#### **ORIGINAL PAPER**



# Quantifying the Differences in Documentation and Modeling Levels for Building Pathology and Diagnostics

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Received: 5 February 2019 / Accepted: 28 June 2019 / Published online: 3 July 2019 © The Author(s) 2019

#### Abstract

Building pathology and diagnostics enable a practitioner to quantify the severity of damage to an existing structure as well as prioritize interventive and preventive measures. Two key aspects of building pathology and diagnostics are documentation and analysis to understand how damage could have occurred on a structure and how it affects overall stability. Within these two methods there are various levels which a practitioner can utilize. The aim of the present work is to quantify the differences in documentation and modeling levels to understand how they affect the overall process of building pathology and delineate the advantages and disadvantages of each approach. Using combinations of photogrammetry, laser scanning, thermal imaging, distinct element modeling, and finite-distinct element modeling, this work seeks to understand how differences in the level of numerical modeling affect damage diagnoses as well as how differences in documentation levels affect damage diagnoses. In particular, the advantages and disadvantages of simulations using simplified micro-modeling versus detailed micro-modeling, the effects of small perturbations to modeling geometry, and the influences of initial conditions are explored. These questions are examined through the use of two case studies including the foundation walls of the Baptistery di San Giovanni in Florence, Italy and a wall in Palazzo Vecchio in Florence, Italy.

#### 1 Introduction

Building pathology and diagnostics focuses on the degradation and downfall of existing structures. By assessing the condition of a building, the sources of defects and paths for intervention can be presented in an actionable and intelligible manner [28]. In particular, the severity of defects can be quantified, the sources can be identified, and plans for intervention and prevention can be prioritized [79]. The condition of an existing structure can be assessed by assembling relevant data regarding a building, documenting it with reality-based imaging methods, and using computational modeling to simulate its response to a spectrum of loading conditions. During this process both the documentation and computational modeling can be carried out at different levels depending on the scope and budget of a project. The overall aim of this paper is to understand how the different levels of

documentation and computational modeling can affect the process of building pathology and what are the advantages and disadvantages of the different approaches.

Letellier [39] and Santana and Patias [54] outline three main levels of documentation (Fig. 1): (1) reconnaissance, (2) preliminary, and (3) detailed. Reconnaissance documentation, documentation level 1 (DL1) uses a photographic report, an initial condition assessment, and descriptive sketches to create a not-to-scale representation of a site. This level of documentation cannot be used to quantitatively or qualitatively understand how damage occurred on a structure since there is no geometry measured. The use of reconaissance documentation for cultural heritage is common and often occurs in the form of spherical panoramas [1, 23, 46, 80].

Preliminary documentation (DL2) uses measured drawings, digital photography, and GPS mapping to create a record which has plan and elevation drawings with an accuracy of  $\pm$  10 cm and detailed structural elements with an accuracy of  $\pm$  2 cm. While this level of recording yields simple geometries which are conducive for computational modeling, there are an assortment of practical issues which must be addressed in the context of a project's scope. Santana and Patias (2009) outline that simplified geometries can

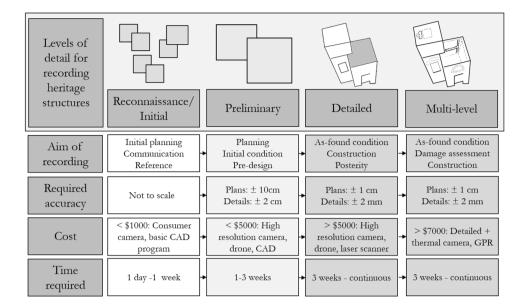


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Fig. 1 Illustration of the levels of documentation for heritage structures. The figure augments an existing figure from Napolitano et al. [52] which is originally based on previous literature [39, 54]



often miss the fact that on an existing structure, nothing is straight, square, or horizontal [54]. Depending on the scope of a project or the level of computational modeling that is used for simulations, this can play a role in understanding existing damage on a structure. For examples of preliminary documentation on cultural heritage sites, see [9, 65].

Detailed documentation (DL3) uses measured drawings, digital photography, GPS mapping, photogrammetry, and laser scanning, to create a record which has plan and elevation drawings with an accuracy of  $\pm 1$  cm and detailed structural elements with an accuracy of  $\pm 2$  mm. While this approach documents the geometry and any existing cracks on a building with high accuracy, it also has its drawbacks. As outlined in Napolitano et al. [52], this level of documentation can be costly for a project in terms of time, funding, and data management; therefore, this level of documentation should only be used when it is within the scope of a project. For examples of detailed documentation on cultural heritage sites, see [24, 33, 71, 76]

These levels of documentation detail, however, do not address subsurface documentation. The use of thermal imaging and ground penetrating radar (GPR) are becoming more prominent on projects examining existing damage conditions

and structural stability [12, 14, 21, 34, 36, 43, 62, 75, 78]. To include this supplementary level of documentation, the addition of a fourth level is proposed in Fig. 1. Like approaches 1–3 the fourth, multi-level documentation, is cumulative. Multi-level documentation (DL4) uses measured drawings, digital photography, GPS mapping, photogrammetry, laser scanning, thermal imaging, and GPR. Similar to detailed documentation, plan and elevation drawings must have an accuracy of  $\pm$  1 cm and detailed structural elements must have an accuracy of  $\pm$  2 mm

Documentation is not the only sector of building pathology which has levels a user must select from. Additionally, the computational modeling can be carried out on three main levels. Based on Lourenco [40] and Asteris et al. [4], the three main levels for modeling masonry are as follows: (1) macro-modeling, (2) simplified micro-modeling, (3) detailed micro-modeling (Fig. 2). In the first level of refinement (Fig. 2b), modeling level 1 (ML1), the constituents of a building are divided into continuum macro elements. While this method is applicable for understanding the global stability of a building, it is not able to capture large rotations or the complete detachment of blocks. This level of refinement was used by Milani et al. to examine

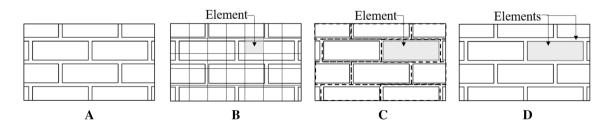


Fig. 2 Schematic illustrating different levels of modeling of the physical wall in a, b macro-modeling, c simplified micro-modeling, d detailed micro-modeling. This figure is based on previous literature [4]



how damage occurred on the narthex of the Church of the Nativity in Bethlehem [45]. Using non-linear finite element analysis, this work was able to understand how earthquake loading could have induced much of the damage that can still be seen today. Additional works have also utilized this level of modeling for cultural heritage projects [8, 18, 41, 55, 59].

The second level of refinement (ML2, Fig. 2c), a middle ground of computation and accuracy, uses a zero-thickness interface between discrete blocks to model the joint interactions. The elements contain the geometry of the individual bricks fictitiously expanded to include the geometry of the joints; an interface is defined between these approximate elements. This approach was used in Sarhosis and Sheng (2014) to examine the formation of cracks on experimental masonry walls [69]. This work sought to understand the effects of material parameters using distinct element modeling (DEM). Many previous works have also found this level of refinement to be successful for studying historic structures [10, 11, 37, 44, 58].

The third level of refinement (ML3, Fig. 2d) models the mortar joints as separate elements with their true thickness; interfaces are defined between the mortar and the masonry units. While this method can lead to increased accuracy, the computational cost can be high (this will be discussed later in this paper). An understanding of a project's needs and constraints should dictate the applicable level of refinement. Specifically this level of refinement was used to understand the causes of cracking on the two case studies examined in this paper: a foundation wall of the Baptistery di San Giovanni in Florence, Italy and a wall in Palazzo Vecchio. For successful applications of this level of refinement, see [47–49]

While simulation and documentation work have been done on all levels, there is not yet an understanding of how combinations of different levels affect the ensuing simulation results. By understanding how different levels of documentation and modeling change building pathology and diagnostics, this work will enable more efficient workflows for projects and garner more accurate assessment for interventions and preventitive conservation [74]. The aim of this work is to examine the influence of initial conditions such as the level of modeling, geometry, and material properties to understand the importance of multi-level and integrated documentation for building pathology. Therefore, this work will address the following research questions:

- How do differences in numerical model refinement alter diagnoses? Specifically:
  - (a) What are the advantages/disadvantages of simulations using simplified micro-modeling versus detailed micro-modeling in terms of both computational power and ensuing results?

- How do differences in documentation levels alter diagnoses? Specifically:
  - (a) How do perturbations in detailed micro-modeling affect the results of simulation (ie how accurate does documentation of a structure need to be)?
  - (b) How can initial assumptions about the existence of damage or material properties alter the results of simulation?

To understand the implications of multi-level and integrated documentation, this work (1) compares the results of simulations for a masonry wall modeled with both simplified and detailed micro-modeling, (2) compares two masonry walls modeled with perturbations in macro-scale geometry, (3) model two masonry walls with different initial conditions to understand how that affects diagnosis of damage. The analyses are carried out using combinations of DL2, DL3, Dl4, ML2, and ML3.

#### 2 Case Studies

Case studies of two masonry walls were used to address the questions presented in Sect. 1.

### 2.1 Foundation Wall of the Baptistery di San Giovanni

The Baptistery di San Giovanni, a quasi-symmetrical octagonal structure, is located in the heart of Florence, Italy. It is comprised of eight curved ceiling panels which make up the dome of the building; the dome itself is made of two shells which are tied together with periodic masonry ribs. The earliest historical reference to the Baptistery occurs in 897 AD; however, the foundation of the building is much older. The ecclesiastical edifice rests upon the remains of earlier structures, whose use and intention have been the cause for much debate over the centuries. Excavations in the early 20th century revealed that its walls rest upon Roman constructions that date to the 3rd century AD [29]. Presently, the foundation walls are riddled with cracks for which the causes are unknown. This case study was used to examine how the effects of simplified micro-modeling versus detailed micro-modeling, alterations in micro-scale modeling, and uncertainty in initial conditions can affect building pathology and diagnostics.

#### 2.2 The Room of the Elements in Palazzo Vecchio

Palazzo Vecchio, currently the city hall in Florence, Italy, has been gradually built up over the course of many eras. Medieval buildings, which were aggregated over time,



surround a 13th-century front facade. The second case study examined in this paper considers a room within the Southeast corner of the Palazzo, Sala degli Elementi (or The Room of the Elements). As early as 1558 there were concerns with the integrity of the Southeast corner of Palazzo Vecchio, as was indicated by an invoice directed to Giorgio Vasari for steel reinforcing bars—later detected using metal detection equipment [2]. Damage associated with the building's complex construction has therefore been a topic of concern since the times when the connections were being made. Presently, cracking is occurring in the stone wall and permeating through the fresco layer. This case study was used to examine how the effects of alterations in micro-scale modeling and uncertainty in material properties can affect building pathology and diagnostics.

### 3 Methodology

# 3.1 Documentation of Geometry and Current Conditions

#### 3.1.1 Foundation Wall of the Baptistery di San Giovanni

As the Baptistery has evolved over many centuries, there are a variety of connections, materials, and techniques used in its construction. To holistically understand this structure, archival research was initially completed. Subsequent to archival research a detailed terrestrial laser scanning (TLS) campaign was outlined. Laser scanning is a technique which has been widely used in cultural heritage [20, 38, 73] and

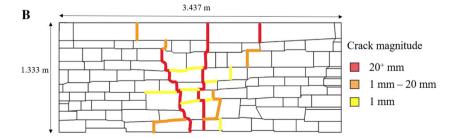
structural health monitoring [25, 26, 53]. In the Baptistery di San Giovanni, a Faro Focus  $3D \times 130$  laser scanner with 1-2 mm resolution was used; 14 scans were taken in the region surrounding the cracked foundation wall and aligned using Faro Scene. Automatic cloud-to-cloud alignment was used to minimize any issues arising from manual registration. The model generated using TLS can be seen in Fig. 3a. In addition to capturing the geometry of the foundation wall with a laser scanner, a Canon 5D DSLR camera was also used for photographs of the existing conditions.

An orthographic projection of the 3D model was imported into AutoCAD, the locations of the stones and mortar were traced manually, and a 3D model of the foundation wall was extruded. The geometry of the stones through the depth of the wall is modeled consistently since it was possible to examine the interior in broken sections of the wall. In addition to generating a detailed micro-model of the foundation wall, a macro-model of the entire structure was also generated from additional laser scan data. This macro-scale model was used to calculate the boundary conditions on the foundation wall and will be discussed below.

A map of the existing cracks on the foundation wall can be found in Fig. 3b. On this diagram, red indicates cracking over 1 cm, yellow indicates cracking on the order of 1 mm, and orange indicates cracking in between these two limits. Figure 3b illustrates that most of the cracking is present in the center of the foundation wall. Here there are two sections where the cracks persist through the height of the wall and are on the order of 1 cm or greater. Smaller cracks radiate out from these larger ones. Additionally, there is a large crack in the top right of the wall.

Fig. 3 a 3D model generated using TLS, b existing cracks on the foundation wall. Red indicates cracking over 1 cm; yellow indicates cracking on the order of 1 mm; orange indicates cracking in between these two limits. Figure adapted from [50]. (Color figure online)







#### 3.1.2 The Room of the Elements in Palazzo Vecchio

Similar to the foundation wall in the Baptistery di San Giovanni, a Faro Focus  $3D \times 130$  TLS with 1-2 mm resolution was used to record the existing conditions of the walls. Additionally, terrestrial photogrammetry was used to supplement the TLS point cloud. 200 images were taken in the room using a Canon 5D DSLR camera. The point clouds generated using TLS and photogrammetry were combined and the raw images were used to texture the TLS model. Figure 4 shows the locations of some of the cracks visible on the surface of the fresco.

While detailed documentation was generated using line-of-sight methods, the extent of the damages as well as the geometry of masonry behind the fresco was not able to be captured. Thus, 72 thermal images were acquired using a FLIR A615 camera. The infrared resolution on the thermal camera was  $640 \times 480$  pixels, the thermal sensitivity was < 0.05 °C, and the accuracy was  $\pm$  2 °C. Best practices for setup and acquisition were carried out according to the ASTM C1153-10 standard [30]. The thermal images mosaics were draped onto the 3D laser scan model to enable direct interaction between different layers of information within the model environment (Fig. 5).

Since the emissivity varies between stone and infill, the thermal mosaics could be used to derive the geometry of the wall in a non-destructive manner. Since the cracks are not immediately visible, only a qualitative map of the cracking for this wall was generated (Fig. 6). The red lines indicate relatively large cracks (1 cm +), the orange indicates midlevel cracking (1 mm-1 cm), and the yellow cracks indicate minor cracking (< 1 mm). Figure 6 illustrates that the regions with the highest degree of cracking occur in proximity to the doorways. Additionally, a few small areas of cracking can be seen in the top-middle section of the wall.

### 3.2 Deriving Boundary Conditions From Global Models

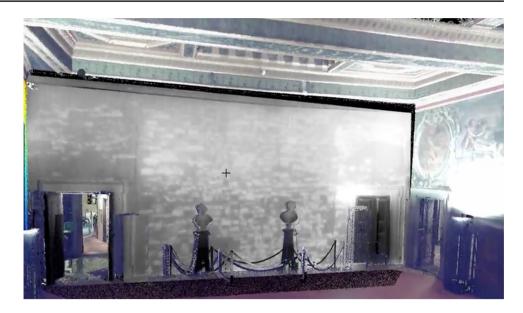
Previous literature has found that it is acceptable to examine select regions of a structure if the boundary conditions from the global model are incorporated into the analysis [4, 13, 32, 61]. For both structures, linear FEM was carried out to calculate the boundary conditions specific to the wall sections. Smeared macro-models were used for the global analysis. In Fig. 3a, it can be seen that there are two predominant load paths into the foundations. On top of the foundation wall there is a column which contributes in a concentrated area and a solid masonry wall which contributes a distributed load. On Palazzo Vecchio, the loads from the upper part of the superstructure on the wall section were calculated and applied as a distributed load. In addition to

Fig. 4 Photographs illustrating cracks which are permeating the fresco layer. a Shows the full wall and the locations of specific cracks, **b-f** show close ups of specific cracks. Figure adapted from [49]

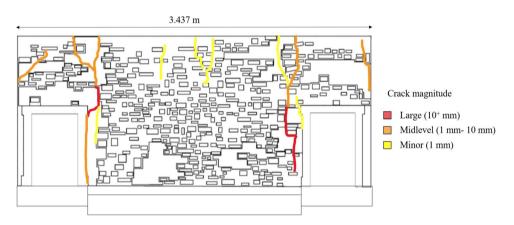




Fig. 5 Example of thermal image mosaic draped onto 3D laser scan model in the Room of the Elements



**Fig. 6** Existing cracks on the wall in the Room of the Elements. Figure adapted from [49]



calculating the loads incident on the top of the case study walls, the boundary conditions along the sides of the walls were calculated as well. From the TLS data, the mass of the adjacent masonry walls was calculated and applied as a lateral boundary condition. By making assumptions about the boundary conditions of the wall, more detailed analysis of the sections of interest is possible.

#### 3.3 Simulation Setup

The simulations are carried out using a combination of DEM and FDEM. For an in-depth discussion about numerical modeling of historic masonry structures, see Asteris et al. and Lemos [4, 37]. DEM has been widely applied to masonry construction [22, 32, 37] and is defined as having finite displacements and rotations of discrete blocks, as well as new contacts between the blocks which automatically update as the calculation progresses [15]. The blocks in the simulations are rigid in DEM, meaning that the geometry is independent of the loading scheme, and deformable

in finite-distinct element modeling (FDEM) meaning that the geometry of individual stones can vary based on the applied loading [15]. FDEM has also been applied widely to masonry construction [6, 7, 48, 51, 66, 72]. DEM was used to simulate the simplified micro-model(stones were individually modeled) and FDEM was used to simulate the detailed micro-model (stones were individually modeled and the mortar was explicitly modeled).

# 3.3.1 Effects of Simplified Micro-modeling Versus Detailed Micro-modeling

To understand the effects of simplified micro-modeling versus detailed micro-modeling, two configurations of the foundation wall of the Baptistery di San Giovanni were generated. Figure 7a shows the foundation wall modeled as a simplified micro-model: the mortar joints are expanded into the geometry of the stones. For each side of the stone that is in contact with the mortar, the stone is fictitiously expanded for half the width of the mortar joint.



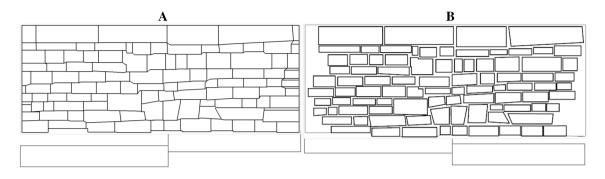


Fig. 7 Different levels of modeling for the foundation wall; a simplified-micro-model, b detailed-micro-model

Table 1 Material properties of blocks in the masonry wall

Property	Stone	Mortar
Density, $\rho$ (kg/m <sup>3</sup> )	2300 [5]	1540 [77]
Young's modulus, E (GPa)	52 [5]	0.5 [67]

The interface between the two fictitiously expanded stones is deduced from the stiffness of the real joints. Figure 7b depicts the foundation wall modeled using detailed micromodeling: the joints are modeled explicitly as a finite element mesh and the stones are modeled as individual finite element meshes.

The computational modeling of the walls is done in 3DEC—a software package for distinct element modeling and finite-distinct element modeling. The geometric representation of the walls in Fig. 7 is based on non-uniform blocks of polyhedral form. For the distinct element model in Fig. 7b, the stones were assumed to behave as rigid bodies. For the finite-distinct element model in Fig. 7a, the stones were assumed to behave deformably.

A Mohr-Coulomb constitutive model was used to describe the behavior of the interfaces [57]. For the distinct element model, only the density of the stone,  $\rho_{stone}$ , the normal stiffness of the joints,  $j_{ns}$ , the shear stiffness of the joints,  $j_{ss}$ , the friction angle,  $\phi$ , the cohesion, c, and the tensile strength of the joints,  $j_{ten}$ , were required since a rigid block model was used. For the finite-distinct element model the density of the mortar,  $\rho_{mortar}$ , Young's modulus of the mortar,  $E_{mortar}$ , and Young's modulus of the stone,  $E_{stone}$ , were additionally required. The material properties for the mortar and the stones used in the distinct element model and the finite-distinct element model can be found in Tables 1 and 2 respectively. Previous works have used values from the literature when experimental testing is not possible and have had success [16, 17, 49, 68]. Additionally, without destructive testing an approximation was made where the joint materials have been assumed to be the same for the different interactions.

Table 2 Material properties of joints in the masonry wall

Property	Value	
Joint normal stiffness, $j_{ns}$ (Pa/m)	$50 \times 10^9  [67]$	
Joint shear stiffness, $j_{ss}$ (Pa/m)	$30 \times 10^9  [67]$	
Friction angle, $\phi$ (degree)	30 [67]	
Joint cohesion, c (Pa)	1 [60]	
Joint tensile strength, $j_{ten}$ (MPa)	0.92 [19]	

Both cases were subjected to three different loading schemes to understand the difference in the two modeling approaches:

- dead load only
- dead load + 0.5 m of settlement on the left side as shown in Fig. 7a
- dead load + 0.5 m of settlement on the right side as shown in Fig. 7b

# 3.3.2 Alterations in Geometry During Detailed-Micro-modeling

Aside from modeling the foundation wall with its current geometry of stones, the wall was also modeled two other ways: (1) small perturbations were made to a region of interest susceptible to cracking and (2) the geometry of the wall was idealized to an isodomic pattern of masonry. All three configurations of the stones can be seen in Fig. 8.

The dashed boxes in Fig. 8 outline the region where a large crack can currently be seen in the foundation wall. In the configuration where the geometry reflects the true structure (Fig. 8a), there is a clear divide between geometry on the left and right sides of the wall. Figure 8b is a variation where the blocks outside of the susceptible region have not been altered. However, the blocks that comprise the region of interest have been perturbed to increase the path length of the joint in the middle. Figure 8c is a variation where the blocks are placed ideally, maximizing the length of the path a crack would have to travel. All three configurations of the



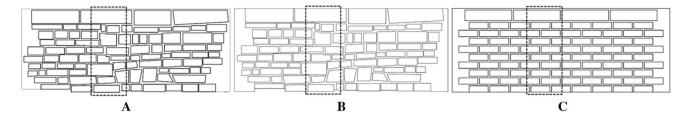


Fig. 8 a True locations of stones, b perturbation of the locations of stones within the susceptible region, c idealized, isodomic pattern

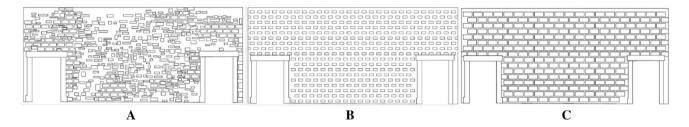


Fig. 9 Detailed-micro-model of a wall of the Room of the Elements: a real locations of stones, b semi-idealized pattern of stones, c idealized pattern of stones

**Table 3** Material properties for finite-distinct element model of the Room of the Elements

Property	Stone	Mortar	Brick	Infill
Density, ρ (kg/m³) Young's modulus, E (GPa)	2713 [5]	1540 [77]	2000 [51]	1800 [48]
	18 [5]	0.5 [67]	6 [67]	3.37 [27]

wall were subjected to 0.05 m of settlement on the left side to examine the differences in how each wall behaved under the same loading conditions. Settlement of 0.05 m on the left side was simulated because in a previous work it was found to be the most probable cause of the existing damage from a series of other loading combinations [47, 50]. The material properties for the mortar and the stones can be found in Tables 1 and 2.

In addition to examining the Baptistery of Florence, the Room of the Elements at Palazzo Vecchio in Florence is also examined in a similar manner. The existing configuration was captured using the ground truth geometry of the terrestrial laser scan model and the thermal image mosaics [29]. Aside from modeling the wall with its current geometry of stones, the wall was also modeled two other ways: (1) semi-ideal and (2) ideal. The semi-idealized model uses stone and mortar dimensions consistent with the exterior of Palazzo Vecchio, and the idealized model uses the same ratio of mortar and stone seen in the thermal image and organized into an isodomic pattern [29]. All three configurations of the stones can be seen in Fig. 9. The material properties can be found in Tables 2 and 3.

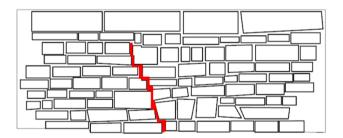


Fig. 10 Foundation wall with the joint in question highlighted in red. This wall was simulated to see how large the difference would be if this was modeled as c = 0 or  $c \ne 0$ . (Color figure online)

### 3.3.3 Effects of Initial Assumptions

As outlined in [50], it was unknown if the crack highlighted in red in Fig. 10 on the foundation wall of the Baptistery had been present during the phase when the upper sections of the baptistery were being constructed or if it was a large crack that had developed since then. The theory that it was it was present prior to the construction of the upper parts of the building was driven by the fact that the stones in this region lined up in almost a continuous path throughout the height of the wall. This initial condition, termed c = 0, was simulated by decreasing  $j_{ns}$ ,  $j_{ss}$ , and  $\phi$  to values associated with dry-joint masonry [57]; additionally, c and  $j_{ten}$  were set to zero at this isolated region. While this case was preliminarily tested in [50], the concept of how the initial assumption changed the results of diagnosis was not and will be examined in the present work.



Additionally, models with two different initial conditions for the walls of the Room of the Elements were simulated. For the Room of the Elements, there are no records or indications of the masonry configuration since the walls are completely covered in plaster. While the location of stones and mortar could be elucidated from the combination of the thermal imaging and the terrestrial laser scanning, there were sections where it was not clear if it was concrete infill (e = 0.92) or intentionally laid brick (e = 0.81-0.94) since the emissivity ranges overlap [31].

Ground penetrating radar would have been able to show what the material in these regions was, however that was outside the scope and facilities of the current work. To understand how these regions of unknown material could affect the diagnosis of cracks, geometry for each configuration was generated. Figure 11a illustrates the wall with bricks in the unidentified regions and Fig. 11b depicts the wall with concrete infill in the unidentified regions. While these cases were preliminarily discussed in [49], the concept

of how the initial assumption changed the results of building pathology and diagnostics was not and will be examined in the present work. 0.1 m of settlement was induced in the middle section of the wall (Fig. 6) as in a previous study [49] it was found to be the most probable damage mechanism.

#### 4 Results and Discussion

# 4.1 Effects of Simplified Micro-modeling Versus Detailed Micro-modeling

The effects of simplified micro-modeling versus detailed micro-modeling were compared for the foundation wall of the Baptistery di San Giovanni. Figure 12 compares the crack patterns and magnitudes formed under three different load cases for the two different levels of modeling: simplified and detailed. As can be seen in Fig. 12, the detailed micro-model consistently simulates the formation of wider

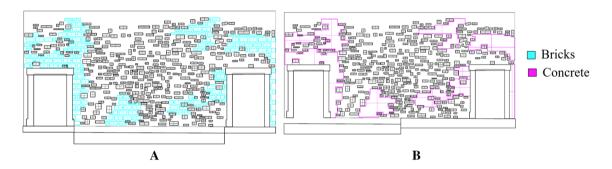


Fig. 11 Input geometry for simulation for the Room of the Elements: a with unidentified regions assumed to be brick, b with unidentified regions assumed to be concrete infill

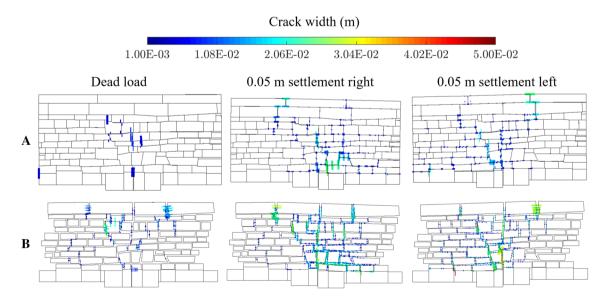


Fig. 12 Crack widths for the baptistery foundation under three different loading conditions with detailed micro-modeling

cracks than the simplified version. Additionally, there are regions in the detailed micro-model which exhibit cracking that are not captured by the simplified model. One example of this is the dead load only simulations. With the simplified model as input for the simulations, no cracks form in the upper right-hand joint of the wall. However, with the detailed model as input, cracking is seen to occur. This crack is evident on the existing wall and thus, this indicates that DEM does not predict the full extent of the crack pattern that is predicted with FDEM.

These differences in location, as well as magnitude which can be seen in Fig. 12, are more evident in Fig. 13. Figure 13 is a contour plot comparing the fractional distance of each level of modeling for the three loading cases; the lower values indicate a higher affinity between the simulated cracking and the physical wall. The use of fractional distance (defined in Eq. 1) as a metric for quantitatively comparing crack patterns in simulations and existing conditions has been validated with experimental testing and case studies [47]).

$$d_f(\delta_e, \delta_n) = \frac{\sqrt{\sum_{i=1}^{j_s} (\delta_{e,i} - \delta_{n,i})^2}}{\sqrt{\sum_{i=1}^{j_s} (\delta_{e,i})^2}}$$
(1)

where  $d_f$  is the fractional distance,  $\delta_e$  is the width of the crack on the existing structure,  $\delta_n$  is the width of the crack in the simulation, and  $j_s$  is the number of joints considered. Previously, it has been proven that not all of the joints in a structure need to be measured to ascertain fractional distance [47]. The minimum number of joints that need to be accounted for can be calculated using Eq. 2. The joints should be randomly selected so that inconsequential and large openings are both considered; this ensures that both the locations of cracks and the unaffected areas of masonry are weighted.

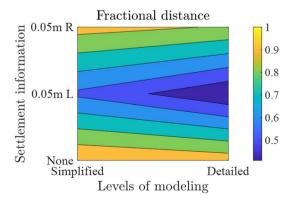


Fig. 13 Contour plot comparing rack widths for the baptistery foundation under three different loading conditions and two different levels of modeling



$$j_s = \frac{\frac{z^2 \times p(1-p)}{e^2}}{1 + \frac{z^2 \times p(1-p)}{e^2 N}}$$
(2)

where z is the z-score based on a desired confidence level (set to 1.96 based on 95%), p is the proportion (set to 50%), e is the desired margin of error (set to 10%), and N is the population size.

The contours represent the fractional distance between the existing crack widths and the simulated ones. While the contours are spaced at 0.1, each step does not necessarily correspond a simulation. Instead the contour lines show the gradient of fractional distances between different simulations. For each case examined, the detailed micro-model has a higher affinity with the existing conditions of the wall than the simplified micro-model. However, it should be noted that if each type of modeling approach is considered individually, the same loading case can be found as the cause of the damage. For instance, looking at the simplified micro-model, the case where there is 0.05 m of settlement on the left side of the wall has the lowest fractional distance; therefore, this is the loading condition which most probably caused the existing damage on the wall. The case where there is 0.05 m of settlement on the right is the second most probable, and the case where there is no settlement is the least probable. The order of probability is preserved when considering the affinity of the detailed micro-models. While the detailed micro-models will yield more accurate information about the locations and magnitudes of cracks, for diagnostic purposes, simplified micro-models will also yield the same results. If the resulting simulations were to be used for model updating during stability analysis, the discrepancies between the existing conditions and the simplified micro-model might be exacerbated; this will be examined in a future work.

Both DEM and FDEM have their advantages and limitations as can be seen from the comparison of results in Table 4. The table includes a comparison of the diagnosis, model outputs, as well as computation time. In terms of diagnosis, the results are the same using both approaches. As described previously, both DEM and FDEM selected that 0.05 m settlement of the left side was closest to the existing conditions of the wall. This indicates that if the primary goal of the research is understanding the origins of damage on a structure, the use of DEM analysis with a simplified micro model is sufficient. Considering how they are different, the outputs of the FDEM model surpass that of the DEM model. While the DEM model can export the displacements of the stones, the widths of cracks that form between adjacent blocks, as well as the location of cracks, it cannot provide information about the stresses that are developing within the mortar since it is not explicitly modeled. Additionally, FDEM captured more of the cracks than DEM. However,

**Table 4** Comparison of DEM and FDEM diagnosis, model outputs, and computation time

Method	Diagnosis	Outputs of model	Computation time (s)
DEM	0.05 m L	Displacements, crack widths, crack locations	16.38
FDEM	0.05 m L	Displacements, crack widths, crack locations, stresses in mortar, stresses in stones	1785.32

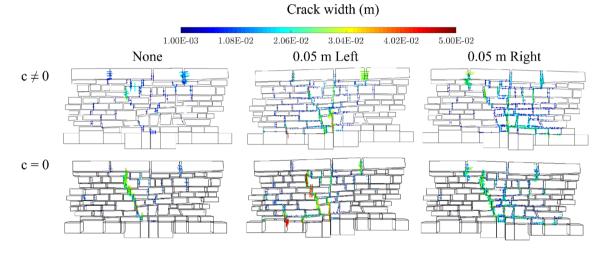


Fig. 14 Comparison of crack widths for different geometries under settlement loading

while the FDEM approach can yield more information, the computation time is much larger; the computation time for FDEM is 109 times longer than the computation time for DEM. The simulations were both executed on a 64-bit operating system with 32.0 GB of RAM and an Intel Core i7-770K CPU @4.20 GHz. Therefore, depending on the desired deliverables, a project should consider the level of modeling and corresponding numerical method carefully. This decision is directly related to the ground sampling distance (GSD) used in photogrammetry and remote sensing; if detailed micro modeling is appropriate for the scope of the project, a sufficiently small GSD (< 0.005 m) needs to be set to capture millimeter cracking and geometry within the mortar.

#### 4.2 Alterations in Micro-scale Modeling

The three geometries shown in Fig. 8 were settled 0.05 m on the left side to understand how changes in the geometry affect the results of simulation. Figure 14 illustrates the widths of cracks which developed on the wall during the simulations. In the case where the existing geometry is modeled, the cracks are concentrated in the middle of the wall. This closely mimics the existing crack pattern outlined in Fig. 3. Similar to the crack map, in this simulation there is a large crack which occurs in the top, rightmost joint of the wall; only small cracking can be seen outside of these

Table 5 Percent difference from current wall damage for each geometry

Geometry	Percent difference from current wall damage	
Existing	14%	
Perturbed	28%	
Isodomic	36%	

regions. When the small perturbations are added to the input geometry however, there is a good deal of change in not just the location of the cracking, but also the magnitude. Many smaller regions of cracking (on the order of 1 mm) can be seen in the non-central regions of the perturbed simulation. When the input geometry is further perturbed into an isodomic pattern, the crack pattern can again be seen to change. In the isodomic pattern, the magnitude of the cracking has dropped significantly in the middle (from 2 cm to 1 mm) as well as the damage is now spread across a larger surface area. Therefore, considering the cases shown, the results of simulated crack patterns do differ with the changes in the geometry.

These results were also quantitively compared amongst themselves and to the existing crack patterns. Table 5 outlines the percent difference between the simulations of each geometry and the current wall damage. When the geometry



of the wall is modeled precisely using the laser scan and photogrammetry data, the simulation results are only 14% different from the existing crack patterns. Since material testing was not carried out for the input parameters, small differences between the two can be accounted for. When small changes were made to the region of interest however, the percent difference from the current wall damage doubled from the case of existing geometry. This indicates that even small changes in the system can affect the diagnosis of damage on a structure and ensuing stability analysis. Lastly, in the case where the geometry of the wall has been idealized in an isodomic pattern, the simulated damage only has a 64% affinity to the existing conditions where the existing geometry had 86% affinity. This emphasizes the importance of accurate documentation and modeling when examining damage.

To ensure that this difference was not isolated to this specific load case, additional loading schemes were tested.

Figure 15 is a plot of the crack widths for all three geometries across a spectrum of load cases including:

- dead load
- dead load + settlement of the left side 0.05 m
- dead load + settlement of the right side 0.05 m
- dead load + earthquake with an epicenter to the left of the wall
- dead load + earthquake with an epicenter to the right of the wall

As earthquakes are cyclical in reality, they are often calculated using historical response spectra [63, 64, 70]. While this approach can provide more detailed results, push-over analysis is a widely accepted approximation [3, 35, 42, 56]. For the purposes of this paper, push-over analysis has been utilized which is why the earthquakes are defined as having their epicenters to the left and right of the wall. Across the different geometries, the cracks follow the joints and

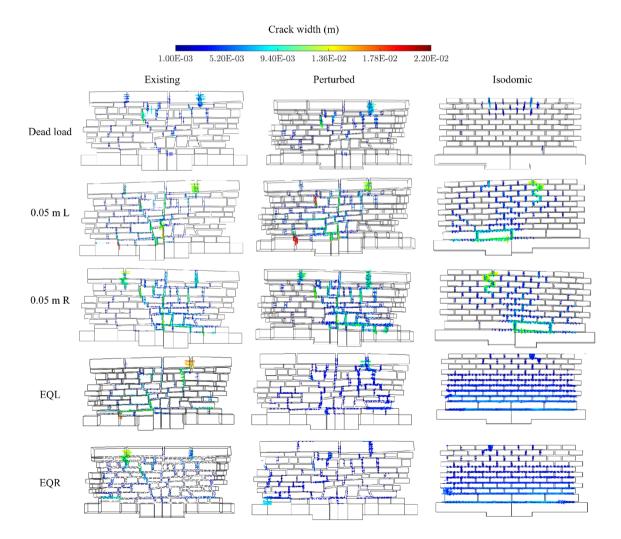


Fig. 15 Comparison of different input geometries across multiple load cases



therefore the paths themselves differ in each. Considering the case of an earthquake from the left side, the cracks in the existing geometry create continuous paths in the vertical direction since in this geometry it is the path of least resistance. However, in the isodomic pattern, the cracks are mainly horizontal. Therefore, if the simulations were to be used for diagnosing the causes of existing cracks, the isodomic pattern could provide support to a false reason. Additionally, it can be seen that alterations in the geometry affect the ensuing crack pattern not only in location but also in magnitude. As the figure is traversed from existing to isodomic, the maximum crack width of the wall drops noticeably. Again, in the case of an earthquake from the left side, the crack width drops from 2 cm to 4 mm. These simulations suggest that the geometry of the input model plays a critical role in understanding damage on an existing structure.

Similarly, three geometries of the Room of the Elements shown in Fig. 9 were compared for the case that most probably caused the existing damage—0.1 m settlement of the middle section of the wall [49]. Figure 16 shows the widths of cracks which formed on the wall over the course of the simulations. Considering the cases shown in Fig. 16, again the crack patterns differ with the changes in the geometry. While, the cracks along the door frames are similar in the existing case and the idealized case, the magnitude of the cracking decreases in the semi-idealized geometry. Additionally, in the semi-idealized geometry, there is less cracking occurring in the middle of the wall. In the case of the existing geometry as well as the idealized one, there is cracking scattered across the middle section of the wall on the order of 1–9 mm; in the semi-idealized case, there is not any cracking in this region. Similar to the case of the baptistery, these differences were consistent across all loading conditions analyzed. Thus, when analyzing damage on a building, reality-based imaging methods should be used at least at preliminary levels for building pathology and diagnostics.

#### 4.3 Effects of Initial Conditions

In addition to examining the consequences of input geometry, this work examines the effects of unknown initial conditions for two case studies: (1) a possible preexisting joint in the baptistery foundation and (2) unknown filler material in the Room of the Elements.

Figure 17 is a plot of crack widths for the two cases of the baptistery foundation wall (with a crack in the joint of interest, c=0, and without  $c\neq 0$ ) across three different loading conditions. As can be seen qualitatively, the crack patterns incurred by the structure vary for each case. Not only does the crack width vary in the region of interest, additionally, there are larger cracks occurring in other sections of the structure.

Figure 18 is a contour plot of the fractional distance between the existing conditions and the simulations considering different cohesion in the region of interest and different loading schemes. In each instance where the simulation has cohesion in the region of interest, there is a higher level of affinity with the existing conditions of the wall. In the cases where there is cohesion, the left settlement cracking is the most affine, the right side is the second most, and the case where there is no settlement is the least affine. However, in the cases where there is no cohesion, the delineation is less clear. The settlement of the left side again is the most affine but the difference between this case and the second most affine is much less than the difference for the simulations with cohesion. When there is cohesion, the difference between the most probable and the second most probable case is 0.41; where there is no cohesion, the difference is 0.96. This difference between the different loading schemes indicates that the influence of the preexisting joint dominates over the influence of the different loading schemes.

For the Room of the Elements, the results qualitatively illustrate the same concept as the foundation wall of the Baptistery: variations in initial conditions, such as material choice, affect the results of the ensuing simulations. Figure 19 is a plot of (1) the wall simulated with brick and (2) the wall simulated with concrete infill in the unknown

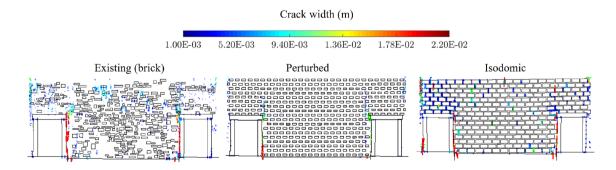


Fig. 16 Comparison of crack widths for different geometries under settlement loading



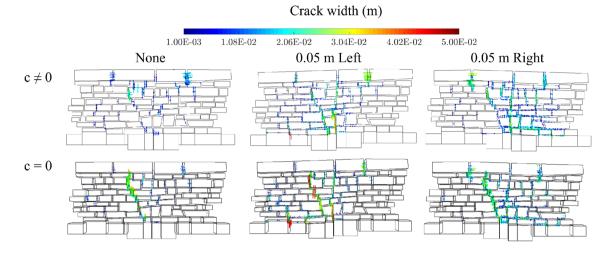
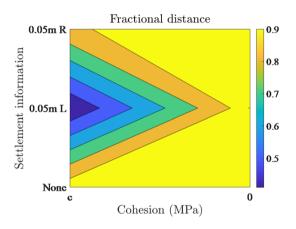


Fig. 17 Detailed micro-modeling of the baptistery foundation for three different loading conditions and with two different initial assumptions (uncracked and cracked)



**Fig. 18** Contour plot illustrating the fractional distance between the simulations and the existing foundation wall for two initial assumptions (c = 0 and  $c \neq 0$ ) across three loading scenarios

regions. Each geometry is simulated under two different loading scenarios: dead load and deada load + 0.1 m settlement of the middle of the wall. Considering just the dead load, it can be seen that in the simulation where there is concrete infill instead of the bricks there is more cracking present.

The propagation of a crack in the wall with concrete infill takes less energy than a crack in the wall with bricks. This is because Young's modulus of brick is much higher than that of concrete infill. A crack in the wall with the brick would either need to be energetic enough to pass through the brick or have enough energy to increase its path length and go around. In the wall with the concrete infill, the crack would just need enough energy to pass through the infill; this is less than the energy it would need to pass through the brick. The results are similar for the settlement loading; there are

more cracks with larger widths occurring on the wall with the concrete infill than on the wall with the brick. Therefore, these results support the results of the Baptistery di San Giovanni in stressing the importance of initial conditions. This highlights the need for integrating additional techniques at multiple surface levels such as thermal imaging, geophysical radar, etc. when diagnosing damages on a structure.

### 5 Conclusions

This study shows the results from comparing different levels of documentation and numerical modeling used in building pathology and diagnostics. For this work, the geometry and existing damage were captured for a foundation wall in the Baptistery di San Giovanni in Florence, Italy and for a wall in the Room of Elements in Palazzo Vecchio. They were documented using a combination of photogrammetry, laser scanning, and thermal imaging. To understand the differences in diagnostics and analysis using simplified micro-modeling versus detailed micromodeling, a 3D model of the baptistery wall was made 1) without the joints explicitly modeled and 2) with the joints explicitly modeled. This wall was then subjected to a variety of loading conditions to understand the effects of the disparate levels of modeling. Using the fractional distance between the simulation results and the existing wall to understand the effects, it was seen that consistently the wall with the mortar explicitly modeled had a higher affinity to the existing conditions. While the detailed micro-model did provide higher accuracy, the results in diagnostics were the same. Both types of modeling were able to identify that 0.05 m of settlement on the left side of the structure was the most probable cause of the existing



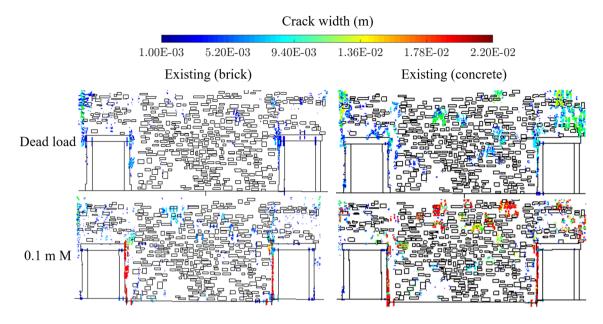


Fig. 19 Detailed micro-modeling of the wall in the Room of the Elements for different loading conditions and with two different initial assumptions (brick and infill)

damage. Therefore, these results indicate that for building pathology and diagnostics purposes, the detailed and simplified micro-model perform similarly. Thus, if this is the only aim of a project, simplified micro-modeling should be used since it has a significantly lower computation time. In the case that information about stresses building in the mortar is of paramount interest to a project, detailed micro-modeling and a sufficiently small GSD should be used to capture millimeter cracking and geometry within the mortar.

The second aim of this paper was to understand how changes in macro-scale modeling can affect the results of simulation. To study this, three different 3D models of both the Baptistery di San Giovanni's foundation wall and the wall in the Room of the Elements were generated. For the foundation wall, the first model was derived from the laser scan data and captured the geometry of the stones and the mortar exactly; the second model altered the position of stones by increasing the path length in a specific joint; the third model was an idealized one which fully elongated all joint lengths. For the wall in the Room of the Elements, the first model was of the existing pattern of stones, the second was a semi-idealized form, and the third was a fully idealized form. All of these models were simulated using FDEM under a variety of loading conditions. For both case studies, the cause of the existing cracking was known from previous studies. Using this information, the results of the simulations were compared to each other. It was found that the geometry which matched the existing conditions had the highest affinity with the existing crack patterns; a similar result was found for the Room of the Elements. Since these results indicate that the geometry of the input model does play a crucial role in understanding damage, reality-based imaging methods should be used at least at preliminary levels for building pathology.

The final aim of this paper was to understand how initial assumptions about the existence of damage or material properties could alter the results of simulation. The foundation wall was modeled with and without a preexisting joint; the wall in the Room of the Elements was modeled with brick infill and with concrete infill. Considering the simulations of the foundation wall, it was seen that the crack width varies between the two input parameters not only within the region of interest but also in neighboring regions. The case where c = 0 was seen to impact the simulations across the board; the fractional distance for the walls with c = 0 and  $c \neq 0$  were compared and it was found that in every case, even those where the settlement did not cause the cracking patterns, the wall with c = 0 had lower affinity with existing conditions. In addition to this study, an assessment of the walls in the Room of the Elements was carried out which supported the results of the foundation wall. These results illustrated the importance of multi-level documentation which integrates surface imaging with sub-surface investigative methods.

As shown in this paper, for an in-depth understanding of the condition of a structure, at least preliminary documentation and simplified micro-modeling are appropriate. However, there are many cases where the entirety of the structure cannot be seen by the naked eye. The last part of this paper emphasizes the importance of integrating additional levels of documentation such as GPR and thermal imaging into the



process to ensure the accuracy of a resulting model. By integrating reality-based imaging and sub-surface investigation methods, existing damage on a structure can be accurately assessed for preservation and monitoring. While this study only examined diagnosis of cracks in masonry structures, future work will be done to see how levels of documentation and modeling can impact stability analysis.

Acknowledgements This work was supported by the Department of Civil and Environmental Engineering, the Council on Science and Technology, the Dean's Fund for Innovation, and the School of Engineering and Applied Sciences at Princeton. Additional support was provided by the Kinsella Fund, the Qualcomm Institute at UC San Diego, the Friends of CISA3, and the World Cultural Heritage Society. This material is based upon work supported by the National Science Foundation Graduate Research Fellowship Program under Grants No. DGE-1656466 and No. DGE-0966375, 'Training, Research and Education in Engineering for Cultural Heritage Diagnostics,' and award No. CNS-1338192, 'MRI: Development of Advanced Visualization Instrumentation for the Collaborative Exploration of Big Data.'. Any opinions, findings and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the National Science Foundation. Opinions, findings, and conclusions from this study are those of the authors and do not necessarily reflect the opinions of the research sponsors. This work was completed as part of the Itasca Educational Partnership under the mentorship of Dr. Jim Hazzard.

#### **Compliance with Ethical Standards**

Conflicts of interest The authors declare no conflict of interest.

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