SYMPOSIUM: 2016 BERNESE HIP SYMPOSIUM

# Clinical Orthopaedics and Related Research®



# **One-third of Hips After Periacetabular Osteotomy Survive 30 Years With Good Clinical Results, No Progression of Arthritis, or Conversion to THA**

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Published online: 30 November 2016 © The Association of Bone and Joint Surgeons® 2016

#### Abstract

*Background* Since its first description in 1984, periacetabular osteotomy (PAO) has become an accepted treatment for hip dysplasia. The 30-year survivorship with this procedure has not been reported. Because these patients are often very young at the time of surgery, longterm followup and identification of factors associated with poor outcome could help to improve patient selection.

*Questions/purposes* Looking at the initial group of patients with hip dysplasia undergoing PAO at the originator's institution, we asked: (1) What is the cumulative 30-year survival rate free from conversion to THA, radiographic progression of osteoarthritis, and/or a Merle

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d'Aubigné-Postel score < 15? (2) Did hip function improve and pain decrease? (3) Did radiographic osteoarthritis progress? (4) What are the factors associated with one or more of the three endpoints: THA, radiographic progression of osteoarthritis, and/or Merle d'Aubigné-Postel score < 15?

*Methods* We retrospectively evaluated the first 63 patients (75 hips) who underwent PAO for hip dysplasia between 1984 and 1987. At that time, hip dysplasia was the only indication for PAO and no patients with acetabular retroversion, the second indication for a PAO performed today, were included. During that period, no other surgical treatment for hip dysplasia in patients with closed triradiate cartilage was performed. Advanced osteoarthritis ( $\geq$  Grade 2 according to Tönnis) was present preoperatively in 18 hips (24%) and 22 patients (23 hips [31%]) had previous femoral and/or acetabular surgery. Thirty-nine patients (42 hips [56%]) were converted to a THA and one patient (one hip [1%]) had hip fusion at latest followup. Two patients (three hips [4%]) died from a cause unrelated to surgery 6 and 16 years after surgery with an uneventful followup. From the remaining 21 patients (29 hips), the mean followup was 29 years (range, 27-32 years). Of those, five patients (six hips [8%]) did not return for the most recent followup and only a questionnaire was available. The cumulative survivorship of the hip according to Kaplan-Meier was calculated if any of the three endpoints, including conversion to THA, progression of osteoarthritis by at least one grade according to Tönnis, and/or a Merle d'Aubigné-Postel score < 15, occurred. Hip pain and function were assessed with Merle d'Aubigné-Postel score, Harris hip score, limp, and anterior and posterior impingement tests. Progression of radiographic osteoarthritis was assessed with Tönnis grades. A Cox regression model was used to calculate factors associated with the previously defined endpoints.

One author (MT) has received funding from the Swiss National Science Foundation.

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*Results* The cumulative survivorship free from conversion to THA, radiographic progression of osteoarthritis, and/or Merle d'Aubigné-Postel score < 15 was 29% (95%) confidence interval, 17%-42%) at 30 years. No improvement was found for either the Merle d'Aubigné-Postel (15  $\pm$  2 versus 16  $\pm$  2, p = 0.144) or Harris hip score (83  $\pm$  11 versus  $85 \pm 17$ , p = 0.602). The percentage of a positive anterior impingement test (39% versus 14%, p = 0.005) decreased at 30-year followup, whereas the percentage of a positive posterior impingement test (14% versus 3%, p = 0.592) did not decrease. The percentage of positive limp decreased from preoperatively 66% to 18% at 30-year followup (p < 0.001). Mean osteoarthritis grade (Tönnis) increased from preoperatively  $0.8 \pm 1$  (0–3) to  $2.1 \pm 1$  (0– 3) at 30-year followup (p < 0.001). Ten factors associated with poor outcome defined as THA, radiographic progression of osteoarthritis, and/or Merle d'Aubigné-Postel score < 15 were found: preoperative age > 40 years (hazard ratio [HR] 4.3 [3.7–4.9]), a preoperative Merle d'Aubigné-Postel score < 15 (HR 4.1 [3.5-4.6]), a preoperative Harris hip score < 70 (HR 5.8 [5.2–6.4]), preoperative limp (HR 1.7 [1.4-1.9]), presence of a preoperative positive anterior impingement test (HR 3.6 [3.1-4.2]), presence of a preoperative positive posterior impingement test (HR 2.5 [1.7-3.2]), a preoperative internal rotation of  $< 20^{\circ}$  (HR 4.3 [3.7–4.9]), a preoperative Tönnis Grade > 1 (HR 5.7 [5.0-6.4]), a postoperative anterior coverage > 27% (HR 3.2 [2.5–3.9]), and a postoperative acetabular retroversion (HR 4.8 [3.4-6.3]).

*Conclusions* Thirty years postoperatively, 29% of hips undergoing PAO for hip dysplasia can be preserved, but more than 70% will develop progressive osteoarthritis, pain, and/or undergo THA. Periacetabular osteotomy is an effective technique to treat symptomatic hip dysplasia in selected and young patients with closed triradiate cartilage. Hips with advanced joint degeneration (osteoarthritis Tönnis Grade  $\geq 2$ ) should not be treated with PAO. Postoperative anterior acetabular overcoverage or postoperative acetabular retroversion were associated with decreased joint survival.

Level of Evidence Level III, therapeutic study.

#### Introduction

Since its first description in 1988 [9], periacetabular osteotomy (PAO) has become one of the most frequently performed surgical procedures to treat hip dysplasia. Several long-term studies have proven the efficacy of this procedure [1, 3, 14, 41]. The abnormal anatomy and decreased area of the lunate surface in hip dysplasia [40] result in axial overloading with decreased contact area, increased contact pressure, and maximum loading at the

acetabular rim [17]. At 20-year followup, 60% of patients undergoing PAO for hip dysplasia reportedly presented with good to excellent clinical results, no progression of osteoarthritis, and no need for conversion to THA [41]. An unfavorable outcome was associated with increased age at surgery, decreased preoperative Merle d'Aubigné-Postel score [8], preoperative positive anterior impingement test, preoperative limp, preoperative osteoarthritis, and a decreased postoperative extrusion index.

The 30-year survivorship with this procedure has not been reported. Because these patients are often very young at the time of surgery, long-term followup and identification of factors associated with osteoarthritis progression, poor clinical outcome, and conversion to THA could help to improve patient selection.

The aim of this study was to review the series of the very first patients after PAO for hip dysplasia at the originator's institution with a followup of 30 years, of whom the results after 10 and 20 years have been presented previously in this journal [39, 41]. We asked: (1) What is the cumulative 30-year survival rate of the hip free from any of the following endpoints: conversion to THA, progression of osteoarthritis, and a Merle d'Aubigné-Postel score [8] < 15? (2) Did function of the hip improve and pain decrease assessed with the Merle d'Aubigné-Postel score, Harris hip score (HHS), the prevalence of limp, anterior and posterior impingement test, and full ROM? (3) Did osteoarthritis progress at 30-year followup evaluated using the Tönnis score? (4) What are the factors associated with any of the endpoints defined as conversion to THA, progression of osteoarthritis, or a Merle d'Aubigné-Postel score < 15?

# **Patients and Methods**

We retrospectively evaluated the same subset of 63 patients (75 hips) on whom we previously reported with a 10- and 20-year followup after PAO for the treatment of hip dysplasia [39, 41]. The study was approved by the local institutional review board.

The operations were performed between April 1984 and December 1987. These patients represent a series of the very first Bernese PAOs performed at the originator's institution [9, 26, 37] with a minimum followup of 27 years (mean, 29 years; range, 27–32 years). During the period in question, the only indication for a PAO was dysplasia in hips with closed triradiate cartilage. To protect the open triradiate cartilage in very young patients, a triple osteotomy was performed in these hips. No other surgical treatments were performed for hip dysplasia during this time. Since 1997, a second indication for PAO has been acetabular retroversion with the goal of anteverting the Number of patients (hips)

Age at surgery (years) Sex (% male of all hips)

Side (% right of all hips)

Body mass index (kg/m<sup>2</sup>)

Sphericity index [42] (%) Severin classification [36] (%)

Preoperative osteoarthritis score according to Tönnis [51] (%)

Percentage of bilateral hips

Parameter

Weight (kg)

Height (cm)

Class 1

Class 2

Class 3

Class 4

Class 5

Class 6

Grade 0

Grade 1

Grade 2

Grade 3

Table 1. Demographic and radiographic data of the patient series

Value	Parameter	Value
63 (75)	Operation time (hours)	3.5 ± 0.73 (2-5)
16	Blood loss (L)	$2.0 \pm 0.86 \ (1-5)$
29 ± 12 (13–56)	Red blood cell concentrates (units)	3.8 ± 1.93 (1-11)
23 49	Concomitant intertrochanteric osteotomy (hips [%])	16 (21) 13 (17)
$61 \pm 11 (41 - 86)$	Abduction intertrochanteric osteotomy	2 (3)
$166 \pm 9 (149-186)$ $22 \pm 3 (16-28)$	Adduction intertrochanteric osteotomy Extension intertrochanteric osteotomy	1 (1)
79 ± 9 (53–95)	Previous surgery to attempt sufficient coverage (hips [%])	23 (31)
	Intertrochanteric osteotomy (IO)	9 (12)
-	Combined Salter and IO	4 (5)
50	Combined Triple and IO	3 (4)
30 44	Combined Triple with another osteotomy	3 (4)
44 5	Combined shelfplasty and IO	2 (3)
5	Combined Chiari and IO	1 (1)
_	Chiari osteotomy	1 (1)
43	Values of continuous parameters are expressed range in parentheses.	d as mean $\pm$ SD with

Table 2. Surgery-related data of the patient series

0	1

Values of continuous parameters are expressed as mean  $\pm$  SD with range in parentheses.

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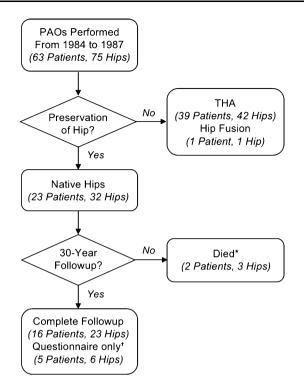
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3

acetabulum [38]. There was considerable heterogeneity in the current patient series in terms of indications, preoperative osteoarthritis, and a high number of previous surgeries (Table 1). Five patients (six hips [8%]) presented with an underlying neurologic disorder with dysplasia (meningomyelocele, cerebral palsy, paraparesis). Two patients (two hips [3%]) had a proximal femoral focal deficiency, and posttraumatic acetabular deficiency was present in two patients (two hips [3%]). Advanced osteoarthritis ( $\geq$  Grade 2 according to Tönnis [51]) was preoperatively present in 18 hips (24%) (Table 1). Twentytwo patients (23 hips [31%]) had previous surgery (Table 2) to achieve sufficient acetabular coverage (intertrochanteric osteotomy, acetabular osteotomy, shelfplasty, or a combination). Twelve patients (16%) had bilateral procedures and 13 patients (16 hips [21%]) had a concomitant intertrochanteric osteotomy (Table 2). Severity of hip dysplasia was graded according to the classification of Severin et al. [36].

From the initial 63 patients (75 hips), 39 patients (42 hips [56%]) were converted to THA and one patient (one hip [1%]) had hip fusion (Fig. 1) at latest followup. Two patients (three hips [4%]) died from a cause unrelated to surgery 6 and 16 years after surgery with an uneventful followup (no conversion to THA, no progression of osteoarthritis, a Merle d'Aubigné-Postel score > 15). From the remaining 21 patients (29 hips; Fig. 1), we had a mean followup of 29 years (range, 27-32 years). Of those, five patients (six hips [8%]) did not return for the most recent followup but they returned a questionnaire. In addition, radiographic and clinical information of these five patients (six hips) was available from the last followups ranging from 11 to 21 years. Sixteen patients (23 hips) were available for complete clinical and radiographic evaluation at most recent followup.

We calculated survival rate at the 30-year followup and defined failure if any of the following occurred: conversion to THA, progression of osteoarthritis by at least one grade according to the Tönnis classification [51], or a Merle d'Aubigné-Postel score [8] of < 15 at most recent followup. From the five patients (six hips) with questionnaire followup only, one patient (one hip) was considered a failure as a result of osteoarthritis progression at 11 years. The other four patients (five hips) were considered survivors because they did not show progression of osteoarthritis at latest radiographic followup (range, 12-21 years) and they presented with good to excellent clinical results (minimal HHS of 96, high activity level with a UCLA score of at least 5, and a maximum WOMAC score of 6) at the time of questionnaire followup (range, 28-30 years).



**Fig. 1** Patient selection for the 30-year PAO followup study is shown. \*Died unrelated to surgery with an uneventful followup 6 and 16 years after surgery; <sup>†</sup>patients did not return for 30-year followup but returned a questionnaire with clinical scores. These patients had a last clinical and radiographic followup at 11 to 21 years after surgery.

Clinical evaluation at most recent followup was performed by one of the authors (TDL, not involved in the surgical care of the patients). Clinical evaluation included assessment of limp, the presence of a positive anterior impingement test (pain in combined flexion and internal rotation), the presence of a posterior impingement test (pain in combined extension and external rotation), and full goniometric ROM. As a clinical scoring system, the Merle d'Aubigné-Postel [8] and the HHS [13] were assessed. Different observers performed the clinical evaluation preoperatively and at 10-, 20-, and 30-year followup. However, substantial inter- and intraobserver agreement has been published for the anterior impingement test [27], ROM [18, 30, 55], and the Merle d'Aubigné-Postel score [22].

Radiographic evaluation consisted of an AP pelvic radiograph and a cross-table lateral view of the hip acquired in a standardized fashion [49]. Osteoarthritis was graded according to the classification of Tönnis [51]. Coxometric parameters on the pre- and postoperative AP pelvis radiographs were assessed using validated software (Hip<sup>2</sup>Norm; University of Bern, Bern, Switzerland) [47, 50, 58]. Because the original radiographs were not calibrated, we did not adjust the radiographs for variances of pelvic tilt but did so for pelvic rotation. We assessed the following six acetabular parameters for quantification of reorientation in all patients: caudocranial femoral head coverage, anterior coverage, posterior coverage, lateral center-edge angle, acetabular index, and extrusion index. Similar to a previous study [1], the acetabular reorientation was judged optimal if at least four of the six parameters were within previously determined reference values [46]. Additionally, femoral head sphericity was assessed by the head sphericity index [42]. An aspherical head was then defined by a sphericity index of 0.87 or less [1]. Based on this allocation, eight patients (eight hips [11%]) had a spherical head. Fifty-five patients (67 hips [89%]) were judged as having an aspherical femoral head.

We then calculated factors associated with any of the endpoints defined as conversion to THA, progression of osteoarthritis, or a Merle d'Aubigné-Postel score < 15. Therefore, we evaluated both demographic (Table 1) and surgery-related data (Table 2) of the patient series. In addition, we evaluated preoperative radiographic data describing the morphology of the hip (Table 3), joint degeneration using the Tönnis grading system, and post-operative radiographic data describing the corrected morphology of the hip (Table 3).

Survival rate was calculated using the method of Kaplan-Meier [21]. Hips without a 30-year followup or from patients who died were included as censored data. Normal distribution was tested for all continuous parameters using the Kolmogorov-Smirnov test. Because not all of them were normally distributed, we used nonparametric tests only. Hip function and pain were compared among the preoperative status and the different followups using the Friedman test. Pairwise comparison of clinical data was performed using the Wilcoxon signed-rank test with Bonferroni adjustment for the level of significance. Binominal clinical data were compared using the chi square test. Radiographic data were compared between the preoperative and postoperative status using the Wilcoxon signedrank test for continuous data and the Fisher's exact test for binominal data. The Cox proportional hazard model [6] was used to detect factors associated with one or more of the previously defined endpoints and corresponding hazard ratios were calculated.

### Results

We found a cumulative survivorship free from THA, progression of osteoarthritis, and a Merle d'Aubigné-Postel score of < 15 of 29% (95% confidence interval [CI], 17%– 42%) at 30-year followup (Fig. 2). Fifty hips (67%) reached one of the defined endpoints. Forty-two hips (56%) had been converted to THA and one hip (1%) was fused (the one hip with fusion was taken into account

Parameter	Preoperative value	Postoperative value	Reference value [46, 50]	p value
Lateral center-edge angle (°) [49]	$6 \pm 9$ (-24 to 25)	34 ± 12 (10–55)	23–33	< 0.001
Acetabular index (°) [51]	$26 \pm 11 (12 - 50)$	$6 \pm 11 \; (-15 \text{ to } 18)$	-7 to 2	< 0.001
Extrusion index (%) [49]	37 ± 12 (7–81)	$10\pm10~(-13$ to 37)	12–16	< 0.001
ACM angle (°)	46 ± 9.7 (31–70)	$45 \pm 5.6 (34-60)$	39–52	0.846
Crossover sign (% positive of all hips) [49]	36	17	Negative	0.007
Posterior wall sign (% positive of all hips) [49]	92	70	Positive or negative	< 0.001
Shenton's line intact (% intact of all hips)	39	62	Intact	0.004
Caudocranial coverage (%)	64 ± 15 (12–100)	88 ± 16 (63-100)	84–93	< 0.001
Anterior coverage (%)	15 ± 7 (0–31)	18 ± 10 (1–56)	27–32	0.041
Posterior coverage (%)	35 ± 11 (8–63)	45 ±14 (8-72)	48–55	< 0.001

Table 3. Radiographic data of the patient series at the pre- and postoperative status of all 63 patients (75 hips)

Values of continuous parameters are expressed as mean  $\pm$  SD with range in parentheses.

as THA for statistics) after a mean followup of  $16 \pm 8$  years (range, 1–30 years). Seven hips (9%) had a Merle d'Aubigné-Postel score of < 15 at most recent followup. Four hips (5%) sustained progression of osteoarthritis during the followup period.

Hip function and pain at 30-year followup compared with the preoperative status did not improve except for the prevalence of a positive anterior impingement test (39% versus 14%; p = 0.005) and for the prevalence of limp, which decreased from 66% to 18% (p = 0.016). No difference between the 30-year followup and the preoperative status was found for the Merle d'Aubigné-Postel score (preoperative 15  $\pm$  2 [9–18] versus 16  $\pm$  2 [12–18], p = 0.144) or the HHS (preoperative 83  $\pm$  11 [50–100] versus 85  $\pm$  17 [43–100], p = 0.602). In both scores an

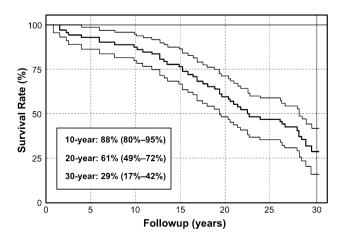


Fig. 2 Survival rate of hips after PAO up to a followup of 30 years is shown. Endpoints were defined as conversion to THA, progression of osteoarthritis, and a Merle d'Aubigné-Postel [8] score of < 15. Values are expressed as cumulative survivorship with 95% confidence interval (CI) in parentheses for each 10-year interval.

improvement was found for the 10-year followup with no more improvement at 20- and 30-year followups (Table 4). There was no difference for the prevalence of a posterior impingement test (14% versus 3%; p = 0.592) at the 30year followup compared with the preoperative status. At the most recent followup, flexion, internal rotation, external rotation, and abduction were decreased compared with the preoperative status, whereas extension and adduction did not differ (Table 4).

Osteoarthritis progressed from a preoperative mean osteoarthritis score according to Tönnis of  $0.8 \pm 1$  (0–3) to  $2.1 \pm 1$  (0–3) (THA or hip fusion was taken into account as Tönnis Grade 3; p < 0.001). Preoperatively 50 of 66 hips (76%) had no or only minor degenerative changes (Tönnis Grade < 1; Fig. 3). At 30-year followup, 19 of 66 hips (29%) showed no progression of osteoarthritis (Fig. 3). Progression of osteoarthritis was dependent on the preoperative osteoarthritis score (Fig. 3). In 15 of 30 hips (50%) with no preoperative signs of osteoarthritis (Tönnis Grade 0), no progression of osteoarthritis was seen at 30-year followup. With increasing preoperative osteoarthritis, the proportion of hips with no progression of osteoarthritis at 30-year followup decreased: three of 20 hips (15%) with preoperative Grade 1, one of 15 hips (7%) with preoperative Grade 2, and no hip with preoperative Grade 3 avoided progression of osteoarthritis at most recent followup.

We found 10 factors associated with any of the following endpoints including THA, progression of osteoarthritis, and a Merle d'Aubigné-Postel score of < 15 (Table 5). This included the demographic factor of age at operation > 40years (hazard ratio [HR] 4.3 with 95% confidence interval [CI], 3.7–4.9, p < 0.001) with direct implication on 30-year survival (Fig. 4A). In addition, six preoperative clinical factors including a preoperative Merle d'Aubigné-Postel score < 15 (HR 4.1 with 95% CI, 3.5–4.6, p < 0.001), a

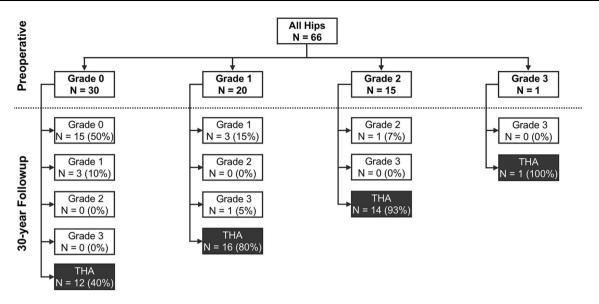


Fig. 3 The distribution of preoperative osteoarthritis according to the Tönnis grades [51] in hips undergoing PAO is shown. At 30-year followup, the progression of osteoarthritis or conversion to THA depending on the preoperative grade of osteoarthritis is displayed.

Table 4. Clinical results of the surviving hips at the preoperative status and at the 10-, 20-, and 30-year followup

Parameter	Preoperative	10-year followup	20-year followup	30-year followup	p value, overall
Merle d'Aubigné-Postel score [8] (0–18)	15 ± 2 (9–18)	17 ± 1 (13–18)*	$16 \pm 2 (10 - 18)^{\dagger}$	16 ± 2 (12–18) <sup>§</sup>	< 0.001
Harris hip score [13] (0-100)	$83 \pm 11$ (50–100)	97 ± 6 (78–100)*	$91\pm11\left(58100\right)^{\dagger}$	$85 \pm 17 (43 - 100)^{\ddagger,\$}$	0.001
Limp (% of all patients)	66	34*	41*	18* <sup>,§</sup>	< 0.001
Anterior impingement test (% of all hips) [49]	39	24	38	14*	< 0.001
Posterior impingement test (% of all hips) [49]	14	7	7	3	0.157
ROM (°)					
Flexion	117 ± 13 (90–130)	$100 \pm 11 (80 - 130)$ *	93 ± 12 (60–110)*	93 ± 11 (110–70)*,§	< 0.001
Extension	$1\pm 8~(-20~{ m to}~20)$	3 ± 5 (0–15)	3 ± 5 (0–10)	4 ± 5 (10–0)	0.188
Internal rotation	$41 \pm 14$ (20–70)	32 ± 15 (0-60)*	18 ± 11 (0–40)*	$20 \pm 14 \ (0-50)^*$	0.001
External rotation	35 ± 15 (0-70)	17 ± 12 (0-40)*	$14 \pm 11 \ (0-40)^{*,\dagger}$	31 ± 17 (0–70)* <sup>,‡</sup>	< 0.001
Abduction	38 ± 9 (20–60)	33 ± 9 (20–50)*	29 ± 7 (15–40)*	$24 \pm 10 (10 - 40)^*$	0.006
Adduction	30 ± 8 (10–50)	$27 \pm 7 (15-40)$	$25 \pm 5$ (20–30)	16 ± 6 (10–30)	0.090

Values of continuous parameters are expressed as mean  $\pm$  SD with range in parentheses; \* significant difference compared with the preoperative status; <sup>†</sup>significant difference between 10- and 20-year results; <sup>‡</sup>significant difference between 20- and 30-year results; <sup>§</sup>significant difference between 10- and 30-year results.

preoperative HHS < 70 (HR 5.8 with 95% CI, 5.2–6.4, p < 0.001; Fig. 4B), presence of preoperative limp (HR 1.7 with 95% CI, 1.4–1.9, p = 0.001), presence of a preoperative positive anterior impingement test (HR 3.6 with 95% CI, 3.1–4.2, p < 0.001), presence of a preoperative positive posterior impingement test (HR 2.5 with 95% CI, 1.7–3.2, p = 0.021), and preoperative internal rotation < 20° (HR 4.3 with 95% CI, 3.7–4.9, p = 0.021) were found. Furthermore, we noted three radiographic factors including preoperative osteoarthritis Tönnis Grade > 1 (HR 5.7 with 95% CI, 5.0–6.4, p < 0.001; Fig. 4C), a postoperative anterior coverage >

27% (HR 3.2 with 95% CI, 2.5–3.9, p = 0.001), and postoperative retroversion defined as an anterior acetabular coverage > 27% with combined posterior coverage < 36% defined according to Tannast et al. [46] (HR 4.8 with 95% CI, 3.4–6.3, p = 0.034).

# Discussion

Since its introduction in 1984, PAO [9] has become one of the most frequently performed surgical procedures to treat

Category	Parameter	Hazard ratio* (95% confidence interval)	p value	Hazard ratio <sup>†</sup> (95% confidence interval)	p value
Demographic	Age $> 30$ years	3.8 (3.0-4.6)	< 0.001		
	Age $> 40$ years	4.3 (3.7-4.9)	< 0.001		
Clinical	Preoperative Merle d'Aubigné- Postel score [8] < 15	4.1 (3.5–4.6)	< 0.001	3.4 (2.7–4.2)	< 0.001
	Preoperative Harris hip score [13] < 70	5.8 (5.2-6.4)	< 0.001		
	Preoperative limp	1.7 (1.4–1.9)	0.001		
	Preoperative pain in flexion and internal rotation (anterior impingement test)	3.6 (3.1–4.2)	< 0.001	2.6 (1.8–3.3)	0.006
	Preoperative pain in extension and external rotation (posterior impingement test)	2.5 (1.7–3.2)	0.021		
	Preoperative internal rotation $< 20^{\circ}$	4.3 (3.7–4.9)	< 0.001		
Radiographic	Preoperative osteoarthritis [51] Tönnis Grade > 1	5.7 (5.0-6.4)	< 0.001	2.7 (1.9–3.5)	0.014
	Postoperative anterior overcoverage (anterior coverage > 27%) [46]	3.2 (2.5–3.9)	0.001	2.5 (1.7–3.3)	0.021
	Postoperative retroversion <sup>‡</sup>	4.8 (3.4–6.3)	0.034		

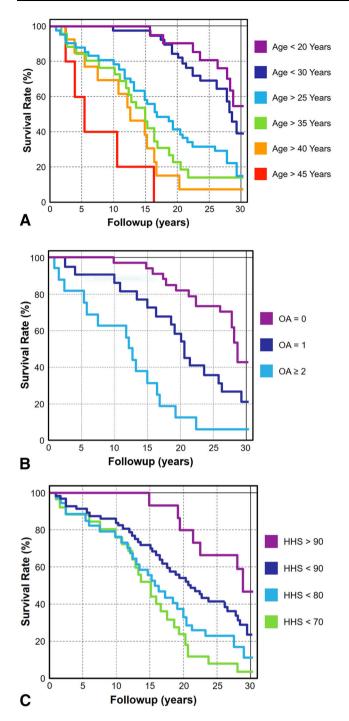
Table 5. Factors associated with poor outcome with corresponding hazard ratios

Endpoints were defined as conversion to THA, progression of osteoarthritis, or Merle d'Aubigné-Postel score [8] of < 15 points; \* univariate analysis; †multivariate analysis; †retroversion was defined as anterior coverage > 27% and posterior coverage < 36% according to the reference values of Tannast et al. [46].

hip dysplasia in adolescents and young adults. Several midto long-term studies have been published showing the potential beneficial effect on joint survival after PAO [1, 3, 7, 14, 35, 39, 41, 54]. To our knowledge, however, no reports about the 30-year survivorship with this procedure have been published. Because these patients are often very young at the time of surgery, long-term followup and identification of factors associated with osteoarthritis progression, poor clinical outcome, and conversion to THA could help to improve patient selection. At the 30-year followup, we found in 29% of the hips a good to excellent clinical result, no progression of osteoarthritis, and/or no conversion to THA (Fig. 5A–E); however, more than 70% will develop progressive osteoarthritis, pain, and/or undergo THA (Fig. 6A-E). There were 10 factors associated with THA, progression of osteoarthritis, or a poor clinical result including increased preoperative age, decreased preoperative Merle d'Aubigné-Postel score or HHS, preoperative limp, preoperative anterior and posterior impingement test, decreased preoperative internal rotation, increased osteoarthritis, postoperative anterior acetabular overcoverage, or postoperative acetabular retroversion (Table 5).

The limitations of the current study are similar to those from our previous report [41]. First, there is a lack of a control group with dysplastic hips without surgical treatment. Second, the clinical parameters were assessed by different observers at each followup, which is a reflection of the long timespan of the study. Therefore, differences in clinical outcome scores such as the Merle d'Aubigné-Postel score or HHS could have been missed. However, substantial inter- and intraobserver agreement has been reported for the anterior impingement test [27], ROM [18, 30, 55], and the Merle d'Aubigné-Postel score [8]. Thus, we do not believe that this affects our conclusions to a relevant degree. Third, the current series of patients reflects the learning curve and the very first experiences of a new, demanding surgical technique that could be associated with a higher rate of complications and results. Additionally, there was considerable heterogeneity in terms of indications, varying degrees of dysplasia (Table 1), osteoarthritis grade (Fig. 3), a high number of previous operations (Table 2), and additional underlying diseases. Although these variations likely reduced the overall 30year survivorship results, they at least allow an analysis of factors confounding long-term survival.

Last, five patients (six hips) did not return for 30-year followup. One patient (one hip) was considered a failure because of osteoarthritis progression at latest followup. The remaining four patients (five hips) were included as survivors because they had good to excellent scores (HHS >



**Fig. 4A–C** Survivorship rate at 30 years was dependent on different factors associated with endpoints (THA, progression of osteoarthritis [OA], and a Merle d'Aubigné-Postel score < 15). (**A**) In hips with an age at operation < 20 years, survival was 56% at 30 years, whereas no hip survived at 30 years with a preoperative age > 45 years. (**B**) Hips with no preoperative osteoarthritis had a survival rate of 42% at 30 years compared with 8% of hips with a preoperative osteoarthritis score according to Tönnis Grade  $\geq 2$ . (**C**) Forty-seven percent of hips with a preoperative HHS > 90 survived 30 years compared with 4% in hips with a HHS < 70.

96, UCLA score > 5, and WOMAC score < 6) at 28 to 30 years followup. In addition, latest radiographic followup of these patients was available from 12 to 21 years after surgery and did not show progression of osteoarthritis. Therefore, survival at 30 years could be overestimated because one of these hips without 30-year radiographic followup could have had progression of osteoarthritis without an increase in pain or limitations of daily activities.

Comparing the survival rate at 30-year followup with the previous reports [39, 41] of the same patient series shows a decrease after 10 years with a linear decline after 20-year followup (Fig. 2). Inclusion of the secondary endpoints (progression of osteoarthritis and a Merle d'Aubigné Postel score of < 15) allows a more distinct conclusion for the reader regarding success and failure of surgery. However, most reports comprise conversion to THA as a single endpoint (Table 6). With this single endpoint, the 30-year survivorship of the current series was 43% (42 of 75 hips [56%] were converted to THA and one hip (1%) underwent hip fusion; Fig. 7). This result is in line with the reported results about PAO and other pelvic osteotomies for hip dysplasia showing a continuous linear decline up to 30 years (Fig. 7). Reported survival for PAO ranges from 93% to 64% between 10- and 20-year followup (Fig. 7) [1, 14, 39, 41, 52]. Superior survival was reported for some triple, Chiari, and rotational osteotomies with survival rates ranging from 100% to 82% after 10 to 23 years after surgery (Fig. 7) [15, 16, 19, 20, 56, 57]. The increased survivorship could be the result of the decreased age at operation [2, 3, 12, 33, 57], typically in hips with Chiari or triple osteotomies [2, 12, 19]. Other possible reasons include the lack of hips with advanced osteoarthritis [14, 44, 54], a lower followup rate [7, 11, 23, 25, 31, 32, 35, 45, 53], and a decreased percentage of hips with previous surgery [28, 54]. In addition, the current patient series is consecutive and includes the very first cases from a new surgical technique and therefore represent the beginning of the learning curve. Comparing survivorship of pelvic osteotomies with survivorship of THA (with reoperation as the endpoint) in females younger than 50 years (comparable demographics to those found for pelvic osteotomies) from the Swedish Hip Registry [10] shows a similar decline over 30 years (Fig. 7). This indicates that, theoretically, PAO can reduce the need for revision THA by at least one surgical intervention.

No improvement in hip pain and function was found for the hips without conversion to THA at the 30-year followup despite the decreased prevalence of limp and anterior impingement test (Table 4). After improvement of the clinical scores at 10-year followup, both the Merle d'Aubigné-Postel score and the HHS decreased to the Fig. 5A–E (A) A 15-year-old female patient presented with hip dysplasia and subluxation of the joint (broken Shenton's line). (B) PAO to increase femoral head coverage was performed. At 10-year (C), 20-year (D), and 30-year followup (E), the joint space was well preserved and the patient presented without hip pain (Merle d'Aubigné-Postel score 18).

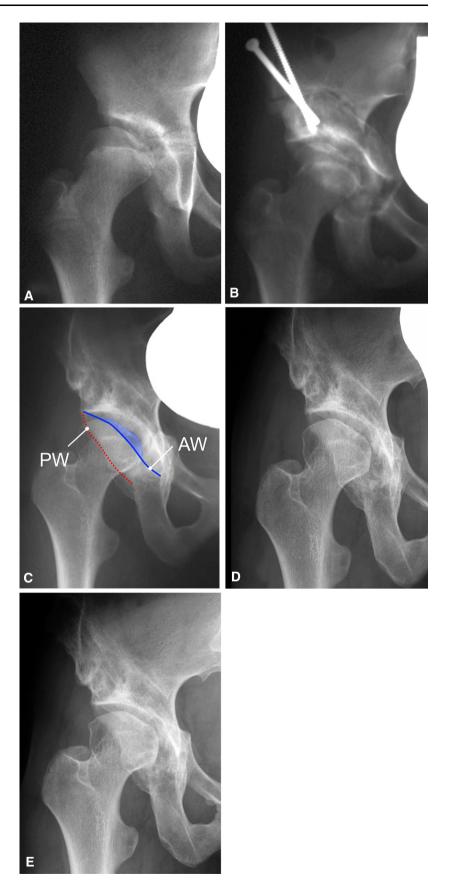
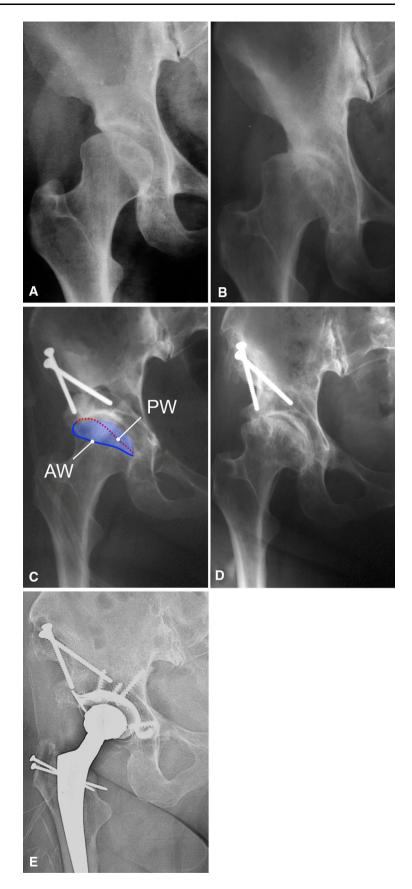


Fig. 6A-E (A) A 28-year-old woman presented with hip dysplasia with preserved joint space. (B) Twelve years later the patient presented with complete joint space narrowing and cystic changes of the femoral head. (C) Despite the preoperative degeneration of the joint, PAO was performed to improve femoral head coverage. Joint space width improved after surgery. (D) At 13-year followup after PAO, the joint showed marked progression of osteoarthritis and (E) conversion to THA was performed.



Author (year)	Number	Age at	Type of	Followup (years)	Author (year) Number Age at Type of Followup (years) Endpoints Survival Fa	Survival	Factors associated with endpoints
	of hips	surgery (years)	osteotomy		,		
Hartig-Andreasen et al. (2012) [14]	401	34 (13–61)	PAO	8 (4–12)	THA	10 years: 75%	Age > 40 years, preoperative osteoarthritis Grade 2 (Tönnis), postoperative LCE angle $< 30^{\circ}$ or $> 40^{\circ}$ , postoperative joint space width $< 3$ mm, postoperative joint congruence $> 0$ mm
Troelsen et al. (2009) <b>[52</b> ]	116	30 (14–57)	PAO	7 (5–9)	THA	5 years: 91% 9 years: 82%	Preoperative LCE angle $< 0^{\circ}$ , preoperative presence of os acetabuli, osteoarthritis, excessive lateral and proximal dislocation, postoperative acetabular sclerotic zone width of $< 2.5$ cm, preoperative acetabular anteversion of $< 10^{\circ}$
Matheney et al. (2009) [28]	135	27 (10–45)	PAO	9 ± 2.2	WOMAC score $\geq 10$ points, THA	5 years: 96% 10 years: 84%	Preoperative age $> 35$ years, preoperative poor or fair joint congruency
Steppacher et al. (2008) [41]	75	29 (13–56)	PAO	20 (19–23)	ТНА	10 years: 85% 20 years: 61%	Increased Age, decreased Merle d'Aubigné-Postel score, positive impingement test, limp, increased osteoarthritis, decreased acetabular coverage
Kralj et al. (2005) 26 [24]	26	34 (18–50)	PAO	12 (7–15)	THA, OA Tönnis Grade 3, WOMAC > 20 points	10 years 54%	Factors for good outcome: preoperative WOMAC score of > 67 points, low (Grade 0) preoperative Tönnis score, postoperative normalized (< 2.7 kPA/N) peak contact stress
Albers et al. (2013) [1]	Group I: 43 Group II: 122	28 (13-44) 29 (12-55)	PAO	Group I: 11 (10–13) Group II: 11 (10–14)	THA, progression of OA, Merle d'Aubigné-Postel score < 15	Group I: 10 years: 90% Group II: 10 years: 78%	Age > 30 years, preoperative Merle d'Aubigne-Postel score of < 14, preoperative positive Trendelenburg sign, nonspherical head, preoperative osteoarthritis $\geq$ Grade 1, Severin > Grade 3, excessive acetabular anteversion, acetabular retroversion, LCE < 22°, no offset correction in a nonspherical femoral head
Wells et al. (2016) [54]	121	27 (10–45)	PAO	18 (14–22)	THA	18 years: 74%	Preoperative age > 25 years, preoperative joint space width < 2 mm or > 5 mm
Dahl et al. (2014) [7]	127	31 (13–49)	PAO	7 ± 2.1	THA	12 years: 85%	Preoperative high grade of OA, postoperative high degree of acetabular roof obliquity angle
Grammatopoulos et al. (2016) [11]	68	25 ± 7	PAO	8 (2–18)	THA	10 years: 93%	Factors for good outcome: Joint congruency, AI postoperative $<15^\circ$ and LCE postoperative $20^\circ-40^\circ$
van Hellemondt et al. (2005) [16]	51	28 (15–46)	Triple	15 (13–20)	THA, Merle d'Aubigné-Postel score < 15 points	15 years: 64%	Preoperative osteoarthritis, preoperatively Merle d'Aubigné-Postel score < 15 points
de Kleuver et al. (1997) [23]	51	28 (14–46)	Triple	10 (8–15)	THA, progression of OA, walking ability	10 years: 73%	Reduced coverage of the posterolateral quadrant of the femoral head
Yanagimoto et al. (2005) [56]	74	32 (6–64)	Chiari	13 (10–20)	Decreased JOA, THA	13 years: 91%	Advanced DDH, aspherical femoral head

Table 6. Selected literature on pelvic osteotomies for hip dysplasia with factors associated with failure of joint survival

Factors associated with endpoints

Survival

Followup (years) Endpoints

Type of osteotomy

Age at surgery

Number

Author (year)

**Fable 6.** continued

of hips

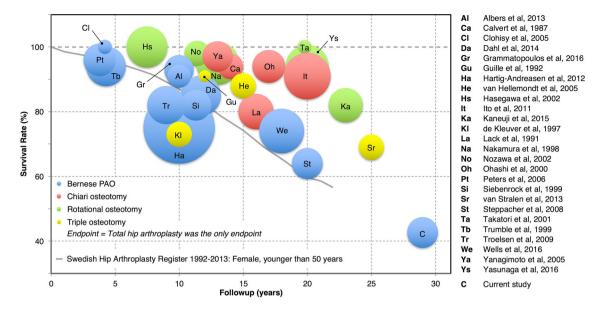
		(years)					
Ohashi et al. (2000) [ <b>33</b> ]	86	18 (6–48)	Chiari	Chiari 17 (4–37)	Progression of OA	10 years: 84%	Early stage of the preoperative OA, angular (rather than ovoid) shape of the femoral head, low (rather than proper) level of osteotomy
Yasunaga et al. 145 (2016) [57]	145	09	Rotational 21 ±	$1 \ 21 \pm 4$	A: THA A: 20 years: 94% Postoper B: Progression of OA B: 20 years: 82% years	A: 20 years: 94% B: 20 years: 82%	<ul> <li>A: 20 years: 94% Postoperative joint incongruency and preoperative age older than 46</li> <li>B: 20 years: 82% years</li> </ul>
PAO = periacetabular osteotomy; OA = osteoarthritis; JOA = Jarthe hip.;	ular osteo	tomy; OA = oste	eoarthritis; JC	DA = Japanese Orth	nopaedic Association hip s	core; LCE = lateral o	panese Orthopaedic Association hip score; LCE = lateral center-edge; AI = acetabular index; DDH = developmental dysplasia of

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preoperative values at 30 years followup (Table 4). ROM was decreased after surgery and at 30 years followup. Using computer-assisted and dynamic assessment of ROM, it could be shown that the typically excessive ROM in dysplastic hips is decreased to a normal level after PAO [43]. Studies reporting short- to midterm followup (< 15 years) for PAO and other pelvic osteotomies found an improved clinical outcome [5, 20, 44, 54, 57]. This difference in clinical outcome might be the result of the longer followup in the current study or the previously mentioned demographic differences including decreased age at operation [3], less hips with advanced osteoarthritis [54, 14, 44], or a decreased percentage of hips with previous surgery [44, 28, 57, 54].

Mean osteoarthritis was advanced at the 30-year followup and in only 19 of 66 hips (29%) osteoarthritis was unchanged (Fig. 2). Some reports with a followup ranging from 10 to 20 years were in accordance with our results [4, 29, 57]. Others have reported on preservation of the joint without progression of osteoarthritis at midterm followup [53]. This difference might be mainly the result of the decreased followup and the preoperative decreased proportion of hips with advanced degeneration. Progression of joint degeneration was clearly associated with the preoperative grade of joint degeneration (Fig. 3): 18 out of 50 (36%) hips with no or only mild degeneration ( $\leq$  Tönnis Grade 1) had no progression at 30-year followup compared to one of 16 hips (6%) with advanced osteoarthritis ( $\geq$  Tönnis Grade 2).

Factors associated with the endpoints conversion to THA, progression of osteoarthritis, or a Merle d'Aubigné-Postel score < 15 found at the 30- and 20-year followup [41] were preoperative age > 40 years, a preoperative Merle d'Aubigné-Postel score < 15, preoperative limp, a preoperative positive anterior impingement test, and preoperative osteoarthritis > 1 Grade according to Tönnis (Table 5). Additional factors found at the 30-year followup were a preoperative HHS < 70, a preoperative positive posterior impingement test, limited preoperative internal rotation  $< 20^{\circ}$ , postoperative anterior overcoverage, and postoperative acetabular retroversion. In contrast, a decreased postoperative extrusion index was no longer found to be a factor associated with failure. Eight of 10 factors are given at the time of surgery and can possibly be influenced by the surgery. These eight factors are determined by the degeneration of the joint and the age of the patient. The two factors that can be controlled by the surgeon are the postoperative anterior overcoverage and postoperative acetabular retroversion. Accurate acetabular reorientation with avoidance of retroversion was previously associated with decreased survivorship [1, 48]. Accurate acetabular anteversion in combination with adequate femoral offset resulted in a survivorship of 91% at 10-year



**Fig. 7** The bubble chart shows the followup time, size of the study in terms of number of patients included (size of bubble), treatment (color), and survival rate with conversion to THA as the only endpoint of selected literature [1–3, 7, 11, 12, 14–16, 19, 20, 23, 25, 31–35, 39, 41, 44, 45, 52–54, 56, 57] for pelvic osteotomies in hips with

followup after PAO [1]. In the literature, the most commonly reported factors associated with failure after pelvic osteotomies for hip dysplasia were increased age [1, 14, 28, 41, 54, 57], advanced radiographic joint degeneration [1, 7, 14, 16, 24, 33, 41, 52, 54], and increased preoperative pain with decreased clinical scores [1, 16, 24, 41] (Table 6). Also, with increasing severity of dysplasia [1, 52, 56], a decreased survival rate after PAO or Chiari osteotomy was found. Next, various factors associated with failure that are subject to surgical accuracy are reported (Table 6). These include a postoperative deficient acetabular coverage [1, 7, 11, 14, 23, 41] as a result of undercorrection or postoperative joint incongruency [11, 14, 28, 57]. In addition, excessive acetabular coverage [11, 14], acetabular retroversion [1], or nonspherical femoral head [1, 33, 56] were reported, which potentially can result in postoperative femoroacetabular impingement.

In conclusion, at 30-year followup after PAO, the joint is preserved in 29% of hips without pain or progression of osteoarthritis. However, 71% of hips developed progressive arthritis, pain, and/or underwent THA. Eight of 10 factors associated with failure were inherently given at the time of first presentation to the orthopaedic surgeon. Therefore, it becomes clear that results could be improved by early referrals by a well-instructed general practitioner. Other factors such as accurate reorientation of the acetabular fragment can be controlled by the surgeon. The optimal patient for PAO based on our results would be younger than 40 years with little or no pain, no limp or

dysplasia. The gray line represents the survivorship of THAs performed in female patients younger than 50 years of age from the Swedish Hip Registry [10] for comparison with the pelvic osteotomies.

positive impingement test, and osteoarthritis Grade  $\leq 1$  according to Tönnis. Therefore, today's results might be better given the more precise indication limiting PAO to hips without advanced osteoarthritis, more comprehensive understanding of the goals of reorientation, and concomitant head-neck osteoplasty; however, this will be for future studies to determine.

**Acknowledgments** Funding was provided by Schweizerischer Nationalfonds zur Förderung der Wissenschaftlichen Forschung (CH) (Grant No. PP00P3\_144856).

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