CLINICAL REVIEW



Genetics and epigenetics in the pathogenesis of primary biliary cholangitis

Satoru Joshita^{1,2} · Takeji Umemura^{1,2} · Eiji Tanaka¹ · Masao Ota¹

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Abstract

Primary biliary cholangitis (PBC) is a chronic, slowly progressive cholestatic autoimmune liver disease predominantly afflicting women. PBC is characterized by the presence of disease-specific antimitochondrial antibodies and the histological destruction of intrahepatic bile ducts, which eventually lead to cirrhosis and hepatic failure. Fortunately, ursodeoxycholic acid therapy has improved the outcome of the vast majority of PBC cases. Although the etiology of PBC has not yet been elucidated, human leukocyte antigen (HLA) class II alleles have been consistently associated with disease onset for decades. PBC patients may also have genetically determined risk factors in non-HLA regions. Meanwhile, exposure to environmental factors, such as infectious diseases and harmful chemicals, can produce epigenetic alterations in some individuals and subsequent PBC onset. In this review, we describe the influence of HLA alleles and other gene polymorphisms on PBC along with the results of genome-wide association studies on this disease and its future prospects in terms of epigenetics.

Keywords Primary biliary cholangitis · Human leukocyte antigen · Genome-wide association study · Interleukin 12 signaling pathway

Introduction

Primary biliary cholangitis (PBC) is a chronic and slowly progressive liver-specific autoimmune disease characterized by female preponderance and destruction of intrahepatic bile ducts that eventually leads to cirrhosis and hepatic failure [1–4]. The prevalence of PBC ranges from 20–40 cases per 100,000 individuals [2, 3, 5], although the number of patients with PBC, specifically asymptomatic PBC, is on the rise owing mainly to increased awareness and earlier

detection by disease-specific antimitochondrial antibodies. The prognosis of patients with PBC has improved greatly over the past two decades thanks to earlier diagnosis and the widespread use of ursodeoxycholic acid (UDCA), which is currently the most effective treatment for PBC [4, 6] according to most guidelines [7, 8]. The terminology of PBC was changed from primary biliary cirrhosis to more accurately reflect the disorder and its natural history [9–13]. However, some cases still exhibit disease progression via completely unknown mechanisms.

The precise cause of PBC is unclear, but as with other autoimmune diseases, is likely related to both genetic susceptibility and environmental factors. Genetic factors are considered to play a prominent role in disease onset as higher concordance rates in monozygotic twins than in dizygotic twins and familial clustering of patients with PBC have been demonstrated in numerous family and population studies [14–19]. PBC is therefore presumed to be a multifactorial polygenic condition caused by allelic triggers and environmental influences in genetically susceptible individuals along with other epigenetic mechanisms [20, 21].

In the present review, we summarize the literature on (1) human leukocyte antigen (HLA) involvement in PBC onset, (2) genome-wide association study (GWAS) findings from

Masao Ota otamasao@shinshu-u.ac.jp

> Takeji Umemura tumemura@shinshu-u.ac.jp

Eiji Tanaka etanaka@shinshu-u.ac.jp

- Department of Medicine, Division of Gastroenterology and Hepatology, Shinshu University School of Medicine, 3-1-1 Asahi, Matsumoto, Nagano 390-8621, Japan
- Research Center for Next Generation Medicine, Shinshu University, Matsumoto, Japan



Satoru Joshita joshita@shinshu-u.ac.jp

North American, European, Japanese, and Chinese populations, and (3) epigenetics to explore the disease pathways involved in PBC pathogenesis.

Microsatellites and single nucleotide polymorphisms (SNPs)

Microsatellites ranging in length from 2- to 13-base-pair nucleotides are subcategories of typically 5-50 tandem repeats that make up genomic repetitive regions. Tandem repeats are evolutionarily relevant due to their instability since they mutate at rates between 10³ and 10⁶ per cell generation, i.e., up to 10 orders of magnitude greater than point mutations [22]. Microsatellites are used in genetic linkage analyses to locate genes or mutations responsible for a wide variety of diseases. Several reports have described an association between microsatellites and PBC susceptibility [23–26]. However, there are differences between the candidate genes indicated by microsatellite markers and those reported by SNP-based studies or by GWASs, which is likely attributable the intermarker distances among microsatellites that contain new or previously unidentified genes responsible for the pathogenesis of PBC.

The modern unit of genetic variation is now the SNP. SNPs are single base-pair changes in the DNA sequence that occur at high frequencies in the human genome [27]. SNPs may fall within the coding sequences of genes, noncoding regions of genes, or in intergenic regions. Although a single SNP may cause a Mendelian disease, SNPs do not usually function individually and work in coordination with other SNPs to produce clinical manifestations in complex diseases. In this aspect, association studies can determine whether a genetic variant is associated with PBC or not. To date, many significant susceptibility SNPs, such as *CTLA4*, *TNF-a*, *STAT4*, *PTPN22*, *VDR*, *Clec16A*, *SOCS1*, and *SPIB*, have been identified over the past two decades using candidate gene methods [28–33].

Associations between HLA and PBC susceptibility

Among the SNPs previously reported to be associated with susceptibility to PBC, only *HLA* has been consistently linked to the disease in distinct patient cohorts across ethnicities as depicted by many significant dots on genome-wide Manhattan plots of GWASs [34–43].

The HLA complex on chromosomal position 6p21 contains the most polymorphic genes in the human genome [44]. HLA genes play an essential role in numerous infectious and autoimmune diseases, such as autoimmune hepatitis as previously reviewed [45]. The products of the classical HLA

class I (*A*, *B*, and *C*) and class II (*DR*, *DQ*, and *DP*) genes include cell-surface glycoproteins involved in the binding and presentation of self or non-self peptides to T-cell receptors. Class I molecules are recognized by CD8⁺ cytotoxic T cells presenting endogenous peptides, while class II molecules are recognized by CD4⁺ helper T cells presenting processed peptides from exogenous pathogens. The extent of endogenous and exogenous peptide binding to HLA molecules depends on allelic polymorphisms. Additionally, HLA class I and II molecules have functional roles in protein interactions, transcription regulation involved in the inflammatory response, and natural killer cell-cytokine interactions as part of acquired and innate immunities.

HLA polymorphisms have been extensively studied in immune-mediated diseases to reveal associations among specific alleles and ankylosing spondylitis, Behçet's disease, psoriasis, multiple sclerosis, insulin-dependent diabetes mellitus, systemic lupus erythematosus, inflammatory bowel disease, rheumatoid arthritis, narcolepsy, autoimmune hepatitis, and autoimmune pancreatitis among others. Early investigations on associations between HLA polymorphisms and PBC were carried out >25 years ago [46]. Based on their findings, subsequent cumulative studies have provided evidence that PBC is associated with DRB1*08 as a predisposing allele and DRB1*11 and DRB1*13 as protective alleles [47, 48]. Li et al. conducted a meta-analysis to assess for relationships between HLA class II and disease susceptibility to PBC and demonstrated that HLA DR*07 and *08 alleles were risk factors for PBC in certain populations, while DR*11, *12, *13, and *15 alleles were protective factors [49].

Five key reports [47, 50–53] on the association between HLA haplotype and PBC susceptibility or resistance are summarized in Table 1. It was noteworthy that HLA DR*08 alleles were related to disease susceptibility and HLA DRB1*11 and *13 alleles conferred disease protection in haplotype analyses across all ethnicities apart from a Sardinian population [52]. Four major differences were indicated to have functional importance in the amino acid residues encoded by the different associated alleles, including the encoding of glycine-13, tyrosine-16, and leucine-74 by HLA DRB1*0801 versus that of serine-13 and phenylalanine-47 by most HLA DRB1*11 and HLA DRB1*13 alleles, respectively [47]. Moreover, HLA DRB1*11 alleles have been identified in several infectious diseases, such as hepatitis C virus [54], human papilloma virus [55], and human immunodeficiency virus [56], while HLA DRB1*13 alleles have been associated with hepatitis B virus [57] and human papilloma virus [58]. Molecular mimicry has been widely suspected to initiate autoimmunity in patients with PBC. Since protective HLA class II alleles also play a functional role in blocking virus infection, one of the pathogenic mechanisms in PBC may be viral insult. Retrovirus infection was reportedly



Table 1 HLA haplotype associations with PBC

Study	Year	Population	PBC patients	Controls	HLA allele	OR (95% CI)	<i>p</i> -value
Susceptibility							
Donaldson et al. [47]	2006	UK	412	236	DRB1*08:01-DQA1*04*01- DQB1*04:02	2.90 (1.45–5.82)	0.0027
		Italian	80	95	DRB1*08:01-DQA1*04*01- DQB1*04:02	3.41 (1.36–8.52)	0.0086
Umemura et al. [50]	2012	Japanese	229	523	DRB1*08:03-DQB1*06:01	2.22 (1.53-3.20)	0.000025
					DRB1*04:05-DQB1*04:01	1.38 (10.2–1.87)	0.044
Zhao et al. [51]	2014	Chinese	145	500	DRB1*08:03-DQB1*06:01	3.17 (1.91-5.23)	< 0.0001
					DRB1*07:01-DQB1*02:02	1.85 (1.20–2.83)	0.005
Clemente et al. [52]	2017	Sardinian	20	89	DRB1*03:01-DQB1*02:01	3.02 (1.51-6.05)	< 0.05
Yasunami et al. [53]	2017	Japanese	1199	1193	DRB1*08:03-DQB1*06:01	1.77 (1.43–2.18)	7.82×10^{-8}
					DRB1*14:05-DQB1*04:01	1.49 (1.24–1.79)	01.44×10^{-5}
Protective							
Donaldson et al. [47]	2006	Italian	21	46	DRB1*11:01-DQA1*05:01- DQB1*03:01	0.47 (0.27–0.83)	0.086
		Italian	3	12	DRB1*13:01-DQA1*01:03- DQB1*06:03	0.28 (0.08–0.95)	0.0041
Umemura et al. [50]	2012	Japanese	229	523	DRB1*13:02-DQB1*06:04	0.27 (0.12-0.60)	0.00093
					DRB1*11:01-DQB1*03:01	0.37 (0.15-0.88)	0.03
Zhao et al. [51]	2014	Chinese	145	500	DRB1*12:02-DQB1*03:01	0.43 (0.22-0.86)	0.015
Yasunami et al. [53]	2017	Japanese	1199	1193	DRB1*13:02-DQB1*06:04	0.19 (0.13-0.27)	1.84×10^{-22}
					DRB1*14:03-DQB1*03:01	0.21 (0.09-0.49)	5.1×10^{-5}
					not (DRB1*14:03)-DQB1*03:01	0.54 (0.43-0.68)	8.54×10^{-8}

OR odds ratio, CI confidence interval

associated with PBC, although these findings have not yet been replicated [59]. Lastly, it should be noted that individuals harboring the above haplotypes constitute only a minority of patients with PBC, suggesting that other candidate genes and environmental factors evoke PBC pathogenesis as well.

GWASs on PBC

A gene-specific, candidate-driven approach that specifically tests a small number of pre-specified genetic regions has limitations in finding pathogenic genes of such multi-factorial diseases as PBC. GWASs typically focus on associations between SNPs and traits like major human diseases in investigations of the entire genome. There have been extensive GWASs aiming to clarify genetic susceptibility in patients with PBC. To date, seven GWASs [34–38, 42, 43], two Illumina immunochip studies [39, 40], and one genome-wide meta-analysis (GWMA) [41] on PBC have been documented in well-characterized cohorts in North American, European, Japanese, and recently Chinese populations (Table 2). All studies confirmed that the HLA class II domain showed the strongest association with PBC susceptibility, particularly at the *HLA-DRB1*, *-DQA1*, and *-DQB1* loci, and indicated the

involvement of HLA class II polymorphisms in the pathogenesis of PBC across ethnicities. However, HLA alone does not explain the entire genetic predisposition to PBC, mainly since 80–90% of patients with the disease do not carry the most common HLA susceptibility alleles. In this regard, other genes apart from HLA loci are suggested to contribute to disease development. At present, GWASs have identified 44 non-HLA PBC predisposition loci at a genome-wide level of significance (Table 3).

The first GWAS [34] in a North American cohort revealed a significant association between PBC and genetic variants at interleukin (IL)12A and IL12RB2, respectively encoding IL-12 p35 and IL-12 receptor β2. The second GWAS [36] confirmed the existence of additional risk loci, including interferon regulatory factor 5 (IRF5), transportin 3 (TNPO3), and transcription factor Spi-B (SPIB) encoding a transcription factor involved in B-cell receptor signaling and T-cell lineage decisions. A subsequent GWAS from Japan showed that tumor necrosis factor superfamily, member 15 (TNFSF15) and POU domain class 2-associating factor 1 (POU2AF1) genes also constituted novel risk loci in Japanese patients with PBC, and a recent GWAS of a Han Chinese population found the highest association was between TNFSF15 and PBC. The *IL12A* and *IL12RB2* loci were not significantly



Table 2 GWASs on PBC

Study	Year	Platform	Population	Total patients	Controls	GWAS patients	Controls	Validation patients	Controls
Hirschfield et al. [34]	2009	Illumina HumanHap 370	Caucasian from North America	1031	2713	505	1507	526	1206
Hirschfield et al. [35]	2010	Illumina HumanHap 370	European descent	1351	4700	494	1502	857	3198
Liu et al. [36]	2010	Illumina 610 K	Italian	945	4651				
Mells et al. [37]	2011	Illumina 660 W-Quad	UK, Canada	1840	5163	1840	5163	620	2514
Nakamura et al. [38]	2012	Affymetrix Axiom	Japanese	1274	1091	487	476	787	615
Juran et al. [39]	2012	Immunochip	European descent from Canada, USA, and Italy	2216	5594				
Liu et al. [40]	2012	Immunochip	UK	2861	8514				
Cordell et al. [41]	2015	GWMA	Canada, USA, Italy, UK	2764	10,475	2764	10,475	3716	4261
Kawashima et al. [42]	2017	Affymetrix Axiom	Japanese	894	1029	894	1029	512	6512
Qiu et al. [43]	2017	Illumina HumanOm- niZhongHua-8	Han Chinese	1122	4036	1122	4036	907	2127

Hirschfield et al. study [35] used 494 patients and 1502 controls included in their previous study [34]. Liu et al. [40] study used 1,838 patients and 2356 controls included in their previous PBC GWAS [37]. Kawashima et al. study combined data from a previous GWAS data [38]

associated with PBC in the Asian cohorts. This discrepancy among ethnicities indicated important differences in the pathogenesis of PBC despite several common key molecules and pathways, such as the IL-12 pathway to induce Th1 polarization of CD4⁺ T cells. TNFSF15 interacts with death receptor 3 (DR3) not only to promote T-cell expansion, but also to induce apoptosis in cells that overexpress DR3, which can synergistically interact with IL-12 and IL-18 to promote interferon-gamma production [60]. Thus, the IL-12 signaling pathway appears to be a key player in the pathogenesis of PBC worldwide. To support this hypothesis, *STAT4*, which factors prominently in IL-12 signal transduction polymorphisms, was associated with susceptibility in Japanese and Han Chinese PBC patients [38, 43].

The IL-12 pathway has also been associated with animal models of PBC and a pediatric case of a congenital IL-12 deficiency that developed PBC [61]. Although antibodies or drugs targeting the IL-12 pathway would seem to be effective, clinical trials using ustekinumab, a human monoclonal antibody directed against IL-12 and IL-23, failed to produce clinical effects in phase II trials [62]. One reason explaining this disconnection between GWAS and clinical testing results may be that clinicians typically encounter patients who have already become complicated with cholestasis; in fact, the immunological destruction of cholangiocytes occurs in the very early stages of PBC. Accordingly, the mechanisms of disease progression should also be addressed to halt the deterioration of disease status and afford PBC patients an improved

prognosis. We are now at the next stage of how genetic findings can be translated into the clinical setting.

It is particularly interesting that many genes implicated in PBC pathogenesis by GWASs have also been reported in other immune-mediated disorders, such as systemic lupus erythematosus, systemic sclerosis, and Sjögren's syndrome (Table 3), suggesting genetic overlap among autoimmune disorders and the existence of substantial pleiotropy, i.e., one gene leading to two or more seemingly unrelated disease phenotypes. Therefore, on a larger scale, understanding the mechanisms involved in the onset and progression of certain other autoimmune diseases may correspondingly shed light on those in PBC.

Lastly, it is noteworthy that Juran et al. identified risk-conferring epistatic interactions between IL12RB2 and IRF5 loci [39] as well as between CTLA4 and $TNF\alpha$ loci [63] in the pre-GWAS era. Epistatic interactions between genes in the pathogenesis of PBC revealed by GWASs also merit exploration in future studies.

Epigenetics on PBC

Epigenetics is the study of stable heritable changes in gene function that do not involve alterations in DNA sequence. Several molecular mechanisms are involved in epigenetic modification, including DNA methylation, histone modification, and modification via noncoding RNA. Although GWASs have identified several candidate genes implicated in PBC pathogenesis, they are thought to explain <20% of



Table 3 Non-HLA risk loci identified through GWASs to be associated with PBC at the genome-wide level of significance

Chromosome	Locus	Study (reference#)	SNP	OR	p value	Candidate gene(s)	Disease(s) with shared risk loci
1	1p13	[43]	rs2300747	1.30	4.54E-08	CD58	MS, neuromyelitis
	1p31	[40]	rs72678531	1.61	2.47E-38	IL12RB2	BD, MS
	1p36	[35]	rs3748816	1.33	3.15E-08	MMEL1	MS
	1q31	[37]	rs12134279	1.34	2.06E-14	DENND1B	CD
2	2q12	[41]	rs12712133	1.14	5.19E-09	IL1RL1, IL1RL2,	
	2q32	[37]	rs10931468	1.50	2.35E-19	STAT4, STAT1	RA, SLE, SS, IBD, SSc, BD
	2q32	[43]	rs10168266	1.31	5.82E-08	STAT4, STAT1	RA, SLE, SS, IBD, SSc, BD
	2q33	[43]	rs4675369	1.37	6.56E-11	CD28, CRLA4, ICOS	
	2q33	[43]	rs7599230	1.37	6.56E-11	CD28, CRLA4, ICOS	
	2q36	[41]	rs4973341	1.22	2.34E-10	CCL20	
3	3p24	[37]	rs1372072	1.20	2.28E-08	PLCL2	RA
	3q13	[40]	rs2293370	1.39	6.84E-16	CD80	MS, SLE, celiac
	3q25	[40]	rs2366643	1.35	3.92E-22	IL12A	Celiac
4	4p16	[41]	rs11724804	1.22	9.01E-12	DGKQ	
	4q24	[37]	rs7665090	1.26	8.48E-14	NFKB1	UC
5	5p13	[40]	rs6871748	1.30	2.26E-13	IL7R	MS, UC
	5p13	[42]	rs6897932	1.52	5.72E-09	IL7R	MS, UC
	5q21	[41]	rs526231	1.15	1.14E-08	C5orf30	
	5q33	[41]	rs2546890	1.15	1.06E-10	IL12B, LOC285626	Proriasis
6	6q23	[41]	rs6933404	1.18	1.27E-10	OLIG3, TNFAIP3	RA
	7p14	[37]	rs6974491	1.25	4.44E-08	ELMO1	RA, celiac
	7q32	[40]	rs35188261	1.52	6.52E-22	IRF5	RA, SLE, SSc, UC
9	9p32	[38] [42]	rs4979462	1.57	1.85E-14	TNFSF15	UC, CD
	9p32	[43]	rs4979467	1.40	8.28E-12	TNFSF15, TNFSF8	UC, CD
11	11q13	[37]	rs538147	1.23	2.06E-10	RPS6KA4	IBD
	11q23	[38] [42]	rs4938534	1.38	3.27E-08	POU2AF1	
	11q23	[43]	rs77871618	1.55	9.12E-14	CXCR5, DDX6	RA, IBD, celiac
	11q23	[40]	rs80065107	1.39	7.20E-16	CXCR5, DDX6	RA, IBD, celiac
	11q24	[42]	rs12574073	1.33	1.09E-07	ETS1	AS
12	12p13	[40]	rs1800693	1.27	1.18E-14	TNFRSF1A, LTBR	MS
	12q24	[40]	rs11065979	1.20	2.87E-09	SH2B3	RA, T1DM, hyperthyroidism, celiac
13	13q14	[39] [40]	rs3862738	1.33	2.18E-08	TNFSF11	CD
14	14q24	[40]	rs911263	1.26	9.95E-11	RAD51B	RA
	14q32	[37]	rs8017161	1.22	2.61E-13	TNFAIP2	
16	16p12	[42]	rs3785396	1.35	7.10E-08	PRKCB	
	16p13	[40]	rs12708715	1.29	2.19E-13	CLEC16A, SOCS1	MS, UC, T1DM
	16q24	[37]	rs11117432	1.31	4.66E-11	IRF8	MS, IBD, RA, SSc
17	17q12	[40]	rs17564829	1.26	6.05E-14	IKZF3	UC, CD, RA, T1DM
	17q12	[42]	rs9303277	1.43	8.37E-11	IKZF3	UC, CD, RA, T1DM
	17q12	[43]	rs9635726	1.38	6.42E-11	Multiple genes	
	17q21	[40]	rs17564829	1.25	2.15E-09	MAPT	
19	19p12	[40]	rs34536443	1.91	1.23E-12	TYK2	IBD, RA, SLE, psoriasis, T1DM
	19q13	[36]	rs3745516	1.46	7.97E-11	SPIB	
22	22q13	[40]	rs2267407	1.29	1.29E-13	SYNGR1	

OR odds ratio, MS multiple sclerosis, BD Behçet's disease, CD Crohn's disease, RA rheumatoid arthritis, SLE systemic lupus erythematosus, SS Sjögren's syndrome, IBD inflammatory bowel disease, SSc systemic sclerosis, UC ulcerative colitis, AS ankylosing spondylitis, T1DM type 1 diabetes mellitus



heritability in PBC; indeed, a relatively low concordance of PBC was found in an earlier study of monozygotic and dizygotic twins [19]. Epigenetics may also have an important role in symptoms, disease progress rate, biochemical response to UDCA therapy, and other disease phenotypes, implying that patients at genetic risk for PBC may manifest the disease via epigenetic factors as well. Case-control studies have identified potential exposure sources in PBC, such as urinary tract infection, smoking and cigarette smoke, and exogenous estrogens [15]. How these factors are molecularly involved in PBC onset remains unclear. Elsewhere, it was reported that X-chromosome gene expression instability might also participate in female predominance in the disease [64, 65], and that monozygotic twins and sisters discordant for PBC exhibited particular epigenetic differences [66]. These collectively support the notion that epigenetic factors influence the onset of PBC. Epigenetics has numerous and varied potential medical applications and may be able to uncover novel pathways for therapeutic discovery and intervention in PBC.

Conclusions and future directions

The pathogenesis of PBC is incompletely understood but appears to involve genetic susceptibility and resistance alleles in HLA and other gene loci, with a possible overlap with several autoimmune diseases. It is also probable that genetically susceptible individuals develop PBC following exposure to environmental factors that trigger DNA methylation, histone modification, modification via noncoding RNA, and other epigenetic modifications. Consequently, both adaptive and innate immune responses result in portal inflammation and bile duct epithelial damage. In addition to susceptibility, the precise mechanisms of PBC progression should be addressed to improve patient prognosis and quality of life.

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Compliance with ethical standards

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Human/animal rights: This study does not include any data on human subjects.

Informed Consent: This study does not involve human subjects and does not require informed consent.



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