



Intravascular lithotripsy-assisted PCI for severely calcified coronary lesions: evaluating the impact on quality of life and outcomes

Anthony J. Buckley¹ · John P. McCormick¹ · James Carey¹ · Richard Armstrong¹ · Andrew Maree¹ · Mark Hensey¹ · Stephen O'Connor¹ · Ross Murphy¹ · Caroline Daly¹ · John Cosgrave¹ · Ian Pearson¹

Received: 1 May 2022 / Accepted: 15 June 2022 / Published online: 9 July 2022
© The Author(s), under exclusive licence to Royal Academy of Medicine in Ireland 2022

Abstract

Background Despite the increased uptake of intravascular lithotripsy (IVL) for treating severely calcified coronary lesions, there is limited patient-level data examining the effect of IVL on quality of life, symptomatology, and outcomes beyond 30 days. We sought to assess demographics, procedural characteristics, outcomes, and impact of IVL on patient-reported angina after a minimum of 6 months follow-up.

Methods A retrospective single-center study was conducted of patients treated with coronary IVL between January and October 2020. Baseline demographics were obtained from electronic patient records and SYNTAX scores were calculated from index coronary angiograms. Technical success and complications were assessed along with clinical outcomes, which included all-cause mortality, myocardial infarction (MI), target lesion revascularization (TLR), and MACE (composite of death, stroke, MI, and TLR). Canadian Cardiovascular Society (CCS) angina classification was assessed at virtual clinical follow-up.

Results Forty-seven consecutive patients were included. At a mean follow-up of 306 ± 74 days, the mean CCS angina score was reduced by 53% post-IVL-assisted PCI (2.9 vs 1.4, $p < 0.001$). Technical and procedural success were high (94% and 92%, respectively). One patient (2%) met the pre-specified criteria for in-hospital MACE and 4 (9%) met pre-specified MACE at follow-up, including 2 deaths and 2 TLR. Procedural complications included coronary dissection (11%) and coronary perforation (6%) and were managed either conservatively or with PCI.

Conclusions Coronary IVL is a safe and effective adjunctive therapy for treating heavily calcified coronary lesions. This cohort shows high procedural success and a significant reduction in CCS angina at follow-up.

Keywords Angina · Coronary artery calcification · Intravascular lithotripsy · Outcomes · Quality of life · Shockwave

Abbreviations

CAC	Coronary artery calcification
CABG	Coronary artery bypass graft
CCS	Canadian Cardiovascular Society
ISR	In-stent restenosis
IVL	Intravascular lithotripsy
MACE	Major adverse cardiac events
PCI	Percutaneous coronary intervention
RA	Rotational atherectomy
TIMI	Thrombolysis in myocardial infarction
TLR	Target lesion revascularization

Background

Coronary artery calcification (CAC) is an independent predictor of major cardiovascular events and poses increased technical complexity during percutaneous coronary intervention (PCI) [1–3]. Heavily calcified coronary lesions may lead to challenging stent delivery, stent underexpansion and stent malapposition [4]. Consequently, stenting inadequately prepared calcific lesions may lead to peri-procedural and long-term complications such as in-stent restenosis (ISR) and stent thrombosis [4].

Established therapies for CAC include specialty balloons (scoring, cutting, ultra-high pressure), rotational atherectomy (RA), orbital atherectomy, and excimer laser atherectomy [5–8]. The Shockwave™ intravascular lithotripsy (IVL) system (Shockwave Medical, Santa Clara, CA, USA) was recently added to the armamentarium for CAC lesion

✉ Anthony J. Buckley
anthonybuckley@rcsi.ie

¹ Department of Cardiology, St James's Hospital, Dublin D08 NHY1, Ireland

preparation. Briefly, it is a balloon-based system which emits sonic pressure waves to selectively fracture calcium in the vessel wall which facilitates lesion expansion and deployment of an appropriately sized stent [9]. IVL is easy to use with a short learning curve and may have benefits over other therapies due to lower-pressure inflations reducing the risk of coronary artery dissection [4, 9]. Early experience of IVL for CAC has demonstrated efficacy with a satisfactory safety profile [4, 9, 10].

Despite the recent widespread uptake in the use of IVL, there is limited patient-level data examining the effect of IVL on quality of life, symptomatology, and clinical follow-up data beyond 30 days. In this review of IVL, we sought to assess the demographics, procedural characteristics, complications and impact of IVL on patient-reported angina scores, and follow-up of these patients beyond 6 months.

Methods

Approval for the study was granted by the hospital research and innovation department (ID: RI7215). All patients treated with the Shockwave™ intracoronary lithotripsy system at our institution between January 2020 and October 2020 were included. Electronic patient records were used to obtain patient demographics, baseline Canadian Cardiovascular Society (CCS) angina classification scores, and estimated cardiovascular risk scores using the EuroSCORE II tool [11, 12]. Index coronary angiograms were independently reviewed to calculate SYNTAX scores, evaluate procedural success, and assess procedural complications [13]. Clinical outcomes including CCS angina scores were assessed at virtual clinical follow-up a minimum of 6 months post-procedure. A Student *t*-test was used to detect clinical significance between pre- and post-procedure angina scores. Continuous variables are presented as mean \pm standard deviation (SD) or median [Q1; Q3]. Categorical data are presented as frequencies and percentages.

Definitions

Technical success was defined as (1) ability to cross the lesion with both a wire and a balloon and successfully open the artery, (2) < 30% residual stenosis, and (3) TIMI III flow in all major branches. Target lesion revascularization (TLR) was defined as any unplanned repeat PCI of the target lesion or bypass of the target vessel performed for in-stent restenosis (ISR) or other complication of the target lesion. Major adverse cardiac events (MACE) were defined as the composite of total death, stroke, TLR, and myocardial infarction. Procedural success was defined as technical success with no in-hospital MACE.

Results

Patient characteristics (Table 1)

Forty-seven consecutive patients were included. The mean age was 69 ± 9 years, with a strong male predominance (83%). Hypertension (77%), smoking history (74%), prior PCI (72%), hyperlipidemia (72%), renal impairment (62%), and diabetes mellitus (43%) were the most common comorbidities. The

Table 1 Baseline characteristics of patients undergoing coronary intravascular lithotripsy

Characteristics	N = 47 (%)
Age	69 \pm 9
Male	39 (83)
Hypertension	36 (77)
Smoking history	35 (74)
Hyperlipidemia	34 (72)
Prior PCI	34 (72)
Renal impairment	29 (62)
Moderate	17 (36)
Severe	6 (13)
Dialysis dependent	6 (13)
Diabetes mellitus	20 (43)
Non-insulin dependent	16 (34)
Insulin dependent	4 (9)
COPD	6 (13)
Prior CABG	4 (9)
Prior CVA	3 (6)
Clinical presentation	
Stable angina	28 (60)
CTO	2 (4)
Acute coronary syndromes	19 (40)
Unstable angina	8 (17)
NSTEMI	9 (19)
STEMI	2 (4)
LVEF	
Preserved (> 50%)	26 (55)
Reduced	15 (32)
Moderate (31–50%)	11 (23)
Poor (21–30%)	3 (6)
Very poor (20% or less)	1 (2)
Not available	5 (11)
EuroSCORE II	3.4 \pm 3

Values are *n* (%) or mean \pm standard deviation

PCI percutaneous coronary intervention, COPD chronic obstructive pulmonary disease, CABG coronary artery bypass graft, CVA cerebrovascular accident, CTO chronic total occlusion, NSTEMI non-ST segment elevation myocardial infarction, STEMI ST segment elevation myocardial infarction, LVEF left ventricular ejection fraction EuroSCORE II European System for Cardiac Operative Risk Evaluation II

median EuroSCORE II was 3.4 ± 3 . Stable angina (60%) was the most common indication for intervention, followed by NSTEMI (19%) and unstable angina (17%).

Procedural characteristics (Table 2)

A total of 62 Shockwave™ balloons were used in 47 patients. Two balloons ruptured during inflation. The mean SYNTAX score was 26 ± 15 . All patients had circumferential or near-circumferential calcium as determined by coronary angiography and/or intracoronary imaging. Overall, 57% had PCI of a de novo lesion, 32% had PCI to a previously placed underexpanded stent, and 11% had severely calcified in-stent restenosis (ISR). Most patients underwent intracoronary imaging with either intravascular ultrasound (83%) or optical

Table 2 Procedural characteristics

Procedural characteristics	N=47 (%)
Total IVL balloons used	62
Mean IVL balloons used per patient	1.32
Mean IVL balloon diameter (mm)	3.5
Mean IVL pulses per patient	84
Ruptured IVL balloons	2 (3)
Stent deployed	34 (72)
Mean stent length (mm)	51
Target vessel	
RCA	17 (36)
LMS	2 (4)
LAD	9 (19)
LCx	4 (8)
Multi-vessel	15 (32)
Access site	
Radial	31 (66)
Femoral	14 (30)
Both	2 (4)
Adjunctive therapies	
Rotational atherectomy	8 (17)
Laser atherectomy	3 (6)
Diathermy	1 (2)
Scoring balloon	1 (2)
Aspiration catheter	1 (2)
Intracoronary imaging	43 (91)
IVUS	39 (83)
OCT	4 (8)
SYNTAX score	26 ± 15

Values are n (%) or mean ± standard deviation

IVL intravascular lithotripsy, RCA right coronary artery. LMS left main stem coronary artery, LAD left anterior descending coronary artery, LCx left circumflex coronary artery, Multi-vessel more than one major epicardial coronary artery involved, IVUS intravascular ultrasound, OCT optical coherence tomography

coherence tomography (8%). Adjunctive therapies such as RA (17%) were employed for additional plaque modification if there was difficulty delivering the IVL balloon or if there was inadequate lesion preparation with IVL alone.

Procedural outcomes (Table 3)

Technical and procedural success were high (94% and 92%, respectively). One patient (2%) met the pre-specified criteria for in-hospital MACE due to TLR, and a total of 4 patients (9%) met the pre-specified criteria for MACE at follow-up, including 2 deaths and 2 TLR. Complications included

Table 3 Procedural outcomes

Procedural outcomes	N=47 (%)
Technical success	44 (94)
Unsuccessful procedure	3 (6)
Significant residual stenosis in de novo lesion	1 (2)
Resistant stent underexpansion	1 (2)
Dissection with no-reflow	1 (2)
Procedural success	43 (92)
MACE (in-hospital)	1 (2)
Target lesion revascularization (CABG)	1 (2)
Procedural complications	7 (15)
Coronary dissection	5 (11)
Stented	2 (4)
Conservative	3 (6)
Coronary perforation	3 (6)
Balloon tamponade	2 (4)
Covered stent	1 (2)
MACE (in-hospital and follow-up)	4 (9)
Total deaths	2 (4)
Stroke	1 (2)
Sepsis	1 (2)
Target lesion revascularization	2 (4)
CABG	2 (4)
PCI	0
Myocardial infarction	0
Interval coronary angiography	11 (23)
Planned re-look	10 (21)
Same vessel as IVL	10 (21)
Alternate vessel	1 (2)
Stent deployed	5 (11)
Repeat IVL	2 (4)
CCS angina score	
Pre-IVL	2.9 ± 1.1
Post-IVL	1.4 ± 1.1

Values are n (%) or mean ± standard deviation

MACE major adverse cardiac event, CABG coronary artery bypass graft, PCI percutaneous coronary intervention, IVL intravascular lithotripsy

coronary dissection (11%), which were successfully treated with either conservative measures or stenting, and coronary perforation (6%) which were treated with either prolonged balloon inflation or covered stent placement ($n = 1$). Notably, two of the cases of coronary perforation were attributed to high-pressure inflation with non-compliant balloons and one case was due to “wire-exit perforation” and not as a complication of the IVL balloon per se.

Ten patients had undergone had interval angiography, of whom 5 required additional stenting and 2 had repeat IVL with the Shockwave™ system. One patient had an unplanned diagnostic angiogram for ongoing symptoms but no significant residual stenosis was detected. Six patients (13%) were lost to follow-up. At a mean follow-up of 306 ± 74 days, the mean CCS angina score was reduced by 53% post-IVL-assisted PCI (2.9 vs 1.4, $p < 0.001$).

Discussion

Our results suggest that IVL-assisted PCI is associated with significantly reduced patient-reported angina scores, and this benefit persists beyond 6 months post-intervention. To our knowledge, this is the first IVL series to include CCS angina classification scores, which represent an important clinical indicator of quality of life in cardiac patients [14]. Our results add to the existing registries which suggest that IVL-assisted PCI with the Shockwave™ system is safe and effective in a real-world population [4, 15–19]. The high EuroSCORE II and SYNTAX scores observed in our cohort

reflect the increased clinical and technical complexity in managing patients with severe coronary artery calcification and who are routinely encountered in clinical practice.

Procedural considerations

The Shockwave™ intracoronary system has a number of advantages over specialty balloons and atherectomy devices. As a balloon-based technology, the device does not require specific training and may be introduced via smaller French catheters in comparison to traditional atherectomy devices [20]. In our study, procedural success was achieved via radial access in the majority of patients (66%). Our procedural success rate is comparable to those seen in both the DISRUPT CAD III study (92% vs 92%, respectively) and other major registry datasets (Table 4) [4, 15–19]. Reasons for treatment failure/abandonment in our cohort included (i) intra-procedural ischemia with significant residual stenosis; (ii) prolonged procedural time, ischemia, and resistant stent underexpansion; and (iii) prolonged procedural time and dissection with no-reflow, in the setting of a chronic total occlusion (CTO).

Specialty balloons and atherectomy devices exert their effects through direct tissue debulking or compression; however, the IVL device generates sonic pulses at relatively low balloon inflation pressures, thereby minimizing direct mechanical pressure to the vessel wall [4, 9, 10]. In theory, this should reduce the risk of mechanical complications including dissections and perforations. In our study, coronary dissections were seen in 5 (11%) of patients treated with IVL. This is higher than the 3% dissection rate reported

Table 4 Comparison of registry datasets of Shockwave coronary intravascular lithotripsy

Publication	Current study	El Jattari et al. [15]	Aziz et al. [16]	Sinclair et al. [17]	Umapathy et al. [18]	Aksoy et al. [19]
Year	2022	2022	2021	2021	2021	2019
Location	Ireland	Belgium	UK, Italy	UK	Singapore	Germany
No. of patients (n)	47	134	190	65	45	71
Age (years)	69 ± 9	74 ± 9	72 ± 10	70 ± 12	70 ± 9	76 ± 10
Male (%)	83	76	72	80	71	72
Follow-up (days)	306	30	222	30	30	30
Technical success* (%)	94	88	99	99	94	78
Procedural success** (%)	92	Not reported	98	86	90	78
MACE, in-hospital (%)	2	3	1	3	6	0
Dissection (%)	11	1	Not reported	0	36	5
Perforation (%)	6	1	3	2	2	0
Adjunctive RA (%)	17	16	17	Not reported	1	5
Intracoronary imaging (%)	91	Not reported	23	68	Not reported	49

Values are n (%) or mean \pm standard deviation

UK United Kingdom, N = number of patients in each cohort, *Follow-up* median duration of follow-up in days

*In some studies, described as angiographic success. There are slight variations in definition; **In some studies described as clinical success. There are slight variations in definition *Adjunctive RA*, rotational atherectomy was used as an adjunctive therapy to intravascular lithotripsy

with RA in the ROTAXUS trial [5] and the 7% rate reported with modified balloons in the PREPARE-CALC trial [6, 7]. IVL registries report rates of dissection ranging from 0 to 36% (Table 4). Of the 5 coronary dissections in our series, 3 occurred in patients who had received adjunctive therapy with either RA or laser atherectomy devices and therefore may not be directly attributable to the use of IVL. Furthermore, the frequent use of intravascular imaging in our cohort may have allowed for the detection of more subtle dissections than those reported in previous studies. The rate of coronary perforation (6%) is higher compared to other similar registries (Table 4). The explanation for this is unclear but may be attributable to aggressive calcium modification with larger balloon sizes and high-pressure balloon inflations. The mean IVL balloon size used for calcium modification was 3.5 mm which was similar or larger compared with other similar registries [15–19].

In our cohort, in-hospital MACE (2%) is comparable to other registries (Table 4). However, our study found a higher rate of MACE at follow-up (6.3% at mean 10 months follow-up) than those reported by either Aziz et al. (2.6% at 7 months) [16]. These differences may relate to our definition of MACE, which included total deaths rather than cardiac deaths, as well as our longer duration of follow-up.

Two notable IVL-specific complications have been identified in post-licensing series: (i) IVL induced ventricular capture beats or “Shocktopics” were noted in 77.8% of patients treated with the Shockwave™ system in one series [21]. It has been suggested that these ectopic beats may have the potential to induce ventricular arrhythmia. While our study did not directly assess the prevalence of “Shocktopics,” no patients in our cohort developed peri-procedural ventricular arrhythmias. (ii) Several cases of Shockwave™ balloon rupture causing coronary dissection have been reported and while there were two balloon ruptures in our cohort, neither resulted in vessel dissection [22, 23].

Impact on practice

High procedural success and low complication rates suggest that this is a safe and effective adjunctive therapy for the management of CAC. The cost of IVL remains a major barrier to widespread use of the Shockwave™ system [24]. In our cohort, the median number of pulses required was 80, with a mean of 1.32 balloons used per patient treated. Further research could be garnered to evaluate the cost–benefit analysis of this technology in the context of a publicly funded healthcare system, such as the one in which this cohort is based.

Limitations

The data presented in this study should be interpreted within the inherent limitations of a single-center, retrospective, observational study. Similar to other studies of IVL, the data

is not randomized or compared to a control group. Additionally, our study has a relatively high attrition rate, with 6/47 (13%) patients lost to follow-up after 6 months. This may have been related in part to the COVID-19 pandemic, during which the majority of our outpatient services were being conducted virtually. This presented challenges in reliably contacting patients, especially those referred from remote referral centers.

Conclusion

The use of coronary IVL is a safe and effective adjunctive therapy for treating heavily calcified coronary lesions. This cohort shows high procedural success with IVL and a significant reduction in CCS angina class at follow-up.

Declarations

Conflict of interest The authors declare no competing interests.

References

1. Ferencik M, Pencina KM, Liu T et al (2017) Coronary artery calcium distribution is an independent predictor of incident major coronary heart disease events: results from the Framingham Heart Study. *Circ Cardiovasc Imaging* 10(10):e006592
2. Madhavan MV, Tarigopula M, Mintz GS et al (2014) Coronary artery calcification: pathogenesis and prognostic implications. *J Am Coll Cardiol* 63(17):1703–1714
3. Barbato E, Shlofmitz E, Milkas A et al (2017) State of the art: evolving concepts in the treatment of heavily calcified and undilatable coronary stenoses - from debulking to plaque modification, a 40-year-long journey. *EuroIntervention* 13(6):696–705
4. Hill JM, Kereiakes DJ, Shlofmitz RA et al (2020) Disrupt CAD III Investigators. Intravascular lithotripsy for treatment of severely calcified coronary artery disease *J Am Coll Cardiol* 76(22):2635–2646
5. Forero MNT, Daemen J (2019) The coronary intravascular lithotripsy system. *Interv Cardiol* 14(3):174–181
6. Abdel-Wahab M, Richardt G, Joachim Büttner H et al (2013) High-speed rotational atherectomy before paclitaxel-eluting stent implantation in complex calcified coronary lesions: the randomized ROTAXUS (Rotational Atherectomy Prior to Taxus Stent Treatment for Complex Native Coronary Artery Disease) trial. *JACC Cardiovasc Interv* 6(1):10–19
7. Abdel-Wahab M, Toelg R, Byrne RA et al (2018) High-speed rotational atherectomy versus modified balloons prior to drug-eluting stent implantation in severely calcified coronary lesions. *Circ Cardiovasc Interv* 11(10):e007415
8. Bittl JA, Chew DP, Topol EJ, Kong DF, Califf RM (2004) Meta-analysis of randomized trials of percutaneous transluminal coronary angioplasty versus atherectomy, cutting balloon atherectomy, or laser angioplasty. *J Am Coll Cardiol* 43(6):936–942
9. Brinton TJ, Ali ZA, Hill JM et al (2019) Feasibility of shockwave coronary intravascular lithotripsy for the treatment of calcified coronary stenoses. *Circulation* 139(6):834–836

10. Ali ZA, Nef H, Escaned J et al (2019) Safety and effectiveness of coronary intravascular lithotripsy for treatment of severely calcified coronary stenoses: the Disrupt CAD II study. *Circ Cardiovasc Interv* 12(10):e008434
11. Kaul P, Naylor CD, Armstrong PW et al (2009) Assessment of activity status and survival according to the Canadian Cardiovascular Society angina classification. *Can J Cardiol* 25(7):e225–e231
12. Nashef SA, Roques F, Sharples LD et al (2012) EuroSCORE II. *Eur J Cardiothorac Surg* 41(4):734–744; discussion 744–745
13. Sianos G, Morel MA, Kappetein AP et al (2005) The SYNTAX score: an angiographic tool grading the complexity of coronary artery disease. *EuroIntervention* 1(2):219–227
14. Manolis AJ, Ambrosio G, Collins P et al (2019) Impact of stable angina on health status and quality of life perception of currently treated patients. The BRIDGE 2 survey. *Eur J Intern Med* 70:60–67
15. El Jattari H, Holvoet W, De Roeck F et al (2022) Intracoronary lithotripsy in calcified coronary lesions: a multicenter observational study. *J Invasive Cardiol* 34(1):E24–E31 (Epub 2021 Dec 12)
16. Aziz A, Bhatia G, Pitt M et al (2021) Intravascular lithotripsy in calcified-coronary lesions: a real-world observational. *European multicenter study Catheter Cardiovasc Interv* 98(2):225–235
17. Sinclair H, Fan L, Fahy E et al (2021) Intravascular imaging-guided intracoronary lithotripsy: first real-world experience. *Health Sci Rep* 4(3):e307
18. Umaphathy S, Keh YS, Wong N et al (2021) Real-world experience of coronary intravascular lithotripsy in an Asian population: a retrospective, observational, single-center, all-comers registry. *J Invasive Cardiol* 33(6):E417–E424 (Epub 2021 Apr 13)
19. Aksoy A, Salazar C, Becher MU et al (2019) Intravascular lithotripsy in calcified coronary lesions: a prospective, observational, multicenter registry. *Circ Cardiovasc Interv* 12(11):e008154. <https://doi.org/10.1161/CIRCINTERVENTIONS.119.008154>. Epub 2019 Nov 11
20. Sgueglia GA, Gioffrè G, Piccioni F, Gaspardone A (2019) Slender distal radial five French coronary shockwave lithotripsy. *Catheter Cardiovasc Interv* 94(3):395–398
21. Wilson SJ, Spratt JC, Hill J et al (2020) Incidence of “shocktopics” and asynchronous cardiac pacing in patients undergoing coronary intravascular lithotripsy. *EuroIntervention* 15(16):1429–1435
22. Soriano F, Veas N, Piccinelli E, Oreglia J (2019) Coronary dissection due to intravascular lithoplasty balloon rupture. *EuroIntervention* 15(6):e558–e559. <https://doi.org/10.4244/EIJ-D-19-00383>
23. Lee TJ, Wan Rahimi WFB, Low MY, Nurrudin AA (2021) Type E coronary artery dissection caused by intravascular lithotripsy balloon rupture; vessel anatomy and characteristics in a lithoplasty complication case as detailed by optical coherence tomography: a case report. *Eur Heart J Case Rep* 5(12):ytb432
24. Kassimis G, Ziakas A, Didagelos M et al (2021) Shockwave coronary intravascular lithotripsy system for heavily calcified de novo lesions and the need for a cost-effectiveness analysis. *Cardiovasc Revasc Med* S1553–8389(21):00490–00495

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.