ORIGINAL RESEARCH



Economic and Clinical Burden of Managing Sickle Cell Disease with Recurrent Vaso-Occlusive Crises in the United States

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ABSTRACT

Introduction: The aim of this study was to describe the clinical complications, treatment use, healthcare resource utilization (HCRU), and costs among patients with sickle cell disease (SCD) with recurrent vaso-occlusive crises (VOCs) in the US.

Methods: Merative MarketScan Databases were used to identify patients with SCD with recurrent VOCs from March 1, 2010, to March 1, 2019. Inclusion criteria were ≥ 1 inpatient or ≥ 2 outpatient claims for SCD and ≥ 2 VOCs per year in any 2 consecutive years after the first qualifying SCD diagnosis. Individuals without SCD in these databases were used as matched

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B. Andemariam (⊠) Division of Hematology-Oncology, University of Connecticut Health, 263 Farmington Avenue, Farmington, CT 06030, USA e-mail: andemariam@uchc.edu controls. Patients were followed for ≥ 12 months, from their second VOC in the 2nd year (index date) to the earliest of inpatient death, end of continuous enrollment in medical/pharmacy benefits, or March 1, 2020. Outcomes were assessed during follow-up.

Results: In total, 3420 patients with SCD with recurrent VOCs and 16,722 matched controls were identified. Patients with SCD with recurrent VOCs had a mean of 5.0 VOCs (standard deviation [SD] = 6.0), 2.7 inpatient admissions (SD 2.9), and 5.0 emergency department visits (SD 8.0) per patient per year during follow-up. Compared to matched controls, patients with SCD with recurrent VOCs incurred higher annual (\$67,282 vs. \$4134) and lifetime (\$3.8 million vs. \$229,000 over 50 years) healthcare costs.

Conclusion: Patients with SCD with recurrent VOCs experience substantial clinical and economic burden driven by inpatient costs and frequent VOCs. There is a major unmet need for treatments that alleviate or eliminate clinical complications, including VOCs, and reduce healthcare costs in this patient population.

Keywords: Clinical decision-making; Costs and cost analysis; Healthcare costs; Healthcare economics and organizations; Medicaid; Sickle cell disease; Vaso-occlusive crisis

Key Summary Points

Why carry out this study?

Sickle cell disease (SCD) is a hereditary hemoglobinopathy associated with substantial clinical complications, including recurrent and painful vasoocclusive crises (VOCs) as well as high healthcare resource utilization (HCRU)

This real-world administrative claimsbased analysis represents a novel and necessary assessment of clinical and economic outcomes in the vulnerable subset of patients with SCD with recurrent VOCs

What was learned from this study?

Patients with SCD with recurrent VOCs experienced a mean of 5.0 VOCs (standard deviation [SD] = 6.0), 2.7 inpatient admissions (SD 2.9), and 5.0 emergency department visits (SD 8.0) per patient per year

Compared to matched controls, patients with SCD with recurrent VOCs had significantly higher annual (\$67,282 vs. \$4134) and lifetime (\$3.8 million vs. \$229,000 over 50 years) healthcare costs, highlighting the need for cost-effective therapies that reduce clinical complications in this patient population

INTRODUCTION

Sickle cell disease (SCD) is a hereditary hemoglobinopathy impacting ~ 100,000 individuals in the US [1, 2]. SCD is caused by a point mutation in the gene that encodes β -globin (*HBB*) [3]. This mutation leads to the production of abnormal or sickled hemoglobin, which polymerizes in its deoxygenated form to promote a cycle of cellular adhesion, vaso-occlusion, endothelial dysfunction, and inflammation

[4, 5]. As a result of vaso-occlusion, patients with SCD experience recurrent vaso-occlusive crises (VOCs) [5, 6], the hallmark clinical feature of SCD that contributes to the development of chronic pain, multi-organ failure, and early mortality [5–7]. Patients with SCD also experience other serious clinical complications, including anemia, avascular necrosis, retinopathy, infection, cardiopulmonary complications, frequent hospitalizations, and poor health-related quality of life [5, 6]. Most available treatment options reduce the severity of these clinical complications but do not eliminate them [5, 6]. Currently, the only curative option is allogeneic hematopoietic stem cell transplantation; however, given the stringent requirements for donors and recipients, only a small subset of patients are eligible to receive this treatment [5, 6].

In the US, 90% of adults with SCD report having ≥ 1 severe pain event in the past 12 months [8], and up to 67% have ≥ 3 VOCs per year [9]. VOCs are the most common cause of healthcare resource utilization (HCRU) among patients with SCD, contributing to 78% of their emergency department visits and 95% of their inpatient hospital admissions [10, 11]. Moreover, suboptimal management of VOCs during an initial hospitalization is associated with frequent readmission, often as early as 1 week post-discharge [11].

Previous studies have established that VOCs lead to substantial clinical and economic burden for patients with SCD. However, these studies have largely focused on the overall patient population with SCD [12, 13] or conducted secondary subgroup analyses on patients with specific numbers of VOCs [12, 14, 15]. As a result, data on the clinical complications, treatment use, HCRU, and costs are limited in patients with SCD with recurrent VOCs (defined as ≥ 2 VOCs per year for 2 consecutive years). Given that recurrent VOCs are associated with increased disease severity and premature mortality [16], there is a need to better understand how to optimize care for this more vulnerable subgroup of patients. Furthermore, previous studies have used a relatively narrow definition of "VOC," which could have led to potential underestimation of costs in patients with SCD

with recurrent VOCs. Use of a broader, composite definition of "VOC" (i.e., SCD with crisis, priapism, splenic sequestration, and acute chest syndrome) to identify and characterize patients with SCD has not been done before in administrative claims-based analyses and could enable a more comprehensive depiction of clinical and economic outcomes in this population. An unmet need to improve care for this subgroup of patients with recurrent VOCs remains. This retrospective real-world analysis aimed to address the limitations of the previous studies by identifying patients with SCD with recurrent VOCs and describing the economic and clinical burden associated with managing their recurrent VOCs in the US.

METHODS

Study Design and Data Source

The Merative MarketScan Commercial, Medicare Supplemental, and Multi-State Medicaid Databases were used to identify patients with SCD with recurrent VOCs between March 1, 2010, and March 1, 2019. The full study period was from March 1, 2010, to March 1, 2020. During this time, inpatient medical, outpatient medical, and outpatient pharmacy data were available for ~ 89 million individuals and their dependents with commercial insurance coverage, ~ 4.6 million individuals with Medicare Supplemental coverage, and ~ 20 million individuals enrolled in Medicaid. Because these databases use only de-identified data, institutional review board approval was not required for this study.

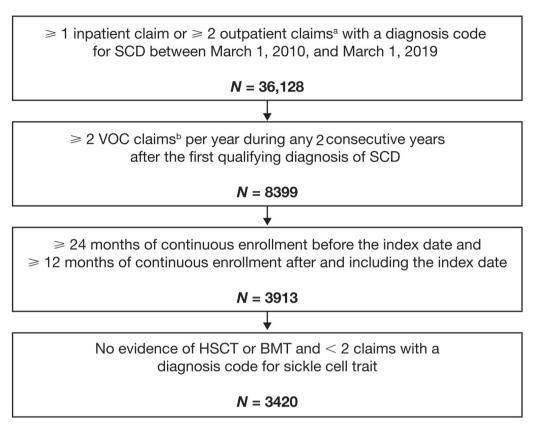
Study Population

Patients of any age were eligible for inclusion if they either had ≥ 1 non-diagnostic inpatient claim or ≥ 2 non-diagnostic outpatient claims (service dates within 365 days of each other) with a diagnosis code for SCD between March 1, 2010, and March 1, 2019 (Fig. 1). Evidence of SCD with recurrent VOCs was based on the documentation of (1) SCD and (2) ≥ 2 VOCs per year in any 2 consecutive years after the date of the first qualifying diagnosis code for SCD. The index date was the date of the second VOC in the second of the 2 consecutive years. A VOC was defined as an inpatient or emergency department claim with a diagnosis code for acute chest syndrome, priapism, SCD with crisis, or splenic sequestration associated with SCD, and an accompanying Current Procedural Terminology (CPT) code for physician evaluation and management. VOCs were considered discrete events if they occurred > 3 days apart from each other [12]. A minimum of 24 months of continuous enrollment before the index date and > 12 months of continuous enrollment after and including the index date were required to be eligible for inclusion. Patients were excluded if they had ≥ 2 inpatient or outpatient claims with a diagnosis code for sickle cell trait or evidence of having received a hematopoietic stem cell transplant during the baseline or follow-up. All patients were followed for ≥ 12 months, beginning on the index date and ending on the earliest date of either inpatient death, end of continuous enrollment, or end of the study period (March 1, 2020).

To provide context around SCD-related healthcare costs, we matched each patient with SCD with recurrent VOCs to up to five individuals in the Merative MarketScan Databases without claims for SCD or other non-malignant blood disorders (e.g., anemia, β-thalassemia, etc.) on age, sex, geographic region, payer type, and amount of follow-up data. The index dates of controls in each database were randomly assigned based on the distribution of index dates of patients with SCD. Like patients with SCD, matched controls were required to have ≥ 24 months of continuous enrollment with medical and pharmacy benefits before the index date and > 12 months of continuous enrollment with medical and pharmacy benefits after and including the index date to be eligible for inclusion.

Study Outcomes

Demographics, including age, sex, race, geographic region, and payer type, were assessed at



Patients in the Merative MarketScan Databases with:

Fig. 1 Patient attrition. ^aClaims must have occurred within 365 days of each other. Only non-diagnostic claims were included; non-diagnostic claims are medical claims in which diagnosis codes reflect confirmed diagnoses rather than suspected diagnoses reported to justify diagnostic tests

the index date. Clinical (i.e., clinical complications and treatment use) and economic outcomes (i.e., HCRU and costs) were assessed during the variable-length follow-up.

Clinical complications were identified using non-diagnostic claims that contained diagnosis codes for complications of interest. Treatment use was determined by the presence of ≥ 1 medical or pharmacy claim for folic acid, hydroxyurea, iron chelation therapy (ICT), pain medications, and penicillin during the variablelength follow-up. The total number of claims for each treatment was also recorded. Only clinical complications and treatment use documented in > 10% of the overall cohort are reported here. or procedures. ^bVOC claims were considered discrete events if they occurred \geq 3 days apart from each other. *BMT* bone marrow transplant; *HSCT* hematopoietic stem cell transplant; *SCD* sickle cell disease; *VOC* vaso-occlusive crisis

Annual HCRU included inpatient admissions, outpatient visits/encounters, and outpaprescriptions. Outpatient visits/ tient encounters included emergency department visits, physician office visits, laboratory encounters, and other service visits/encounters. Healthcare costs were based on paid amounts of adjudicated claims, including insurer and health plan payments as well as patient costsharing in the form of copayment, deductible, and coinsurance [17, 18].

Costs were reported per patient per year (PPPY) and adjusted for inflation to 2020 US medical prices using the Medical Care Component of the Consumer Price Index [19]. Lifetime healthcare costs were evaluated in pre-specified

$\textbf{Table 1} \ \text{Demographics and payer types}^a$

	Patients with SCD with recurrent VOCs $(N = 3420)$	Matched controls $(N = 16,722)$	
Age (years), mean (SD) [range]	19.1 (12.8) [2–67]	19.1 (14.2) [0-87]	
Age category (years), n (%)			
0 to 11	1065 (31.1)	5538 (33.1)	
12 to 35	1963 (57.4)	9224 (55.2)	
≥ 36	392 (11.5)	1960 (11.7)	
Sex, n (%)			
Female	1764 (51.6)	8814 (52.7)	
Male	1656 (48.4)	7908 (47.3)	
Race, $n (\%)^{b,c}$			
Black	1991 (72.7)	4632 (34.8)	
Other/unknown	693 (25.3)	1102 (8.3)	
White	27 (1.0)	6426 (48.3)	
Hispanic	22 (0.8)	899 (6.8)	
American Indian or Alaska Native	2 (0.1)	135 (1.0)	
Asian or Pacific Islander	2 (0.1)	115 (0.9)	
US geographic region, $n \ (\%)^{d}$			
South	401 (58.7)	2002 (58.7)	
North Central/unknown	121 (17.7)	607 (17.8)	
Northeast	119 (17.4)	594 (17.4)	
West	42 (6.1)	210 (6.2)	
Payer, <i>n</i> (%)			
Medicaid	2737 (80.0)	13,309 (79.6)	
Commercial	679 (19.9)	3393 (20.3)	
Medicare	4 (0.1)	20 (0.1)	
Insurance plan type, <i>n</i> (%)			
Comprehensive/indemnity	1346 (39.4)	7223 (43.2)	
НМО	907 (26.5)	6030 (36.1)	
POS/POS with capitation	721 (21.1)	924 (5.5)	
EPO/PPO	379 (11.1)	2067 (12.4)	
CDHP/HDHP	44 (1.3)	368 (2.2)	
Other/unknown	23 (0.7)	110 (0.7)	

Table 1	continued
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	Patients with SCD with recurrent VOCs $(N = 3420)$	Matched controls $(N = 16,722)$
Years of follow-up, mean (SD)	4.2 (2.2)	3.9 (2.0)

CDHP consumer-driven health plan; *EPO* exclusive provider organization; *HDHP* high deductible health plan; *HMO* health maintenance organization; *POS* point of service; *PPO* preferred provider organization; *SCD* sickle cell disease; *SD* standard deviation; *VOC* vaso-occlusive crisis

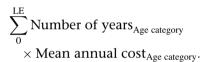
^aData were assessed on the index date

^bRace data were available only for individuals in the Medicaid Database

^cRace was not a matching criterion between groups

^dGeographic data were available only for individuals in the Commercial and Medicare Databases

age categories (0–5 years, 6–10 years, 11–15 years, 16–20 years, 21–25 years, 26–30 years, 31–35 years, 36–40 years, 41–50 years, and \geq 51 years) and through patients' estimated life expectancies (LEs) as follows:



Lifetime healthcare costs were calculated with the assumption that a patient lived to 50 years of age and were not adjusted for expected mortality over time.

Statistical Analysis

Descriptive statistics were used to summarize the demographics of patients with SCD with recurrent VOCs as well as their clinical complications, treatment use, HCRU, and healthcare costs. Comparative analyses were also performed to evaluate differences in HCRU and costs between patients with SCD with recurrent VOCs and matched controls. T-tests were used to determine the statistical significance of differences for continuous variables, and chisquared tests were used for categorical variables. A *p* value of < 0.05 was considered statistically significant.

Additional descriptive analyses were conducted in pre-specified patient subgroups based on age, payer type, and the mean number of VOCs they experienced per year in the variablelength follow-up. Clinical complications were summarized in patients who experienced < 2 or ≥ 2 VOCs per year during the variable-length follow-up and across three age cohorts (i.e., 0–11 years, 12–35 years, and ≥ 36 years). HCRU and costs were also summarized across more granular categories of VOC frequency (i.e., < 2, 2 to < 4, 4 to < 6, 6 to < 8, 8 to < 10, and ≥ 10 VOCs experienced per year during the variable-length follow-up) and across Medicaid and commercial payer types.

RESULTS

Demographics and Payer Types

Overall, 3420 patients were identified as having SCD with recurrent VOCs and 16,722 individuals identified as matched controls (Fig. 1). Of the 3420 identified patients with SCD with recurrent VOCs, 2310 continued to experience ≥ 2 VOCs per year during the variablelength follow-up (i.e., after the index date). The mean age of the patients was 19.1 years (standard deviation [SD] = 12.8 years; range 2--67 years), approximately half were female (51.6%), and most were included in the Medicaid Database (80.0%). Both groups were balanced on matched characteristics after the matching criteria were applied (Table 1). The

	Patients with SCD with recurrent VOCs (N = 3420)
Clinical complication, $n \ (\%)^{b}$	
Acute infections	2696 (78.8)
Chronic lung disease	1653 (48.3)
Asthma	1553 (45.4)
Mental health complications	1307 (38.2)
Anxiety	872 (25.5)
Depression	960 (28.1)
Cardiovascular complications	1232 (36.0)
Cardiomegaly	947 (27.7)
Heart failure	355 (10.4)
Pulmonary hypertension	417 (12.2)
Bone and joint problems ^c	946 (27.7)
Avascular necrosis/osteonecrosis	920 (26.9)
Chronic pain	908 (26.5)
Iron overload	635 (18.6)
Hypercoagulable state	581 (17.0)
Gallbladder disease ^d	573 (16.8)
Gallstones	531 (15.5)

Table 2 Clinical complications in patients with SCDwith recurrent VOCs^a

SCD sickle cell disease; VOC vaso-occlusive crisis

^aData were assessed during the variable-length follow-up, beginning on the index date and ending on the earliest date of either inpatient death, end of continuous enrollment, or end of the study period (March 1, 2020)

^bClinical complications that occurred in > 10% of the patient population are presented

^cBone/joint complications included avascular necrosis/osteonecrosis and osteoporosis

^dGallbladder disease included chronic cholecystitis, gallstones, and other chronic gallbladder disease

mean duration of follow-up for patients with SCD with recurrent VOCs was 4.2 years (SD 2.2 years).

Clinical Complications and Treatment Use

Overall, patients with SCD with recurrent VOCs had a mean of 5.0 VOCs PPPY (SD 6.0). Considered by payer type, patients in the Medicaid Database had a higher mean number of VOCs PPPY (5.3 [SD 6.2]) than patients in the Commercial Database (4.0 [SD 5.1]). The subgroup of patients who continued to experience ≥ 2 VOCs per year during the variable-length follow-up had a mean of 6.8 VOCs PPPY (SD 6.6). Clinical complications in patients with SCD with recurrent VOCs are described in Table 2. The prevalence of most complications was higher among older patients and those with higher numbers of VOCs during the variablelength follow-up (Supplementary Table 1).

Most patients with SCD with recurrent VOCs had ≥ 1 opioid claim (93.6%); the mean number of opioid claims PPPY was 9.7 (SD 12.9) (Table 3). A large proportion of patients had ≥ 1 hydroxyurea claim (68.0%) during the variablelength follow-up; the mean number of hydroxyurea claims PPPY was 2.6 (SD 3.2). The subgroup of patients who continued to experience ≥ 2 VOCs per year during the variablelength follow-up had a higher number of mean opioid claims PPPY (12.5 [SD 14.1]) than the overall cohort of patients with SCD with recurrent VOCs (Supplementary Table 2).

HCRU and Costs

Patients with SCD with recurrent VOCs had significantly higher HCRU than matched controls across all inpatient and outpatient HCRU variables assessed (Table 4). Compared to matched controls, patients with SCD with recurrent VOCs had a higher mean number of inpatient admissions PPPY (2.7 vs. 0.05; p < 0.0001) and emergency department visits PPPY (5.0 vs. 0.6; p < 0.0001) (Table 4). SCD with recurrent VOCs was also associated with higher total annual healthcare costs PPPY compared to matched controls (\$67,282 vs. \$4134; p < 0.0001); the overall cost differences between the two cohorts were primarily driven by inpatient costs (Fig. 2a). VOC-related costs PPPY comprised

71% of costs among patients with SCD with recurrent VOCs (\$47,663 [SD \$89,292]).

Considered by payer type, patients with SCD with recurrent VOCs in the Medicaid Database had a higher mean number of emergency department visits PPPY than those in the Commercial Database (5.3 [SD 8.2] vs. 4.0 [SD 7.5]) and a higher mean number of outpatient prescriptions PPPY (36.9 [SD 29.3] vs. 27.7 [SD 22.6]) (Supplementary Table 3). In contrast, total annual healthcare costs were higher for patients covered by commercial insurance (\$89,297) than for those covered by Medicaid (\$61,655) (Fig. 2b).

The subgroup of patients who continued to experience > 2 VOCs per year during the variable-length follow-up (n = 2310) had higher total annual healthcare costs than the overall group of patients with SCD with recurrent VOCs (N = 3420); the cost differences between the two groups persisted regardless of payer type (Fig. 2b). Mean annual VOC-related costs were also higher in this subgroup (\$65,361 [SD \$103,303]). Consistent with these findings, total annual healthcare costs in the overall cohort of patients with SCD with recurrent VOCs were higher in patients with higher numbers of VOCs. Patients with < 2 VOCs per year during the variable-length follow-up (n = 1110) had total annual healthcare costs of \$25,138, and patients with ≥ 10 VOCs per year during the variable-length follow-up (n = 418)had total annual healthcare costs of \$177,700 (Fig. 3).

Extrapolation of total annual healthcare cost data suggested that patients with SCD with would incur recurrent VOCs substantial healthcare costs over their lifetimes. By age 50, projected lifetime healthcare costs reached \$3.8 million for all patients with SCD with recurrent VOCs and \$4.6 million for the subgroup of patients who continued to experience ≥ 2 VOCs per year during the variable-length follow-up compared to \$229,000 for matched controls (Fig. 4). Each additional year of life after age 50 added \$102,262 to the total lifetime healthcare cost estimates for patients with SCD with recurrent VOCs, \$129,340 for the

	Patients with SCD with recurrent VOCs (N = 3420)	
Treatment claims, <i>n</i> (%) ^b		
Pain medications	3366 (98.4)	
Opioids ^c	3200 (93.6)	
NSAIDs	3049 (89.2)	
Gabapentin	622 (18.2)	
Folic acid	2432 (71.1)	
Hydroxyurea	2325 (68.0)	
Penicillin	924 (27.0)	
Iron chelation therapy	468 (13.7)	
Treatment claims PPPY, mean (SD) ^b		
Pain medications	13.1 (14.6)	
Opioids ^c	9.7 (12.9)	
NSAIDs	3.0 (3.5)	
Gabapentin	0.3 (1.3)	
Folic acid	2.6 (3.1)	
Hydroxyurea	2.6 (3.2)	
Penicillin	1.1 (3.7)	
Iron chelation therapy	0.6 (3.1)	

NSAID non-steroidal anti-inflammatory drug; PPPY per patient per year; SCD sickle cell disease; SD standard deviation; VOC vaso-occlusive crisis

^aData were assessed during the variable-length follow-up, beginning on the index date and ending on the earliest date of either inpatient death, end of continuous enrollment, or end of the study period (March 1, 2020)

^bData are presented for all patients with SCD with recurrent VOCs

^cOpioids included buprenorphine, dihydrocodeine, fentanyl, hydromorphone, morphine, oxycodone, tramadol, and Tylenol with codeine

Table 3 Treatment patterns in patients with SCD withrecurrent VOCs^a

Annual HCRU	Patients with SCD with recurrent VOCs (N = 3420)	Matched controls (N = 16,722)	p value ^b	Subgroup of patients who continued to experience ≥ 2 VOCs/year (n = 2310)
Inpatient				
Patients with ≥ 1 admission, n (%)	3212 (93.9)	2068 (12.4)	< 0.0001	2250 (97.4)
Inpatient admissions PPPY, mean (SD)	2.7 (2.9)	0.05 (0.2)	< 0.0001	3.6 (3.1)
Total days of hospitalization, mean (SD)	14.7 (20.1)	1.8 (5.4)	< 0.0001	19.3 (22.3)
Outpatient visits/encounters PPPY, mean	n (SD)			
All outpatient ^c	50.2 (48.1)	18.8 (45.6)	< 0.0001	56.7 (51.2)
Emergency department ^d	5.0 (8.0)	0.6 (1.3)	< 0.0001	6.6 (9.3)
Physician office ^e	11.2 (10.3)	3.2 (3.9)	< 0.0001	11.9 (11.6)
Laboratory ^f	9.7 (9.4)	1.7 (3.0)	< 0.0001	10.8 (10.1)
Other ^g	24.2 (35.7)	13.3 (43.8)	< 0.0001	27.4 (37.8)
Outpatient pharmacy				
Prescriptions PPPY, mean (SD)	35.1 (28.3)	11.4 (21.1)	< 0.0001	38.5 (29.6)

Table 4 Annual HCRU of patients with SCD with recurrent VOCs and matched controls^a

HCRU healthcare resource utilization; *PPPY* per patient per year; *SCD* sickle cell disease; *SD* standard deviation; *VOC* vaso-occlusive crisis

^aData were assessed during the variable-length follow-up, beginning on the index date and ending on the earliest date of either inpatient death, end of continuous enrollment, or end of the study period (March 1, 2020)

^bStatistical testing was performed only between the overall population of patients with SCD with recurrent VOCs and matched controls

^cOutpatient visits/encounters were counted by identifying unique visits to a particular healthcare provider type on a particular date

^dEmergency department visits were counted as the number of distinct dates with an emergency department claim

^ePhysician office visits were counted as the number of distinct dates with a visit to a unique healthcare provider type in an office setting

^fLaboratory visits were counted as the number of distinct dates with a visit to a laboratory

^gOther outpatient visits/encounters were counted as the number of distinct dates with a visit to a unique healthcare provider type in a non-office setting (e.g., radiology, outpatient surgery, etc.)

subgroup of patients who continued to experience ≥ 2 VOCs per year during the variablelength follow-up, and \$8253 for matched controls. Lifetime healthcare cost estimates continued to be highest among patients with ≥ 2 VOCs per year during the variable-length follow-up, regardless of payer type, and were also higher among patients covered by commercial insurance than among patients covered by Medicaid (Supplementary Fig. 1).

DISCUSSION

This study used administrative claims data to evaluate the real-world clinical complications, treatment patterns, HCRU, and costs associated

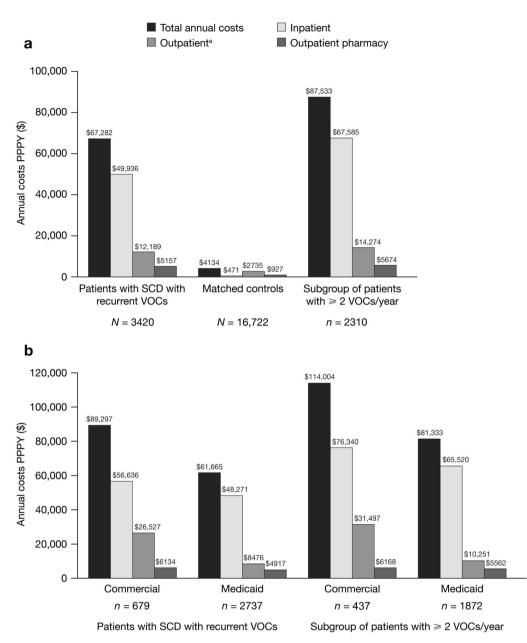
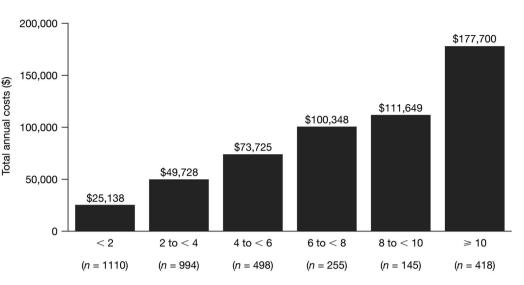


Fig. 2 a Annual healthcare costs for all patients with SCD with recurrent VOCs, the subgroup of patients who continued to experience ≥ 2 VOCs per year during the variable-length follow-up, and matched controls, as well as **b** payer-specific annual healthcare costs for all patients with SCD with recurrent VOCs and the subgroup of

patients who continued to experience ≥ 2 VOCs per year during the variable-length follow-up. ^aOutpatient visits/ encounters included emergency department, physician office, laboratory, and other outpatient visits/encounters. *PPPY* per patient per year; *SCD* sickle cell disease; *VOC* vaso-occlusive crisis

with SCD with recurrent VOCs. During the variable-length follow-up, patients experienced substantial clinical complications and required frequent acute pain management. HCRU,

annual healthcare costs, and lifetime healthcare costs were significantly higher for patients with SCD with recurrent VOCs than for matched controls. Total annual and projected lifetime



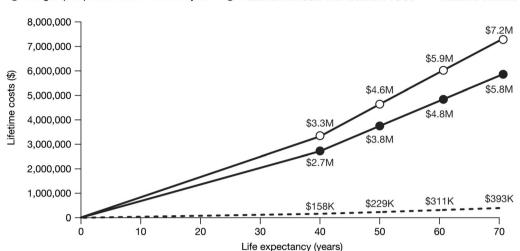
Number of VOCs per year

Fig. 3 Total annual healthcare costs by number of VOCs per year for patients with SCD with recurrent VOCs. *SCD* sickle cell disease; *VOC* vaso-occlusive crisis

healthcare costs were also higher in the subgroup of patients who continued to experience ≥ 2 VOCs per year during the variablelength follow-up. The differences in total annual healthcare costs between cohorts largely reflected the higher inpatient costs for patients with SCD with recurrent VOCs, most of which were attributable to VOC events, thus indicating that the number of VOCs substantially impacts healthcare costs for this patient population.

Among patients with SCD with recurrent VOCs, 26.5% had claims for chronic pain and 98.4% reported requiring medications to manage the pain and other outcomes associated with VOC events. These findings are consistent with the notion that VOCs are the defining clinical feature of SCD [5, 6] and the main cause of HCRU for patients with SCD [10, 11]. Opioids were the most prescribed pain medications, with the mean number of claims PPPY being greater for these medications than for any other pain medication evaluated. Although tailored opioid therapy can be used during acute events [20], hydroxyurea remains the recommended standard of care for managing pain that interferes with daily activities and quality of life in both pediatric and adult patients with SCD [20]. It is therefore notable that fewer patients had pharmacy claims for or documented use of hydroxyurea (2.6 claims PPPY [68.0%]) than they had for opioids (9.7 claims PPPY [93.6%]). Taken together, an unmet need for additional treatment options for preventing and managing acute and chronic pain in patients with SCD with recurrent VOCs remains; such treatment options could reduce the need for opioids in this population.

Total annual healthcare costs for patients with SCD with recurrent VOCs were > \$67,000 PPPY. These costs were higher than those in matched controls and those in broader cohorts of patients with SCD, regardless of the number of VOCs [12, 14]. The finding of higher annual healthcare costs in patients with SCD with recurrent VOCs (i.e., ≥ 2 VOCs per year for 2 consecutive years) is broadly consistent with the findings of another published study involving patients who experienced > 2 VOCs during a shorter 1-year follow-up period [14]. In both our study and the Shah et al. study, higher healthcare costs were predominantly driven by inpatient admissions [14]. Our study focused on patients with severe presentations of SCD, in line with the inclusion criteria of ongoing clinical trials in this therapy area [21], which likely explains the higher costs observed in patients with SCD with recurrent VOCs versus



-O- Subgroup of patients with ≥ 2 VOCs/year - Patients with SCD with recurrent VOCs - - Matched controls

Fig. 4 Lifetime healthcare costs for all patients with SCD with recurrent VOCs^a, the subgroup of patients who continued to experience ≥ 2 VOCs per year during the variable-length follow-up^b, and matched controls^c. ^aAnnual costs for patients with SCD with recurrent VOCs per age group were \$24,697 (age 0–5 years [n = 503]), \$33,499 (age 6–10 years [n = 473]), \$54,715 (age 11–15 years [n = 504]), \$81,875 (age 16–20 years [n = 657]), \$95,082 (age 21–25 years [n = 436]), \$83,612 (age 26–30 years [n = 247]), \$98,964 (age 31–35 years [n = 208)], \$82,573 (age 36–40 years [n = 143)], \$105,580 (age 41–50 years [n = 152]), and \$102,262 (age \geq 51 years [n = 97]). ^bAnnual costs for patients in the \geq 2 VOCs PPPY subgroup per age group were \$34,191 (age 0–5 years [n = 234]), \$48,581 (age 6–10 years [n = 252]), \$68,295

the broader cohorts of patients with SCD [12, 14]. Our study also uniquely utilized the MarketScan databases to include patients across all payer types, while the Shah et al. study utilized MarketScan databases to include only patients covered by commercial insurance as well as individual-level data files (i.e., the Research Identifiable File [RIF] and Medicaid Analytic eXtract [MAX]) for patients enrolled in Medicaid and/or Medicare [12, 14].

Total annual healthcare costs were also higher for patients covered by commercial insurance than for patients with SCD with recurrent VOCs covered by Medicaid, a finding that is consistent with the results of some [22] but not all previous studies of patients with SCD with recurrent VOCs within these payer groups (age 11–15 years [n = 341]), \$99,588 (age 16 to 20 years [n = 506]), \$104,578 (age 21 to 25 years [n = 367]), \$101,813 (age 26–30 years [n = 194]), \$121,490 (age 31–35 years [n = 152]), \$98,856 (age 36–40 years [n = 102]), \$135,580 (age 41–50 years [n = 103]), and \$129,340 (age \geq 51 years [n = 59]). ^cAnnual costs for matched controls per age group were \$1423 (age 0–5 years [n = 2725]), \$2334 (age 6–10 years [n = 2384]), \$2947 (age 11–15 years [n = 2860]), \$5517 (age 16–20 years [n = 2257]), \$6040 (age 21–25 years [n = 2261]), \$5523 [age 26–30 years [n = 1259]), \$4351 (age 31–35 years [n = 1016]), \$3984 (age 36–40 years [n = 405]), \$7432 (age 41–50 years [n = 828]), and \$8253 (age \geq 51 years [n = 727]). K thousand; M million; SCD sickle cell disease; VOC vaso-occlusive crisis

[14, 23]. Other published studies have found similar costs between patients covered by Medicaid and commercial insurance [14] or an inverse relationship between commercial and Medicaid costs [23]. Differences in the findings between our study and the other studies referenced here may be driven by their use of a payment proxy, which imputed costs for Medicaid-covered patients with SCD with capitated plans [23] and could lead to higher Medicaidrelated costs. Additional differences may also be driven by our focus on patients with recurrent VOCs (i.e., ≥ 2 VOCs per year for 2 consecutive years) as well as differences between studies in patient age and database use (i.e., MarketScan vs. RIF MAX) [14, 22]. Although total annual healthcare costs were higher for patients covered by commercial insurance in our analysis, patients covered by Medicaid had higher HCRU, which emphasizes the potential impact of different reimbursement rates across payer types on the healthcare costs of patients with SCD with recurrent VOCs; similar observations have been made in a prior study of patients with SCD [22]. Lower reimbursement rates for patients covered by Medicaid have been well documented for many diseases in the literature and likely drive the differences observed in annual and lifetime healthcare costs across payer types in patients with SCD with recurrent VOCs [24, 25].

Our study also confirms the notion of a strong, positive relationship between the number of VOCs and extent of HCRU in patients with ≥ 2 VOCs PPPY [12, 14, 15]. In our study, we found higher rates of inpatient admissions in the subgroup of patients who continued to experience ≥ 2 VOCs in the follow-up period, consistent with evidence that inpatient admissions increase with the number of VOCs [12, 14]. In our study, and others [12, 14], we combined VOC claims with service dates within < 3 days of each other as a single event to account for potential VOC events captured as claims on separate days, which limited the likelihood of overestimating the number of discrete VOC events. Although it is not possible to confirm that a patient meeting this definition had discrete VOCs, combining claims with service dates < 3 days apart is a common approach [12, 14] and increased the probability that each event represented a discrete VOC. Differences in the definitions of "VOC," as well as inherent limitations of using administrative claims databases (e.g., misclassification bias, selection bias, etc.), can challenge comparisons across studies and impact study results. Furthermore, we used a broader definition of VOCs than that used in the Shah et al. [12] study, similar to that used in recent clinical trials [21], and captured claims for acute chest syndrome, priapism, SCD with crisis, or splenic sequestration associated with SCD. Our use of this broader definition of VOCs may have led to a higher number of VOCs being identified in this study. However, we also appreciate that the use of this composite definition is not common in clinical practice or administrative claims studies and therefore potentially reduces this study's comparability to other published studies.

This study also found that patients covered by Medicaid had a higher number of VOCs and higher associated HCRU than patients covered by commercial insurance. Lack of access to adequate healthcare is a major challenge for patients with SCD, regardless of disease severity [26]. Increased reliance on emergency department care is common among patients with SCD, particularly due to a lack of physicians who specialize in the disease and during the transition from pediatric to adult care [26, 27]. Given that 90% of patients with SCD in the US are Black [26], structural and interpersonal racism also contributes to poor pain management and healthcare experiences [28] for this demographic. Proposed changes to the healthcare system to reduce the impact of racism on patients include developing formal, hospitalbased systems to report racist behavior; using pain-management SCD-specific protocols; empowering patients to report concerns of racism; and developing partnerships with patients [28]. Ultimately, increasing access to quality healthcare for patients of all demographics is needed to minimize the clinical and economic burden of SCD, especially among Medicaid enrollees and other historically marginalized groups [26].

Several limitations in this study should be noted. First, it used administrative claims data collected for reimbursement purposes and is therefore subject to potential misclassification bias. Second, the sole reliance on direct costs in these analyses likely led to an underestimation of the economic burden of disease associated with SCD, given the significant indirect cost burden that has been reported in this population, such as negative effects on work productivity, non-work productivity, and daily activities [29, 30]. Inversely, the lifetime cost calculation used a simplifying assumption that patients with SCD will have severe disease from birth until death, which may have led to an overestimation of the actual lifetime costs in this patient population. However, our use of age-specific annual costs may have mitigated, to a degree, some of this limitation. Third,

individuals who died, went on long-term disability, or did not meet eligibility criteria may have systematically different clinical outcomes than patients who met enrollment criteria. Fourth, this study did not account for any impact of recently approved therapies for SCD, such as L-glutamine, voxelotor, and crizanlizumab; therefore, pharmacy costs associated with experiencing recurrent VOCs may have been underestimated. Lastly, the results of this study may not be generalizable to patients without commercial insurance coverage, Medicare coverage, or Medicaid eligibility.

CONCLUSION

This study is the first to focus on clinical and economic outcomes in the subgroup of patients with SCD with recurrent VOCs, identified by having ≥ 2 VOCs per year for 2 consecutive years and using a broader composite definition for VOCs. These patients have substantial clinical complications, as well as significant HCRU and healthcare costs, largely driven by inpatient hospital admissions and the number of VOCs. Disease-modifying therapies that alleviate the clinical complications of SCD and eliminate recurrent VOCs, as well as increase access to care, are urgently needed to improve clinical and economic outcomes in this patient population.

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Compliance with Ethics Guidelines. This study employed the Merative MarketScan Commercial, Medicare Supplemental, and Multi-State Medicaid Databases, which include only de-identified patient data; therefore, institutional review board approval was not required.

Data Availability. This study used data available from Merative. Restrictions apply to the availability of these data, which were used under a licensing agreement.

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