Pediatric Tracheostomy at a Tertiary Healthcare Institution: A Retrospective Study Focused on Outcomes

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Abstract The aim of this study was to retrospectively evaluate all pediatric tracheotomies that had been performed at Sanliurfa Training and Research Hospital From September 2016 to July 2019. A retrospective study was performed on pediatric patients who had undergone tracheostomy during the three-year study period. Patient data were reviewed for the following variables: age, gender, age at the time of tracheostomy, primary indication for tracheostomy, length of stay in intensive care unit before and after tracheostomy, complications, mortality and cause of death. The primary indication for tracheostomy was categorized into 4 separate groups: congenital disease, traumatic injury, prolonged intubation and other causes. The study group consisted of 138 children. Seventy-one (51.4%) of the children were male, 67 (48.6%) were female and the mean age of tracheostomy was 13.30 (0.03–192.27) months, and 44.2% were younger than 1 year when tracheostomy was performed. The median age at the time of tracheostomy was highest in children who underwent tracheostomy for traumatic injury. The indication for tracheostomy was prolonged intubation in 73.2% of the children. Complications were observed in 13 (9%) children; bleeding (69.2%) was the most common. Complications were most frequent in children who underwent tracheostomy for prolonged intubation. The overall mortality rate was 30.4% with cardiac arrest being the most common cause. At our center, the most common indication

for tracheostomy in children was long-term intubation, possibly due to our center being a tertiary healthcare institute. Bleeding was the most common complication, while cardiac arrest was the most common cause of death.

Keywords Tracheostomy · Prolonged intubation · Airway obstruction · Pediatric intensive care

Introduction

In recent years, the indications and complications of tracheostomy and the epidemiological profile of recipients have evolved [1]. The best examples of these changes may be the increased rate of vaccination for croup, diphtheria and epiglottitis, as well as the ever-decreasing rate of patients undergoing tracheostomy for these reasons [2]. Other obstructive indications include craniofacial malformations, subglottic stenosis, tracheomalacia, and tumors that block the airway. In the past, the most common indication for tracheostomy was airway obstruction [2, 3]. In addition to the need for endotracheal intubation due to pulmonary or cardiac malformations, chronic pulmonary insufficiency, neurological disorders and cervical trauma, the developments in advanced life support systems and experience in this field has led to an increased survival rate among children, but this has also made prolonged endotracheal intubation and mechanical ventilation a much more common occurrence. Furthermore, with the advances in neonatal care, babies can now stay on long-term ventilator support for weeks or even months [4]. Tracheostomies facilitate pulmonary clearance in such patients and reduce chronic laryngotracheal lesions due to long-term intubation [2]. In recent years, the most common indications for

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tracheostomy in the pediatric population were reported to be long-term intubation and upper airway obstruction [4].

Tracheostomy is often a life-saving intervention, but may also cause serious complications. As the age of pediatric patients decreases, the risk of complications increases [2, 3, 5, 6]. Mortality rates in children have been shown to increase with tracheostomies performed in emergencies and seriously ill patients. In children, the most common cause of death associated with tracheostomy is tube obstruction followed by incorrect placement of the tube and accidental decannulation [4].

Features associated with the use of pediatric tracheostomy (indications, complications, age etc.), in Turkey have significantly changed over time [7–11]. Understanding these features is important for proper management of patients. In Turkey, detailed research studies evaluating the epidemiology of pediatric tracheostomy are few and far between. The aim of this study was to retrospectively evaluate all pediatric tracheostomies that had been performed in Sanliurfa Training and Research Hospital from September 2016 to July 2019.

Materials and Methods

A retrospective study was performed on pediatric patients who underwent tracheostomy during the 3 year study period (from September 2016 to July 2019) at Sanliurfa Education and Research Hospital. Sanliurfa Education and Research Hospital is a tertiary referral center in the southeastern region of Turkey, and receives high complexity patients.

Ethics committee approval and necessary administrative permissions were obtained in accordance with the Helsinki Declaration.

Our hospital was established 1.5 years ago with the merger of the only maternity hospital and pediatric hospital in the city. There is a 162-bed pediatric and neonatal intensive care unit in our hospital. The number of pediatric and neonatal intensive care unit patients per year is around 4500. Due to the high rate of consanguineous marriages, high fertility rate, increase in the birth rate of Syrian refugees and insufficient follow-up during the birth process, the birth rate of risky babies is increasing. Only in our hospital, there are approximately 35,000 thousand births in a year and 40% of these births are Syrian refugee babies.

Inclusion Criteria and Measurements

Patients were excluded if they were older than 18 years of age at the time of tracheostomy or if they had incomplete medical records. Patients' data were reviewed for the following variables: age, gender, age at the time of

tracheostomy, primary indication for tracheostomy, length of stay in intensive care unit before and after tracheostomy, complications, comorbidities, mortality, and cause of death.

The primary indication for tracheostomy was categorized into four separate groups: airway obstruction due to congenital disease, airway obstruction due to traumatic accident, airway obstruction due to other reasons (angioedema, postoperative complications, infection, etc.), and prolonged intubation.

Procedures

All pediatric tracheostomies are performed under general anesthesia. During tracheostomy, a standard tracheostomy procedure is used, including a horizontal skin incision and a vertical trachea incision. Stay sutures are placed on both sides of the tracheal incision. Stomal maturation sutures are inserted from the trachea to the skin. The tracheostomy tube is then inserted. After tracheostomy, all children are admitted to the pediatric intensive care unit (PICU). Decannulation was performed successfully in 17 patients. Decannulation was performed in 9 patients in the prolonged mechanical ventilation group, 5 patients in the congenital disorders group with upper airway obstruction, 3 patients in the traumatic group with upper airway obstruction.

Statistical Analysis

All analyses performed on SPSS v15. In the evaluation of descriptive data, number (n), percentage (%), mean, standard deviation (SD), median, minimum (min) and maximum (max) values were used. For the normality check Shapiro–Wilk test was used. Assessment of the continuous variables made by using Kruskal–Wallis test. Categorical variables analyzed by using Chi square test. $P < 0.05$ values accepted as statistically significant results.

Results

The study group consisted of 138 children. Seventy-one (51.4%) of the children were male, 67 (48.6%) were female and the mean age of tracheostomy was 13.30 (0.03–192.27) months. The most common indication for tracheostomy was prolonged intubation (73.2%) which was followed by airway obstruction due to congenital diseases (15.9%), airway obstruction due to traumatic injury (6.5%), airway obstruction due to other reasons (4.3%). When the children undergoing tracheostomy were examined according to age groups, tracheostomy was found to be most commonly performed in children under 1 year of age and 44.2% were

younger than 1 year when tracheostomy was performed (see Fig. 1).

Most of the patients who underwent tracheostomy for long-term intubation had neurological disease. The most common indication for tracheostomy in patients with airway stenosis due to congenital diseases was laryngeal tracheal malacia. Most of the patients were born in the term and subsequently indicated for intensive care unit because of chronic diseases. Preterm patients were mostly in the congenital diseases group with upper respiratory tract obstruction. Information about the indications of tracheostomy is given in Table 1.

Complications were observed in 13 (9%) children who underwent tracheostomy. After tracheostomy, bleeding (53.8% in early period, 15.4% in late period and 69.2% in total) was the most common complication in children. Bleeding in the early period was bleeding in the form of infiltration. Bleeding stopped spontaneously with printed dressing. Late bleeding was more severe. Bleeding of one patient was controlled by bedside cauterization, while the bleeding of one patient was controlled by connecting the vascular structure under operating room conditions. The majority of the complications occurred in the first 7 days (early period). Complications were most commonly seen in children undergoing tracheostomy for prolonged intubation. Complications observed in the prolonged intubation group were as follows: Early bleeding was observed in 2 patients with neuromuscular disease and 3 patients with metabolic disease. Cannula obstruction was observed in 1 patient with neurological disease. Pneumothorax was observed in one patient with neurological disease on the second postoperative day. Late bleeding was observed in one patient with neurological disease on the 22nd postoperative day. The complications observed in the tracheostomy group due to congenital upper respiratory tract obstruction are as follows: Cannula dislocation was observed in the patient who underwent emergency tracheotomy due to congenital giant cystic hygroma. Cardiac arrest was observed in the premature baby with tracheal

atresia, stenosis and cyanotic heart disease. No complication was observed in tracheostomy patients due to traumatic upper respiratory tract obstruction. Two patients who underwent tracheotomy due to other causes of upper airway obstruction had early bleeding on the second postoperative day and late bleeding on the 12th postoperative day. Table 2 presents the frequency of various complications observed in our patients.

After tracheostomy, the mortality rate in children was 30.4%. There was no direct death due to tracheotomy.

The most common causes of death were cardiac arrest, pneumonia and sepsis (Table 3).

Complications were most frequent in children who underwent tracheostomy for prolonged intubation (Table 4). The median age at the time of tracheostomy was highest in children who underwent tracheostomy for traumatic injury. The group with the longest duration of ICU stay before tracheostomy was found to have tracheostomy due to prolonged intubation. The group with the longest period of stay in the ICU after tracheostomy was found to have undergone tracheostomy due to traumatic injury. Table 4 presents characteristics and outcomes of patients who underwent tracheotomy by indication.

Discussion

Over the years, the indications of tracheostomy and the features of pediatric tracheostomy recipients have shown significant changes in Turkey, similar to global trends. In the past, the most common indication for tracheostomy was airway obstruction, often due to infectious diseases [12]. However, today, the most common indications for tracheostomy in the pediatricare long-term intubation and upper airway obstruction due to various causes [2, 5, 13]. In this study, we found that the most common indication for tracheostomy in children was long-term intubation (73.2%).

Fig. 1 Distribution of children according to age at the time of tracheostomy

Table 1 Indications of tracheostomy

	n (%)
Prolonged intubation	101 (73.18)
Neuromuscular disease	30 (21.7)
Neurological diseases	51 (37.0)
Metabolic diseases	10 (7.2)
Muscular diseases	9 (6.5)
Bronchopulmonary dysplasia	1 (0.7)
Airway obstruction due to congenital disease	22 (15.9)
Bilateral vocal cord paralysis	2 (1.5)
Pierre Robin syndrome	3 (2.2)
Subglottic stenosis	1 (0.7)
Subglottic web	1 (0.7)
Tracheal atresia, stenosis	2 (1.5)
Coexistence of tracheocephalic cleft and trachea atresia	1 (0.7)
Laryngo tracheal malacia	8 (5.8)
Head and neck tumors congenital cystic hygroma)	3 (2.2)
Congenital intralarengal saccular cyst	1 (0.7)
Airway obstruction due to traumatic injury	9 (6.5)
Retropharyngeal area hematoma after cervical spine injury	1 (0.7)
Laryngeal edema developing after burn	1 (0.7)
Laryngeal damage after caustic ingestion	2 (1.5)
Laryngeal trauma after entanglement	1 (0.7)
Laryngeal injury after traffic accident	1 (0.7)
Maxillofacial trauma	1 (0.7)
Open injury of larynx after eruption	2 (1.5)
Airway obstruction due to other reasons	6 (4.4)

Table 2 Complications after tracheostomy

Complications	n (%)
<i>Early complications (0–7 days)</i>	11 (84.6)
Bleeding	7 (53.8)
Dislocation of the cannula	1 (7.7)
Cannula obstruction	1 (7.7)
Cardiac arrest	1 (7.7)
Pneumothorax	1 (7.7)
<i>Late complications (after 7 days)</i>	2 (15.4)
Bleeding	2 (15.4)
Total	13 (100.0)

According to an extensive study of tracheostomies performed in Canada between 1982 and 2011, upper airway obstruction was reported as the most common indication for tracheostomy (33%) [14], and this result was supported in studies performed between 1990–2009 [15], 1990–2007 [16], 1987–2003 [17], and 1990–1999 [18]. Other studies

Table 3 Causes of death after tracheostomy

Causes of death	n (%)
Cardiac arrest	11 (26.2)
Pneumonia	8 (19.0)
Sepsis	6 (14.3)
Intracranial hemorrhage	5 (11.9)
Congenital anomaly	4 (9.5)
Multiple organ failure	3 (7.1)
Respiratory arrest	2 (4.8)
West syndrome	1 (2.4)
Kidney failure	1 (2.4)
Brain tumor	1 (2.4)
Total	42 (100.0)

indicate that the most common indication for tracheostomy is cardiopulmonary disease (34%) [19], congenital neurological disease (33%) [20], neurological disorders [13, 21], and congenital malformations (44%) [12]. However, in more recent studies investigating children with tracheostomy, the most frequent indication was long-term intubation; as shown by studies performed between 2001–2012 (20%) [2], 2008–2009 (62.6%) [22], and 2010–2018 (38.4%) [23]. However, interestingly, one study reported that although the most common cause was long-term intubation between 2000 and 2005, this was not the case in their patients between 2006 and 2011, in which the most common cause was upper airway obstruction [24].

In Turkey, Tolunay et al. [11] and Akcan et al. [8] reported the most common indication for pediatric tracheostomy as neurological disorders. However, Özmen et al., in their exhaustive evaluation of patients, found that airway obstruction was the most frequent indication between 1968 and 1990, while long-term intubation had become the most common indication in the 1990–2005 time period [9]. This change in characteristics resembles worldwide trends, and is supported by various other studies performed between 2000–2006 and 2007–2010 [7, 10].

Taken together, it seems that the most common indication of tracheostomy before the year 2000 is (upper) airway obstruction. In the following years, the most common indication is generally reported as long-term intubation. The results of our study are consistent with results both in Turkey and the World and it is rather apparent that, today, the most common indication for tracheostomy is long-term intubation. In regard to other results, there may be many reasons for the differences, including differences in definitions, local disease characteristics, hospital characteristics that influence patient referrals, and also the secondary/tertiary nature of each institute.

Table 4 Characteristics and outcomes of patients who underwent tracheostomy by indication

	Prolonged intubation	Airway obstruction due to congenital disease	Airway obstruction due to traumatic injury	Airway obstruction due to other reasons	<i>p</i> value
<i>Gender</i>					
Male	53 (74.6%)	8 (11.3%)	8 (11.3%)	2 (2.8%)	0.048
Female	48 (71.6%)	14 (20.9%)	1 (1.5%)	4 (6.0%)	
<i>Complication</i>					
Present	8 (61.5%)	2 (15.4%)	0 (0.0%)	3 (23.1%)	0.005
Absent	93 (74.4%)	20 (16.0%)	9 (7.2%)	3 (2.4%)	
<i>Mortality</i>					
Present	30 (71.4%)	10 (23.8%)	1 (2.4%)	1 (2.4%)	0.213
Absent	71 (74.0%)	12 (12.5%)	8 (8.3%)	5 (5.2%)	
Age at tracheostomy (days)	411 (48–4725)	65 (1–5768)	1094 (74–4341)	697 (75–2520)	< 0.001
Length of stay in ICU before tracheostomy (days)	31 (9–204)	15 (0–66)	24 (14–59)	17 (1–45)	< 0.001
Length of stay in ICU after tracheostomy (days)	30 (1–130)	10 (1–120)	66 (12–236)	32 (12–83)	< 0.001

The majority of the tracheostomy cases in this study were performed on boys (51.4%). Similar results (55.8–64.0%) have been reported in many other studies [2, 3, 9, 10, 18, 20, 23], with the exception of few in which female gender was more frequent. For instance, Tolunay et al. [11] reported that 52.8% of their cases were female.

According to the results of various studies, the majority of children undergoing tracheostomy have been reported to be under 1 year of age [2, 3, 8, 10, 13–15, 20, 22, 23]. In our study, different to the literature, the majority of children with tracheostomy (55.8%) were found to be over 1 year of age.

In the study, the median age was highest among patients who underwent tracheostomy due to traumatic accidents. Similar results have been reported in previous studies [19, 25]. Traumatic injuries are caused by head and neck injuries after caustic drinking, falling and traffic accidents. It is not surprising that these traumatic accidents occur at an older age with an increase in physical activity. Tracheostomy is a treatment with severe side effects that may be common depending on the patients condition. Tissue traumatization, damage to the laryngeal or tracheal mucosa, and forced insertion of the cannula cause early complications (pneumomediastinum, pneumothorax, bleeding, wound complications, cannula insertion), and late complications (tracheal stenosis, laryngeal stenosis, cannula re-insertion) [12]. The incidence of complications after tracheostomy in children was 9% and the most common complication was bleeding (69.2%) in the current study. When compared with regard to indications, the highest complication rate was found in children with long-

term intubation. The incidence of complications has been reported to range from 17 to 77% in other studies [8–11, 13, 14, 17, 18, 22]. Although some studies have reported that the most common complication is pneumothorax [3], and accidental decannulation [14], the majority of studies in the literature have reported peristomal granulation as the most common complication [7–10, 13]. According to a review of 47 studies by Dal'Astra et al., the most common complication was peristomal granulation [1]. The complication rate we found in this study was rather low compared to other studies in the literature. Reducing the complications of tracheostomy is important for reducing both mortality and morbidity and length of hospital stay. For this purpose, it should be aimed to minimize tissue damage with optimal anatomical orientation during tracheostomy. It is clear that the operation should be performed by an experienced surgeon in order to reduce tissue damage. In the event of a possible complication, it is important to recognize and treat the complications quickly in order to prevent devastating consequences.

It has been reported that morbidity and mortality rates in pediatric tracheostomies are 2–3 times higher than in adult patients [12]. In this study, the incidence of mortality after tracheostomy was 30.4% and the most common cause of death was cardiac arrest. The frequency of mortality after tracheostomy has been reported between 2.2 and 59% in other studies [1–3, 7–10, 13, 20, 22, 23, 26, 27]. According to the study of Douglas et al. [2], the most common cause of death after tracheostomy is lung infection and cardiac complications, while Roberts et al. reports respiratory

disorders as the most frequent culprit [23]. The mortality rate in our study was relatively high compared to the majority of studies. The fact that ours is a tertiary referral hospital to which patients in critical condition and severe problems are accepted, may have contributed to this results. Furthermore, due to the retrospective nature of the study, it was impossible to ascertain whether deaths were directly associated with tracheostomy or not. This is a limitation as death reports may vary according to the issuer and therefore cannot be standardized.

Conclusion

In our study, most of the children who underwent tracheostomy were males and older than 1 year of age. The most common indication for tracheostomy in children was long-term intubation, bleeding was the most common complication, and cardiac arrest was the most common cause of death. The median age of children who underwent tracheostomy due to trauma was higher than other indications. Further studies are needed to reveal the features of pediatric tracheostomy with stronger evidence.

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Compliance with Ethical Standards

Conflict of interest No conflict of interest was declared by the authors.

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