and ubiquitous, these small-grained hulled millets formed only a small proportion of the calorific input into the diet (Fig. 8). The only site at which they could be argued to have provided a significant proportion of the calories was Burj in the PGW period, where they formed one-quarter of the cereal-based calories. As such, it could be argued that millets did not play as 'important' as calorific role in the diet of Indus populations in north-west India when compared with other crops.

This observation has to be tempered by the fact that although lower in calories, millets were included more regularly in each of the assemblages. This regularity of appearance potentially indicates that calorific value was not necessarily the main concern in cereal use and that other aspects such as taste, functionality (i.e. what millet could be used to make), adaptation to arid conditions and/or cropping strategies were equally or perhaps even more important.

This observation does not, however, take into account differential preservation which may have played a significant role in the formation of these assemblages. These include aspects such as the different grains not all being processed and cooked in the same way and thus not necessarily having the same chances of being charred and included in the soil assemblage, differential destruction during burning of smaller elements like millets, the potential destruction of larger grains such as the wheat and barley from food preparation (grinding) or during cooking (water and starch changes causing a porous and thus more fragile appearance). These processes may have changed the contents the assemblages and therefore the proportion of seeds in the assemblage, thus, changing calorie ratios. We thus reiterate that this approach provides a first approximation but nonetheless also indicates some interesting avenues for future work for assessing food choice beyond the use of standard quantification of archaeobotanical datasets.

The Indus Civilisation extended across an area that had highly variable rainfall, riverine conditions, vegetation and temperatures, and as such, it is impossible to simply characterise it based on a single variable. Millets are hardy, plastic crops that grow quickly with little attention. The millets found at the sites investigated by the Land, Water and Settlement project in north-west India are all species native to South Asia (Bates 2016; Bates et al. 2017a, 2017b; Petrie and Bates in press; Petrie et al. 2016, 2017). Today, this northeastern Indus region is highly variable in its environmental conditions, but receives more rainfall in the summer season than the winter, and therefore, even slight changes in the summer rainfall would have had a big impact. At the same time, the winters are cooler but drier, suggesting that rabi (winter sown and harvested) crops may have struggled more than kharif crops. That these millets were present from the earliest periods suggests that people were familiar with the variability of the environment and were using combinations of cereals that were suited to this variability—relying on locally adapted millets as a regular staple supplemented by barley for calories on a less regular basis. As urbanisation and de-urbanisation occurred around them, the rural populations of north-west India continued to grow this hardy crop, indeed as Bates (2016), also Bates et al. (2017a, 2017b), Petrie and Bates (in press), Petrie et al. (2016, 2017) have outlined, the proportion of millets increased steadily over time. It is possible that this continuity in millet use relates then not to calories, but to their hardiness as a summer crop suited to the environments of north-west India.

At the same time, however, millets may also have been a marker of local identity. It has long been recognised that food is



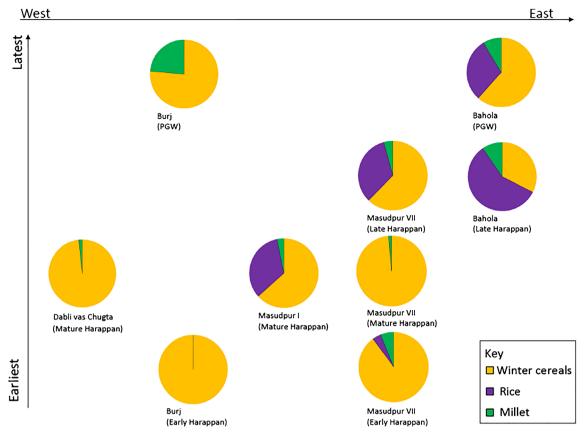


Fig. 8 Proportion of cereal calories organised by period on the y-axis and location on the x-axis. Wheat, barley and Hordeum/Triticum have been combined to produce a proportion of rabi (winter) cereals shown in orange, rice is shown in purple and millets have been combined and shown in green

an important element of social life (e.g. Appadurai 1981), as it makes statements beyond simple sustenance about identity and affects daily interactions. Cooking methods, for example, can be indicative of a person's origins or ancestry, and food can be linked to social class via differential access to resources, and acts such as feasting can be unifying or divisive. Retaining a local cropping system with regular use of a lower yielding and lower calorific crop may therefore relate not only to environment but may also reflect local identity and choices (e.g. Fuller et al. 2004; Fuller 2005; Smith 2006; Miller 2015). Ethnographic work looking at the functionality of all the cereals used (e.g. documentation of what can be made/when/why/how they are cooked) is a logical avenue for future research.

# **Conclusions**

This paper used a corpus of Indus archaeobotanical samples to explore a new way of quantifying archaeobotanical data, with the aim of pushing quantification beyond abstracted notions of relative importance. By exploring cereals as calorie providers and using this factor to consider the relative importance of these crops in terms of the daily diet of the people using them, it indicates that different cereals may have been chosen partially for their calorific value. It has also highlighted the likelihood

that other aspects such as reliability of cropping and ability to adapt to an unstable environment were also important. For millets, these could have included their drought tolerance, low investment in terms of time and labour, their role in diversifying the economy and as part of risk management strategies (see Petrie and Bates in press, and Bates in prep.). We reiterate that this approach is not meant to replace traditional examinations of density, ubiquity and frequency but should instead be used to enhance the interpretations that can be made from archaeobotanical data. Flotation is becoming more prevalent during excavations at Indus settlements, and as a result, an abundance of data is likely to be collected in future years. Indus archaeobotany therefore needs to push its own boundaries to gain the most out of this new data, by questioning the methods used and what they can tell us about the daily lives and choices of Indus populations. New and even experimental ways of looking at the data are needed, taking into account issues of use, choice and value, in addition to basic quantification to look at intensity, frequency of use and relative importance. Future avenues of research investigating calories, approaches to multicropping to renew the soil nitrogen and mineral contents, cooking techniques, and notions of local values and tastes are all important ways forward. By looking into such areas, we can move beyond simple numbers and ground our data in the behaviour of people in the past.



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