

Nanoscale image of the drug/metal mono-layer interaction: Tapping AFM-IR investigations

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ABSTRACT

The application of metal nanoparticles as an efficient drug delivery system is one of the directions of cancer therapy development. However, this strategy requires precise information about how the drug interacts with the applied nanocarrier. In this study, atomic force microscopy combined with infrared spectroscopy (AFM-IR) was used for the first time to investigate the erlotinib adsorption structure on two different types of 15 nm metal nanoparticle mono-layers, namely, silver nanoparticle (AgNP) and gold nanoparticle (AuNP) mono-layers. Because the metal nanoparticles are loosely bound samples, only the tapping AFM-IR mode is suitable for the collection of IR maps and spectra for such a system. The obtained results indicated the relevance of the AFM-IR technique for characterizing drug interactions with a metal mono-layer surface. The investigated drug interacts with the AgNPs mainly through phenyl rings and methoxy moieties, while quinazoline, amino, and ethoxy moieties appear to be farther from the surface. For the AuNPs, the interaction occurs through both the phenyl ring and the quinazoline moiety. Additionally, the aliphatic groups of erlotinib directly participate in this interaction. The novelty of the present work is also related to the use of the tapping AFM-IR mode to study metal NP mono-layers with a drug adsorbed on them. The collected IR maps for the most enhanced erlotinib bands show specific areas with very high signal intensity. The connection between these areas and the “hot spots” typical for the surface plasmon resonance phenomenon of metals is considered.

KEYWORDS

metal nanoparticle mono-layer, drug's adsorption, infrared nanospectroscopy, atomic force microscopy, erlotinib

1 Introduction

Currently, the development of target-cell-specific delivery as a new approach to increase the cytotoxic effects of drugs inside cancer cells is highly desirable [1]. The application of drug vehicles with appropriate sizes and shapes provides possibilities to enhance the concentration of anticancer agents at the target site and at the same time ensures protection against bothersome side effects [2, 3], such as those induced by the internalization of the drug inside non-pathological cells that block the activity of physiological processes [4, 5]. However, the immobilization of anticancer drugs on the carrier surface may result in their deactivation. Therefore, to optimize the design of effective drug-nanocarrier conjugates, it is crucial to perform a detailed characterization of the geometric structure of an anticancer agent after its loading on the appropriate surface.

Metal nanoparticles as drug vehicles ensure control of the adsorption of molecules on their surface. It has been proven that surface-enhanced vibrational spectroscopy methods, such as surface-enhanced Raman spectroscopy (SERS) [6] and surface-enhanced infrared absorption (SEIRA) [7] spectroscopy, provide possibilities to investigate how molecules adsorb on metal surfaces. Pearce and Sheppard described the metal-surface selection rules for infrared spectroscopy (IR) spectra of ethylene

adsorbed on various metal surfaces. Since the detected spectral signal strongly depends on the particular bond orientation of the entity immobilized on the metal, this signal provides a detailed characterization of molecular adsorption; this is because only vibrations that induce dipole changes perpendicular to the metal surface contribute to the IR spectrum. Moreover, the bands related to modes with dipole moments parallel to the surface should be suppressed [8]. Pearce and Sheppard implied that the abovementioned restrictions apply only for highly polarizable materials [9]. Additionally, Greenler and co-workers [10] postulated that the metal selection rules are applicable for nanoparticles larger than 2 nm, while for smaller nanoparticles, the applicability of these rules is limited. As a consequence of these surface selection rules, IR spectroscopy ensures that specific information can be obtained about biologically active molecules immobilized onto a large metal nanocarrier surface. Moreover, Osawa reported that adsorption of a molecule on a metal surface produces an extremely strong enhancement of infrared absorption, which leads to SEIRA [7, 11]. This phenomenon is related to the excitation of localized plasmons of the metal nanostructures by infrared light and corresponds with the well-described SERS effect [7, 8, 11–13]. The electromagnetic mechanism of SEIRA explains such enhancement of the IR signal to be a result of dipole induction

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in the nanostructures, generating local electromagnetic fields much stronger than those of the incident photons [11, 14, 15]. Typically, the localized surface plasmon resonance (LSPR) of noble metals is associated with a strong absorption band in the visible region ($\sim 360\text{--}720\text{ nm}$) [16]. However, due to the dipole coupling between nanostructures, the metal resonance band can shift to the mid-infrared region, and the enhancement of infrared absorption is still observed [17–19]. Such a coupling phenomenon has been proven, especially for Ag and Au nanoparticle arrays with relatively small lattice spacings of less than 100 nm [18, 19]. Based on these considerations, the precise adsorption geometry characterization of a drug loaded on metal carriers using IR radiation is possible.

The usual IR methods are diffraction limited, which leads to a relatively low spatial resolution ($\sim 5\text{--}10\ \mu\text{m}$) [20, 21]. Therefore, the obtained spectral data for particles with nanometre dimensions seem to be too general to illustrate subtle local changes in the adsorption orientation of the molecule on the investigated nanosurface. The combination of atomic force microscopy with infrared spectroscopy (AFM-IR) overcomes this conventional limit of spatial resolution. AFM-IR combines the nanometre resolution of atomic force microscopy with the ability to perform chemical analysis owing to the acquisition of local infrared spectra [22–25]. In this approach, the local absorption is ruled by the photothermal effect. Pulsed IR radiation from a tuneable laser source initiates a thermal expansion probed by the AFM tip in contact with the surface [26]. As the expansion is directly proportional to the absorption coefficient, local IR spectra are generated [23]. The spatial resolution achieved by this hybrid technique is imposed by the radius of the AFM tip ($\sim 10\text{ nm}$) [22]. AFM-IR is widely used for various applications, i.e., cancer cell [27, 28] and bacteria [29, 30] investigations, characterization of lipid distribution in human skin [31], protein secondary structure in cataractous human lenses [32], and polymers [33] and cultural heritage analysis [34]. Additionally, the development of the tapping AFM-IR mode has opened new possibilities in the analysis of soft and loosely bound samples such as drug-loaded polymeric nanoparticles [35], drugs partitioned within lipid-polymer hybrid films [36] and drugs adsorbed on aggregated metal nanoparticles [37, 38].

In this study, AFM-IR was applied to interpret the adsorption behaviour of a tyrosine kinase inhibitor, namely, erlotinib, immobilized on silver (Ag) and gold (Au) nanoparticle mono-layers. This drug is approved in targeted therapy for patients with non-small cell lung cancer (NSCLC) [39] after progression on prior chemotherapy [40, 41]. The association of erlotinib with nanoparticle carriers should improve its delivery and decrease its unfavourable cytotoxic effects (e.g., skin rash, diarrhoea, scalp hair loss, increased facial hair) [42, 43]. Previously published SERS data have shown that erlotinib adsorbed on silver nanoparticles dispersed in suspensions manifests a strong interaction with the metal surface [44]. However, those SERS data do not answer the question of how the drugs interact locally with the applied metal nanoparticles. Additionally, it is still an open question of whether the surface selection rules commonly known for the conventional SEIRA technique could be applied to interpret the AFM-IR results of molecules immobilized on a metal surface. If surface-enhanced phenomena are also observed in AFM-IR spectroscopy, the results obtained with this technique should be able to answer all of these questions. In the first part of the presented studies, the way that erlotinib adsorbs on AgNP and AuNP mono-layers has been discussed. In the second part, the AFM-IR intensity maps visualizing areas with very high intensity and the relation

of these areas with the “hot spots” characteristic for surface plasmon resonance have been considered. To the best of the authors’ knowledge, these are the first investigations using the tapping AFM-IR mode to study drug adsorption on metal nanoparticle mono-layers.

2 Experimental

2.1 Synthesis of AgNPs and AuNPs

Aqueous suspensions of silver and gold nanoparticles were obtained by a chemical reduction method according to the procedures described in previous literature reports [45, 46]. Then, the suspensions were purified from the unreacted compounds and characterized by the use of various physicochemical methods. It was found that the concentrations of the stock solutions were 80 and 120 mg/L for the suspensions of AgNPs and AuNPs, respectively. The pH of these suspensions was 5.6, and the conductivity did not exceed 20 $\mu\text{S}/\text{cm}$. The measurements conducted using dynamic light scattering (DLS) revealed that the hydrodynamic diameters of the synthesized nanoparticles were $15 \pm 3\text{ nm}$ and remained unchanged up to an ionic strength of 0.03 M [45, 46]. The average size of the nanoparticles determined by DLS was in agreement with data obtained from transmission electron microscopy (TEM) imaging. From electrophoretic mobility measurements, it was found that these citrate-stabilized nanoparticles were negatively charged. The zeta potential of the nanoparticles significantly increased with ionic strength, and at 0.01 M, the value was $-55 \pm 3\text{ mV}$ and $-51 \pm 2\text{ mV}$ for AgNPs and AuNPs, respectively.

2.2 Metal mono-layer preparation

Homogeneous mono-layers of AgNPs and AuNPs deposited on CaF_2 windows were prepared by applying electrostatically driven self-organization of the nanoparticles at the solid/liquid interface [45, 46]. Because citrate-stabilized nanoparticles and the CaF_2 window exhibit negative surface charges, the procedure previously applied to reverse the charge of mica [45, 46] was adapted for the CaF_2 window. The cationic polyelectrolyte poly(allylamine hydrochloride) (PAH) with a molar mass of 70 kDa was used for modification of the CaF_2 surface. It should be noted that PAH does not give a strong IR spectral signal; thus, it has been used for such experiments [47]. For the appropriate modification, freshly clean CaF_2 windows were placed in the vertical position into 20 mg/L PAH solutions with an ionic strength of 0.01 M and pH 5.6. This diffusion-controlled deposition process was conducted under ambient conditions at a temperature of 25 °C. The windows were stored for 20 min and then rinsed with ultrapure water to remove unbound PAH molecules. Afterwards, the PAH-modified CaF_2 windows were placed into AgNP and AuNP stock suspensions with an ionic strength of 0.01 M regulated by the addition of sodium chloride [45]. Taking into account that the nanoparticle deposition was carried out under diffusion-controlled conditions and based on the results of studies previously obtained for mono-layers formed on PAH-modified mica sheets [45, 46], it was estimated that the deposition time needed for the formation of dense metal nanoparticle mono-layers should not be less than 4 h. After this period of time, to remove unbound nanoparticles and the excess sodium chloride, the mono-layers were washed with ultrapure water. Finally, the modified windows were dried in air at 25 °C and used immediately after preparation.

The surface concentration of the nanoparticles (number of

particles per unit area of the substrate) on the PAH-modified CaF_2 windows was quantitatively determined using SEM micrographs obtained from a JEOL JSM-7500F field emission microscope [45]. After determining the surface concentrations of the nanoparticles and their average size, the dimensionless coverage of the mono-layers was calculated according to the procedure described previously [45, 46]. It was found that the mono-layer coverage was 0.33 and 0.31 in the case of AgNPs and AuNPs, respectively. It is worth mentioning that these values are in accordance with those reported for the metal nanoparticle mono-layers formed on PAH-modified mica [45, 46].

2.3 Sample preparation

The investigated drug, namely, (N-(3-ethynylphenyl)-6,7-bis(2-methoxyethoxy)quinazolin-4-amine hydrochloride) (erlotinib hydrochloride; purity 99.75%), was purchased from Selleckchem company and used without any purification. The powder was dissolved in deionized water. A drop of the drug solution at a concentration of 10^{-4} M was placed on the CaF_2 window and on the prepared metal mono-layer in order to measure spectra for non-oriented and oriented molecules, respectively, and left to dry.

2.4 AFM-IR spectroscopy measurements

The experiments were performed using a NanoIR2 Anasys Instrument system combined with a multichip tuneable quantum cascade laser (QCL; MIRcat-QT Daylight Solutions) used as an infrared source. The spectral range selected was $1,335\text{--}1,675\text{ cm}^{-1}$. The spectra were collected with 1 cm^{-1} spectral resolution, and to increase the signal-to-noise ratio (SNR) at each analysed point, 3 spectra were averaged. Because the metal nanoparticles represent a sample that is not bound strongly enough to the surface, tapping AFM-IR mode was applied for spectral collection and mapping. For this purpose, commercially available silicon gold-coated tips (70 nm thick gold coating, 225 μm nominal length, and 28 μm nominal width) with a resonance frequency of 75 kHz and force constant of 3 N/m were used. The AFM-IR maps were collected at a scan rate of 0.5 Hz with x and y sampling of 500 pts. The tapping mode setpoint and drive strength were set to 4.43 V and 70%, respectively. During the measurements, 26% of the average QCL laser power (0.5 W) with a pulse length of 460 ns was applied. After obtaining each series of spectra, the AFM topography was collected in order to monitor the sample condition. Additionally, the IR maps and topographic images were collected at the same time. During the measurements, no thermal damage occurred within the sample. The experimental scheme is presented in Fig. 1.

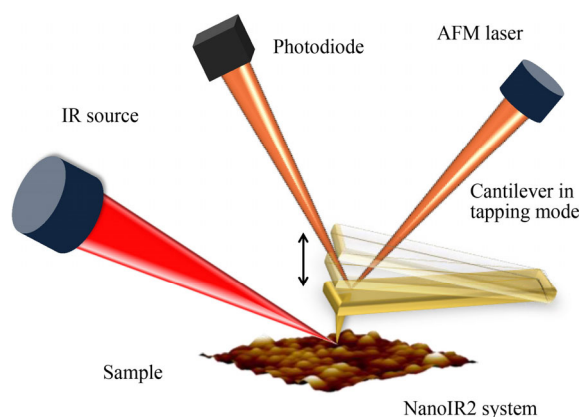


Figure 1 Experimental scheme.

2.5 Data processing

The AFM-IR spectra and 2D intensity maps were generated using dedicated Analysis Studio software version 3.14 for the NanoIR2 system. The 3D intensity maps were visualized using Mountainsmap 7.3 software (Digital Surf, France). The spectra were processed with Omnic 32 software. All presented spectra were smoothed using the Savitzky-Golay function (3rd-order polynomial and 5 data points). Moreover, multipoint baseline correction (5 points) was applied before normalization. It should be noted that this processing did not affect the relative intensities of the bands. Before spectral interpretation, min-max normalization was used.

3 Results and discussion

Prior to the tapping AFM-IR measurements, the AgNP and AuNP supports were characterized using AFM. Figure 2 shows the topography of the metal mono-layers before and after drug adsorption. The nanoparticles exhibit a spherical shape, and in both cases, the diameter is ~ 15 nm. The mono-layers were assembled on the CaF_2 windows using a procedure that provides the highest possible degree of coverage.

As confirmed [7, 47], the surface enhancement observed in IR spectroscopy is associated with the morphology of the metal structure and strongly increases with the size and thickness of the metal island. Thus, mono-layers with a high degree of nanoparticle coverage are expected to ensure large absorption enhancements. AFM-IR characterization of the molecular structure of the randomly oriented (non-adsorbed) drug was also performed. Figure 3(a) presents the AFM-IR spectrum of erlotinib alone, and in Table 1, all wavenumbers and assignments of the bands are listed. The band assignment analysis was performed based on previously published studies of the erlotinib structure coupled with density functional theory (DFT) calculations [48]. Additionally, the corresponding data for the main chemical groups present in the molecules such as aniline [49], pyrimidine [50, 51], and phenylacetylene [52] were taken into consideration.

The most intense spectral features observed in the erlotinib spectrum are due to the phenyl ring and quinazoline moiety vibrations. Namely, the bands at 1,632, 1,607, 1,570, and $1,445\text{ cm}^{-1}$ are assigned to $\nu(\text{CC})_{\text{Phe}}$, $\nu(\text{CC})_{\text{Phe}}$, $\nu(\text{CC})_{\text{Phe}}/\rho_{\text{H}}(\text{CH})_{\text{Phe}}$, and $\nu(\text{CH})_{\text{Phe}}$ vibrations, respectively. Additionally, the

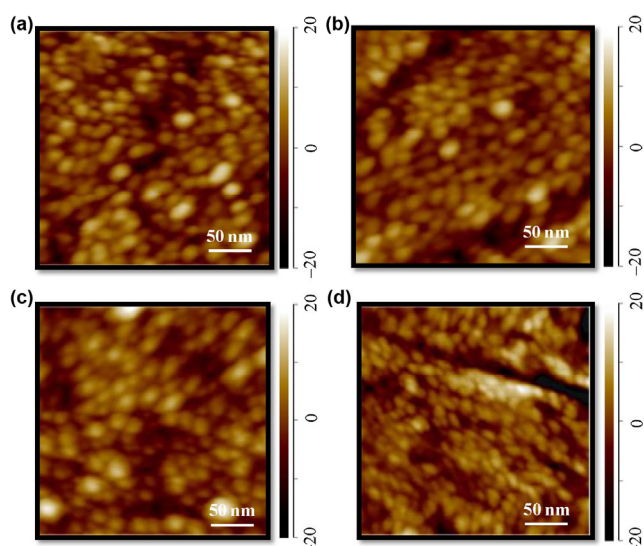


Figure 2 AFM topography of the Ag NPs ((a) and (c)) and AuNPs ((b) and (d)) mono-layers before and after the drug adsorption, respectively.

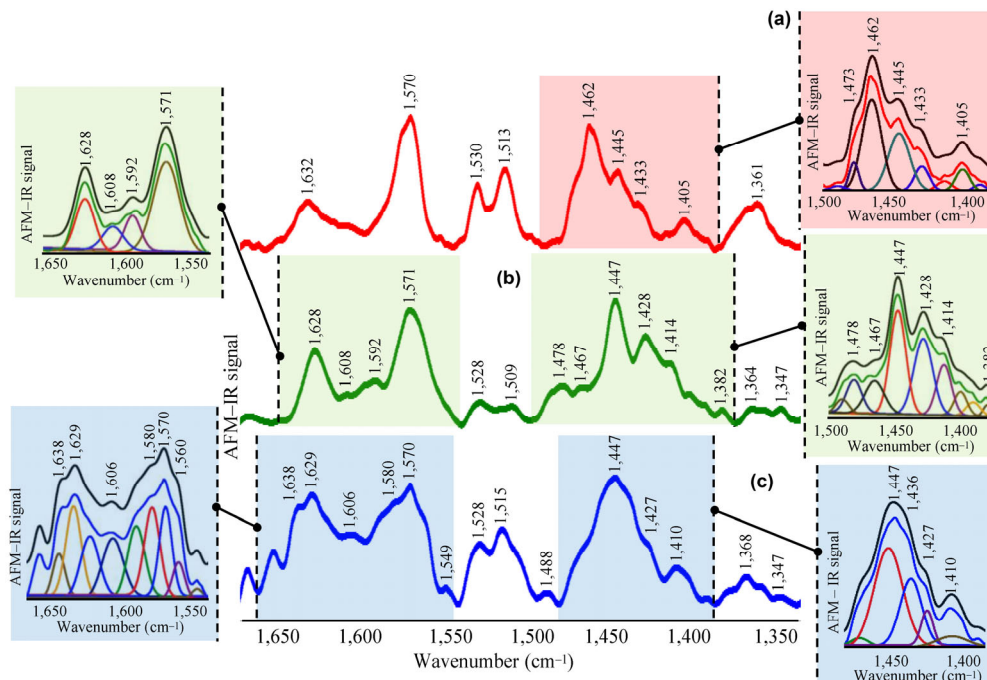


Figure 3 AFM-IR spectra of erlotinib (a) and erlotinib immobilized on the colloidal AgNPs (b) and AuNPs (c) mono-layers in the spectral range of 1,675–1,335 cm^{-1} together with the performed curve fitting; the black solid lines illustrate the composite result spectra, while red, green, and blue solid lines represent the appropriate original spectra.

Table 1 The suggested assignments together with the wavenumbers (ν) and full width at half maximum (FWHM) for the significant AFM-IR bands of erlotinib alone and adsorbed on the AgNPs and AuNPs mono-layers [44, 48–52]

Band assignments ^a	Erlotinib		Erlotinib-AgNPs		Erlotinib-AuNPs	
	AFM-IR	FWHM (cm^{-1})	AFM-IR	FWHM (cm^{-1})	AFM-IR	FWHM (cm^{-1})
$\nu(\text{CC})_{\text{Phe}}, \rho_{\text{b}}(\text{NH}), \nu(\text{CN})_{\text{Q}}$	1,632	15	1,628	17	1,629	16
$\nu(\text{CC})_{\text{Q}}$	1,618	13	—	—	1,615	13
$\nu(\text{CC})_{\text{Phe}}, \rho_{\text{b}}(\text{NH}), \nu(\text{C}=\text{N})_{\text{Q}}, \nu(\text{CC})_{\text{Q}}$	1,607	10	1,608	17	1,606	24
$\nu(\text{CC})_{\text{Q}}$	—	—	1,592	12	1,592	16
$\rho_{\text{b}}(\text{NH}), \nu(\text{NC})_{\text{Q-NH}}, \nu(\text{C}=\text{N})_{\text{Q}}, \nu(\text{CC})_{\text{C}6\text{C}}, \rho_{\text{b}}(\text{CH})_{\text{Phe}}, \nu(\text{CC})_{\text{Q}}$	1,578	14	—	—	1,580	19
$\nu(\text{CC})_{\text{Phe}}$	1,570	12	1,571	22	1,570	16
$\nu(\text{C}=\text{N})_{\text{Q}}, \nu(\text{CN})_{\text{Q}}, \nu(\text{CC})_{\text{Q}}$	—	—	—	—	1,560	6
$\delta(\text{Q})$	—	—	—	—	1,549	10
$\nu(\text{Phe}), \rho_{\text{b}}(\text{NH})$	1,530	10	1,528	13	1,528	16
$\rho_{\text{w}}(\text{OCH}_3)$	1,513	13	—	—	1,516	10
$\rho_{\text{s}}(\text{CH}_2)_{\text{OCH}_2}, \rho_{\text{s}}(\text{CH}_2)_{\text{CH}_2\text{O}}, \rho_{\text{s}}(\text{CH}_2)_{\text{CH}_3}$	—	—	1,509	15	1,506	16
$\rho_{\text{b}}(\text{CH})_{\text{Phe}}, \delta(\text{Phe})$	—	—	—	—	1,488	10
$\rho_{\text{w}}(\text{CH}_2)_{\text{CH}_3}$	1,473	6	1,479	11	1,470	15
$\rho_{\text{b}}(\text{CH})_{\text{Q}}, \nu(\text{CN})_{\text{Q}}, \nu(\text{CC})_{\text{Q}}$	1,462	14	1,467	19	—	—
$\nu(\text{CH})_{\text{Phe}}$	1,445	15	1,447	13	1,447	17
$\rho_{\text{w}}(\text{CH}_2)_{\text{CH}_3}, \rho_{\text{w}}(\text{CH}_2)_{\text{OCH}_2}, \rho_{\text{w}}(\text{CH}_2)_{\text{CH}_2\text{O}}$	1,433	10	1,428	15	1,436	17
$\rho_{\text{w}}(\text{CH}_2)_{\text{CH}_3}, \rho_{\text{w}}(\text{CH}_2)_{\text{OCH}_2}, \rho_{\text{w}}(\text{CH}_2)_{\text{CH}_2\text{O}}$	—	—	—	—	1,427	9
$\rho_{\text{w}}(\text{CH}_2)_{\text{CH}_3}, \rho_{\text{w}}(\text{CH}_2)_{\text{OCH}_2}, \rho_{\text{w}}(\text{CH}_2)_{\text{CH}_2\text{O}}, \rho_{\text{b}}(\text{NH}), \delta(\text{Phe}), \delta(\text{Q})$	1,416	10	1,414	13	1,410	16
$\nu(\text{C}=\text{N})_{\text{Q}}, \nu(\text{CC})_{\text{Q}}, \rho_{\text{b}}(\text{CH})_{\text{Q}}, \rho_{\text{b}}(\text{NH})$	1,405	10	1,402	20	—	—
$\rho_{\text{w}}(\text{CH}_2)_{\text{CH}_3}, \rho_{\text{w}}(\text{CH}_2)_{\text{OCH}_2}, \rho_{\text{w}}(\text{CH}_2)_{\text{CH}_2\text{O}}$	—	—	1,382	8	1,381	9
$\rho_{\text{b}}(\text{CH})_{\text{Q}}, \nu(\text{CC})_{\text{Q}}, \rho_{\text{b}}(\text{CH})_{\text{Phe}}$	1,373	16	—	—	1,377	10
$\rho_{\text{w}}(\text{CH}_2)_{\text{CH}_2\text{O}}, \rho_{\text{w}}(\text{CH}_2)_{\text{OCH}_2}, \rho_{\text{b}}(\text{NH}), \rho_{\text{b}}(\text{CH})_{\text{Phe}}, \nu(\text{NC})_{\text{Q-NH}}, \nu(\text{NC})_{\text{Q}}$	1,361	16	1,364	17	1,368	10
$\rho_{\text{b}}(\text{CH})_{\text{Q}}, \delta(\text{Q})$	—	—	1,347	15	1,347	16

^aAbbreviations: ν , stretching; ρ_{b} , bending; ρ_{w} , wagging; ρ_{s} , scissoring; δ , deformation; Phe, phenyl ring; Q, quinazoline group.

deformation vibration of the phenyl ring [$\delta(\text{Phe})$] contributes to the weak intensity band at 1,416 cm^{-1} (see Table 1). For the quinazoline moiety, the bands appearing at 1,618, 1,578, 1,462,

and 1,405 are attributed to the $\nu(\text{CC})_{\text{Q}}$, $\nu(\text{C}=\text{N})_{\text{Q}}/\nu(\text{CC})_{\text{Q}}$, $\rho_{\text{b}}(\text{CH})_{\text{Q}}/\nu(\text{CN})_{\text{Q}}/\nu(\text{CC})_{\text{Q}}$, and $\nu(\text{C}=\text{N})_{\text{Q}}$ modes, respectively. The vibrational motions of the aliphatic groups of erlotinib

are also visible in the AFM-IR spectrum. For the methoxy and ethoxy moieties, the characteristic medium and weak intensity bands, specifically, $\rho_s(\text{CH}_2)_{\text{OCH}_2}/\rho_s(\text{CH}_2)_{\text{CH}_3}/\rho_s(\text{CH}_2)_{\text{CH}_2\text{O}}$, and $\rho_w(\text{CH}_2)_{\text{CH}_3}$, and $\rho_w(\text{CH}_2)_{\text{CH}_3}/\rho_w(\text{CH}_2)_{\text{OCH}_2}/\rho_w(\text{CH}_2)_{\text{CH}_2\text{O}}$, and $\rho_w(\text{CH}_2)_{\text{CH}_2\text{O}}/\rho_w(\text{CH}_2)_{\text{OCH}_2}$, appear at 1,513, and 1,473 and 1,433, 1,416, and 1,361 cm^{-1} , respectively. In the case of the amino group, the medium band associated with the $\rho_b(\text{NH})$ mode appears at 1,530 cm^{-1} . This vibration also contributes to the bands at 1,578, 1,416, and 1,361 cm^{-1} . The observed AFM-IR spectral pattern for non-adsorbed erlotinib corresponds to the previously published results obtained by conventional Fourier transform IR spectroscopy in ATR mode [48]. However, some changes, especially in the relative intensities of the particular bands, are noted, especially for the bands at $\sim 1,632$ and $\sim 1,445$ cm^{-1} . It is commonly known that how a sample is prepared for measurements may influence the acquired spectral information. Parameters such as the physical state of the sample [53], solvent (solvent effect) [54], solution pH [48], and polarization effect of crystal samples [55] determine the relative intensity of the particular bands in the vibrational spectrum. Thus, the same sample preparation was applied in the AFM-IR experiments for the non-adsorbed and adsorbed drug (please see the Sample preparation section). Figure 3(b) illustrates the AFM-IR spectrum collected for erlotinib immobilized onto 15 nm Ag nanoparticles (AgNPs). For each sample region, eight spectra were recorded at different locations. The spectra were reproducible; however, small differences ($\sim 5\%$) in some band intensities were noticed. Such a spectral pattern is commonly observed in surface-enhanced vibrational spectroscopy techniques [56]. The most repeatable spectrum was taken for further analysis. The adsorption of erlotinib on the AgNP mono-layer induces significant spectral changes in the relative intensities and widths of the bands in comparison with the AFM-IR spectrum of non-adsorbed molecules. This phenomenon indicates that the surface selection rules typical for conventional SEIRA spectra also affect the AFM-IR signal of the adsorbed erlotinib. Therefore, the AFM-IR technique can be applied to characterize the molecular orientation of the adsorbed molecules. As discussed in the Introduction, molecular oscillations with dipoles perpendicular to the metal surface should dominate the IR spectrum [9]. In the AFM-IR spectrum of erlotinib immobilized on the AgNP mono-layer (erlotinib-AgNPs), the most intense bands are attributed to phenyl ring vibrational motions. These bands are visible at 1,628, 1,608, 1,571, and 1,447 cm^{-1} and are associated with $\nu(\text{CC})_{\text{Phe}}$, $\nu(\text{CC})_{\text{Phe}}/\rho_b(\text{CH})_{\text{Phe}}$, and $\nu(\text{CH})_{\text{Phe}}$ vibrations, respectively. The significant enhancement of these spectral features in comparison with the corresponding bands for non-adsorbed erlotinib (NA erlotinib) indicates that the phenyl ring is oriented perpendicularly on the AgNP surface and strongly interacts with this mono-layer (Fig. 3). This indication is also confirmed by the observed bandwidth changes noted between the AFM-IR spectra of NA erlotinib and erlotinib-AgNPs. More specifically, the full width at half maximum (FWHM) of the bands at 1,628, 1,608, 1,571, and 1,447 cm^{-1} is 17, 17, 22, and 13 cm^{-1} , respectively, whereas the FWHM of the corresponding bands for the non-adsorbed drug are 15, 10, 12, and 15 cm^{-1} (see Table 1). Different phenomena for the quinazoline moiety are observed. Here, the bands of the AFM-IR spectrum of erlotinib-AgNPs decrease in intensity. These bands occur at 1,592 cm^{-1} [$\nu(\text{C}=\text{N})_{\text{Q}}/\nu(\text{CC})_{\text{Q}}$], 1,467 cm^{-1} [$\rho_b(\text{CH})_{\text{Q}}/\nu(\text{CN})_{\text{Q}}/\nu(\text{CC})_{\text{Q}}$], and 1,402 cm^{-1} [$\nu(\text{C}=\text{N})_{\text{Q}}$]. The presence of these spectral features in the spectrum suggests that the quinazoline moiety is located at a certain distance from the surface and only participates (i.e., does not interact directly) in erlotinib

adsorption on the AgNP mono-layer. However, the aliphatic functional groups of erlotinib appear to be strongly involved in the considered interaction. The strong and medium intensity bands visible at 1,479, 1,428 and 1,414 cm^{-1} are attributed to $\rho_w(\text{CH}_2)_{\text{CH}_3}$. The shift in the position of these bands ($\Delta\nu = \sim 6$ cm^{-1}) and changes in their width ($\Delta_{\text{FWHM}} = \sim 5$ cm^{-1}) in comparison with those in the corresponding AFM-IR spectrum for the randomly oriented drug are significant (see Table 1). At the same time, the bands associated with the amino and ethoxy moieties exhibit decreased intensities. This effect is especially observed for the bands at 1,528 and at 1,509, 1,364 cm^{-1} , attributed to the $\rho_b(\text{NH})$ and $\rho_s(\text{CH}_2)_{\text{OCH}_2}/\rho_s(\text{CH}_2)_{\text{CH}_2\text{O}}$ vibrations, respectively. The abovementioned spectral patterns indicate that the methoxy moiety is situated perpendicular to the metal surface and contributes significantly to drug adsorption on the AgNPs, while the amino and ethoxy groups are located at a certain distance from the metal mono-layer. The erlotinib orientation on the AgNPs distinguished at nanoscale spatial resolution by the AFM-IR technique corresponds very well with the previously published results obtained using SERS for this drug immobilized on aggregated AgNPs [44].

Different adsorption behaviours of erlotinib immobilized on the AuNP mono-layer (erlotinib-AuNPs) are observed. The appearance of variations in the relative intensities of bands due to quinazoline motions suggests that this moiety plays a significant role in the adsorption of the drug on the AuNPs. These interactions are manifested by the significant enhancement and broadening of AFM-IR bands for erlotinib immobilized on this metal mono-layer in comparison with those of the corresponding spectral pattern detected for the randomly oriented drug. In brief, the bands at 1,615 cm^{-1} [$\nu(\text{CC})_{\text{Q}}$], 1,592 cm^{-1} [$\nu(\text{CC})_{\text{Q}}$], 1,580 cm^{-1} [$\nu(\text{C}=\text{N})_{\text{Q}}/\nu(\text{CC})_{\text{Q}}$], 1,560 cm^{-1} [$\nu(\text{C}=\text{N})_{\text{Q}}/\nu(\text{CN})_{\text{Q}}/\nu(\text{CC})_{\text{Q}}$], and 1,549 cm^{-1} [$\delta(\text{Q})$] show a broadening effect of ~ 5 cm^{-1} and a shift in their position of ~ 3 cm^{-1} (see Table 1). Such a shift in the band position is proved as evidence of the interaction between this particular moiety and the metal surface [57]. In the case of the phenyl ring, its interaction with the AuNP mono-layer appears to be even stronger than that with the AgNP mono-layer. The bands appearing at 1,629 and 1,570, 1,488, 1,447 cm^{-1} and assigned to the $\nu(\text{CC})_{\text{Phe}}$, $\rho_b(\text{CH})_{\text{Phe}}/\delta(\text{Phe})$, and $\nu(\text{CH})_{\text{Phe}}$ modes, respectively, indicate stronger intensities than those in the correlated AFM-IR spectrum of NA erlotinib. Additionally, strengthening of the 1,570 cm^{-1} band is observed, as well as the appearance of a 1,488 cm^{-1} band that is not visible in the AFM-IR spectrum of erlotinib-AgNPs. Additionally, the observable band broadening ($\Delta_{\text{FWHM}} = \sim 4$ cm^{-1}) and position shift ($\Delta\nu = \sim 4$ cm^{-1}) support the statement that the phenyl ring adsorbs on the AuNP mono-layer, and this interaction is even stronger than that on the AgNP mono-layer. This phenomenon is somewhat similar for the methoxy moiety. Here, the significantly pronounced bands at 1,515 and at 1,436, 1,427, 1,410 cm^{-1} due to the $\rho_w(\text{OCH}_3)$ and $\rho_w(\text{CH}_2)_{\text{CH}_3}$ modes, respectively, together with the recorded changes in the band features ($\Delta_{\text{FWHM}} = \sim 6$ cm^{-1} and $\Delta\nu = \sim 6$ cm^{-1}), suggest that in the erlotinib/AuNP mono-layer interaction, $-\text{OCH}_3$ groups are strongly involved. There is some evidence indicating that the close proximity of the methoxy moiety to the AuNPs may result in charge transfer from the electropositive $-\text{OCH}_3$ group to the metal [58]. It is known that molecules chemisorbed on metal surfaces exhibit large enhancements and changes in the band intensities [11]. This enhancement of the absorption coefficient of the adsorbed molecule may result in “intensity borrowing” from the charge oscillation between the metal and the molecule. However, this phenomenon is still not fully understood. The spectral features

attributed to the amino and ethoxy groups may be interpreted in a same similar way. The bands appearing at $1,528\text{ cm}^{-1}$ [$\rho_b(\text{NH})$] and $1,506\text{ cm}^{-1}$ [$\rho_s(\text{CH}_2)_{\text{OCH}_2}$, $\rho_s(\text{CH}_2)_{\text{CH}_2\text{O}}$], show a significant enhancement and broadening (see Table 1) in comparison with those in the corresponding AFM-IR spectrum NA erlotinib. These functional groups are likely situated perpendicular to the AuNP mono-layer and participate directly in the adsorption process. Typically, for the interactions of the amino moiety with the negatively charged AuNP surface, electrostatic forces play the main role [59]. Figure 4 presents the suggested orientation of erlotinib on the AgNP and AuNP mono-layers.

As discussed in the Introduction, in conventional SEIRA spectroscopy, the enhancement is related to the increase in the local electromagnetic field generated by the localized surface plasmon resonance [7, 11–13]. For molecules located at “hot spots” (regions with strongly enhanced optical fields) [16], enormous enhancement of the signal thus occurs. Typically, single metal nanoparticles possess resonance bands in the visible spectral region [60]; however, they can be modified by tuning the appropriate size and morphology of the applied nanostructure [61], and for the metal islands and mono-layers, this range moves to the mid-IR region (end of the plasmon band) [13]. The localization of the electromagnetic field is strongly related to the shape of a nanostructure. It is commonly known that for isolated nanoparticles, the strongest field

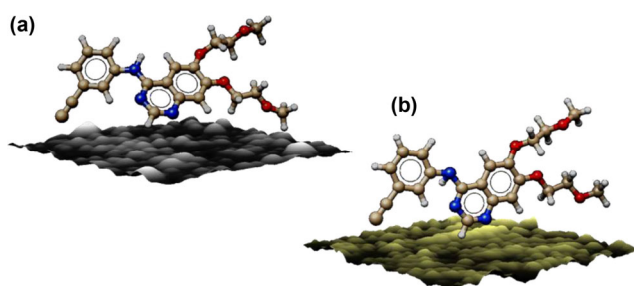


Figure 4 The suggested orientation of erlotinib adsorbed on the AgNPs (a) and AuNPs (b) mono-layers.

amplification is distributed on their sharp edges [62]. Additionally, for anisotropic (non-spherical) nanoparticles, different modes of LSP can be excited due to the different polarization of incident light [63]. In the case of dimers or mono-layers of nanoparticles, the largest electromagnetic fields occur between them. The distance between nanoparticles determines the enhancement factor [62, 64]. In SERS, the critical distance was indicated as equal to twice the nanoparticle diameter [47, 65]. To obtain a sufficient enhancement visible in the SEIRA spectra, Ghosh and co-workers [47] indicate the limit of the gap size to be 5 nm. A shorter distance ensures a higher enhancement [16]; however, according to the data published by Esteban and co-worker [66], a gap below 1 nm may suppress the near-field enhancement due to electron tunnelling. To better understand the surface enhancement effect with the AFM-IR technique, IR maps were acquired. Figure 5 illustrates the AFM topographies ($1\text{ }\mu\text{m} \times 1\text{ }\mu\text{m}$ (a) and $500\text{ nm} \times 500\text{ nm}$ (b)) and the IR intensity map at $1,447\text{ cm}^{-1}$ (strongest absorption band attributed to $\nu(\text{CH})_{\text{phe}}$) of erlotinib-AgNPs. As discussed above, this absorption band was selected as a marker band for the presence of erlotinib (see Fig. 5(c)). Regions with a strong AFM-IR signal are visualized in red, while those with reduced intensity are coloured in blue. The area with the highest enhancement is localized between the nanoparticles, and the areas on the nanoparticles appear to manifest only negligible spectral signals. This phenomenon is even better observed on the $500\text{ nm} \times 500\text{ nm}$ 3D IR map at $1,447\text{ cm}^{-1}$ (see Fig. 5(d)) and suggests that the areas with a strong AFM-IR signal correspond to “hot spots” typically observed in the SEIRA effect.

For the AuNP mono-layer, the same experiments were performed. Like the Ag surface, the AFM-IR spectrum recorded on the Au surface exhibits strong IR absorption at $1,447\text{ cm}^{-1}$. Figure 6 shows the AFM topographies ($1\text{ }\mu\text{m} \times 1\text{ }\mu\text{m}$ (a) and $500\text{ nm} \times 500\text{ nm}$ (b)) of the mono-layer and the 2D and 3D IR maps ((c) and (d), respectively) at $1,447\text{ cm}^{-1}$. For the AuNP mono-layer, the areas with strong enhancements appear to be located not only between the nanoparticles but also on top of some metal nanospheres. This phenomenon does not correspond

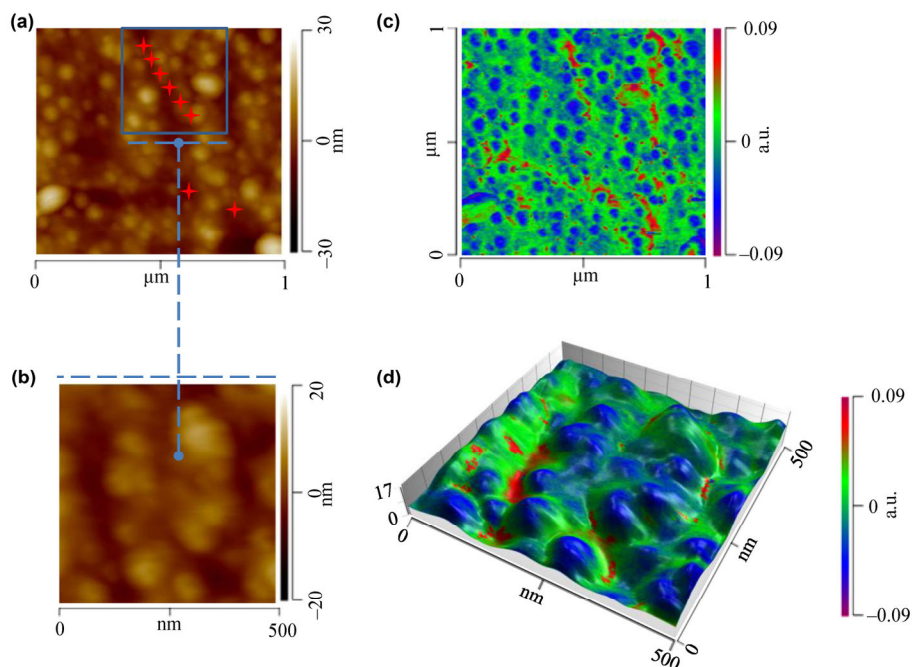


Figure 5 The AFM topographies ($1\text{ }\mu\text{m} \times 1\text{ }\mu\text{m}$ (a), and $500\text{ nm} \times 500\text{ nm}$ (b)) of the AgNPs mono-layer with the adsorbed erlotinib together with the 2D and 3D AFM-IR intensity maps of the bands at $1,447\text{ cm}^{-1}$ ((c) and (d), respectively). The marked points show places from which the spectra were collected.

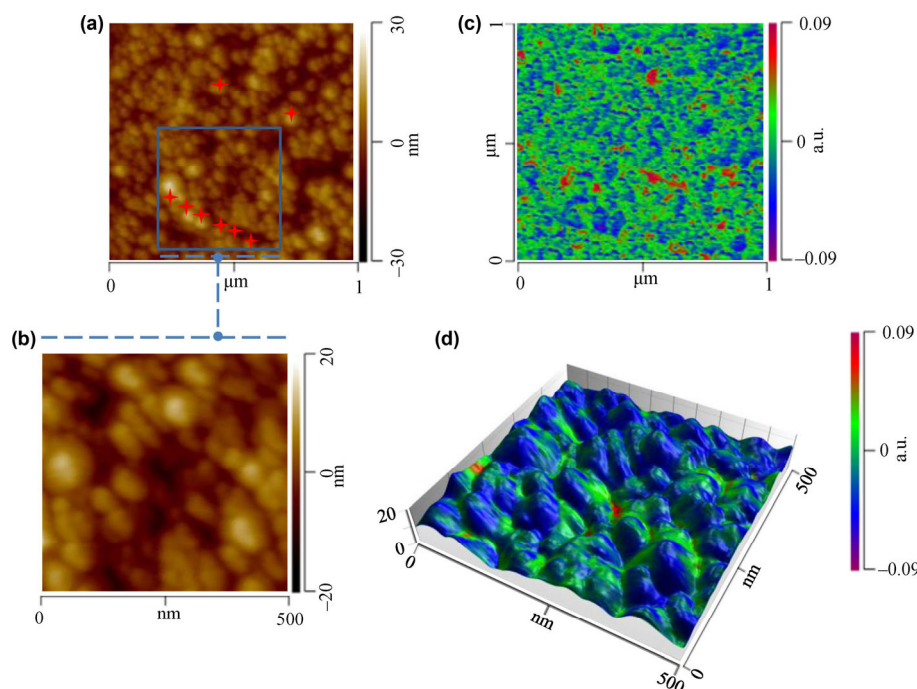


Figure 6 The AFM topographies (1 $\mu\text{m} \times 1 \mu\text{m}$ (a), and 500 nm \times 500 nm (b)) of the AuNPs mono-layer with the adsorbed erlotinib together with the 2D and 3D AFM-IR intensity maps of the bands at 1,447 cm^{-1} ((c) and (d), respectively). The marked points show places from which the spectra were collected.

with the “hot spots” theory discussed above, which indicates that for spherical nanoparticles, the strongest electromagnetic field occurs between the particles. Thus, the regions with a very high intensity visible on the AFM-IR maps of the selected band may not be directly associated with the SEIRA “hot spots”, but they may visualize the drug location. However, it seems that more evidence is needed to support this statement, and different drug/metal nanoparticle mono-layer systems should be tested for further verification.

Another important issue should also be considered. The gold-coated tip together with the gold-coated surface may promote induction of an optical field appearing in the nanogap between them [67]. At the moment, it is difficult to determine the role of the AFM tip in the SEIRA effect discussed within these studies. An experimental approach combining complementary measurements using two different AFM-IR systems—one with illumination from the bottom, where a silicon tip (uncoated) is employed (NanoIR1), and the other with illumination from the top, with a gold-coated tip (NanoIR2)—on the same molecule-metal nanoparticle system should be able to answer the question regarding the hypothetical tip effect.

4 Conclusions

In this study, the first tapping AFM-IR investigations were performed to understand erlotinib adsorption on AgNP and AuNP mono-layers. The AFM-IR spectra collected for the drug alone and after its immobilization on a metal mono-layer exhibit crucial spectral differences, namely, changes in the relative intensities, bandwidths and shifts in their positions. Such phenomena prove that this nanospectroscopy technique in connection with techniques such as SERS can be successfully applied for in-depth molecular adsorption characterization. Additionally, the obtained results match very well with previously published data for this drug adsorbed on AgNPs dispersed in suspensions [44]. This fact is also important because the metal surface selection rules used for adsorption geometry interpretation of typical SEIRA spectra, which are commonly known

and well characterized [8, 9], provided reliable conclusions for the AFM-IR technique. These results prove the statement that the AFM-IR technique used to investigate molecules adsorbed on the roughened metal nanostructure could be considered a nanospectroscopy technique sensitive to the SEIRA effect. Based on the obtained data, we indicate that erlotinib interacts with the AgNP mono-layer via the phenyl ring and methoxy moiety. However, the quinazoline, amino, and ethoxy groups are situated farther from the mono-layer and do not interact directly with the NPs. For the drug immobilized on the AuNP mono-layer, another slightly different spectral pattern was observed. Here, erlotinib molecules adsorb through the phenyl ring and the quinazoline moiety. The amino, methoxy, and ethoxy groups exhibit less of an interaction with this metal mono-layer.

Additionally, the corresponding AFM-IR maps (for erlotinib-AgNPs and erlotinib-AuNPs) show areas with very strong signals. Do these places correspond either to the typical “hot spots” observed for the SERS and SEIRA techniques or to drug distribution? The obtained data may suggest that the strong enhancement is associated with the localization of the drug—preferentially between the nanoparticles for the AgNP mono-layer, but more homogenous for the AuNP mono-layer. However, more AFM-IR investigations of different drug/metal nanoparticle mono-layer systems have to be performed in order to support this statement. Simultaneously, the question regarding the role of the gold-coated tip applied in the considered measurements is still open, and as discussed above, a more comprehensive experimental approach to explain the hypothetical tip effect is needed.

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