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### Current state and perspectives of checkpoint inhibitors in ovarian cancer treatment

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Summary The introduction of checkpoint inhibitors (CPI) has set a paradigm shift within the therapies for a variety of advanced solid tumors. By altering key regulators of cellular immune response, so-called immune checkpoints, CPIs modulate peripheral cancer immune tolerance to induce cancer-targeted immune reactions. Response rates, however, vary significantly between different solid tumor types. A certain efficacy of CPIs has been described for gynecological malignancies, as the KEYNOTE-028 study reported an objective response rate (ORR) of 13.0% (95% confidence interval [CI] 2.8-33.6) for endometrial and the Check-Mate-358 study an ORR of 26.3% (95% CI 9.1-51.2) for cervical cancer. With respect to epithelial ovarian cancer (EOC), recent evidence suggests only modest response, as the largest study to date by Matulonis et al. reported in 2019 that pembrolizumab induced an ORR of only 8.0% in 376 patients with EOC. Thus, latest clinical data indicate EOC to be rather "immunologically cold", most likely due to both an inherently low tumor mutational burden (TMB) and a subsequently limited cellular antigen presentation.

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As CPI monotherapy therefore seems to be of limited clinical significance, ongoing clinical trials moved to combine CPI with PARP inhibitors and/or with antiangiogenic agents to elucidate possible synergistic antitumoral effects of these combinations. Despite promising preliminary data, the large phase 3 trials are still ongoing. To date, CPI monotherapy in OC remains highly experimental and is only to be administered within clinical studies and a highly selected group of patients.

**Keywords** Immune therapy  $\cdot$  Ovarian cancer  $\cdot$  Checkpoint inhibition

#### **Abbreviations**

CPI	Checkpoint inhibitor
CPS	Combined positive score
CTLA-4	Cytotoxic T lymphocyte antigen 4
EOC	Epithelial ovarian cancer
iRAEs	Immune-related adverse events
ORR	Objective response rate
PD-1/PD-L1	Programmed death receptor 1
TMB	Tumor mutational burden

### Rationale of responsiveness to checkpoint inhibitor therapy

To date, monoclonal antibodies targeting either the PD-1/PD-L1 (programmed death receptor 1) or the CTLA-4 (cytotoxic T lymphocyte antigen 4) pathway have entered clinical trials for epithelial ovarian cancer (EOC). Both PD-1 and CTLA-4 are T-lymphocyte-specific surface receptors which induce a negative feedback loop upon activation. Physiologically, these mechanisms prevent uncontrolled T-lymphocyte pro-liferation and mediate T-lymphocyte activation to be only transient. Whereas PD-1 binds a tissue-specific ligand (PD-L1) native to human cells, which is also

expressed by solid tumors to evade host immune response, the exact mechanism of CTLA-4 action remains controversial. As part of immune-evasive strategies, however, tumor cells may pathologically downregulate PD-1/PD-L1 and/or CTLA-4 pathways, thereby impeding local immune response, which may allow tumor cells to thrive. Blocking either PD-1/PD-L1 or CTLA-4 by monoclonal antibodies may thereby potentiate the host immune response to cancer antigens, leading to clinical antitumor activity [1].

The responsiveness of EOC to checkpoint inhibitor (CPI) therapy, however, proved to be limited compared to other solid tumors. Recently, exploratory cancer genomics have suggested the tumor mutational burden (TMB) as an important biomarker of CPI therapy response: high TMB, which is usually found in tumors subjected to high carcinogen exposure, was associated with an increased inherent immunogenicity, as novel mutations may generate neoantigens that are not subjected to immune tolerance. As such, this may allow for an adaptive immune response [2]. Following this rationale, EOC may inherently not appear to be an ideal target for CPI monotherapy [3].

Trials combining CPIs with other targeted therapies have therefore moved to the center of clinical interest to create possible synergistic effects. PARP inhibitors seem to multiply TMB by amplifying DNA damage. Since DNA damage response is constitutively active in many solid tumors, PARP inhibition leads to an accumulation of cytoplasmatic DNA, which subsequently activates the STING pathway, attracting natural killer and T-lymphocytes. Thus, an immunogenic tumor microenvironment may be recreated, potentially improving CPI responsiveness in the case of combination with a PARP inhibitor [4].

Moreover, abnormal angiogenesis has been reported to compromise both the number of tumor infiltration lymphocytes and their functionality, most likely due to impaired extravasation of T-lymphocytes and by impeding the maturation of dendritic cells. Preclinical models have demonstrated upregulation of checkpoint molecules by VEGF inhibition. Therefore, a combination of CPI with antiangiogenic agents may more effectively block the PD-1/PD-L1 pathway than CPI monotherapy alone [5, 6].

# Activity of checkpoint inhibitor monotherapy for EOC

Table 1 provides an overview of recent CPI studies targeting and blocking either the PD-1/PD-L1 pathway (pembrolizumab, durvalumab, atezolizumab, avelumab) or the CTLA-4 pathway (ipilimumab) in EOC. The results for CPI monotherapy revealed controversial results in EOC so far.

One of the most prominent and most studied CPIs is the PD1 inhibitor pembrolizumab. Its efficacy was evaluated in the KEYNOTE-100 study, which com-

prised 376 patients with both recurrent platinumsensitive and platinum-resistant diseases receiving pembrolizumab (200 mg q3w). Primary endpoint was the objective response rate (ORR) stratified by PDL-1 expression levels. ORR differed according to the combined positive score (CPS) <1: ORR 5.0% (95% CI 2.0–10.0%); CPS >1: ORR 10.2% (95% CI 6.3–15.2%); CPS >10: 17.1% (95% CI 9.7–27.0%). Stratification of response according to CPS cut-offs may be of future interest both for patient selection and for prediction of therapy response, even though larger trials will be necessary to validate these results [9].

In contrast, two large-cohort randomized controlled phase 3 trials investigating the activity of avelumab were recently reported to be negative. Whereas the phase 1b JAVELIN Solid Tumor Trial reported response rates to avelumab (10 mg/kg q2w) comparable to other monotherapeutic CPI studies with an ORR of 9.6% (95% CI 5.1-16.2) and a PFS of 2.6 months (95% CI 1.4-2.8), two subsequent largecohort phase 3 combined studies were negative. The JAVELIN Ovarian 100 trial investigated the activity of avelumab in combination with carboplatin/ paclitaxel in the first-line treatment in 998 patients with advanced EOC. The trial was, however, terminated during an interim analysis for not meeting a significant increased PFS as primary outcome [13]. Final results of the JAVELIN Ovarian 200 trial assessing activity of avelumab (10 mg/kg q2w) compared to PLD (40 mg/m<sup>2</sup>) in 556 patients with recurrent platinum-resistant EOC also showed no superiority of CPI treatment [14]. The recruitment of the JAVELIN Ovarian PARP 100 study, which was meant to have assessed the activity of both avelumab and the PARP inhibitor talazoparib combined with chemotherapy in the primary treatment of EOC, was subsequently halted.

Other recent phase 1 and 2 monotherapy studies of three PD1/PD-L1 inhibitors (nivolumab, pembrolizumab, avelumab) reported modest ORRs ranging from 8.0 to 22.0% and a median PFS of 1.9 to 8.2 months, further questioning the efficacy of CPI monotherapy in EOC patients [9, 15, 16]. However, results of only few clinical trials combining CPIs with antiangiogenic agents and/or PARP inhibitors have been published to date [11, 12]. Of note, the most important large trials are still ongoing.

# Activity of combination therapies including checkpoint inhibitors for EOC

The concept of combining CPI with PARP inhibitors was investigated in the phase 2 TOPACIO/Keynote-162 study. Sixty-two patients received niraparib (200 mg daily) and pembrolizumab (200 mg q3w) for recurrent EOC, reporting an ORR of 18.0% (90% CI 11.0–29.0%) with a PFS of 3.4 months (95% CI 2.1–5.1). However, no resilient biomarker for therapy response could be defined. BRCA, HRD, and PD-L1 expression

Author	Study name	Phase	Agent/target	Size	Patient cohort	ORR	PFS
Hamanishi et al. (2015) [7]	(UMIN000005714)	2	Nivolumab 1 or 3 mg/kg q2w (PD-1)	n=20	Platinum-resistant	15.0% (95% Cl 3.2–37.9)	3.5 months (95% Cl 1.7–3.9)
	Expansion Study (NCT01375842)	1a	Atezolizumab 0.3–15 mg/kg qw3 (PD-L1)	<i>n</i> =12	-	22.0% (not reported)	2.9 months (95% Cl 1.3–5.5)
Varga et al. (2019) [8]	KEYNOTE 028 (NCT02054806)	1b	Pembrolizumab 10 mg/kg q2w (PD-1)	n=26	Progression under previ- ous therapy, PDL1 positive	11.5% (2.4–30.2)	1.9 months (95% Cl 1.8–3.5)
Matulonis et al. (2019) [9]	KEYNOTE-100 (NCT02674061)	2	Pembrolizumab 200 mg q3w (PD-1)	n= 376	Platinum sensitive or resistant	8.0% (95% Cl 5.4–11.2)	2.1 months (95% Cl 2.1–2.6)
Disis et al. (2019) [10]	JAVELIN Solid Tumor NCT01772004	1b	Avelumab 10 mg/kg q2w (PD-L1)	n= 125	Platinum sensitive or resistant	9.6% (95% Cl 5.1–16.2)	2.6 months (95% Cl 1.4–2.8)
	NCT01611558	2	lpilimumab 10 mg/kg q3w (CTLA-4)	<i>n</i> =40	Platinum-sensitive	10.3% (95% Cl 2.9–34.2)	Results pending
Konstantinopoulos et al. (2019) [11]	TOPACIO/ Keynote-162 NCT02657889	1/2	Niraparib 200 mg daily + pembrolizumab 200 mg q3w (PD-1)	n=62	Platinum-resistant	18.0% (90% Cl 11.0–29.0)	3.4 months (95% Cl 2.1–5.1)
Drew et al. (2019) [12] <i>(Abstract)</i>	MEDIOLA NCT02734004	2	Olaparib 300 mg BID + durvalumab 1500 mg q4w (PD-L1)	n=32	BRCA mutated	71.9% (95% Cl 53.3–86.3)	11.1 months (95% Cl 8.2–15.9)

 Table 1
 Current trials investigating response to checkpoint inhibitor therapy in patients with advanced recurrent epithelial ovarian cancer

ORR objective response rate, PFS progression free survival, Cl confidence interval, PD-1 programmed death receptor 1, PD-L1 programmed death receptor 1 ligand, CTLA-4 cytotoxic T lymphocyte antigen 4

were not ascertained as reliable predictive biomarkers [11].

Preliminary results of the phase 2 basket trial MEDIOLA assessing activity of olaparib (300 mg BID) and durvalumab (1500 mg q4w) in 32 recurrent BRCAmutated, platinum-sensitive EOC patients report a highly promising ORR of 71.9% (95% CI 53.3–86.3%) with a median PFS of 11.1 months (95% CI 8.2–15.9) [12]. As the PARP inhibitor is particularly active in the cohort of BRCA-mutated patients, it is difficult to estimate the additional benefit of durvalumab for patients in this trial.

Another phase 2/3 trial, the NRGGY009 (NCT0283 9707), was suspended after an interim analysis, having investigated atezolizumab and/or bevacizumab in combination with PLD in a recurrent platinum-resistant setting. Final results have not been published to date. A triple therapy of durvalumab (1120 mg q3w), olaparib (300 mg BD) and bevacizumab (15 mg/kg) is currently being assessed in the DUO-O phase 3 study (NCT03737643) in first-line therapy of advanced EOC [17], whereas the phase 2 OPAL study (NCT03574779) is investigating the activity of the PD-1 inhibitor TSR-042 (500 mg q3w) combined with niraparib (200/300 mg daily) and bevacizumab (15 mg/kg) in a recurrent setting.

Important ongoing phase 3 studies include the IMaGYN050 (NCT03038100) and the ATHENA trial (NCT03522246), which are assessing the response to

atezolizumab/bevacizumab and nivolumab/rucaparib combined with platinum-based chemotherapy in first-line treatment, respectively. These trials will further elucidate the value of combining CPIs with PARP inhibitors and/or antiangiogenic drugs, such as bevacizumab or cediranib.

### Safety signals and therapy-related toxicity

The treatment with PD1/PD-L1 and CTLA-4 inhibitors brought a new profile of toxic effects to the field of gynecologic oncology. Typical CPI-associated side effects arise from T cell over-activation, leading to T cell infiltration of various organ systems and thereby autoimmune-like symptoms or "immunerelated adverse events" (irAEs). Typically, the majority of these side effects are mild to moderate. According to a recent meta-review, most frequent irAEs comprise diarrhea (9.5%, 95%CI 8.4-10.6), hypothyroidism (6.1%, 95%CI 5.4-6.9), AST increase (3.4%, 95%CI 2.9-3.9), vitiligo (3.3%, 95%CI 2.8-3.8), ALAT increase (3.1%, 95%CI 2.7–3.6), hyperthyroidism (2.8%, 95% CI 2.4-3.3) and pneumonitis (2.8%, 95% CI 2.4–3.2). Some patients, however, may experience grade 3 or higher irAEs, including AST increase (0.8%, 95%CI 0.6-1.0), ALT increase (0.7%, 95%CI 0.5-0.9), pneumonitis (0.7%, 95%CI 0.5-0.9) with a very small share (0.45%) dying of treatment-related sequelae. Among those patients, pneumonitis was the most

frequently reported cause of death [18]. Of note, blocking of CTLA-4 was associated with higher AE rates than PD1/PD-L1 inhibition due to a more global and less targeted activation of T cells [19].

Detailed organ-specific guidelines for the management of CPI-treatment-related toxicities have been published by the ASCO. Following these recommendations, CPI therapy may be continued under close monitoring for grade 1 toxicities, whereas therapy should be temporarily paused for grade 2 toxicities. CPI therapy may be continued after remission of grade 2 symptoms with the possibility of administering corticosteroid. Starting with grade 3 toxicities, therapy is to be halted and corticosteroids should be applied over a course of at least 4-6 weeks (prednisone or methylprednisolone 1-2 mg/kg/day, respectively). Therapy should be permanently terminated in case of grade 4 toxicities with the exception of endocrine dysfunctions controllable by hormone replacement [20].

### Biomarkers for checkpoint inhibitor response in EOC

To date, the combined positive score (CPS), a quantifier of PD-L1 expression, appears to be the most precise predictive biomarker for CPI response. As evaluated by Matulonis et al. in 2019, ORRs for CPI treatment in EOC patients increase from 5.0% at a CPS <1 up to 17% at a CPS >10. The most important limitation of the CPS is its lack of a homogenous validation and staining results interpretation due to missing standardization and use of different staining antibodies. Potential future biomarkers for CPI response in EOC may include both BRCA and microsatellite status: BRCA-mutated EOCs were associated with a higher TMB, more tumor-infiltrating leukocytes and higher PDL-1 expression. Moreover, as suggested by Wieser et al. in 2018, PD-L1 expression may be controlled by interferon-gamma, which in return is affected by both TP53 and BRCA mutation status. These findings, however, are to be considered highly experimental and clinical studies will be necessary to confirm these preliminary results [9, 21-23].

#### Take home message

Early clinical trials investigating monotherapy for EOC reported CPIs to be a safe treatment without additional safety flags. Efficacy results for CPI monotherapy, however, remain controversial as response rates seem to be rather modest. Ongoing phase 3 trials combining CPI with antiangiogenic agents and/or PARP inhibitors will further elucidate possible synergistic effects to enhance CPI therapeutic efficacy in EOC patients. Modestly responsive solid tumors such as EOC particularly emphasize the urge to define resilient predictive biomarkers as this would improve the selection of patient subsets who will likely benefit from CPI treatment in the future.

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