



# Finite Tree-Countermodels via Refutation Systems in Extensions of Positive Logic with Strong Negation

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**Abstract.** A sufficient condition for an extension of positive logic with strong negation to be characterized by a class of finite trees is given.

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## 1. Introduction

In this paper, extensions of positive logic with strong negation are studied. We give a sufficient condition (called the reduction property) for the completeness of such a logic with respect to the class of finite trees. The condition (implicitly) involves a certain refutation system employing Mints-style normal forms, and it generalizes some results in [5, 6]. Our completeness proof is constructive. As an example, the method is applied to the connexive logic **C** (introduced in [7]), an important non-classical logic.

## 2. Preliminaries

Let FOR be the set of all formulas generated from the set  $\text{VAR} = \{p, q, p_1, p_2, \dots\}$  of propositional variables by the connectives:

$\sim$  (strong negation),  $\wedge$  (conjunction),  $\vee$  (disjunction),  $\rightarrow$  (implication).

Greek capital letters  $(\Phi, \Psi, \dots)$  stand for *finite sets* of formulas. A *literal* is either  $a$  or  $\sim a$ , where  $a \in \text{VAR}$ . We define:  $\varphi \leftrightarrow \psi = (\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi)$  and  $\varphi \Leftrightarrow \psi = (\varphi \leftrightarrow \psi) \wedge (\sim \psi \leftrightarrow \sim \varphi)$ . Also  $\Phi \longrightarrow \Psi = \bigwedge \Phi \rightarrow \bigvee \Psi$ , where  $\Psi \neq \emptyset$ . (If  $\Phi = \emptyset$  then  $\bigwedge \Phi = p \rightarrow p$ .) We write: “ $\Phi; \Psi$ ” for “ $\Phi \cup \Psi$ ” and “ $\Phi; \psi$ ” for “ $\Phi; \{\psi\}$ ”.

Positive (Intuitionistic) Logic (**Lp**) is the set of all  $\sim$ -free formulas that are derivable from the axioms below by *modus ponens* ( $\frac{\varphi \quad \varphi \rightarrow \psi}{\psi}$ ).

- (Ax1)  $\varphi \rightarrow (\psi \rightarrow \varphi)$   
 (Ax2)  $(\varphi \rightarrow (\psi \rightarrow \chi)) \rightarrow ((\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow \chi))$   
 (Ax3)  $(\varphi \wedge \psi) \rightarrow \varphi$   
 (Ax4)  $(\varphi \wedge \psi) \rightarrow \psi$   
 (Ax5)  $(\varphi \rightarrow \psi) \rightarrow ((\varphi \rightarrow \chi) \rightarrow (\varphi \rightarrow (\psi \wedge \chi)))$   
 (Ax6)  $\varphi \rightarrow (\varphi \vee \psi)$   
 (Ax7)  $\psi \rightarrow (\varphi \vee \psi)$   
 (Ax5)  $(\varphi \rightarrow \chi) \rightarrow ((\psi \rightarrow \chi) \rightarrow ((\varphi \vee \psi) \rightarrow \chi))$

By an *extension of Lp* we mean a set  $\mathbf{L} \subseteq \mathbf{FOR}$  closed under *substitution* and *modus ponens* and such that  $\mathbf{Lp} \subseteq \mathbf{L}$ . Extensions of **Lp** will also be called *logics*. We say that  $\varphi$  is **L-equivalent** to  $\psi$  iff  $\varphi \leftrightarrow \psi \in \mathbf{L}$ .

A *frame* is a pair  $\mathcal{W} = (W, R)$ , where  $W$  is a non-empty set of points (worlds) and  $R$  is a reflexive, transitive relation on  $W$ . A *model* is a triple  $\mathcal{M} = (\mathcal{W}, V^+, V^-)$ , where  $\mathcal{W}$  is a frame and  $V^+$  ( $V^-$ ) is a verification (falsification) valuation (that is, a function assigning to a propositional variable  $a$  the set of points at which  $a$  is true (false)) that satisfies the *persistency condition*: If  $w \in V^+(a)$  ( $w \in V^-(a)$ ) and  $wRx$ , then  $x \in V^+(a)$  ( $x \in V^-(a)$ ). The verification and falsification relations ( $\models^+$  and  $\models^-$ ) between  $\mathcal{M}$  worlds and formulas are defined as follows.

- $\mathcal{M}, w \models^+ a$  iff  $w \in V^+(a)$     $\mathcal{M}, w \models^- a$  iff  $w \in V^-(a)$  ( $a \in \mathbf{VAR}$ )  
 $\mathcal{M}, w \models^+ \varphi \wedge \psi$  iff  $\mathcal{M}, w \models^+ \varphi$  and  $\mathcal{M}, w \models^+ \psi$   
 $\mathcal{M}, w \models^- \varphi \wedge \psi$  iff  $\mathcal{M}, w \models^- \varphi$  or  $\mathcal{M}, w \models^- \psi$   
 $\mathcal{M}, w \models^+ \varphi \vee \psi$  iff  $\mathcal{M}, w \models^+ \varphi$  or  $\mathcal{M}, w \models^+ \psi$   
 $\mathcal{M}, w \models^- \varphi \vee \psi$  iff  $\mathcal{M}, w \models^- \varphi$  and  $\mathcal{M}, w \models^- \psi$   
 $\mathcal{M}, w \models^+ \varphi \rightarrow \psi$  iff for all  $x$  with  $wRx$  ( $\mathcal{M}, x \models^+ \varphi$  implies  $\mathcal{M}, x \models^+ \psi$ )  
 $\mathcal{M}, w \models^- \varphi \rightarrow \psi$  iff for all  $x$  with  $wRx$  ( $\mathcal{M}, x \models^+ \varphi$  implies  $\mathcal{M}, x \models^- \psi$ )  
 $\mathcal{M}, w \models^+ \sim \varphi$  iff  $\mathcal{M}, w \models^- \varphi$   
 $\mathcal{M}, w \models^- \sim \varphi$  iff  $\mathcal{M}, w \models^+ \varphi$

We say that a formula  $\varphi$  is *valid* in a frame  $\mathcal{W}$  (in symbols  $\varphi \in \mathbf{VAL}(\mathcal{W})$ ) iff for every model  $\mathcal{M} = (\mathcal{W}, V^+, V^-)$  and  $w \in \mathcal{W}$ , we have:  $\mathcal{M}, w \models^+ \varphi$ .

The condition for “ $\mathcal{M}, w \models^- \varphi \rightarrow \psi$ ” is that for the logic **C**. However, it can be replaced with some other standard condition because it is not really used in our general result.

For convenience, we say that a set  $\Phi$  of formulas is (not) true at  $w$  iff so is every  $\varphi \in \Phi$ .

The one-point frame  $\circ = (\{x_0\}, (x_0, x_0))$  will be especially important. We also write “ $m = (\circ, v^+, v^-)$ ” instead of “ $(\circ, V^+, V^-)$ ”, and “ $m \models \varphi$ ” instead of “ $m, x_0 \models \varphi$ ”, where  $\models \in \{\models^+, \models^-\}$ .

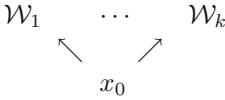
We say that a logic **L** is *complete with respect to* a class **W** of frames (or **L** is *characterized by W*) iff  $\mathbf{L} = \bigcap \{\mathbf{VAL}(\mathcal{W}) : \mathcal{W} \in \mathbf{W}\}$ .

We assume that every logic defined by an axiom system has a general characterization by a class  $\mathbf{W}_{\mathbf{L}}$  of (possibly infinite) frames obtained by using

canonical models (see e.g. [3]). The frames in  $\mathbf{W}_L$  are also referred to as **L**-frames.

By a *generated frame* we mean a frame  $\mathcal{W}$  with a least point  $x$  (that is,  $xRy$  for all  $y \in W$ ).

Let  $\mathcal{W}_i$  be an  $x_i$ -generated frame ( $1 \leq i \leq k$ ). Then  $x_0(\mathcal{W}_1, \dots, \mathcal{W}_k)$  is the  $x_0$ -generated frame shown below. (It is obtained from  $\mathcal{W}_1, \dots, \mathcal{W}_k$  by adding a new root, and it resembles Jaśkowski’s construction from S. McCall, *Polish Logic: 1920–1939*.)



In this paper, we focus on the logics satisfying the following condition.

*If generated frames  $\mathcal{W}_1, \dots, \mathcal{W}_k$  are **L**-frames, then so is  $x_0(\mathcal{W}_1, \dots, \mathcal{W}_k)$ .* In that case, we also say that  $\mathbf{W}_L$  is root-closed.

### 3. Normal Forms

**Definition 3.1.** A general form (cf. [1, 5]) is a formula

$$\alpha = \Delta; \Gamma \longrightarrow \Theta$$

where

$$\Delta = \{(a_i \rightarrow b_i) \rightarrow c_i : 1 \leq i \leq k\} \quad (\text{or } \Delta = \emptyset)$$

all  $a_i, b_i, c_i$  are literals,  $\Gamma$  is a finite set of formulas of the kind:

$a$  or  $a \rightarrow b$  or  $a \rightarrow (b \rightarrow c)$  or  $a \rightarrow b \vee c$ , where  $a, b, c$  are literals, and  $\Theta$  is a finite, nonempty set of literals.

The rank  $r(\alpha)$  of  $\alpha$  is  $k$ , which is the size of  $\Delta$ . (If  $\Delta = \emptyset$  then  $k = 0$ .)

**Definition 3.2.** A *normal form* is a general form such that:

$$\text{If } a \rightarrow \gamma \in \Gamma \text{ then } a \in \Theta.$$

**Definition 3.3.** Let  $\alpha$  be a normal form. An **L**-model  $(\circ, v^+, v^-)$  is called *determined by  $\alpha$*  (symbolically,  $m(\alpha) = (\circ, v^+, v^-)$ ) iff

$$x_0 \in v^+(\varphi) \text{ iff } \varphi \in \Gamma, \text{ and } x_0 \in v^-(\varphi) \text{ iff } \sim\varphi \in \Gamma \ (\varphi \in \text{VAR}).$$

**Definition 3.4.** An **L** *special normal form* is a normal form  $\alpha$  (so  $\alpha = \Delta; \Gamma \longrightarrow \Theta$ ) with the property that  $m(\alpha) \not\models^+ \alpha_0$ , where  $\alpha_0 = \Gamma \longrightarrow \Theta$ . (Recall that  $m(\alpha) \models^+ \varphi$  stands for  $m(\alpha), x_0 \models^+ \varphi$ .)

### 4. L Refutation System

The refutation system  $\mathbf{R}_L$  is defined as follows.

- *Refutation axioms:* All **L** special normal forms of rank 0.

- *Refutation rules:*

*Normalization rules:* (Here  $\Psi \neq \emptyset$ ).

$$\frac{\varphi; \Phi \longrightarrow \Psi}{\varphi \vee \psi; \Phi \longrightarrow \Psi} \quad \frac{\psi; \Phi \longrightarrow \Psi}{\varphi \vee \psi; \Phi \longrightarrow \Psi} (R^\vee)$$

$$\frac{\psi; \Phi \longrightarrow \Psi}{\varphi \rightarrow \psi; \Phi \longrightarrow \Psi} \quad \frac{\varphi \rightarrow \psi; \Phi \longrightarrow \Psi; \varphi}{\varphi \rightarrow \psi; \Phi \longrightarrow \Psi} (R^\rightarrow)$$

*Normal-form rules:*

$$\frac{\alpha_1 \dots \alpha_k}{\alpha} (R_L) \quad \frac{\beta_i}{\alpha} (R_i)$$

where  $\alpha (= \Delta; \Gamma \longrightarrow \Theta)$  is an  $\mathbf{L}$  special normal form of rank  $k > 0$ , and  $\alpha_i = \Delta_i^-; b_i \rightarrow c_i; \Gamma; a_i \longrightarrow b_i$ ,  $\beta_i = \Delta_i^-; c_i; \Gamma; (a_i \rightarrow b_i) \longrightarrow \Theta$ ,  $\Delta_i^- = \Delta - \{(a_i \rightarrow b_i) \rightarrow c_i\}$  ( $1 \leq i \leq k$ ).

We say that a formula  $\varphi$  is *refutable* (in symbols  $\vdash \varphi$ ) iff  $\varphi$  is derivable from refutation axioms by refutation rules. And we say that a logic  $\mathbf{L}$  is  $\mathbf{R}_L$ -complete iff for every normal form  $\alpha$ , we have: Either  $\alpha \in \mathbf{L}$  or  $\vdash \alpha$ .

*Remark 4.1.* Since  $\mathbf{Lp} \subseteq \mathbf{L}$ , we have:

- The rule  $R_i$  and all normalization rules have the property that  $\varphi \rightarrow \psi \in \mathbf{L}$ , where  $\psi$  is the premise and  $\varphi$  is the conclusion.
- Every formula  $\alpha_i$  is  $\mathbf{L}$ -equivalent to  $\Delta; \Gamma \longrightarrow (a_i \rightarrow b_i)$ .
- Every formula  $\beta_i$  is  $\mathbf{L}$ -equivalent to  $\Delta; \Gamma; (a_i \rightarrow b_i) \longrightarrow \Theta$ .

**Definition 4.2.** A formula  $\varphi$  is  $\mathbf{L}$ -reducible to a finite set  $\Psi$  of formulas iff

- $\Psi \longrightarrow \varphi \in \mathbf{L}$ .
- $\varphi$  is derivable from each  $\psi \in \Psi$  by the normalization rules.

**Corollary 4.3.** *If  $\varphi$  is  $\mathbf{L}$ -reducible to  $\Psi$ , then  $\varphi \rightarrow \psi \in \mathbf{L}$  for each  $\psi \in \Psi$ .*

## 5. The Reduction Property

**Definition 5.1.** A logic  $\mathbf{L}$  has the *reduction property* iff we have:

- For any formula  $\varphi$ , there is a general form  $\alpha_\varphi$  such that:  $\varphi \rightarrow \alpha_\varphi \in \mathbf{L}$ , and if  $\alpha_\varphi \in \mathbf{L}$  then  $\varphi \in \mathbf{L}$ .
- Every general form  $\alpha$  of rank  $k$  is  $\mathbf{L}$ -reducible to some normal forms  $\alpha_1, \dots, \alpha_n$  of rank  $k$ .
- Let  $\alpha$  be a normal form. If  $m(\alpha) \models^+ \alpha_0$ , then  $\alpha_0 \in \mathbf{L}$ .

**Theorem 5.2.** *If a logic  $\mathbf{L}$  has the reduction property, then  $\mathbf{L}$  is  $\mathbf{R}_L$ -complete.*

*Proof.* Assume that  $\mathbf{L}$  has the reduction property. We show, by induction on the rank of a normal form  $\alpha$ , that either  $\alpha \in \mathbf{L}$  or  $\vdash \alpha$ .

- $k = 0$ . Then  $\alpha = \alpha_0 = \Gamma \longrightarrow \Theta$ .

Consider the model  $m(\alpha)$ . Either  $m(\alpha) \models^+ \alpha_0$  or  $m(\alpha) \not\models^+ \alpha_0$ . If  $m(\alpha) \models^+ \alpha_0$  then  $\alpha_0 \in \mathbf{L}$  (by Definition 5.1(3)), so  $\alpha \in \mathbf{L}$ . And if  $m(\alpha) \not\models^+ \alpha_0$  then  $\alpha$  is an  $\mathbf{L}$  special normal form of rank 0, which is a refutation axiom, so  $\vdash \alpha$ . Hence,  $\alpha \in \mathbf{L}$  or  $\vdash \alpha$ .

- (2)  $k > 0$  and we assume that the theorem is true for normal forms of rank  $< k$ . Then  $\alpha = \Delta; \Gamma \longrightarrow \Theta$  and  $\Delta \neq \emptyset$ .

Consider the general forms  $\alpha_i, \beta_i$  ( $1 \leq i \leq k$ ). They are of rank  $< k$ . By Definition 5.1(2), each of them is  $\mathbf{L}$ -reducible to some normal forms of rank  $< k$ , which (by the induction hypothesis) are in  $\mathbf{L}$  or refutable. So, all  $\alpha_i, \beta_i$  are also in  $\mathbf{L}$  or refutable (by Definition 4.2).

If some  $\beta_i$  is refutable, then so is  $\alpha$  (by  $R_i$ ), so we assume that every  $\beta_i \in \mathbf{L}$ . Then, the formula  $\Delta; \Gamma; (a_i \rightarrow b_i) \longrightarrow \Theta$  is in  $\mathbf{L}$  as well (by Remark 4.1(iii)). Now, if some  $\alpha_i \in \mathbf{L}$ , then the formula  $\Delta; \Gamma \longrightarrow (a_i \rightarrow b_i)$  is in  $\mathbf{L}$ , so it follows that  $\alpha \in \mathbf{L}$ , and so we may assume that each  $\alpha_i$  is refutable. Also, either  $m(\alpha) \models^+ \alpha_0$  or  $m(\alpha) \not\models^+ \alpha_0$ . If  $m(\alpha) \models^+ \alpha_0$  then  $\alpha_0 \in \mathbf{L}$  (by Definition 5.1(3)), so  $\alpha \in \mathbf{L}$ . And if  $m(\alpha) \not\models^+ \alpha_0$  then  $\alpha$  is an  $\mathbf{L}$  special normal form, so  $\neg \alpha$  (by  $R$ ).

Therefore, either  $\alpha \in \mathbf{L}$  or  $\neg \alpha$ , as required. □

## 6. $\mathbf{R}_L$ Refutation Trees

The derivations in  $\mathbf{R}_L$  can be presented as refutation trees. By an  $\mathbf{R}_L$  refutation tree for a formula  $\varphi$  we mean a finite immediate-successor tree  $\mathcal{RT}$  whose nodes are labelled with formulas and which satisfies the following conditions. (For any node  $x$  in  $\mathcal{RT}$ ,  $\varphi(x)$  is the label of  $x$ ).

- $\varphi$  is the label of the origin  $x_0$ .
- If  $x$  is an end node, then  $\varphi(x)$  is an  $\mathbf{R}_L$  axiom.
- If  $x_1, \dots, x_n$  are the immediate successors of a node  $x$ , then  $\varphi(x)$  is obtained from  $\varphi(x_1), \dots, \varphi(x_n)$  by an  $\mathbf{R}_L$  rule.

## 7. Finite Tree-Countermodels

Recall that a logic is an extension of  $\mathbf{Lp}$  such that its general characterization  $\mathbf{W}_L$  is root-closed. By modifying some results in [4], we now transform refutation trees into countermodels.

Let  $\mathcal{RT}$  be an  $\mathbf{R}_L$  refutation tree for a normal form  $\alpha$ . We construct a finite tree-countermodel  $(\mathcal{T}, V^+, V^-)$  as follows.

- First, we define the finite, reflexive, transitive tree  $\mathcal{RT}_\circ^\uparrow$  by taking the reflexive, transitive closure of the irreflexive, intransitive relation in  $\mathcal{RT}$ .
- Second, we delete all nodes in  $\mathcal{RT}_\circ^\uparrow$  that are obtained by  $R_i$  or a normalization rule, getting the subtree  $\mathcal{T}$  of  $\mathcal{RT}_\circ^\uparrow$ . Note that every node in  $\mathcal{T}$  is either an end node or a node obtained by  $\mathbf{R}_L$ , so the label of each node in  $\mathcal{T}$  is an  $\mathbf{L}$  special normal form.
- Third, we define valuations  $V^+, V^-$  thus.  
 $x \in V^+(\varphi)$  iff  $\varphi \in \Gamma$ , and  $x \in V^-(\varphi)$  iff  $\sim \varphi \in \Gamma$  ( $\varphi \in \text{VAR}$ ).

By inspecting the refutation rules, we can see that if  $y$  is a successor of  $x$  in  $\mathcal{T}$ , then a literal is in  $\Phi'$  whenever it is in  $\Phi$ , where  $\varphi(x) = \Phi \longrightarrow \Psi$  and  $\varphi(y) = \Phi' \longrightarrow \Psi'$ . So, the persistency condition is satisfied. Let

$$\mathcal{M} = (\mathcal{T}, V^+, V^-).$$

Note that for any literal  $a$ , we have:  $\mathcal{M}, x_0 \models^+ a$  iff  $m(\alpha) \models^+ a$ .

- Finally, for any node  $x$  in  $\mathcal{RT}$ , we define its world  $x^*$  in  $\mathcal{T}$  as follows. If  $x$  is an end node or obtained by  $R_{\mathbf{L}}$ , then  $x^* = x$ ; and if  $x$  is obtained from  $x_1$  by  $R_i$  or a normalization rule, then  $x^* = x_1^*$ .

**Lemma 7.1.** *If  $x$  is a node in  $\mathcal{RT}$ , then  $\varphi(x)$  is not true at  $x^*$ .*

*Proof.* (by induction on the number  $n_x$  of nodes in the subtree of  $\mathcal{RT}$  generated by  $x$ ).

- (1)  $n_x = 1$ . Then  $\varphi(x)$  is a refutation axiom, which is an  $\mathbf{L}$  special normal form  $\Gamma \longrightarrow \Theta$  (so  $m(\alpha) \not\models^+ \alpha_0$ ). Since  $x^* = x$ , we have:  $\varphi(x^*) = \varphi(x)$ . Hence,  $\mathcal{M}, x^* \models^+ a$  for every literal  $a \in \Gamma$ . Note that if  $a \rightarrow \gamma \in \Gamma$  then  $a \notin \Gamma$  (otherwise,  $a \in \Theta$  (because  $\varphi(x)$  is a normal form) and  $m(\alpha) \models^+ \alpha_0$ , which is impossible). Thus,  $\mathcal{M}, x^* \models^+ a \rightarrow \gamma$  for all  $a \rightarrow \gamma \in \Gamma$ . Therefore  $\mathcal{M}, x^* \not\models^+ \varphi(x)$ .
- (2)  $n_x > 1$  and we assume that the theorem holds for the subtrees with fewer elements than  $n_x$ .
  - (2.1)  $\varphi(x)$  is obtained from  $\varphi(x_1)$  by  $R_i$  or a normalization rule. Then  $x^* = x_1^*$ . Since  $n_{x_1} < n_x$ , by the induction hypothesis,  $\varphi(x_1)$  is not true at  $x_1^*$ . Hence  $\varphi(x_1)$  is not true at  $x^*$ . So, by Remark 4.1(i),  $\varphi(x)$  is not true at  $x^*$  (because  $\varphi(x) \rightarrow \varphi(x_1)$  is true everywhere).
  - (2.2)  $\varphi(x)$  is obtained from  $\varphi(x_1), \dots, \varphi(x_k)$  by  $R_{\mathbf{L}}$ . Then  $\varphi(x) = \Delta; \Gamma \longrightarrow \Theta$  is an  $\mathbf{L}$  special normal form of rank  $k > 0$ , and  $\varphi(x_i) = \Delta_i^-; b_i \rightarrow c_i; \Gamma; a_i \longrightarrow b_i$  ( $1 \leq i \leq k$ ). Also

$x^* = x$  (so  $\varphi(x^*) = \varphi(x)$ ) and  $n_{x_i} < n_x$  for all  $i$ . By the induction hypothesis,  $\varphi(x_i)$  is not true at  $x_i^*$  ( $1 \leq i \leq k$ ), so  $\Delta; \Gamma \longrightarrow (a_i \rightarrow b_i)$  is not true at  $x_i^*$  ( $1 \leq i \leq k$ ) (by Remark 4.1(ii)). Hence, every  $a_i \rightarrow b_i$  is not true at  $x_i^*$  and  $\Delta; \Gamma$  is true at each  $x_i^*$ . So, every  $a_i \rightarrow b_i$  is not true at  $x^*$  (because  $x^*$  precedes every  $x_i^*$ ). Also,  $\Delta; \Gamma$  is true at all  $x_i^*$ , so  $\Delta$  is true at  $x^*$  and every  $a \rightarrow \gamma \in \Gamma$  is true at  $x^*$  (see (1) above). Of course, every literal in  $\Gamma$  is true and  $\Theta$  is not true at  $x^*$ .

Therefore  $\varphi(x)$  is not true at  $x^*$ , as required. □

**Theorem 7.2.** *If a logic  $\mathbf{L}$  has the reduction property, then  $\mathbf{L}$  is characterized by the class of finite, reflexive, transitive trees.*

*Proof.* Assume that  $\mathbf{L}$  has the reduction property, and assume that  $\varphi \notin \mathbf{L}$ . Then, (by Definition 5.1(1)) its general form  $\alpha_\varphi \notin \mathbf{L}$ , and (by Definition 5.1(2))  $\alpha \notin \mathbf{L}$  for some normal form  $\alpha$ . So, by Theorem 5.2,  $\alpha$  is refutable. Hence, by Lemma 7.1,  $\alpha$  is not true at some point in some model based on a finite, reflexive, transitive tree. Thus,  $\varphi$  is not true there either (because, by Corollary 4.3,  $\varphi \rightarrow \alpha$  is true everywhere). Therefore,  $\varphi$  is not valid in some finite, reflexive, transitive tree, which gives the result. □

### 8. Example

The connexive logic **C** was introduced in [7] as the extension of **Lp** by the following axioms.

- (Ax 9)  $\sim\varphi \leftrightarrow \varphi$
- (Ax10)  $\sim(\varphi \wedge \psi) \leftrightarrow (\sim\varphi \vee \sim\psi)$
- (Ax11)  $\sim(\varphi \vee \psi) \leftrightarrow (\sim\varphi \wedge \sim\psi)$
- (Ax12)  $\sim(\varphi \rightarrow \psi) \leftrightarrow (\varphi \rightarrow \sim\psi)$

Our refutation system  $\mathbf{R}_C$  is obtained from [5,6] by the following straightforward modifications.

#### C Special Normal Forms

**Proposition 8.1.**  $m(\alpha) \not\models^+ \alpha_0$  iff  $\Gamma \cap \Theta = \emptyset$ .

*Proof.* ( $\Rightarrow$ ) If  $\Gamma \cap \Theta \neq \emptyset$ , then  $\alpha_0 \in \mathbf{C}$ , so  $\alpha_0$  is true everywhere, so there is no model on  $\circ$  such that the formula  $\alpha_0$  is not true.

( $\Leftarrow$ ) Assume that  $\Gamma \cap \Theta = \emptyset$ . Then  $m(\alpha) \not\models^+ \alpha_0$ . □

**Corollary 8.2.** A **C** special normal form is a normal form  $\alpha$  such that  $\Gamma \cap \Theta = \emptyset$ .

#### The Reduction Property

**Theorem 8.3.** The logic **C** has the reduction property.

*Proof.* 1. For any formula  $\varphi$ , the general form  $\alpha_\varphi$  is constructed as follows. First, for every subformula  $\psi$  of  $\varphi$ , we define a unique corresponding variable  $a_\psi$  thus. If  $\psi \in \mathbf{VAR}$  then  $a_\psi = \psi$ , and if  $\psi \notin \mathbf{VAR}$  then  $a_\psi$  is a new variable.

Second, we define the set  $\Sigma_\varphi$  as follows.

$$\Sigma_\varphi = \{(a_{\psi_1} \otimes a_{\psi_2}) \Leftrightarrow a_{\psi_1 \otimes \psi_2} : \psi_1 \otimes \psi_2 \in \mathbf{SUB}(\varphi), \otimes \in \{\rightarrow, \wedge, \vee\}\} \cup \{\sim\psi_1 \Leftrightarrow a_{\sim\psi_1} : \sim\psi_1 \in \mathbf{SUB}(\varphi)\}$$

Third, we define:  $\mathbf{N}(\varphi) = \Sigma_\varphi \rightarrow a_\varphi$ .

Note that the Deduction Theorem and the Replacement Theorem below hold for **C**.

$(\psi \Leftrightarrow \chi) \rightarrow (\varphi \Leftrightarrow \varphi(\psi/\chi))$ , where  $\varphi(\psi/\chi)$  results from  $\varphi$  by replacing some occurrences of  $\psi$  with  $\chi$ .

Thus (see [5]), it can be proved that

$$\Sigma_\varphi \rightarrow (\psi \Leftrightarrow a_\psi) \in \mathbf{C} \text{ for any } \psi \in \mathbf{SUB}(\varphi).$$

Hence, in particular,  $\Sigma_\varphi \rightarrow (\varphi \Leftrightarrow a_\varphi) \in \mathbf{C}$ , so  $\Sigma_\varphi \rightarrow (\varphi \rightarrow a_\varphi) \in \mathbf{C}$ .

Therefore,  $\varphi \rightarrow (\Sigma_\varphi \rightarrow a_\varphi) \in \mathbf{C}$ , that is,  $\varphi \rightarrow \mathbf{N}(\varphi) \in \mathbf{C}$ .

Also, if  $\mathbf{N}(\varphi) \in \mathbf{C}$  then  $\varphi \in \mathbf{C}$  (substitute  $\psi$  for  $a_\psi$ ).

Finally, by using the axioms for  $\sim$ , we get the the general form  $\alpha_\varphi$  **C**-equivalent to  $\mathbf{N}(\varphi)$ , which gives the result.

2. Take any general form  $\alpha$  of rank  $k$ . We have to show that  $\alpha$  is **C**-reducible to some normal forms such that:

(\*) If  $a \rightarrow \gamma \in \Gamma$  (so  $a$  is a literal), then  $a \in \Theta$ .

Let  $a \rightarrow \gamma \in \Gamma$ , and let  $\Gamma^- = \Gamma - \{a \rightarrow \gamma\}$ . Consider the  $\mathbf{C}$  general forms

$$\alpha^1 = \Delta; \Gamma^-; \gamma \longrightarrow \Theta \text{ and } \alpha^2 = \Delta; \Gamma \longrightarrow \Theta; a$$

Of course,  $\alpha^1 \wedge \alpha^2 \rightarrow \alpha \in \mathbf{C}$ . Also,  $\alpha$  is derivable from both (so from some)  $\alpha^1, \alpha^2$  by  $R^-$ . Now, in  $\alpha^1$ , we have  $\gamma$  instead of  $a \rightarrow \gamma$ . If  $\gamma$  is a literal, then the condition  $(*)$  is satisfied (because  $a \rightarrow \gamma \notin \Gamma^-$ ). So assume that  $\gamma$  is not a literal. Then  $\gamma$  is either  $b \vee c$  or  $b \rightarrow c$ , where  $b, c$  are literals. If  $\gamma = b \vee c$  then we consider the general forms

$$\alpha^{1.1} = \Delta; \Gamma^-; b \longrightarrow \Theta \text{ and } \alpha^{1.2} = \Delta; \Gamma^-; c \longrightarrow \Theta$$

in which the  $\rightarrow$ -formula  $a \rightarrow \gamma$  is eliminated. We have:  $\alpha^1 \wedge \alpha^2 \rightarrow \alpha^1 \in \mathbf{C}$ , and  $\alpha^1$  is derivable from both (so from some)  $\alpha^{1.1}, \alpha^{1.2}$  by  $R^\vee$ . Hence,  $\alpha$  is  $\mathbf{C}$ -reducible to  $\alpha^{1.1}, \alpha^{1.2}, \alpha^2$ , and  $a \rightarrow \gamma$  satisfies the condition  $(*)$ .

And if  $\gamma = b \rightarrow c$  then proceed as above, obtaining general forms in which  $a \rightarrow \gamma$  satisfies the condition  $(*)$ .

Note that these transformations do not affect the rank  $k$ . Applying this procedure to the other  $\rightarrow$ -formulas in  $\Gamma$ , we, finally, get the result.

3. To prove that  $m(\alpha) \models^+ \alpha_0$  implies  $\alpha_0 \in \mathbf{C}$ , suppose that  $m(\alpha) \models^+ \alpha_0$  but  $\alpha_0 \notin \mathbf{C}$ . Note that  $\Gamma \cap \Theta = \emptyset$ . (Otherwise  $\alpha_0 \in \mathbf{C}$ ). Also, if  $a \rightarrow \gamma \in \Gamma$  then  $a \notin \Gamma$  (because  $\alpha$  is a normal form and  $\alpha_0 \notin \mathbf{C}$ ). Then  $m(\alpha) \not\models^+ \alpha_0$ , which is a contradiction. □

**Corollary 8.4.** (i)  $\mathbf{C}$  is  $\mathbf{R}_{\mathbf{C}}$ -complete.

(ii)  $\mathbf{C}$  is characterized by the class of finite, reflexive, transitive trees.

*Remark 8.5.* By straightforward modifications, similar results can be obtained for the connexive logic  $\mathbf{C3}$  (introduced in [2]).

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