Hydrocarbon generation from lacustrine shales with retained oil during thermal maturation

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Abstract

Thermal maturation in the shale oil/gas system is inherently complex due to the competitive interplays between hydrocarbon generation and retention processes. To study hydrocarbon generation characteristics from shales within different stages of thermal maturation under the influence of retained oil, we performed Micro-Scale Sealed Vessels (MSSV) pyrolysis on a set of artificially matured lacustrine shale samples from the Shahejie Formation in the Dongpu Depression in Bohai Bay Basin, China. Experimental results show that hydrocarbon yields of shale samples with or without retained oil at various thermal maturities follow different evolution paths. Heavy components (C_{15+}) in samples crack at high temperatures and generally follow a sequence, where they first transform into C_{6-14} then to C_{2-5} and C_1 . Methane accounts for most of the gaseous products at high temperatures in all samples, with different origins. The cracking of C_{2-5} is the main methane-generating process in samples with retained oil, whereas the source of methane in samples without retained oil is kerogen. In the studied shales, retained oils at early-mature stage retard the transformation of liquid to gaseous hydrocarbon and prompt the cracking of C_{2-5} to C_1 to some extent. TSR reaction related to gypsum in the studied samples is the primary reason that can explain the loss of hydrocarbon yields, especially at high temperatures. In addition, transformation of volatile hydrocarbons to gas and coke also accounts for the loss of generated hydrocarbon, as a secondary factor.

Keywords MSSV pyrolysis · Hydrocarbon generation · Lacustrine shales · Thermal maturation · Retained oil

1 Introduction

Hydrocarbon generation has been a topic of long-standing interest of petroleum geologists, and researchers have earned a lot of achievements in the last few decades (Tissot and

Welte 1978; Lewan and Roy 2011; Sondergeld et al. 2013). The general scheme proposed by Tissot and Welte (1978) describing hydrocarbon formation during burial of source rocks has been widely accepted, and numerous studies were carried out on this basis. In addition, techniques have been improved greatly these years to analyze hydrocarbon generation from source rocks. Rock–Eval pyrolysis, gold tube pyrolysis, Micro-Scale Sealed Vessels (MSSV) pyrolysis, semi-closed and closed-system hydrous pyrolysis are more and more common in laboratories (Lewan and Roy 2011; Behar et al. 1992; Horsfield et al. 1989; Peters et al. 2006; Wu et al. 2016). By heating organic matter at high temperatures for short times, these techniques can successfully simulate hydrocarbon generation processes that occur at lower temperature and rather long time in geological conditions (Spigolon et al. 2015). Thanks to the efforts that researchers have taken, we have a generally clear understanding on the properties of kerogens and hydrocarbon products at all stages of thermal maturation. Also, differences of hydrocarbon generation characteristics among kerogens from

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various sedimentary settings have been recognized (Braun et al. 1991; Burnham 1989).

Nowadays, as shale oil and gas, which are hydrocarbons retained in source rocks, are gaining increasingly important positions in fuel energy. The relationship between hydrocarbon generation, retention and expulsion has become an issue receiving much attention (Jia et al. 2014; Han et al. 2015; Ziegs et al. 2017). None of these three processes can be considered as independent, because they interact with each other during thermal maturation. Some studies report that hydrocarbon expulsion efficiency plays an important role on resource abundance in a shale oil/gas layer (Jarvie et al. 2007; Jia et al. 2014; Li et al. 2015). Petroleum geologists have found that retained oil in shales influences not only the gas generation potential at high maturities, but also the chemical compositions of gaseous products (Gai et al. 2015; Pan et al. 2012). In addition, researchers have stated that oil and gas released during hydrocarbon generation may attach to kerogens (Erdmann and Horsfield 2006; Mahlstedt et al. 2008; Vu et al. 2008). This recombination between hydrocarbon and kerogen structures at low thermal maturity can affect hydrocarbon generation at high maturity in different ways, depending on the types and thermal maturities of organic matters. Gai et al. (2015) used mixtures of immature kerogens and artificially matured oils in their pyrolysis experiments. The results suggested that interactions between kerogens and residual oils during hydrocarbon generation do not change total gas generation potential. Pan et al. (2012) conducted closed-system pyrolysis in gold tubes using mixed oil and pyrobitumen and suggested that existence of pyrobitumen can prompt methane generation by oil cracking. Compared to siliciclastic sandstones and carbonate rocks, shales have more diverse chemically unstable minerals, such as clay minerals, gypsum, halite. These inorganic minerals may also play a part in hydrocarbon generation by reacting with fluids or kerogens in shales. It is documented in some laboratory works that rock fabric can catalyze hydrocarbon generation (Rahman et al. 2018; Shao et al. 2018a, b). Overall, hydrocarbon generation in a shale play can be quite a complicated story. More works are needed on issues related to how exactly do organic and inorganic components in shales act during thermal maturation.

On the basis of previous studies, we tried to work out how retained oils with different thermal maturities affect the late hydrocarbon generation. By conducting MSSV pyrolysis experiments on shale samples with or without retained oil, the purpose of this research is attained from three aspects: (1) investigating hydrocarbon generation characteristics of a lacustrine shale throughout the thermal maturation process; (2) finding out the compositional differences between hydrocarbons generated from extracted and unextracted samples; and (3) studying how hydrocarbon yields from samples within different thermal maturity evolve when heating to

different temperatures. In addition, to answer whether inorganic minerals affect hydrocarbon generation of lacustrine shales, we also use a kerogen sample for comparative study. Results from this study are expected to provide theoretical guidance in oil resource assessment of lacustrine shales.

2 Samples and experiments

2.1 Sample preparation

An immature shale core sample from the third member of Eocene Shahejie Formation (Es_3) in the Dongpu Depression in the Bohai Bay Basin, China, is used for sample preparation in this study. This sample has a burial depth of 1777 m. The Es_3 is considered as a major shale oil–gas exploration target in the Dongpu Depression. It is dominated by laminated organic-rich shales and gypsum-salt beds deposited in semi-deep and deep lacustrine settings (Shao et al. 2018a, b). The sample consists mainly of clay minerals (42.0 wt%) and quartz (25.1 wt%), as well as gypsum (15.0 wt%), carbonate minerals (calcite: 4.7 wt%; dolomite: 5.7 wt%), pyrite (6.5 wt%) and halite (1.1 wt%). The sample has a total organic carbon (TOC) content of 2.13 wt%, a measured vitrinite reflectance (R_o) of 0.46% (Table 1), and contains dominantly type I kerogens with minor type III kerogens. Geological settings and detailed sample information are documented in Shao et al. (2018a, b, 2020). In addition, bitumen is found in this sample, which could be mixture of migrated-in oil and early generated bitumen according to the saturates, aromatics, resins and asphaltenes (SARA) compositions (Table 1).

Fifty grams of the sample was treated with HCl and HF to obtain kerogen. Remnant shale sample was separated into three aliquots and crushed into fragments. Two aliquots of the shale fragments were heated in a semi-open hydrous pyrolysis system at 325 °C and 350 °C isothermally for 48 h, respectively. By simulating hydrocarbon generation and expulsion from organic matters, semi-open hydrous pyrolysis can reflect complex thermal maturation process under geological conditions. To set boundary conditions in the semi-open hydrous pyrolysis, we referred to burial and thermal history of the area where the studied sample is from. Data were provided by Sinopec Zhongyuan Oilfield Company. In this way, oil-bearing samples with different thermal maturities, which can generally reflect hydrocarbon generation and expulsion in geological conditions, were obtained (Shao et al. 2020). Next, heated samples were crushed into powders (about 100 mesh), and each aliquot of samples was further divided into two aliquots, with one aliquot subjected to solvent extraction (dichloromethane, 72 h) to remove the retained oil in samples. SARA compositions in extracts were separated using column chromatography. Heated extracted samples were also treated with HCl and HF to

Table 1 Organic geochemical parameters of samples used in the MSSV pyrolysis experiment

	Sample0-un	Sample0-ex	Sample325-un	Sample325-ex	Sample350-un	Sample350-ex	Sample-k
TOC, wt%	2.13	1.95	2.52	1.44	2.24	1.35	19.66
S2, mg/g	7.39	7.17	8.13	1.07	4.96	0.59	67.55
S1, mg/g	0.88	—	1.95	—	1.76	—	—
Retained oil*, mg/g	1.10	—	9.01	—	6.13	—	—
Saturate, %	41.74	—	34.80	—	45.19	—	—
Aromatic, %	9.61	—	14.42	—	13.78	—	—
Resin, %	39.04	—	27.27	—	25.64	—	—
Asphaltene, %	9.61	—	23.51	—	15.38	—	—
R_o , %	—	0.46	—	1.37	—	1.62	—

— no data

*Retained oil = $S1_{unextracted} + S2_{unextracted} - S2_{extracted}$ (Han et al. 2015)

obtain kerogen for R_o measurement. R_o values of sample and SARA compositions of retained oils can be found in Table 1.

2.2 MSSV pyrolysis and products analysis

MSSV pyrolysis was performed on the above-mentioned samples (two unheated whole-rock samples, four heated whole-rock samples and one kerogen sample). Apparatus and procedure of the MSSV pyrolysis are described in detail in the work of Horsfield and Dueppenbecker (1991). Here, 4–5 mg whole-rock samples or < 1 mg kerogen samples were loaded into glass tubes. Clean silicon sands were added in tubes to fill in void volumes. The glass tubes were sealed with H_2 flame and heated in a MSSV Sample Preparation Oven from room temperatures (23 °C) at a rate of 2 °C/min. The target heating temperatures for Sample-k, Sample0-un, Sample0-ex, Sample 325-un and Sample325-ex are 350, 375, 400, 425, 450, 475, 500, 525, 550, 575, 600 °C, while for Sample 350-un and Sample350-ex are 375, 400, 425, 450, 475, 500, 525, 550, 575, 600 °C. Glass tubes were removed from the oven once they reached target temperatures and cooled down.

Generated hydrocarbon products from MSSV pyrolysis were quantitatively analyzed using an Agilent GC 7890B gas chromatograph (GC) apparatus equipped with a flame ionization detector (FID). Glass tubes were cracked open by a piston device in helium atmosphere at 300 °C, and pyrolysis products are able to transferred into a liquid N_2 cold trap for collection. These products were then released by heating the GC oven. The GC oven temperature was programmed to held at 40 °C for 13 min and then increases toward 320 °C with a heating rate of 5 °C/min, and isotherm time is 25 min for final temperature. At the first 9 min of the program, liquid N_2 was used to keep trap temperature lower than boiling points of most hydrocarbons, while only methane could come out from the trap. Liquid N_2 was removed afterward for the release of other hydrocarbon products. Hydrocarbon

components are identified and quantified with GC ChemStation© software from Agilent Technologies.

3 Results

Yields of generated hydrocarbons during MSSV pyrolysis are summarized in Table 2, and details are described in the following sections.

3.1 Pyrolysis products from the unheated samples

The cumulative yields of total hydrocarbon products and different fractions including C_1 , C_{2-5} , C_{6-14} and C_{15+} from Sample0-ex and Sample0-un are shown in Fig. 1. Total hydrocarbon yields of both samples reach their maximum at about 550 °C (temperature of maximal yields cannot be told exactly because there are 25 °C gaps between individual heating temperatures). The total yield from Sample0-un increases progressively to 177.85 mg/g TOC with temperature increasing toward 550 °C. In comparison, the total yield from Sample0-ex displays a sharp increase from 33.09 mg/g TOC to 136.84 mg/g TOC in temperature range of 500 °C–550 °C. C_1 yield of Sample0-un reaches a maximum at 575 °C and then decreases slightly, while that of Sample0-ex maximizes at 550 °C and drops sharply at higher temperature. For Sample0-un, maximal yield of C_{6-14} appears at lower temperature than C_{2-5} components, while the evolution trends of C_{2-5} and C_{6-14} from Sample0-ex are similar. C_{15+} products of Sample0-un reach the maximal value at 425–450 °C, while the evolution of C_{15+} yields from Sample0-ex shows a flat curve throughout the heating series.

Histograms showing percentages of hydrocarbon products from Sample0-un and Sample0-ex have generally similar appearance with C_1 and C_{15+} . C_1 increases and C_{15+} decreases with increasing temperature, which indicates that the hydrocarbon products tend to transform from high

Table 2 Hydrocarbon yields of the unheated and artificially matured shale samples in the MSSV pyrolysis experiment

Temperature, °C	Yield, mg/g TOC					Calculated yield from per unit retained oil, mg/g Oil*								
	Total	C ₁	C _{2–5}	C _{6–14}	C ₁₅₊	Total	C ₁	C _{2–5}	C _{6–14}	C ₁₅₊	C ₁	C _{2–5}	C _{6–14}	C ₁₅₊
Sample0-un						Sample0-ex								
350	8.42	0.07	1.05	0.25	7.05	4.92	0.97	0.33	0.43	3.18				
375	7.38	1.24	0.52	0.76	4.85	10.15	2.09	0.64	1.54	5.90				
400	17.78	2.63	0.50	1.58	13.06	7.11	0.61	0.52	1.54	4.44				
425	45.66	8.24	1.37	10.26	25.79	18.13	4.54	1.14	6.55	5.90				
450	63.65	18.27	4.69	17.06	23.63	20.94	8.59	0.83	7.32	4.21				
475	84.71	31.05	10.58	31.01	12.05	27.16	11.37	3.76	10.60	1.43				
500	142.20	86.40	15.26	35.09	5.44	33.09	23.80	0.97	7.96	0.35				
525	166.20	99.58	26.44	33.83	6.35	97.26	59.64	12.61	21.59	3.42				
550	177.85	117.00	23.18	27.70	9.96	136.84	88.56	17.03	28.97	2.27				
575	170.73	132.02	12.43	21.50	4.78	84.78	61.37	6.23	15.83	1.35				
600	149.64	126.07	11.43	4.57	7.57	67.52	49.21	10.27	2.95	5.08				
Sample325-un						Sample325-ex								
350	24.56	1.21	0.30	1.68	21.37	1.08	0.16	0.47	0.15	0.29	3.11	0.08	4.46	59.32
375	23.11	0.59	0.16	1.00	21.35	1.98	0.82	0.27	0.52	0.37	0.36	0.03	1.95	59.13
400	24.18	1.42	0.47	2.08	20.22	3.79	1.67	0.73	1.39	0.00	1.29	0.16	3.59	56.55
425	31.52	4.83	1.00	5.32	20.38	3.50	1.79	0.46	0.99	0.27	10.65	2.06	13.29	56.56
450	51.77	12.60	7.23	14.19	17.75	4.75	2.84	0.69	1.21	0.00	30.70	19.10	37.76	49.63
475	83.98	44.46	4.62	28.04	6.86	9.35	6.78	0.95	1.62	0.00	113.50	11.41	75.82	19.20
500	100.85	56.80	15.83	24.19	4.04	12.26	9.20	1.48	1.57	0.00	144.15	41.91	65.13	11.29
525	104.48	75.74	6.52	20.60	1.63	21.04	16.94	1.93	2.17	0.00	184.75	15.15	54.14	4.55
550	109.77	79.91	9.28	19.38	1.20	24.87	20.14	2.71	2.02	0.00	191.31	21.62	50.99	3.37
575	76.65	62.62	3.14	10.09	0.80	12.17	10.71	1.08	0.38	0.00	158.02	7.06	27.61	2.25
600	58.50	48.56	3.85	5.72	0.37	12.81	11.07	1.42	0.32	0.00	118.13	8.49	15.48	1.05
Sample350-un						Sample350-ex								
375	24.13	0.39	0.24	0.55	22.96	6.13	0.73	0.51	0.42	4.48	<u>−0.19</u>	<u>−0.26</u>	1.08	74.05
400	21.62	1.64	0.27	1.76	17.95	5.15	1.24	0.61	0.89	2.41	3.27	<u>−0.36</u>	4.47	60.27
425	25.50	2.52	0.42	4.28	18.28	6.58	2.56	1.36	1.69	0.97	3.56	<u>−1.44</u>	11.90	64.67
450	27.32	7.47	2.49	8.58	8.78	6.79	4.08	1.31	1.40	0.00	18.32	6.22	28.27	32.07
475	32.70	17.00	2.99	11.01	1.70	13.59	9.14	1.87	2.58	0.00	41.98	6.79	34.57	6.23
500	59.49	41.42	4.08	12.36	1.63	14.16	11.19	1.57	1.41	0.00	126.72	11.45	42.07	5.96
525	63.07	47.13	5.11	10.46	0.37	19.08	14.73	1.61	1.72	1.01	139.79	15.13	34.42	<u>−0.86</u>
550	66.27	51.92	4.69	9.17	0.49	33.46	27.11	3.59	2.56	0.21	130.01	9.25	27.88	1.32
575	59.49	48.55	3.85	7.08	0.00	30.45	26.69	2.24	1.52	0.00	118.65	9.14	22.52	0.00
600	45.54	39.66	3.12	2.76	0.00	21.31	19.55	1.43	0.34	0.00	101.88	8.27	9.34	0.00
Sample-k														
350	17.92	1.73	0.17	0.68	15.35									
375	34.94	3.65	0.33	2.12	28.83									
400	57.05	6.84	1.72	6.73	41.76									
425	76.23	13.22	5.27	16.67	41.07									
450	105.96	29.69	5.15	24.63	46.49									
475	112.08	36.64	19.27	38.68	17.49									
500	138.70	49.28	42.23	39.26	7.92									
525	173.63	84.71	36.08	40.99	11.85									
550	170.53	99.69	22.18	38.02	10.64									
575	168.45	107.43	19.09	33.18	8.75									
600	156.58	110.03	10.14	26.30	10.11									

Negative values marked with underlines may result from heterogeneity of the studied samples and small loading amounts of samples in each set of heating

*Calculated yield from per unit retained oil = $1000 \times (\text{Yield}_{\text{un}} \times \text{TOC}_{\text{un}} - \text{Yield}_{\text{ex}} \times \text{TOC}_{\text{ex}}) / \text{Retained oil}$

Fig. 1 Cumulative yields and percentages of C₁, C₂₋₅, C₆₋₁₄ and C₁₅₊ from MSSV Pyrolysis of Sample0-un (a) and Sample0-ex (b)

molecular weight into low molecular weight components during thermal maturation. A difference in aspect of the evolution of C₆₋₁₄ is worth noticing. At high-temperature range (> 500 °C), the percentage of C₆₋₁₄ products from Sample0-ex remains stable until about 575 °C, compared to those from Sample0-un which decrease gradually.

3.2 Pyrolysis products from the samples heated to 325 °C

Sample325-un is the one that has the highest retained oil content (9.01 mg/g) among the studied samples, and the R_o datum indicates that this sample is at the transition from oil to gas window. Maximal total and C₁ yields of Sample325-un and Sample325-ex all occur at around 550 °C (Fig. 2). For Sample325-un, yields of C₆₋₁₄ maximize at about 475 °C and C₂₋₅ yields reach the maximum at about 500 °C. Differently, the highest contents of C₂₋₅ and C₆₋₁₄ components from Sample325-ex both appear at about 550 °C, which are higher than those of Sample325-un. C₁₅₊ components of Sample325-ex remain at low contents during the entire heating series (< 0.5 mg/g TOC), indicating a limited generation potential of large molecular

weight hydrocarbons. In contrast, C₁₅₊ components take up most of hydrocarbon yields from Sample325-un at heating temperatures lower than 425 °C, which is from the retained oil in samples. Here, an abrupt decrease of C₁₅₊ yield from 450 °C to 475 °C is notable, accompanied by increasing of low molecular hydrocarbons such as C₆₋₁₄ and C₁.

Histograms of percentages of different hydrocarbon fractions from Sample325-un and Sample325-ex differ significantly from each other (Fig. 2). Light hydrocarbons (C₁₋₅) take predominance in total yields of Sample325-un after the heating temperature is larger than 475 °C, while they are dominant products in the entire heating series for Sample325-ex. The percentage of C₆₋₁₄ from Sample325-un increases as heating temperature increases to 475 °C, and then decreases slightly or remain stable. In Sample325-ex, the percentage of C₆₋₁₄ reaches a maximum at as early as 400 °C and then decreases gradually with increasing temperature. C₁₅₊ is the most important product of yield from Sample325-un at low temperatures and disappears at high heating temperatures. For Sample325-ex, the percentages of C₁₅₊ are low all the way.

Fig. 2 Cumulative yields and percentages of C₁, C₂₋₅, C₆₋₁₄ and C₁₅₊ from MSSV pyrolysis of Sample325-un (a) and Sample325-ex (b)

3.3 Pyrolysis products from the samples heated to 350 °C

Samples heated to 350 °C have the highest thermal maturity ($R_0 = 1.62\%$) among the samples in this study and contain residual hydrocarbon with a content of 6.13 mg/g. Similar to Sample325-un and Sample325-ex, the maximal values of total and C₁ yields from Sample350-un and Sample350-ex appear at around 550 °C (Fig. 3). C₂₋₅ components of Sample350-un increase with increasing temperature toward 525 °C and then remain stable until 600 °C. C₆₋₁₄ fractions from Sample350-un increase with increasing heating temperature till 500 °C and decrease gradually afterward. For Sample350-ex, C₂₋₅, C₆₋₁₄ and C₁₅₊ yield keep remaining in low contents (<5 mg/g TOC). C₁₅₊ yields from Sample350-un are high at low heating temperature and decrease quickly from 4.48 mg/g TOC to 0 mg/g TOC during the heating range of 375 °C–475 °C, accompanied with increases of C₁, C₂₋₅, C₆₋₁₄ yields.

According to the histograms showing percentages of yields of different fractions from Sample350-un and Sample350-ex, some differences can be found (Fig. 3). Percentages of C₂₋₅ yields from Sample350-ex reach a maximum

at about 425 °C, while those from Sample350-un increase till 450 °C and then remain stable. The percentages of C₆₋₁₄ from Sample350-un are overall higher than those from Sample350-ex and reach to a maximum at higher temperature at about 475 °C.

3.4 Pyrolysis products from the kerogen

Compositionally, properties of organic matters in Sample-k are similar to those in Sample0-ex. The only difference between the two samples is that inorganic minerals in Sample-k have been washed out. Evolution of hydrocarbon generation from Sample-k is shown in Fig. 4. Total yield reaches a maximum at about 525 °C, which is earlier than Sample0-ex, and decreases slightly at higher heating temperatures. Unlike whole-rock samples whose C₁ yields decrease at high temperatures, C₁ yield of kerogen keeps increasing and reaches 110.03 mg/g TOC at 600 °C. The maximum of C₂₋₅ fraction occurs around 500 °C, and that of C₆₋₁₄ fraction occurs at about 525 °C. Hydrocarbon yields at low temperatures are dominated by C₁₅₊ fraction. C₁₅₊ yield increases with increasing temperature and reaches a plateau at about 400 °C. It decreases significantly after temperature reaches

Fig. 3 Cumulative yields and percentages of C₁, C₂₋₅, C₆₋₁₄ and C₁₅₊ from MSSV pyrolysis of Sample350-un (a) and Sample350-ex (b)

450 °C. At high-temperature range (> 500 °C), light hydrocarbon fractions are dominant products.

According to the histogram showing the percentage of hydrocarbon yields from Sample-k, ratios of C₁₋₅, C₆₋₁₄ and C₁₅₊ remain unchanged when heating temperatures exceed 500 °C (Fig. 4). The percentages of C₁₋₅, C₆₋₁₄ and C₁₅₊ hydrocarbons at 600 °C are 76.75%, 16.79% and 6.45%, respectively. Also, compared to Sample0-ex, percentages of heavy C₁₅₊ components from Sample-k are obviously higher at low-temperature ranges. The differences between whole-rock samples and kerogen on yields of hydrocarbon products during heating indicate that inorganic rock fabrics could have been involved in hydrocarbon generation during closed-system pyrolysis.

4 Discussion

4.1 Hydrocarbon generation characteristics at different maturity

Depolymerization reaction of kerogen and heavy hydrocarbons, C–C bond cracking of C₆₊ saturated chains, demethylation reaction of aromatic structures and C–C bond

cracking of C₃₋₅ aliphatic chains are four typical processes of thermal cracking of kerogens (Behar et al. 1992). The relative contributions from different hydrocarbon generation reactions through thermal maturation of shale remain a subject undergoing intense study (Tian et al. 2006; Vu et al. 2008; Guo et al. 2009).

MSSV yield of C₁₅₊ hydrocarbon from Sample325-un reduces slowly at low temperatures, followed by rapid decrease with high temperatures, indicating that a thermal maturity threshold is required for the cracking of heavy components. To find out the differences between hydrocarbons generated from retained oils with different thermal maturities, hydrocarbon yields from retained oil were calculated and are listed in Table 2 and Fig. 5. Compared with Sample350-un, retained oil in Sample325-un comprises higher contents of resin and asphaltene. C₁₅₊ yields from retained oil in Sample325-un and Sample350-un are similar. Yields of C₁, C₂₋₅ and C₆₋₁₄ generated from retained oil in samples heated to 325 °C are larger than those from samples heated to 350 °C. This is in agreement with previous studies reporting that resins and asphaltenes are main sources for saturates and aromatics (Ruble et al. 2001; Spigolon et al. 2015). The rapid increase of C₆₋₁₄ fractions generated from retained oil begins at 400 °C, while that of C₁ occurs at 450 °C.

Fig. 4 Cumulative yields and percentages of C₁, C₂₋₅, C₆₋₁₄ and C₁₅₊ from MSSV pyrolysis of Sample-k

Additionally, largest differences between C₆₋₁₄, C₂₋₅, C₁ yields from retained oils in Sample325-un and Sample350-un occur at 550 °C, 500 °C, 475 °C, respectively (dashed lines in Fig. 5). The above reflects that heavy hydrocarbons crack first into C₆₋₁₄ and then gaseous light hydrocarbons during thermal maturation.

C₁₅₊ generation potentials of kerogens in Sample325 and Sample350 are almost depleted according to their measured Ro. Therefore, evolution trends of hydrocarbon yields from Sample325-un and Sample350-un can reflect the cracking of C₁₅₊ hydrocarbons that retained in shales. In general, the ends of rapid decreases of C₁₅₊ hydrocarbons correspond with the peaks of C₆₋₁₄ yields in Sample325-un and Sample350-un, and the peaks of C₂₋₅ yields typically occur at higher temperature than those of C₆₋₁₄ hydrocarbons. Also, these C₂₋₅ peaks in extracted samples appear at higher temperatures than in unextracted samples. Therefore, we could speculate a sequence of heavy hydrocarbon cracking in the studied Es₃ shale and that light hydrocarbons at high-maturity stage are from different origins.

Methane is the main gaseous product for all samples in this study, especially at high thermal maturities. Whether methane is predominately generated by oil cracking or

kerogen cracking has always been a hot research topic, and a lot of studies in laboratory and analyses on field gas samples has been performed (Behar et al. 1992, 2008; Guo et al. 2009; Prinzhofer and Huc 1995; Wang et al. 2013). According to the yield results of unextracted samples in our study, increases of C₁ yields at high heating temperatures are accompanied by decreases of C₂₋₅ yields. In contrast, this is not the same in extracted samples, where both C₁ and C₂₋₅ yields increase or C₂₋₅ yields remain generally stable (Figs. 1, 2, 3). As suggested by previous studies, the process of oil cracking includes (1) long-chain aliphatic hydrocarbons into mainly C₂₋₅ wet gas with a small amount of C₁ at early stage and (2) C₂₋₅ further cracking into C₁ in late stage (Behar et al. 1992; Hill et al. 2003). Therefore, C₁ in extracted samples is mainly generated from primary kerogen cracking, and contribution from the C–C bond cracking of C₂₋₅ is limited. The rapid increases of C₁ yields from unextracted samples at high-maturity range are from both the cracking of kerogen and C₂₋₅, with the latter being the primary.

4.2 Influences of retained oil at early-mature stage on hydrocarbon generation at high-maturity stage

Original organic matters convert into different hydrocarbon fractions during thermal maturation, and kerogens and retained oil in shales act as intermediates in this process (Behar et al. 1992; Guo et al. 2009). Kerogens and oils formed at low-maturity stage can be regarded as sources of further hydrocarbon generation. Interaction between residual oil and kerogens at low-maturity stage is thought to have direct influences on potential and compositional properties of lately generated hydrocarbons (Erdmann and Horsfield 2006; McNeil and BeMent 1996; Dieckmann et al. 2006).

In this study, the ratios of light (C₁₋₅) to heavy (C₆₊) hydrocarbons are applied to study the influences of retained oil in shales at low-maturity stage on hydrocarbon generation at high-maturity stage (Fig. 6). C₁₋₅/C₆₊ ratios of all samples increase slowly below 550 °C and relatively fast at higher temperatures. This is because heavy hydrocarbons are primary products at relative low temperature, and gas is the major product at high temperature. The C₁₋₅/C₆₊ ratios of Sample325-ex and Sample350-ex climb to rather high values rapidly after temperature reach above 550 °C, suggesting that these two samples are more prone to yield gas. The two samples have rather low C₆₊ yields during heating, and thus C₁₋₅ yields can be roughly considered as derived from kerogens. In the classical hydrocarbon generation model proposed by Tissot and Welte (1978), all liquid hydrocarbons are destined to crack into gas at a given temperature. Therefore, it is reasonable to infer that residual oil at

Fig. 5 Hydrocarbon yields from retained oils in Sample 325-un and Sample 350-un: **a** C_1 yield; **b** C_{2-5} yield; **c** C_{6-14} yield; **d** C_{15+} yield

Fig. 6 Evolution of C_{1-5}/C_{6+} (**a**) and C_1/C_{2-5} (**b**) ratios in MSSV pyrolysis

early-mature stage may delay or extend the process of gas release at high-maturity stage in shales.

To figure out whether early retained oil in shales influences cracking of C_{2-5} , the changes of C_1/C_{2-5} during thermal maturation were studied. C_1/C_{2-5} -values of the

whole-rock samples increase from low to high heating temperatures with fluctuations (Fig. 6b), which can be attributable to the contributions from both the generation of C_{2-5} and transformation from C_{2-5} to C_1 . Sample325-un, which contain the highest content of residual oil, has larger C_1/C_{2-5} values than Sample325-ex when heating temperatures exceed 525 °C. Gas products from Sample350-un are slightly dryer than those from Sample350-ex, when heating temperature exceeds 475 °C. This reflected that residual oil may prompt the cracking of C_{2-5} to C_1 , while the effect is not quite distinct. As mentioned in previous studies, the absorption of retained oil into kerogen structures dominated by aromatic rings at low-maturity stage affects the release of methane at high-maturity stage (Gai et al. 2015; Pan et al. 2012; McNeil and BeMent 1996). The unheated sample in this study contains type I kerogens and minor type III kerogens and is thus dominated by aliphatic chains with minor aromatic groups. Kerogens in artificially matured Sample325 and Sample350 contain more aromatic clusters, due to higher thermal maturity. Therefore, changes of kerogen structures during thermal maturation affect the interaction between hydrocarbon products and kerogens and further influence late gas generation.

Hydrocarbon products generated during thermal maturation become progressively enriched in light component with heavy components disappearing gradually. In this process, the residual oil in shales may affect late hydrocarbon generation by buffering liquid hydrocarbons to gas and accelerating the cracking of C_{2-5} to methane moderately.

4.3 Loss of hydrocarbon yields during thermal maturation

A phenomenon worth noticing in our experimental work is that total and C_1 yields show significant decreases at high heating temperatures, which is different from previous work from other researchers (Horsfield and Dueppenbecker 1991; Behar et al. 1992; Li et al. 2018). There are two potential reasons for this: (1) transformation from volatile hydrocarbons to gas and coke; (2) reaction between inorganic materials in rocks and hydrocarbons.

It is widely accepted that hydrocarbons transform to dry gas and coke at postmature stage of thermal evolution of source rock (Tissot and Welte 1978; Horsfield et al. 1992). This is a possible explanation for the reducing yields of C_{2+} hydrocarbons. With regard to methane, which is relatively stable and decomposes only at extremely high temperature over 1000 °C without oxygen, more reasonable explanation needs to be given. Inasmuch as neither did we have temperature above 1000 °C, nor complete isolation from oxygen in the experiment.

As a self-generation and self-accumulation system, a variety of reactions between fluid and rock fabrics take place in

shale reservoir during thermal maturation. Mineral composition as well as rock texture is involved in the processes of hydrocarbon generation and retention in shales, physically and chemically (Rahman et al. 2018; Shao et al. 2018a, b; Lewan et al. 2014). Thermochemical sulfate reduction (TSR) is a thermally driven reaction between hydrocarbons and sulfates and has been reported to be responsible for high H_2S concentrations in many petroleum accumulations (Krouse et al. 1988; Orr 1974; Worden et al. 1995; Cross et al. 2004). Theoretically, the reaction can occur at temperatures exceeding 25 °C (Amurskii et al. 1977; Worden and Smalley 1996; Schenk and Horsfield 1993). In geological conditions, the initiation of the reaction at much higher temperatures is reported, according to studies on petroleum reservoirs having experienced TSR (Krouse et al. 1988; Worden et al. 1995; Machel et al. 1995):

The selected sample in this study contains 15wt% gypsum, which is unstable under high temperature and can be a potential source of sulfur. Therefore, it is plausible to consider that TSR was involved in the experiment and resulted in the decrease of cumulative hydrocarbon yields. To testify the speculation, a comparison is made between Sample-k and Sample0-ex. One of the salient differences between two samples is the evolution of methane yield at high temperature: methane yield from Sample-k increases till 600 °C and tends to be stable, while that from Sample0-ex shows a rapid decrease after reaching its maximum at 525 °C. By looking over the total yields from two samples, we can find that hydrocarbon yields from Sample-k are much larger than those from whole-rock samples. This is a strong evidence suggesting that hydrocarbon was consumed by mineral components in shales during thermal maturation. Figure 7 shows the percentage decreases of C_1 and total yields of the studied samples according to the ratio of final yield to maximum yield. It is interesting that the decreases of C_1 and total yields from Sample350 series are smaller than those from Sample0-ex and Sample325 series. This could be attributed to loss of gypsum during sample preparation by heating samples in semi-open hydrous pyrolysis system. In addition, percentage decreases of hydrocarbon yield in extracted samples are higher than those in unextracted samples, and loss of hydrocarbon yields in Sample0-un is minimal (Fig. 7). Hence, it can be inferred that TSR occurred during our experiments (there may not be enough gypsum for the consumption of larger amount of hydrocarbon products from unextracted samples). Whether this TSR reaction occurs in shale oil/gas layers under geological conditions remains to be examined and further works on natural shale samples are necessary. If TSR has an influence on hydrocarbon generation in shale layers when the burial depth is

Fig. 7 Percentage decreases at high heating temperatures of C₁ (a) and total hydrocarbon (b) yields of the studied samples

large enough, a practical implication from the above is that great caution should be taken when evaluating hydrocarbon resources from deep-buried gypsum-bearing shale system.

5 Conclusions

By conducting MSSV pyrolysis experiments on artificially matured lacustrine shale samples, we studied hydrocarbon generation characteristics of shales with the influence of retained oil. Hydrocarbon yields from samples with or without retained oil at different thermal maturities follow different evolution paths. Total yields of all whole-rock samples reach their maximum at heating temperature of about 550 °C, followed by significant decreases. Maximal total yield of kerogen sample occurs around 525 °C and decreases slightly afterward. Besides, evolutions of C_{2–5}, C_{6–14} and C₁₅₊ yields vary from sample to sample. The cracking of C₁₅₊ in the studied lacustrine shales generally follows a sequence as they first transform into C_{6–14} liquid hydrocarbons and then further crack into C_{2–5} gaseous hydrocarbons. Methane is the major gas product in all samples, and there are different origins. Cracking of C₂₊ is the major origin for methane generation in shales with retained oil, while methane in extracted shale samples is largely contributed from primary kerogen cracking. In the studied lacustrine shale samples, the process of oil transforming to gas is extended by oil retained at low-maturity stage. In addition, retained oil may also slightly prompt the cracking of C_{2–5} to methane. Gypsum in samples is responsible for most of the hydrocarbon loss during heating, especially at high temperatures. Conversion of volatile hydrocarbons to gas and coke can be a secondary factor resulting in the decrease of C₂₊ yield at high heating temperatures.

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