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Effects of thinning on the understory light environment of different stands and the photosynthetic performance and growth of the reforestation species *Phoebe bournei*

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Abstract Light levels determine regeneration in stands and a key concern is how to regulate the light environment of different stand types to the requirements of the understory. In this study, we selected three stands typical in south China (a Cryptomeria japonica plantation, a Quercus acutissima plantation, and a mixed stand of both) and three thinning intensities to determine the best understory light environment for 3-year-old Phoebe bournei seedlings. The canopy structure, understory light environment, and photosynthesis and growth indicators were assessed following thinning. Thinning improved canopy structure and understory light availability of each stand; species composition was the reason for differences in the understory light environment. Under the same thinning intensity, the mixed stand had the greatest light radiation and most balanced spectral composition. P. bournei photosynthesis and growth were

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closely related to the light environment; all three stands required heavy thinning to create an effective and sustained understory light environment. In a suitable understory light environment, the efficiency of light interception, absorption, and use by seedlings was enhanced, resulting in a higher carbon assimilation the main limiting factor was stomatal conductance. As a shade-avoidance signal, red/far-red radiation is a critical factor driving changes in photosynthesis and growth of *P. bournei* seedlings, and a reduction increased light absorption and use capacity and height: diameter ratios. The growth advantage transformed from diameter to height, enabling seedlings to access more light. Our findings suggest that the regeneration of shade-tolerant species such as *P. bournei* could be enhanced if a targeted approach to thinning based on stand type was adopted.

Keywords Thinning · Understory light environment · *Phoebe bournei* · Photosynthetic performance · Growth performance

Introduction

Sustainable forest management often uses economically and ecologically valuable tree species to enhance the structure and quality of stands (Schwartz et al. 2015). In the process, the understory light environment has received considerable attention (Cogliastro and Paquette 2012; Gustafsson et al. 2016; Santos and Ferreira 2020) because improving light availability is closely associated with promoting tree growth and stand development and hence, determines future silvicultural benefits (Forrester 2017). Following a comprehensive analysis of the stands' complexity and the ecological strategy of reforestation species, the understory light environment can be regulated to improve growth to achieve management goals (Daryaei et al. 2019).

The canopy determines the quality of the understory light environment (Forrester et al. 2018; Orman et al. 2021) by intercepting sunlight and thereby directly influencing the radiation intensity and spectral composition that reaches the understory through reflection, transmission, and absorption (Lochhead and Comeau 2012; Schwartz et al. 2015). Crown configuration varies by tree species and the structure of the canopy is determined by the constituent species (Ellsworth and Reich 1993). Canopy structure is a major driver of light diversity during secondary forest succession. For example, successive changes in canopy structure were responsible for changes in light conditions in 14 secondary forest stands in southeastern Mexico (Matsuo et al. 2021). Within a forest stand, there are a wide range of light gradients and understory plants compete for light resources (Ishii et al. 2004; Niinemets 2010; Forrester et al. 2018). Silvicultural measures such as thinning are the simplest, most direct, and most reliable way of changing the canopy structure to provide target species with their optimal light environment and to reduce competition for resources (Beaudet and Messier 2002; Wiener 2010; Santos et al. 2020). Changing light conditions affects the growth and development of plants throughout their life cycle. Furthermore, light requirements and colonization strategies differ among species, therefore any measure that affects light availability should consider both the canopy structure and the ecological needs of the targeted species (Asanok et al. 2013; Giertych et al. 2015). A quantitative understanding of the effects of silvicultural measures on the light environment of different types of forest stands and an analysis of the performance of species in the understory are important for quantifying ecosystem dynamics and the application of forest practices (Dumais et al. 2018; Daryaei et al. 2019). When planting targeted species under different canopies, structure modification to control the light environment should be performed in a specific manner.

The leaf is the most sensitive and adaptable organ with regards to changes in light levels, and several studies have shown that the degree of photosynthetic plasticity can indicate the light requirements of a species (Li et al. 2017; He et al. 2019; Santos and Ferreira 2020). Photons are intercepted, absorbed, and utilized by leaves to complete photosynthesis and assimilate carbon (Dahlgren et al. 2006; Santos and Ferreira 2020). Leaf photosynthetic pathways represent the basic mechanisms by which plants respond to changes in light resources (Poorter and Bongers 2006; Santos et al. 2019). Photosynthesis is driven by captured photons and depends on the wavelength of the absorbed light. Zhen and Bugbee (2020) demonstrated that far-red light (701–750 nm), which accounts for a relatively large

proportion of understory light (Zhen et al. 2022), also has significant photosynthetic activity. However, studies on the understory light environment have often only focused on photosynthetically active radiation (400–700 nm) (Oguchi et al. 2006; Wei et al. 2019) and have ignored the importance of far-red light.

Phoebe bournei (Hemsl.) Yang is a unique evergreen broadleaf species endemic to China (Wang et al. 2021b). Because P. bournei has a tall, straight trunk, and aromatic and durable wood that is dense and lustrous with beautiful patterns (Ge et al. 2014), it is widely used in southern China to transform inefficient secondary forests and restructure pure stands of coniferous trees (Zha et al. 2022). During the early stages of growth, P. bournei seedlings exhibit strong shade tolerance and require lightlimited conditions for survival and to prevent damage from excessive radiation. However, their light requirements vary with age and hence, P. bournei is considered to be rather exacting with regards to light requirements (An et al. 2022). Therefore, when modifying the canopy to meet the light requirements of P. bournei growth and development in the understory, a targeted approach is needed, depending on the stand type (Annighöfer 2018; Sendall et al. 2018). To date, little is known about the specific canopy modifications needed to different stands to enhance the understory light environment for the growth and development of P. bournei.

Three stand types typical of south China were selected: a Cryptomeria japonica (L.f.) D.Don plantation to represent a pure coniferous stand; a Quercus acutissima Carruth. plantation as representative of a pure broadleaf stand; and a mixed stand of C. japonica and Q. acutissima to represent a mixed forest. Three different thinning intensities for each were designed to analyze the effects of thinning on canopy structure and the understory light environment and to investigate the causes of differences in the light environment in the different stands after thinning. In addition, the photosynthetic capacity and growth performance of P. bournei planted in the understory was measured to determine its adaptability when the light environment was altered by reducing the canopy cover. The importance of understory far-red light on the growth of P. bournei was examined and the hypothesis tested that photosynthetic leaf traits can be used to predict silvicultural effectiveness. Finally, the effects of different stand light environments on the early silvicultural benefits of a P. bournei were analyzed to determine how to regulate understory light to optimize the initial growth of *P. bournei* seedlings. This study should provide support for using photosynthetic leaf traits to predict silvicultural effectiveness and help forest managers to regulate the light environment of forest stands.

Materials and methods

Study site

This study was conducted in the Bailong Branch of the State-owned Zhazuo Forest Farm ($106^{\circ} 51'-107^{\circ} 4'$ E and $26^{\circ} 2'-26^{\circ} 8'$ N), Guizhou Province, China, located in a karst basin landscape with a medium-thick loamy soil (Fig. 1). The climate is subtropical humid monsoon with a mean annual temperature of 15.8 °C, a mean annual precipitation of 1213.4 mm, and 282 frost-free days per year. The forest farm is mainly secondary forest because most of the primary vegetation has been removed. The tree species are *C. japonica, Q. acutissima, Pinus massoniana* Lamb. and *Liquidambar formosana* Hance; shrubs consist of *Rhodo-dendron simsii* Planch. and *Rhus chinensis* Mill.; and herbs are mainly *Pteridium aquilinum* (L.) Kuhn var. *latiusculum* (Desv.) Underw. ex A. Heller and *Miscanthus sinensis* Andersson.

The study was carried out in three stand types: a *C. japonica* plantation (C), a *Q. acutissima* plantation (Q), and a planted mixed stand of *C. japonica* and *Q. acutissima* (M). These stands are at the stage of half-mature forest in the Changshan forest area of the forest farm. Between September and November 2019, an inventory of 10 representative $20 \text{ m} \times 30 \text{ m}$ sample plots in each of the three stand types was undertaken (Table 1). Site characteristics (latitude, longitude, altitude, slope and aspect) were determined

using GPS and topographic maps (Table 1). The trees in the three stands grew evenly; however, because the stands were mainly composed of one or two species and had a high density, all stands had high canopy closure, weak growth, and a simple hierarchical structure.

Experimental design

Three thinning intensity levels were carried out: heavy (C1, 59.7%; Q1, 57.3%; M1, 53.7%); moderate (C2, 30.6%; Q2, 26.7%; M2, 28.4%); and light (C3, 0.7%; Q3, 1.3%; M3, 1.5%) for each of the three stands (Table S1), which were determined by the percentage of the stand section area at breast height (SABH) removed by thinning compared with the total SABH of the pre-thinned stand. There were three replicate plots of each thinning intensity level in each of the three stands. Each plot was 400 m² (20 m × 20 m) with a space of 10 m between plots to avoid adjacent plot interference. At the same time, a 667 m² plot of bare ground was set as the control (CK). Twenty-eight plots were established (3 stand types × 3 thinning intensity levels × 3 replicate plots + 1 control plot).

At the beginning of March 2020, the free-thinning method was used to harvest trees that were marked according to the experimental design and the actual situation in each plot, giving priority to stressed, dead, and dying trees, while ensuring that a uniform canopy was created as much as possible. All harvest residues and other vegetation in the



Fig. 1 Study site location

Stand factor	Longitude	Latitude	m a.s.l	Aspect	Slope	SD (ind. ha ⁻¹)	CO (%)	ATH (m)	ADBH (cm)	SV (m ³ ha ⁻¹)	TSC
Stand type											
<i>C. japonica</i> plantation (C)	107° 3' 57" E	26° 6' 53" N	1011.2	$E 90.7^{\circ}$	5.1°	1803 ± 162	6.7 ± 0.3	7.6±0.6	10.6 ± 0.7	65.4 ± 11.5	90% Cj, 10% Qa
Q. acutissima plantation (Q)	107° 3' 37" E	26° 6' 57" N	1051.4	NE 50.6°	6.9°	1864 ± 142	16.1 ± 0.7	7.2 ± 0.7	9.5 ± 0.5	51.2 ± 9.0	90% Qa, 10% Lf
Mixed forest (M)	107° 3' 42" E	26° 7' 1" N	1020.8	SE 119.6°	4.3°	1675 ± 174	12.6 ± 0.5	7.5 ± 0.8	9.7 ± 0.6	49.4 ± 11.4	50% Cj, 50% Qa
Bare ground (CK)	107° 3' 40" E	26° 6' 59" N	1026.5	NE 57.6°	5.8°	I	I	I	I	I	Ι
Values = means \pm the standard e	rror										
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iaponica, Qa Quercus acutissima, Lf Liquidambar formosana

Deringer

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understory were removed after harvesting to reduce resource competition and to increase the persistence of thinning treatments (Santos et al. 2020). At the end of the month, 36 P. bournei seedlings were planted in each replicate plot. Based on previous planting experience with P. bournei seedlings and forest inventory results, a spacing of $3 \text{ m} \times 3 \text{ m}$ and a planting hole size of 50 cm \times 50 cm \times 40 cm were used (Fig. S1). A slow-release compound fertilizer (100 g; total nutrients \geq 45%; A slow-release compound fertilizer (100 g; total nutrients > 45%: 15% N; 15% P; 15% K) was added to each hole as a base fertilizer. In total, 1046 3-year-old P. bournei seedlings (height: 48.9±4.5 cm; root collar diameter: 6.5 ± 0.5 mm) were planted (36 seedlings in each of the 27 treatment plots and 74 in the control plot). The seedlings had been cultivated at the experimental nursery at the College of Forestry of Guizhou University (Huaxi, Guiyang) and were marked with red paint at the root collar diameter measurement.

Canopy structure measurements

Hemispherical photographs of the canopies were obtained and analyzed using WinSCANOPY Pro 2019a (Levelling device: 24MP DSLR Compact OMount; high-resolution camera: SONY ILCE-6000; fish-eye lens; Regent Instruments Inc., Montreal, Quebec, Canada). The center of each plot and the midpoint along a line from the center to the four corners were set as photographing points (Fig. S2) at a camera height of 1.3 m. Three photographs were taken at each of the five photographing points. During the inventory (before thinning, October 2019), 450 hemispherical photographs were taken (i.e., three photographs at each of the five points in ten representative sample plots in each of the stands). In addition, four sets of hemispherical photographs were taken at three (July 2020), six (October 2020), nine (January 2021), and 12 months (April 2021) after thinning in 28 plots (i.e., $15 \times 28 = 420$ hemispherical photographs $\times 4$ sets = 1680 hemispherical photographs). A total of 2130 hemispherical photographs (450 + 1680) were taken. The photographs were taken in the morning (07:00-08:00 am) or in the afternoon (17:00-18:00 pm) on cloudy, windless days to ensure consistent light conditions and to minimize halos caused by direct light. When the hemispherical photographs were analyzed, information (latitude, longitude, m above sea level, slope and aspect) relating to each photographing point was entered (Fig. S3). The stand canopy status in each plot was determined using the mean values of the hemispherical photograph analysis data. Canopy openness (CO) and leaf area index (LAI) were used to characterize the canopy structure, and the under-canopy photosynthetic photon flux density (UPPFD, including direct and diffuse radiation) was used to characterize the understory light conditions.

Spectral measurements above the crown of *P. bournei* seedlings

Spectral measurements were conducted using a high-sensitivity spectrometer (cosine corrector: CC-3; fiber: QP400-2-VIS-NIR; software: Oceanview 1.6.3 and MAYA2000, Ocean Optics Inc., Dunedin, FL, USA) from 11:00-14:00 h on consecutive, sunny days. Measurements were recorded at three (July 2020), six (October 2020), nine (January 2021), and twelve months (April 2021) after planting the seedlings. Eighteen P. bournei seedlings were selected in each plot (uniformly distributed within the plots, Fig. S1). The cosine corrector was placed 5 cm above their crowns and spectral measurements of the following waveband data were recorded once every 15 s for a total of three times: red radiation (R, 655-665 nm), far-red radiation (FR, 725-735 nm), wideband red radiation (Rw, 600-700 nm), and wideband blue radiation (Bw, 400-500 nm). R/FR and Rw/Bw ratios were calculated.

Photosynthetic leaf trait measurements

Every three months (July 2020, October 2020, January 2021 and April 2021) after planting, five average plants were selected from each plot, and photosynthetic traits (light interception, absorption, use, and gas exchange characteristics) of peripheral leaves on the second or third branches down from the top of the crowns were measured. Leaves used for measurements were fresh, pest-free, and fully developed. When the first light-use and gas-exchange characteristics were measured, leaves were marked with a label.

Light interception: specific leaf area (SLA)

Three leaves were cut from each sample plant and a 1-cm² area cut from each leaf, which were oven-dried at 105 °C for 0.5 h and then at 75 °C for 48 h until a constant weight was reached. The SLA was determined by calculating the leaf area (cm²): dry mass (g) ratio.

Light absorption: chlorophyll *a* and *b* ratio $(Chl_{a/b})$ and total chlorophyll content (Chl_{total})

Three fresh leaves were cut from each sample plant to determine photosynthetic pigments: 0.1 g of fresh leaves of each sample plant were placed in a graduated test tube, 10 ml of 95% ethanol added and the tube then placed in the dark for 48 h until the leaves turned white. After filtration, chlorophyll *a* and chlorophyll *b* absorbance readings were taken at two wavelengths (663 and 645 nm) using a UV-2100 spectrophotometer (Unico, Dayton, NJ, USA). Chl_{a/b} and Chl_{total} concentrations in the extracts were calculated based on the content of chlorophyll *a* and chlorophyll *b* (mg g⁻¹) (Lichtenthaler and Wellburn 1983).

Light use: maximum quantum yield of photosystem II (PSII), F_v/F_m ; actual quantum yield of PSII, Y(II)

Light use measurements were carried out on three leaves of each plant using a MONI-PAM fluorometer (Heinz Walz GmbH, Effeltrich, Germany) after dark adaptation for 0.5 h and avoiding the main veins of the leaves. The F_{v}/F_{m} value was calculated by determining the initial fluorescence value (F_{o}) using an irradiation measurement light (<0.5 µmol m⁻² s⁻¹), and the maximum fluorescence value (F_{m}) was determined using a 0.6 s saturation pulse (approximately 10,000 µmol m⁻² s⁻¹) (Tsimilli-Michael and Strasser 2008; Strasser et al. 2010).

Gas exchange characteristics: light-saturated photosynthesis rate (A_{sat}) and stomatal conductance (g_s)

Three leaves per plant were measured using a portable gas exchange analyzer (LI-6800, Li-Cor Inc., Lincoln, NE, USA) between 09:00 and 11:00 am on typical, consecutive sunny days. The chamber was adjusted to the following parameters: a flow rate of 400 μ mol m⁻² s⁻¹, a CO₂ concentration of 400 μ mol mol⁻¹, a leaf temperature of 25 °C, and a photosynthetic photon flux density of 1500 μ mol m⁻² s⁻¹.

Seedling growth measurements

Every three months after planting, height (H, cm), root collar diameter (D, mm), and crown radii (cm) of all seedlings were measured. The crown area (CA, cm^2) was calculated using Eq. 1:

$$CA = \pi * A * B/4 \tag{1}$$

where A and B are the lengths of the long and short axes of the crowns, respectively. The approximate aboveground seedling biomass was calculated by determining HD^2 (Kohyama and Hotta 1990). The annual relative growth rate (RG) of the aboveground biomass was calculated using Eq. 2:

$$RG = \ln HD_1^2 - \ln HD_0^2 \tag{2}$$

where HD_0^2 is the plant biomass on the day of planting and HD_1^2 is the biomass one year after planting (April 2021) (Santos and Ferreira 2020).

Statistical analysis

Microsoft Excel 2021 was used for preliminary data organization and statistical analyses performed in SPSS 26.0. One-way ANOVA was used to test statistical differences among treatments, and Tukey's test was used for post-hoc analysis (P < 0.05). The Spearman correlation method was used to preliminarily analyze the correlations of all indicators. Path analysis was used to study the correlation structure between the independent variable X_i (i=1, 2,..., n) and the dependent variable Y (Silveira et al. 2015; Wang et al. 2021a) to distinguish the effects of X_i on Y into direct and indirect effects after obtaining the optimal regression equation using stepwise regression analysis, and to judge the relative importance of the contributions of the respective



Fig. 2 Graph of path analysis; double-headed arrows indicate the indirect effect of X_i on Y via the X_j correlation path (*rij*, indirect determination coefficient); single-headed arrows indicate the direct effect of X_i on Y (*PnY*, direct determination coefficient)

variables to the dependent variable by determining the magnitude of the path coefficients (Fig. 2).

Results

Canopy structure and understory light environment

With greater thinning intensity, CO (canopy opening) and UPPFD (under canopy photosynthetic photon flux density) increased significantly, and LAI (leaf area index) decreased significantly in the three stands (Fig. 3). At the same thinning intensity, CO was greatest in the Q. acutissima plantation and smallest in the C. japonica plantation, with the CO of the Q. acutissima plantation 1.4, 2.2 and 2.2 times greater following light, moderate, or heavy thinning, respectively. The regrowth of canopies resulted in a reduction in CO over time, with the Q. acutissima plantation showing the greatest reduction (i.e., a reduction 3.8 times greater than that of the C. japonica plantation, which showed the smallest reduction). Q. acutissima is a deciduous species, therefore the CO of the plantation and mixed stand increased rapidly in January (winter). The LAI of each stand showed the opposite to that observed for CO.

Comparisons of UPPFD in different stands that received the same thinning revealed that the mixed stand had the highest value (Fig. 3). Canopy structure of the species differed and hence the proportion of each radiation component reaching the understory was different. Direct radiation in the *C. japonica* plantation was relatively high, comprising 92.0% of the UPPFD, whereas diffuse radiation in the *Q. acutissima* plantation was 12.3% of the UPPFD. The *C.*

Fig. 3 Effects of three thinning intensities (1, heavy; 2, moderate; 3, light) on CO, LAI, and UPPFD in three forest stands (C, Cryptomeria japonica; Q, Quercus acutissima; M, mixed forest of C. japonica and Q. acutissima; and CK, bare ground control) over time. Bars indicate means and error bars indicate the total standard error. Different letters in the same month indicate significant differences between treatments (P < 0.05) according to Tukey's test. Abbreviations: CO, canopy openness; LAI, leaf area index; UPPFD, under-canopy photosynthetic photon flux density (Dir., direct radiation; Dif., diffuse radiation)



japonica plantation showed an average decrease in UPPFD of 25.1% over time under all three thinning regimes. In contrast, there was little change in UPPFD over time in the mixed stand.

Spectra above the crown of *P. bournei* seedlings

The spectra above the crowns of *P. bournei* seedlings in the different stands after different thinning intensities showed significant differences (Table 2). The greater the CO, the higher the radiation in each wavelength, and R/FR and Rw/ Bw were also significantly higher. Except in January, R/ FR and Rw/Bw decreased over time in each stand, with the maximum R/FR in plots of heavily thinned C. japonica and the minimum in plots of C. japonica subjected to moderate or light thinning. The opposite situation was recorded in the mixed stand, with the R/FR of C. japonica plots 1.1, 0.9, and 0.9 times higher than those in mixed stands subjected to heavy, moderate, or light thinning, respectively. Rw/Bw did not differ significantly among heavily thinned stands; however, Rw/Bw was lowest in the C. japonica plantation subjected to moderate or light thinning and the maximum was in the Q. acutissima plantation, about 1.1 times that of the C. japonica plantation. Following thinning, the C. japonica plantation showed the greatest variation in R/FR and Rw/Bw among the three stands, and the understory spectral composition was the most strongly influenced by thinning.

Photosynthetic leaf traits

The photosynthetic leaf traits of *P. bournei* seedlings differed significantly in response to different thinning (Fig. 4). Over time, SLA, $\text{Chl}_{\text{total}}$, F_v/F_m , and Y(II) decreased trend with increasing CO (Fig. 4a, b, d, e), and $\text{Chl}_{a/b}$ increased with increasing CO (Fig. 4c). However, g_s (Fig. 4f) and A_{sat} (Fig. 4g) both increased and then decreased with greater CO in July, and increased with greater CO in April. Control seedlings had relatively small photosynthetic leaf trait values except for the $\text{Chl}_{a/b}$, which was significantly larger than that of seedlings grown under stands.

Height, root collar diameter, and crown growth

Increments in height and root collar diameter of *P. bournei* seedlings in stands subjected to different thinning treatments varied significantly (Fig. 5) because of changes to the canopy structure and in the understory light environment. With greater thinning intensity, increment of root collar diameter in all three stands showed an increasing trend, however, increment of height only showed an increasing trend in the *C. japonica* plantation, and increased then decreasing trend in the *Q. acutissima* plantation and mixed stand. Increments in root collar diameter were highest in the mixed

stand and lowest in the *C. japonica* plantation for all three thinning treatments. Of the stands subjected to heavy thinning, seedlings in the *C. japonica* plots showed the largest height increment, and among stands under moderate or light thinning, *P. bournei* seedlings in the mixed stand showed the largest height increment. Control seedlings in full sunlight performed poorly, with height and root collar diameter increments that were 46.2% and 74.4% of the best-performing treatments (M2 and M1), respectively.

Crowns of *P. bournei* seedlings in the understory of the different stands gradually diversified over time (Table 3). After 12 months, crowns of seedlings in the *C. japonica* plantation had grown larger with increasing thinning intensity. However, seedlings in the *Q. acutissima* plantation and mixed stand showed a significantly greater crown area under moderate thinning. The RG (relative growth rate) of seedlings increased with greater thinning intensity, with the maximum for seedlings planted in heavily thinned mixed forest (M1), relatively minor for seedlings in full sunlight (CK), and minimum for seedlings in *C. japonica* plots subjected to light thinning (C3). The RG of M1 was 2.5 and 1.3 times more than C3 and the controls, respectively.

Correlation between stand canopy structure, understory light environment and photosynthetic leaf traits and growth

Correlations between all indicators were studied using the Spearman correlation method (Fig. 6). Canopy structure (opening and leaf area index) significantly influenced understory light conditions, and CO was positively correlated with UPPFD, R/FR, and Rw/Bw (P < 0.01) and negatively with LAI (P < 0.01). Photosynthetic leaf traits of light interception (SLA), absorption (Chl_{alb}, Chl_{total}), and use (Y(II), F_v/F_m) were correlated with the light environment (P < 0.01). g_s was positively correlated with UPPFD (P < 0.05) and was positively correlated with R/FR and Rw/ Bw (P < 0.01). RG was significantly correlated with all light environment factors and with seedling photosynthetic and growth indicators (P < 0.01), whereas height growth was correlated only with photosynthetic traits and not with the light environment. Root collar growth showed no correlation with light availability; however, crown growth was positively correlated with the understory light environment but not with canopy structure (CO and LAI). However, the correlation coefficient does not fully explain the relationship between the environment and seedling performance. For example, A_{sat} is the most critical indicator representing the rate of carbon assimilation which is directly influenced by light. However, Asat was not significantly correlated with the light environment in the correlation analysis. This suggests that the correlation coefficient ignores the relative

thinning treatm r tii Table 2 Changes in spectral composition above the crown of *P. bournei* seedli



Treatments	R/FR	Rw/Bw
C1	1.27 ± 0.003 ^b	0.99 ± 0.006^{b}
C2	0.93 ± 0.009 °	0.86 ± 0.003 ^d
C3	0.79 ± 0.004 ^g	0.77 ± 0.007 f
Q1	1.23 ± 0.010 ^c	0.99 ± 0.008 ^b
Q2	1.01 ± 0.008 ^d	0.95 ± 0.007 ^c
Q3	$0.86 \pm 0.010^{\text{ f}}$	0.87 ± 0.005 ^d
M1	1.20 ± 0.007 ^c	0.98 ± 0.006 ^b
M2	1.03 ± 0.006 ^d	$0.89 \pm 0.009^{\text{ d}}$
M3	0.90 ± 0.008 ^e	0.81 ± 0.002 °
CK	1.35 ± 0.007^{a}	1.07 ± 0.004^{a}
CI	1.26 ± 0.001 as	$0.99 \pm 0.003^{\circ}$
C2	$0.91 \pm 0.010^{\text{ u}}$	0.85 ± 0.002 de
C3	$0.75 \pm 0.021^{\text{e}}$	0.76 ± 0.021 ^r
Q1	1.20 ± 0.003 ^b	0.96 ± 0.007 bc
Q2	1.01 ± 0.045 °	0.93 ± 0.012 ^c
Q3	0.88 ± 0.013 ^d	0.86 ± 0.003 ^d
M1	1.20 ± 0.006 ^b	0.94 ± 0.007 ^c
M2	1.01 ± 0.015 ^c	0.86 ± 0.007 ^d
M3	$0.89 \pm 0.012^{\text{ d}}$	0.81 ± 0.002 ^e
СК	1.35 ± 0.004 ^a	1.06 ± 0.004^{a}
C1	1.27 ± 0.002 ^c	1.01 ± 0.007 bc
C2	0.95 ± 0.002 °	0.84 ± 0.004 ^e
C3	0.81 ± 0.004 f	0.77 ± 0.009 f
Q1	1.32 ± 0.002 ^{ab}	1.03 ± 0.003^{ab}
Q2	1.32 ± 0.004^{ab}	$1.02 \pm 0.005^{\text{ abc}}$
Q3	1.31 ± 0.003^{ab}	1.01 ± 0.008 ^{abc}
M1	1.30 ± 0.004 bc	1.00 ± 0.008 ^c
M2	1.22 ± 0.017 ^d	$0.92 \pm 0.009^{\text{ d}}$
M3	0.94 ± 0.006 °	0.88 ± 0.009 ^e
CK	1.34 ± 0.006^{a} 1.25 ± 0.007 ^b	1.04 ± 0.004^{a}
C2	0.90 ± 0.007^{e}	0.99 ± 0.008
C3	0.75 ± 0.005 f	$0.74 \pm 0.011^{\text{g}}$
01	1.20 ± 0.005 b	0.94 ± 0.011 °
Q2	1.01 ± 0.025 ^d	0.90 ± 0.003 ^{cd}
Q3	0.86 ± 0.006^{e}	0.84 ± 0.006 ef
M1	1.14 ± 0.012 °	0.92 ± 0.006 ^c
M2	1.01 ± 0.014 ^d	0.87 ± 0.007 de
M3	0.87 ± 0.004 ^e	$0.81 \pm 0.003 ~^{\rm f}$
СК	1.37 ± 0.010^{a}	1.06 ± 0.009^{a}

Table 2 (continued)

Treatments: stands of *Cryptomeria japonica* (C), *Quercus acutissima* (Q), mixed forest of *C. japonica* and *Q. acutissima* (M), and bare ground control (CK) were subjected to heavy (1), moderate (2), or light (3) thinning prior to planting *P. bournei* seedlings in the understory. Values represent means \pm standard error. Different letters in the same month indicate significant differences among treatments (*P* < 0.05). R/FR, red/far-red radiation ratio; Rw/Bw, wideband red/wideband blue radiation ratio

importance between variables, therefore, the relationship between indicators needs to be further analyzed.

Path analysis

The direct effects of independent variables on dependent variables and the indirect effects of interactions between these were examined in detail using stepwise regression and path analyses to identify essential links between several independent and dependent variables to provide a reliable basis for statistical decision-making (Wang et al. 2021a). Figure 7a and b show that CO, LAI, and R/FR in the light environment have a limiting effect on the A_{sat} of *P. bournei* seedlings, and that CO is the main limiting factor with the strongest direct effect according to the order of determination coefficients. All indicators of the leaf photosynthetic pathway play a role in enhancing A_{sat} , among which g_s is the main decision variable and has the strongest direct effect. With regard to variables affecting seedling growth (Fig. 7c, d), light environment variables that enhanced RG were LAI, Dif., and R/FR, while CO and Rw/Bw were limiting factors, CO had the greatest direct effect on growth, followed by Dif. Among the leaf photosynthetic pathways, only SLA and g_s were retained after stepwise regression, with both of these enhancing seedling growths. SLA was the main decision variable with the largest direct effect on seedling growth. Our analyses show that if the canopy is too open (strong light) or if the LAI is too high (excessive shade), the carbon assimilation rate of P. bournei seedlings can be inhibited. Therefore, P. bournei seedlings need both a suitable stand canopy structure and an understory light environment for growth and development. Furthermore, both A_{sat} and RG are influenced by R/FR, with R/FR also playing a major role in determining RG.

Discussion

Effect of thinning on canopy structure and understory light environments

Different stands have different canopy structures and light environments (Gustafsson et al. 2016; Orman et al. 2021). Thinning creates special microenvironments in the understory by changing the canopy structure. The most direct effect is to increase light availability in the understory (Santos et al. 2020). Thinning modified the canopy structure and the understory light environment of the three stands by reducing stand density, making the canopy sparse (Beaudet et al. 2011; Zhang et al. 2018a). CO and understory radiation significantly increased, and LAI decreased in all stands with increasing thinning (Fig. 3 and Table 2). However, the thinning measures had different effects on canopy structure and light conditions of the different stands. For example, the same thinning intensity had a greater effect on canopy structure of stands with a greater proportion of broadleaf trees. After thinning, the canopy opening of the C. japonica plantation, mixed species stand, and the Q. acutissima plantation ranged from 11.0 to 29.4%, 22.6 to 39.9%, and 25.3 to 43.0%, respectively (Fig. 3). Over time, canopies closed. However, the rate of closure varied with species composition and thinning intensity determining the speed of closure (Forrester et al. 2018; Santos et al. 2020). Although canopies of Q. acutissima plots under heavy thinning closed more rapidly after thinning than canopies in other plots, the understory radiation of these Q. acutissima plots decreased more slowly. Twelve months after thinning, the UPPFD in the C. japonica plantation had decreased the most, averaging about 25.1%, whereas in the Q. acutissima plantation, it had only decreased by 1.5% (Fig. 3). When the three stands were subjected to the same thinning intensity, Dir. was highest in the C. japonica plantation and Dif. highest in the Q. acutissima plantation, whereas the proportion of Dir. and Dif. in the mixed stand was more balanced and had the highest radiation. This indicates that, when the three stands were subjected to the same thinning intensity, the light environment of the mixed forest improved more effectively, which is consistent with the findings of previous studies that investigated the effect of canopy structure on forest light environment (Ishii et al. 2004; Beaudet et al. 2011; Forrester et al. 2018).

Several studies have investigated how to assess the understory light environment effectively (Cogliastro and Paquette 2012; Lochhead and Comeau 2012; Rosati et al. 2013). In this study, factors associated with canopy structure were closely related to the understory light environment, and the combined effects of the canopy opening and leaf area index of the three stands affected radiation intensity and spectral composition (Fig. 6). Therefore, light conditions in a stand can be effectively estimated by measuring CO and LAI. These measurements, combined with radiation intensity and the spectral composition of the understory, can then be used to analyze and evaluate the light environment in the stand (Orman et al. 2021). Blue, red, and far-red light in the spectrum play key roles in controlling the photomorphogenesis,





the same month indicate significant differences between treatments (P < 0.05). Abbreviations: SLA, specific leaf area; $Chl_{a/b}$, chlorophyll *a* and *b* ratio; Chl_{total} , total chlorophyll content; $F_{\sqrt{F_m}}$, maximum quantum yield of photosystem II (PSII); Y(II), actual quantum yield of PSII; A_{sat} , light-saturated photosynthesis rate; g_s , stomatal conductance



Fig. 5 Height and root collar diameter increments (March to July 2020, July to October 2020, October to January 2021, January to April 2021) of *P. bournei* seedlings in three stand types [*Cryptomeria japonica* (C), *Quercus acutissima* (Q), mixed forest of *C. japonica* and *Q. acutissima* (M), and bare ground control (CK)] subjected to



heavy (1), moderate (2), or light (3) thinning prior to planting; Bars indicate means and error bars the standard error. Different letters in the same month indicate significant differences between treatments (P < 0.05)

Table 3Effect of thinninglevels on the annualrelative growth rate (RG) ofaboveground biomass andcrowns of *P. bournei* seedlings

Freatments	Crown area (cm ²)				RG
	July 2020	October 2020	January 2021	April 2021	
21	1322.4±56.9 abc	1657.0 ± 35.4 ^a	1905.9 ± 29.0^{a}	2303.8±53.0 ^a	1.31 ± 0.053 ^{cd}
22	1399.6±23.2 ^{ab}	1565.1±44.1 ab	1703.3 ± 62.0 ^{cd}	1911.3 ± 44.7 ^{cd}	0.93 ± 0.029 f
23	1100.4 ± 32.0 ^{cd}	1251.0 ± 45.4 ^{cde}	$1417.5 \pm 26.9^{\text{ fg}}$	1596.2 ± 44.1 fg	0.65 ± 0.023 g
Q1	1203.0 ± 10.6 ac	1416.4 ± 35.4 ^{abc}	1560.7 ± 39.3 def	$1789.0 \pm 29.0^{\text{de}}$	1.45 ± 0.022 bc
Q2	1304.1 ± 96.0 abc	1495.3 ± 84.1 abc	1631.8 ± 21.5 ^{cde}	1828.2 ± 31.7 de	1.11 ± 0.042 e
Q3	935.8±34.8 ^e	1055.7 ± 42.9 ^e	$1172.1 \pm 1.0^{\text{h}}$	1300.5 ± 19.2 ^h	0.84 ± 0.012 f
M 1	1237.1±16.6 abc	1500.8 ± 87.2 ^{abc}	1733.8 ± 9.5 bc	2061.0 ± 18.0 bc	1.64 ± 0.008 ^a
M2	1453.9 ± 56.4 ^a	1672.7 ± 70.7 ^a	1877.4±37.9 ^{ab}	2165.6 ± 28.9 ^{ab}	1.57 ± 0.077 ^{ab}
M3	1136.6 ± 20.8 ^{bd}	1325.8 ± 18.9 bcd	1498.1±17.8 ef	1689.9 ± 18.2 ef	1.28 ± 0.033 ^{cde}
CK	965.9 ± 64.7 ^d	1130.1 ± 42.9 de	1308.9 ± 39.3 ^{gh}	1484.4 ± 59.0 ^g	1.23 ± 0.014 de

Treatments: stands of *Cryptomeria japonica* (C), *Quercus acutissima* (Q), mixed forest of *C. japonica* and *Q. acutissima* (M), and bare ground control (CK) were subjected to heavy (1), moderate (2), or light (3) thinning prior to planting *P. bournei* seedlings in the understory. Values are means \pm standard error; letters in the same month indicate significant differences among treatments (*P* < 0.05)

the development of form and structure affected by light, of plants (Riikonen 2021), with far-red light (long-wave light) also enhancing the photosynthetic efficiency of short-wave light (Emerson et al. 1957; Zhen et al. 2019; Zhen and Bugbee 2020). Consistent with the findings of Ellsworth and Reich (1993) and Zhen et al. (2022) in their studies of the light environment in stands, the proportion of far-red and blue-violet light in the three stands in this study increased as the canopy opening decreased. As seen by the reductions in R/FR and Rw/Bw relative to full sunlight, the spectral composition in the *C. japonica* plantation was affected the most by thinning and the mixed forest was affected the least. After moderate and light thinning, the lower the number of broadleaf trees in the stand, the higher the proportion of far-red and blue-violet light (i.e., the *C. japonica* plantation had the highest proportion of far-red and blue-violet light) (Table 2). Therefore, thinning measures should be targeted according to the species composition of the stand (Wiener 2010) to obtain a stable and healthy canopy structure and a suitable understory environment to help regenerate understory vegetation (particularly key species targeted for regeneration as part of forest management objectives) and to achieve sustainable forest succession (Schwartz et al. 2015; Forrester 2017).



Fig. 6 Spearman correlation coefficients among stand canopy structure, the understory light environment, and photosynthetic traits and growth of *P. bournei* seedlings (*P < 0.05; **P < 0.01). Abbreviations: CO, canopy openness; LAI, leaf area index; CA, crown area; Dir., direct radiation; Dif., diffuse radiation; Total, under-canopy photosynthetic photon flux density including direct and diffuse radiation; R/FR, red radiation/far red radiation ratio; Rw/Bw, wideband red

Effects of thinning on photosynthesis and growth of *P. bournei* seedlings in the understory

Light is the most critical factor affecting regeneration and is essential in the survival, growth, and development of seedlings (Dumais et al. 2018; Sendall et al. 2018; Daryaei et al. 2019). P. bournei, which is shade-tolerant at a young age and whose light requirements vary with age (An et al. 2022), showed significant different responses to a more heterogeneous light environment relative to those reported in previous studies of pioneer species (shade intolerant species) (Gravel et al. 2010; Zhang et al. 2012; Santos and Ferreira 2020). Several studies have shown that light-induced leaf plasticity is intrinsically linked to the light requirements of plants and therefore, photosynthetic leaf traits are used to predict seedling growth (Poorter and Bongers 2006; Li et al. 2017, 2022). The leaf photosynthetic pathways (light interception, absorption, and use) of P. bournei responded quickly and were highly correlated with the understory light environment. In particular, there was a strong intrinsic

radiation/wideband blue radiation ratio; SLA, specific leaf area; Chl total, total chlorophyll content; $Chl_{a/b}$, chlorophyll *a* and *b* ratio; A_{sat} , light-saturated photosynthesis rate; g_s , stomatal conductance; Y(II), actual quantum yield of photosystem II (PSII); $F_{\sqrt{F_m}}$, maximum quantum yield of PSII; Height Inc, height increments; RCD Inc., root collar diameter increments; CA, crown area; RG, annual relative growth rate of aboveground biomass

connection between R/FR and the photosynthetic capacity and growth performance of seedlings (Figs. 4, 6, 7). Studies of plant response to red and far-red light have also found that a slight variation in R/FR can lead to large changes in the phytochrome balance, thus affecting the overall photomorphogenesis of the plant (e.g., photosynthetic leaf traits) (Chelle et al. 2007; Demotes-Mainard et al. 2016).

Photosynthetic leaf traits represent the basic response of plants to changes in the light environment and can be used to predict growth (Santos and Ferreira 2020). Among them, the specific leaf area (SLA) reflects the ability of plant leaves to intercept light and to self-protect under strong light, which is closely related to the growth–survival trade-off of plants (Dahlgren et al. 2006; Kennedy et al. 2007). In this study, the SLA of *P. bournei* seedlings under low light was elevated and the light interception per unit of leaf biomass was increased (Fig. 4a). In addition, because of the increased proportion of far-red and blue light above seedlings under the canopy (Table 2), the Chl_{total} and the percentage of chlorophyll *b* increased (Fig. 4b, c), and their absorption of red



Fig. 7 Path analysis diagrams showing the direct and indirect effects of relative factors on the light-saturated photosynthesis rate (A_{sat}) and the annual relative growth rate (RG) of the aboveground biomass of *P. bournei* seedlings. Only effective paths filtered by stepwise regression analysis are shown in the diagrams. The value beside the arrows indicates the standardized determination coefficient; line thickness indicates the size of the path coefficient, and solid and dash lines the positive and negative path coefficients, respectively. The determination coefficients is the standardized determination coefficient.

tion coefficients are shown in the upper right corner of the indicators, and decision and limitation variables are in red and blue, respectively. Abbreviations: CO, canopy openness; LAI, leaf area index; Dif., diffuse radiation; R/FR, red/far red radiation ratio; Rw/Bw, wideband red/wideband blue radiation ratio; SLA, specific leaf area; Chl_{a/b} chlorophyll *a* and *b* ratio; g_s , stomatal conductance; Y(II), actual quantum yield of photosystem II (PSII); F_v/F_m , maximum quantum yield of PSII

and blue-violet light was enhanced. Finazzi and Johnson (2016) and Zhen et al. (2022) proposed that far-red photons maintain the energy balance between PSII and PSI by enhancing the linear electron transport efficiency and stimulating the cyclic electron flow around PSI, resulting in less photooxidative damage, which may explain how low R/FR enhances the light use of P. bournei. Light utilization ability can be effectively reflected by the chlorophyll fluorescence parameters Y(II) and F_v/F_m (Peterson et al. 2001). Immediately after thinning, the Y(II) and F_v/F_m of P. bournei seedlings in the shade of a stand showed increased over time with greater shade. However, in the C. japonica plantation in which canopies had closed over one year after thinning, the Y(II) of seedlings increased and then decreased as the canopy opening decreased (C3 in Fig. 4d). This suggests that the light resources were severely limited and may have led to stress and reduced light utilization efficiency by P. bournei. A direct limiting factor for plant photosynthesis is stomatal conductance (g_s) (Santos and Ferreira 2020). In this study, the light environment significantly affected the g_s of *P. bournei* seedlings (Fig. 6) and showed a close internal relationship with A_{sat} and RG (Fig. 7). Similarly, Schima superba Gardn. & Champ., which is shade-tolerant as a seedling, responds to rapid changes in the light environment by opening and closing its stomata rapidly (Zhang et al. 2012). Therefore, we hypothesize that a rapid response depending on stomatal opening/closing may also be one of the main mechanisms by which P. bournei seedlings adapt to changes in the understory light environment to ensure efficient use of the light resource. The light-saturated photo synthesis rate (A_{sat}) characterizes the carbon assimilation rate of plants (De Kauwe et al. 2016). Based on our path analysis of the relationships between the factors (Fig. 7), we hypothesize that the light environment (especially R/FR) indirectly acts on the carbon assimilation rate of plants by directly acting on each step in the photosynthetic pathway of P. bournei seedlings, thus affecting their overall growth and development. In addition, the photosynthetic performance of P. bournei seedlings grown in full sunlight was inhibited because their leaves had less ability to intercept, absorb, and use light, and lower stomatal conductance and carbon assimilation rates than seedlings grown in shade (Fig. 4). Previous experiments on shade-tolerant tree species such as Cryptocarya concinna Hance and Syzygium acuminatissimum (Blume) DC cultivated under intense light demonstrated that excess light energy produces toxic products such as superoxide, singlet oxygen, and peroxide which damage the photosynthetic system of plants and limit their growth and development if they are not safely dispersed in a timely manner (Zhang et al. 2012; Roeber et al. 2021).

Plant morphological adjustments to improve the use efficiency of light resources is one of the important strategies that plants use to adapt to environmental changes (Rosati et al. 2013). Crown size is most directly related to light resource acquisition and growth. P. bournei seedlings developed smaller crowns under both intense light (controls) and weak light (C3), which reflects their adaptation strategies to both intense and weak light stress. When light is too intense, seedlings reduce their crown area (Zhang et al. 2018c); when light is too weak, access to the resource is insufficient and seedlings reduce crown investment, tolerate the weak light stress, and wait for conditions to improve (Zhang et al. 2012). The light requirements of P. bournei are exacting: low light does not meet resource demands and needs to be enhanced to meet light conditions it requires; however, the species is inhibited by high light intensity if it is intentionally increased. Furthermore, its carbon accumulation potential is limited as a shade-tolerant plant; its carbon stocks are small and it faces special risks if it suffers serious light damage (Gravel et al. 2010). Figure 5 shows that the height-to-diameter growth strategy of P. bournei seedlings also changed with CO (i.e., the intensity of understory radiation). As light intensity decreased (CK to C3), height-to-diameter ratios increased (4.7-10.7), and seedlings transformed from a diameter growth advantage to a height growth advantage, changing their growth strategy from tolerance to pursuit of light. The findings of the path analysis of factor interactions (Fig. 7) are consistent with the conclusions of Zhang et al. (2018b): the high proportion of far-red light in the understory may act as a 'shade avoidance signal' to make P. bournei seedlings sensitive and responsive to shade, and the lower R/FR reduces the proportion of Pfr (far-red light-absorbing form) in the total phytochrome of the plant, inducing a higher level of gibberellin and auxin to promote high growth and the pursuit of light resources. In contrast, intense light may induce an increase in cytokinin and lead to an increased diameters (Demotes-Mainard et al. 2016; Fraser et al. 2016). Therefore, providing a suitable light environment for P. bournei during early growth is key to improving its photosynthetic performance and promoting growth and development.

How to optimize *P. bournei* juvenile planting by regulating light environment

Thinning is an important way to adjust and optimize the light environment of forests (Zhang et al. 2018a). Over the year following the thinning treatments, the best performance of *P. bournei* in terms of growth and development was achieved by gradually transitioning from moderate to heavy thinning in all three stands. Under the same thinning intensity, *P. bournei* in mixed stands performed best possibly because the light availability was greater than in pure coniferous or broadleaf stands. Pretzsch (2014), Forrester (2017) and Forrester et al. (2018) reported similar findings when they studied the canopy structure, light environment and

physiological ecology of mixed and single species stands. The greatest reduction in radiation intensity over time was in the C. japonica plantation after thinning. However, the proportion of far-red light in the understory was higher than that in the other stands and induced greater upward growth by the seedlings and thus enabled them to obtain light resources (Zhen et al. 2022). The better early performance of P. bournei in the C. japonica plantation was also achieved after one year of heavy thinning. However, after heavy thinning of the Q. acutissima plantation, P. bournei showed intense light suppression; height growth was limited, therefore we suggest that the optimal thinning intensity for a Q. acutissima plantation would be between heavy and moderate. Studies by Midgley et al. (2002) and Aiba et al. (2007) indicated that there may be competition for light resource utilization in forests with a mixture of broadleaf species, but the productivity of mixed coniferous and broadleaf forests was higher than that of broadleaf mixed forests.

Based on the best treatment for the growth and development of *P. bournei* saplings in this study, a suitable understory light environment has a photosynthetic photon flux density of 19.1–22.6 mol m⁻² d⁻¹ and a red/far red radiation ratio of 1.0–1.2. Forest managers should not only consider the canopy structure, light conditions, and species composition of stands but also the biological characteristics of species to achieve afforestation targets (Daryaei et al. 2019). A suitable light environment should be created for the understory vegetation and a healthy, stable canopy and stand environment should be established to promote the growth and development of the residual stand (Beaudet et al. 2011; Santos et al. 2020).

Conclusion

Improvement of the stand light environment by thinning varies, depending on thinning intensity and species composition. Among the three typical stands investigated, the understory light environment of the mixed stand after heavy thinning was the most favorable for the growth of P. bournei seedlings, resulting in the largest relative growth. The R/FR ratio is a key light environment factor, regulating the growth and development of P. bournei. Photosynthetic leaf traits can predict the early silvicultural benefits of P. bournei. Increasing the canopy opening enhances light availability in the understory and improve the light resource use capacity to promote photosynthesis and growth of the seedlings. However, growth is inhibited under intense light. Therefore, afforestation should be combined with sustainable management, avoiding the establishment of P. bournei seedlings in full sun, and using P. bournei for species restructuring or forest quality improvement. Awareness of forest management goals and of the species that comprise the stand should be undertaken to develop targeted afforestation programs.

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