



# Nutritional Assessment and Dietary Interventions in Older Patients with Heart Failure

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## Abstract

**Purpose of Review** Older patients with heart failure (HF) are challenging to manage due to the high prevalence of frailty, polypharmacy, cognitive impairment, and other geriatric conditions. Malnutrition and poor dietary habits are also common and strongly predict adverse outcomes in older patients with HF. Current dietary recommendations for HF primarily advise reducing sodium intake, but randomized studies suggest that excess restriction can lead to adverse outcomes. The optimal dietary pattern, caloric intake, advice for weight management, and strategies for self-care in this population have not been determined. **Recent Findings** Screening tools for malnutrition, including the Geriatric Nutritional Risk Index and Mini Nutritional Assessment, can be used to assess nutritional risk and predict mortality, hospitalization, and functional decline in older patients with HF. The ideal dietary pattern for patients with HF has not been determined, though epidemiologic and observational cohort studies suggest that the Dietary Approaches to Stop Hypertension (DASH) and Mediterranean dietary patterns are reasonable to recommend to many patients. Recent dietary intervention studies highlight the importance of individualized nutritional counseling interventions, maintaining adequate nutritional intake during sodium restriction, and the potential for caloric restriction to improve outcomes in some patients.

**Summary** Nutritional status and dietary intake are prognostically important and potentially modifiable in older patients with HF. Ongoing interventional and translational studies may help define the ideal sodium intake, dietary regimen, and recommendations for weight loss, but more research is needed in this important domain.

**Keywords** Geriatric · Diet · Malnutrition · Sodium · Frailty

## Introduction

By the year 2030, more than 40% of the US population will have some form of cardiovascular disease, with patients over the age of 65 representing a disproportionate percentage of this total [1]. When compared to the younger population, older adults have higher incidence and prevalence of a wide variety of cardiovascular disease states, including coronary artery disease, stroke, and heart failure (HF) [1]. Due to the high

frequency of concurrent frailty, cognitive impairment, polypharmacy, and other geriatric conditions, HF represents an archetype for the emerging field of geriatric cardiology. In patients with HF, dietary sodium “indiscretion” is believed to be a common cause of decompensation and hospitalization. Malnutrition is highly prevalent, often concurrently present with frailty, and is associated with adverse events in patients with HF. Despite the potential importance of these issues, dietary patterns and nutritional status are understudied aspects of HF in older adults.

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## Summary of Current Recommendations and Gaps in Evidence

Dietary recommendations for HF have historically focused on sodium intake [2]. Much of this emphasis stems from the ability of sodium restriction to lower blood pressure [3] and potentially reduce the risk of incident cardiovascular disease

in persons without HF [4, 5]. Nonetheless, dietary sodium restriction remains the most commonly recommended self-care intervention for patients with HF [4]. This advice is largely based on the clinical observation that increased sodium intake can lead to volume retention and HF decompensation [6, 7]. However, sodium restriction can also cause neurohormonal activation and reduced renal perfusion, potentially worsening the underlying HF syndrome [4]. Few studies have adequately characterized the relationship between dietary sodium intake and HF outcomes, and several randomized trials raise troubling concerns [8•, 9, 10•]. Despite these limitations, sodium restriction is recommended by current US guidelines, with advice for persons at risk of developing HF to limit sodium to 1500 mg/day, and in those with prevalent HF, to limit sodium without a definitive recommendation on the amount [2].

Sodium consumption does not occur in isolation, but as part of an overall dietary pattern. Sodium and calorie intake correlate closely in the USA [11], raising the possibility that advice to restrict sodium intake could lead to insufficient nutritional intake overall. Indeed, in one small study in middle-aged patients with HF, 1 week of dietitian-guided adherence to a 2000-mg/day sodium allowance was associated with reduced intake of calories, carbohydrates, fats, calcium, thiamine, and folate [12]. Whether these dietary changes would be harmful over a longer time period is unknown, but it is important to note that malnutrition is a major risk factor for hospitalization, institutionalization, and death in older adults, including in those with HF [13•, 14]. Moreover, diuretics, commonly used in all types of HF, are highly likely to worsen existing nutritional deficits through urinary losses of electrolytes, antioxidants, and vitamins [15, 16]. Current US guidelines for HF offer no guidance on the intake of other nutrients beyond sodium [2].

Further complicating dietary recommendations for HF patients is the often debated “obesity paradox.” Obesity increases the risk of incident cardiovascular disease, including HF [17]. Despite this observation, patients with prevalent HF who are obese have a more favorable long-term prognosis [18–24]. Several potential explanations have been proposed, including potentially protective neurohormonal changes and a more robust ability to tolerate physiological stressors in patients who are obese [25]. Others cite the potential for unmeasured confounders to explain this relationship through selection bias [26]. Due to this controversy, current guidelines do not make a recommendation regarding weight loss in patients with prevalent HF [2].

## Nutritional Assessment in HF

The American Society for Parenteral and Enteral Nutrition recommends screening populations at risk for malnutrition,

and subsequently defining further assessment for patients that screen positive to develop strategies for improve nutritional status [27]. Malnutrition is common in older adults, with rates ranging 23–60% in the acute care setting, 5–30% in the ambulatory community, and 16–70% in assisted care settings [28]. The prevalence of malnutrition within the HF population has been variably estimated at 16–90%; the risk increases with the severity and acuity of the HF syndrome [13•].

Several nutritional screening and assessment tools have been studied in the general older adult population and successfully applied in patients with HF [13•]. One simple and commonly used tool in hospitalized older patients is the Geriatric Nutritional Risk Index (GNRI), which combines the ratio of measured weight to ideal body weight with serum albumin levels as follows:  $GNRI = [(1.489 \times \text{serum albumin (g/L)}) + (41.7 \times (\text{current body weight/ideal weight}))]$  [29]. In hospitalized older adults, the GNRI predicts hospital length of stay [30•] and nutrition-related complications [31]. In patients presenting with acute HF, GNRI scores upon admission independently predict mortality (HR 0.92 per 1-point increase, 95% CI 0.88–0.95,  $p < 0.001$ ) [32•]. In stable outpatients with heart failure with reduced ejection fraction (HFrEF) aged  $\geq 65$  years on optimal medical therapy, the GNRI predicted survival independent of standard clinical factors and improved model risk discrimination. A GNRI  $> 98$ , reflecting adequate nutrition, appeared highly protective (HR 0.39, 95% CI 0.27–0.58,  $p < 0.001$ ) [33]. In addition, the GNRI also predicts functional dependency and death in patients with HF and preserved ejection fraction [34].

The Mini Nutritional Assessment (MNA) was designed to assess nutritional risk in older adults ([http://www.mna-elderly.com/forms/MNA\\_english.pdf](http://www.mna-elderly.com/forms/MNA_english.pdf)), and its more nuanced assessment may represent the best balance between practicality and information. The MNA questionnaire incorporates anthropometric measures and patient-reported assessment of food types consumed and overall intake in hospitalized patients with HF, undernutrition according to the MNA approximately quadruples long-term mortality [13•]. The MNA also predicts mortality in patients undergoing consideration for ventricular assist devices or transplantation [35] and has also been linked to quality of life and mortality in the ambulatory HF population [36].

It is important to remember that malnutrition in patients with HF may not always be accompanied by obvious physical signs, such as weight loss or overt cachexia. Among the obesity epidemic in older adults, nearly one-third of Medicare patients hospitalized for HF now have a body mass index  $\geq 30 \text{ kg/m}^2$  [37]. Often obscured in this setting is sarcopenic obesity, the concomitant presence of excess fat mass and decreased muscle mass. Sarcopenic obesity is most commonly defined by dual-energy x-ray absorptiometry as  $> 60\%$  of body fat percentage of body fat and decreased muscle mass defined by appendicular skeletal muscle index [38]. Sequelae

include pro-inflammatory states, increased oxidative stress, decreased testosterone and growth hormone, all of which can contribute to decrement in skeletal muscle mitochondrial function and phenotypic exercise intolerance in patients with HF [38].

## Dietary Interventions in HF—Practical Considerations

Patients with HF receive recommendations regarding diet, exercise, medication use, and self-care as a part of standard treatment. Several studies in patients with HFpEF [39] and HFrEF [40] noted a signal for lower risk of readmission with specific dietary discharge recommendations in the former and improved self-care behaviors and lower readmission rates with comprehensive 1-h nurse educator time on issues including diet in the latter. However, several factors can challenge adherence to these recommendations, and all must be considered when attempting a dietary intervention. In addition to poor dentition and the loss of smell and taste sensation that can occur with aging, food intake in older patients with HF is often compromised by decreased hunger sensation, early satiety, fatigue, shortness of breath, and nausea [41]. Complex dietary restrictions related to comorbid illnesses, such as diabetes mellitus, chronic kidney disease, or medications such as warfarin, affect the feasibility of following recommendations.

Depression can prevent healthy behaviors and carries prognostic importance in patients with HF. Song et al. demonstrated an association between depressive symptoms and dietary micronutrient deficiency in outpatients with HF, with both additively decreasing cardiac event-free survival [42]. Social isolation, cognitive impairment, and mobility limitations may make following dietary recommendations logistically difficult in older adults. Low health literacy and lack of disease-specific knowledge remain barriers for many patients. Both contribute to increased death and rehospitalization in patients with HF [43–46]. Socioeconomic status also plays an important role in dietary choices, as healthy food options can potentially be costly or less accessible [47].

## Which Dietary Strategy Should Be Recommended for Patients with HF?

Several established dietary regimens can mitigate cardiovascular risk factors, such as hypertension, dyslipidemia, and diabetes, as well as potentially prevent progression to incident HF. Systemic hypertension is one of the strongest risk factors for developing HF. The Dietary Approaches to Stop Hypertension (DASH) eating pattern, higher in fruits, vegetables, legumes, and fiber and lower in added sugars and saturated fat than the standard Western diet, has its strongest blood pressure-lowering effect in

older adults [48]. In large observational cohorts of postmenopausal women and middle-age-to-older men, those who are most adherent to the DASH eating pattern had less incident HF after adjustment for other risk factors [49, 50]. In women with existing HF, the DASH diet and the Mediterranean eating pattern have both been associated with lower long-term mortality [51]. In a large Spanish study that obtained dietary questionnaires at the time of HF hospitalization, patients who were following the Mediterranean diet had fewer rehospitalizations over the subsequent year [52••]. No long-term dietary intervention studies have yet been completed in older patients with HF. At this juncture, either the DASH or Mediterranean pattern is reasonable to recommend to most patients, although in the case of DASH, care must be taken regarding potassium intake in patients with chronic kidney disease [53].

On the other hand, Mozaffarian et al. sought to determine the relative contribution of lifestyle factors on HF development in more than 5000 adults  $\geq 65$  years of age enrolled in the Cardiovascular Health Study cohort [54]. When four separate dietary patterns, including DASH, were evaluated along with physical activity, alcohol, smoking, and obesity, no dietary pattern was found to be associated with prevention of HF ( $p > 0.05$ ) [54]. However, patients with the highest quintile of sodium intake had a higher risk of incident HF [54]. Other epidemiologic studies, such as the first National Health and Nutrition Examination Survey (NHANES) study, also suggest that chronically high sodium intake is associated with HF development in overweight and obese individuals [55]. Some observational studies have shown greater risk for episodes of acute decompensation in patients with HF who consume higher amounts of sodium, including one by Arcand et al. demonstrating a daily intake of  $3.8 \pm 0.8$  g of sodium leading to an adjusted HR of 2.55 (95% CI: 1.61, 4.04;  $p < 0.001$ ) [7].

The inconsistencies across epidemiologic studies may relate to challenges in the accurate assessment of dietary intake. Cobb et al. assessed 26 cohort studies relating sodium intake to cardiovascular diseases and found an average of 3–4 methodological issues per study that could have resulted in inverse associations and null associations between sodium and outcomes [56]. A suggestion to reduce systematic error in sodium assessment was to standardize several 24-h urine collections, though the authors recognized limitations in level of burden on study subjects [56]. The intake of other nutrients can be even more difficult to assess, as most do not have a readily available physiological measurement that approximates consumption.

## Randomized Controlled Dietary Interventions in Patients with HF

Paterna et al. have conducted several trials investigating the impact of dietary sodium restriction in patients with HF. In the

largest study, individuals with HF<sub>rEF</sub> with New York Heart Association (NYHA) class II symptoms at hospital discharge were randomized to a 1.8 g/day sodium diet vs. a 2.8 g/day sodium diet and followed out to 6 months, with both groups maintaining the same daily fluid intake [9]. The rate of hospital readmissions was reduced in the normal-sodium (2.8 g/day) group compared to the low-sodium group (1.8 g/day) (7.63 vs. 26.32%, respectively;  $p < 0.05$ ) as was the composite endpoint of mortality plus readmissions (12.71 vs. 39.47%,  $p < 0.001$ ) [9]. Serum creatinine, blood urea nitrogen, renin, aldosterone, and natriuretic peptides all increased in the low-sodium diet arm, suggesting plausible mechanisms for the observed increase in adverse events [9]. However, this study is challenging to interpret: both groups maintained high doses of loop diuretics regardless of clinical status, and many patients were not treated with contemporary regimens of neuro-hormonal blocking agents.

Doukky et al. performed a secondary analysis of the Heart Failure Adherence and Retention Trial (HART), a randomized behavioral trial of self-management counseling vs. education alone. Outcomes analyzed included death, cardiac death, and HF hospitalization in symptomatic patients (NYHA II-III) with either HF<sub>rEF</sub> or HF<sub>pEF</sub>. Sodium intake was estimated via a modified Food Frequency Questionnaire. Patients were dichotomized at an estimated sodium intake of 2.5 g/day, and cohorts were matched via propensity score. Patients from the sodium-restricted group demonstrated a higher risk of death or HF hospitalization (42.3 vs. 26.2%, HR 1.83, 95% CI 1.21–2.94,  $p = 0.004$ ) driven mainly by HF hospitalization (32.3 vs. 20.0%, HR 1.82, CI 1.11–2.96,  $p = 0.015$ ) [10••]. These data suggest the potential for negative effects of sodium restriction in HF. However, several potential confounders exist: the sodium questionnaire used had not previously been validated against other instruments, and the study results were not adjusted for caloric intake or overall nutritional status.

Recognizing the limitations in these prior trials, Colin-Ramirez et al. recently completed the Study of Dietary Intervention Under 100 mmol in Heart Failure (SODIUM-HF). This pilot trial randomized 38 patients with HF to dietary counseling to promote a low-sodium (1.5 g/day) vs. a moderate-sodium (2.3 g/day) intake while adjusting HF medical therapy based on clinical status and treatment guidelines [57••]. Over a follow-up of 6 months, natriuretic peptide levels fell in the low-sodium group (216 to 71 pg/ml,  $p = 0.006$ ) and Kansas City Cardiomyopathy Questionnaire (KCCQ) score, a measure of disease-specific quality of life, rose in both groups (63 to 75,  $p = 0.006$  in low; 66 to 73,  $p = 0.07$  in moderate) [57••]. Questionnaire assessments suggest that the dietary counseling used in this study was able to maintain overall dietary quality while successfully reducing sodium intake [58].

Instead of focusing on sodium, the recent PICNIC (Nutritional Intervention Program in Hospitalised Patients with Heart Failure who are Malnourished) study aimed to

improve overall dietary quality [59••]. Patients hospitalized with HF were stratified by nutritional status using the MNA and randomized to usual care vs. a 6-month intervention conducted by a dietitian team lead by a physician who specialized in nutrition. The intervention began during the index hospital stay and continued through monthly post-discharge outpatient visits and included diet optimization, tailored recommendations, and supplement prescriptions relevant to comorbid states [59••, 60]. The intervention also addressed symptoms affecting food intake including nausea, dyspepsia, anorexia, and even transit disorders [60]. Over the year post-discharge, patients in the intervention arm had a lower rate of all-cause death compared to the control arm (20.3 vs 47.5%, respectively; HR 0.37, 95% CI, 0.19–0.72,  $p = 0.003$ ) and a lower rate of HF readmission (10.2 vs. 36.1%, respectively,  $p = 0.001$ ) [59••]. While resource-intensive, this intervention highlights the potential for individualized nutritional counseling in HF.

## Perspective on Future Research Goals for Diet and Nutrition in HF

Current dietary guidelines in patients with HF are not strongly evidence-based, which relates to the relative paucity of randomized trials as well as conflicting results from epidemiological studies. This is perhaps best illustrated by current HF guidelines advising sodium intake of 2–3 g/day [2, 61], a higher level than the 1.5 g/day the American Heart Association recommends for the general population [62]. Indeed, given the historical focus on this topic and concerns raised by prior randomized trials, the appropriate amount of sodium intake for patients with HF is one of the most pressing issues to address. A large multicenter trial based on the SODIUM-HF pilot referenced above is currently in recruitment; the trial will evaluate the effects of a 1.5- vs. 2.3-g/day sodium restriction on all-cause mortality, cardiovascular hospitalization, and cardiovascular emergency department visits (NCT02012179).

Additional information is needed about the advisability of weight loss in obese patients with HF. In the Swedish National Patient Registry, obese individuals who underwent bariatric surgery had a reduced risk for incident HF compared with nonsurgical obese patients (HR 0.37, 95% CI 0.30–0.46) [63]. A US study found qualitatively similar results in obese patients with HF who underwent bariatric surgery, who then had fewer HF hospitalizations beginning 13 months post-operatively [64]. Bariatric surgery patients are highly selected, and unmeasured confounders may mediate some of this effect.

Few trials have studied the effects of diet-induced weight loss in HF. Kitzman et al. conducted the Effect of Caloric Restriction or Aerobic Exercise Training on Peak Oxygen Consumption and Quality of Life in Obese Older Patients with HF<sub>pEF</sub> trial. In this study, 100 patients with chronic stable



HFpEF and a mean body mass index of 39.3 were enrolled to 20 weeks of exercise, diet, a combination of both, or standard control [65••]. Caloric restriction (1.3 ml/kg body mass/min, 95% CI 0.8–1.8,  $p < 0.001$ ) or aerobic exercise (1.2 ml/kg body mass/min, 95% CI 0.7–1.7,  $p < 0.001$ ) both increased peak oxygen consumption, and the combination was additive [65••]. It is not yet clear whether the benefits of weight loss would extend to all obese patients with HF, particularly those with sarcopenic obesity.

It seems likely that the nutritional status of a patient would modulate the benefits of exercise and weight loss, but this has not yet been studied. Older patients are at high risk for malnutrition and concomitant frailty [66], which often worsens during and immediately after hospitalization [67, 68]. The transition of acute inpatient HF care to outpatient HF management may provide the strongest opportunity for dietary interventions. Hummel et al. recently completed a pilot study in which patients with HF were randomized to preprepared home-delivered DASH-compliant meals or usual dietary advice for 4 weeks after hospital discharge [69•]. Patients receiving meals tended to have fewer HF symptoms and functional limitations, and all-cause and HF hospitalizations trended lower in comparison to the usual care group. A larger multicenter trial to extend and confirm these findings is in the planning stages.

Areas of translational study involve the relationships between diet and metabolism in patients with HF. As one example, the gut microbiota is responsible for the metabolism of dietary lecithin, choline, and carnitine to trimethylamine, which subsequently will be metabolized by the liver to trimethylamine N-oxide (TMAO). Elevated serum levels of TMAO provide prognostic value for cardiovascular disease in general [70–74] and for HF specifically [72, 75, 76]. The physiologic changes of chronic HF, such as gut wall edema, are linked to bacterial translocation [77, 78]. This can lead to bacterial overgrowth and pathogenic shift [79, 80]; in turn, the composition of the gut microbiome affects the degree of TMAO production [81].

## Conclusions

Current guideline-based dietary recommendations for older patients with HF are sparse and not based on strong evidence. The historical advice to restrict sodium can produce unintended consequences of neurohormonal activation and worsening malnutrition if careful attention is not paid to medical management and overall dietary quality. Older patients with HF often have substantial barriers to healthy eating patterns that can relate to symptoms, geriatric domains, comorbid illnesses, and/or socioeconomic characteristics. These factors must be addressed to realize the benefits of individualized nutritional counseling. The ideal diet for patients with HF is not fully

defined—ongoing and planned interventional studies and translational research initiatives should provide important information in the near future.

## Compliance with Ethical Standards

**Conflict of Interest** Dr. Hummel has received funding from PurFoods, LLC to conduct dietary intervention studies in patients with HF. None of the other authors have relevant disclosures.

**Human and Animal Rights and Informed Consent** This article does not contain any studies with human or animal subject performed by any of the authors.

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