



# Effect of Oxygen Flow Rate, Post-annealing Temperature, and Different Electrolyte Concentrations on WO<sub>3</sub> Thin Films Deposited by DC Magnetron Sputtering For Electrochromic Applications

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## Abstract

In this work, tungsten oxide (WO<sub>3</sub>) films were deposited at room temperature and annealed for 2 h at 400°C. The electrochromic and electrochemical properties were studied for two different electrolytes. The films were deposited at different oxygen flow rates of 2, 4, and 6 standard cubic centimeters per minute (SCCM). X-ray diffraction analysis revealed structural characterization of amorphous and crystalline phases. UV-visible spectroscopy optical transmittance revealed 91% transmittance, and energy-dispersive x-ray spectroscopy (EDS) analysis revealed the absence of impurities and the presence of W and O. An electrochemical analyzer was used to characterize the deposited and annealed WO<sub>3</sub> films immersed in the two different electrolyte solutions (H<sub>2</sub>SO<sub>4</sub> and LiClO<sub>4</sub> with oxygen flow rates ranging from 2 SCCM to 6 SCCM). It was found that the H<sub>2</sub>SO<sub>4</sub> electrolyte of an annealed WO<sub>3</sub> thin film at 2 SCCM demonstrated high coloring efficiency of 50.18 cm<sup>2</sup>/C, and the LiClO<sub>4</sub> electrolyte of an annealed WO<sub>3</sub> thin film at 4 SCCM demonstrated high coloring efficiency of 20.06 cm<sup>2</sup>/C.

**Keywords** Oxygen flow rates · electrochromic properties · sputtering · H<sub>2</sub>SO<sub>4</sub> · LiClO<sub>4</sub> electrolytes

## Introduction

With the continuing rapid depletion of fossil fuel materials, renewable energy sources are increasingly used for electrochemical capacitors.<sup>1,2</sup> Up to 30–40% of the primary energy consumed worldwide is utilized for heating, cooling, ventilation, and electrical appliances in buildings.<sup>3–5</sup> Therefore,

the use of materials in buildings that can convert and store energy from renewable sources is beneficial. By applying a small electric field, electrochromic substances can change their optical characteristics such as transmittance, reflection, and absorption in a reversible manner. This property makes them extremely appealing for a variety of potential

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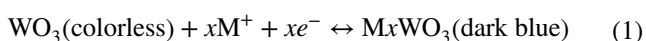
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applications, including large-area information displays, antiglare automobile mirrors, and energy-efficient smart windows.<sup>6,7</sup>

Numerous studies have been conducted on tungsten trioxide (WO<sub>3</sub>), which has been named one of the most interesting inorganic electrochromic compounds.<sup>8,9</sup> An electrochromic material should have 100% optical modulation, meaning that it should be totally opaque while colored and fully transparent when bleached. The coloration efficiency (CE) and kinetics will depend on the morphological, structural, and compositional characteristics, and the suitability for use in a variety of devices, including solar cells,<sup>10,11</sup> smart windows,<sup>12–15</sup> gas sensors,<sup>16</sup> and photodetectors.<sup>17</sup> The electrochemical equation below<sup>18</sup> controls the electrochromism reaction in WO<sub>3</sub>:



where  $x$  is the ion concentration, and M represents K<sup>+</sup>, H<sup>+</sup>, Na<sup>+</sup>, or Li<sup>+</sup> ions. Various deposition techniques have been used for WO<sub>3</sub> films including sol–gel,<sup>19</sup> hot-filament-assisted synthesis,<sup>20</sup> sputtering,<sup>21–33</sup> electron beam evaporation,<sup>34,35</sup> electrodeposition processing,<sup>36</sup> and hydrothermal<sup>37–41</sup> and solvothermal techniques.<sup>42</sup> Overall, relatively little research on sputtering-fabricated WO<sub>3</sub> films has been published. Furthermore, up to this point, we were unable to locate any other research in the literature demonstrating the influence of the deposition oxygen flow rate (OFR) on the electrochromic properties of WO<sub>3</sub> films produced by sputtering. Therefore, we present a thorough investigation of the effects of various oxygen flow deposition rates, post-annealed WO<sub>3</sub> films, and various electrolyte solutions on the optical, structural, electrochromic, and electrochemical attributes of WO<sub>3</sub> films deposited by the sputtering technique in the current work. Here, we discuss how various OFRs affect the structural, optical, and electrochromic characteristics of sputtering. WO<sub>3</sub> films were produced, and efforts were made to optimize the OFR, the electrolyte solution, and the nature of the film (amorphous or crystalline) for use in electrochromic applications.

## Experimental Techniques

### Method to Produce Thin Films of WO<sub>3</sub>

A popular physical vapor deposition (PVD) technique known as direct current (DC) magnetron sputtering was used to deposit the WO<sub>3</sub> films on Corning glass and fluorine-doped tin oxide (FTO) substrates. Before being fed into the sputtering chamber, the glass samples were cleaned with a soap solution and deionized water (DI) using ultrasonication. The tungsten metal disc was used as the sputter target and

it was sputtered in an atmosphere of argon (Ar) and oxygen (O<sub>2</sub>). The base vacuum of the sputtering chamber was maintained at  $2 \times 10^{-6}$  mbar. In order to remove impurities that had been adsorbed onto the target surface pre-sputter, the tungsten target was subjected to an argon gas atmosphere for 10 min. The WO<sub>3</sub> films were produced under OFRs of 2, 4, and 6 standard cubic centimeters per minute (SCCM) at room temperature (RT). A constant 100 mA DC current was used during the whole deposition.

## Characterization

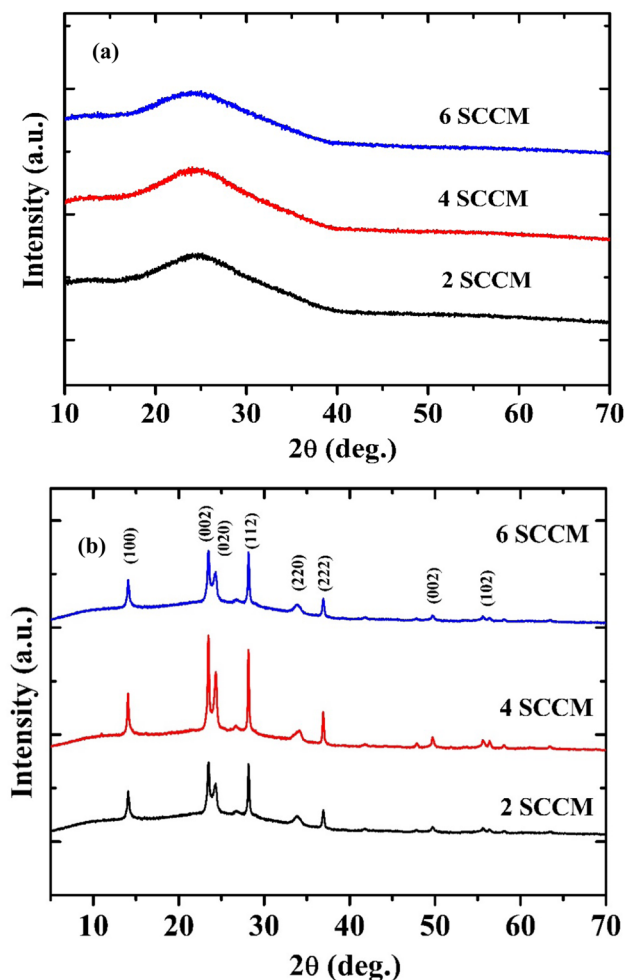
X-ray diffraction (XRD; Rigaku MiniFlex, Rigaku Corporation) was used to analyze the crystallization of the films. Raman spectroscopy (Renishaw plc) was used to analyze the chemical bonding. The optical absorption behavior was characterized using an ultraviolet–visible spectrometer (SPECORD S600). An electrochemical workstation (SP-300 BioLogic) was used for all electrochemical analyses. The workstation has a three-electrode setup with a platinum wire as the counter electrode (CE), Hg<sub>2</sub>/HgCl<sub>2</sub> as the reference electrode (RE), and WO<sub>3</sub> thin film as the working electrode (WE). An aqueous solution of 0.5 M H<sub>2</sub>SO<sub>4</sub> and a non-aqueous solution of 0.5 M LiClO<sub>4</sub> were used as electrolytes, and the sweep voltage was maintained between  $-0.7$  and  $+1$  V at scan rates of 20 mV/s.

## Results and Discussion

### XRD Analysis

Figure 1a displays the XRD characteristics of the WO<sub>3</sub> films that were deposited using DC sputtering at various OFRs. The RT-deposited samples exhibit amorphous characteristics at various OFRs, according to the XRD evaluation. Amorphous films are produced on a glass substrate that has not been heated when different amounts of PaO<sub>2</sub> are deposited using DC and RF sputtering, according to studies by Madhavi et al.<sup>43</sup> and Mohamed et al.<sup>44</sup>

An eventual change in film crystallinity is the most fundamental effect of the annealing process. Consequently, XRD analysis was used to characterize the WO<sub>3</sub> films annealed at 400°C for 2 h in order to investigate the change in the crystal structure. The XRD patterns of heat-treated WO<sub>3</sub> films after 2 h at 400°C are clearly shown in Fig. 1b, which reveals the presence of distinctive diffraction peaks corresponding to the WO<sub>3</sub> planes. For different oxygen partial pressures, the XRD spectra that are produced show that the 400°C air-annealed sample is crystalline.<sup>25</sup> DC-sputtered WO<sub>3</sub> films have  $2\theta$  values of 14.04°, 23.38°, 24.47°, 28.16°, 33.89°, 36.93°, 50.09°, and 56.09°, and their hkl values are (100), (002),

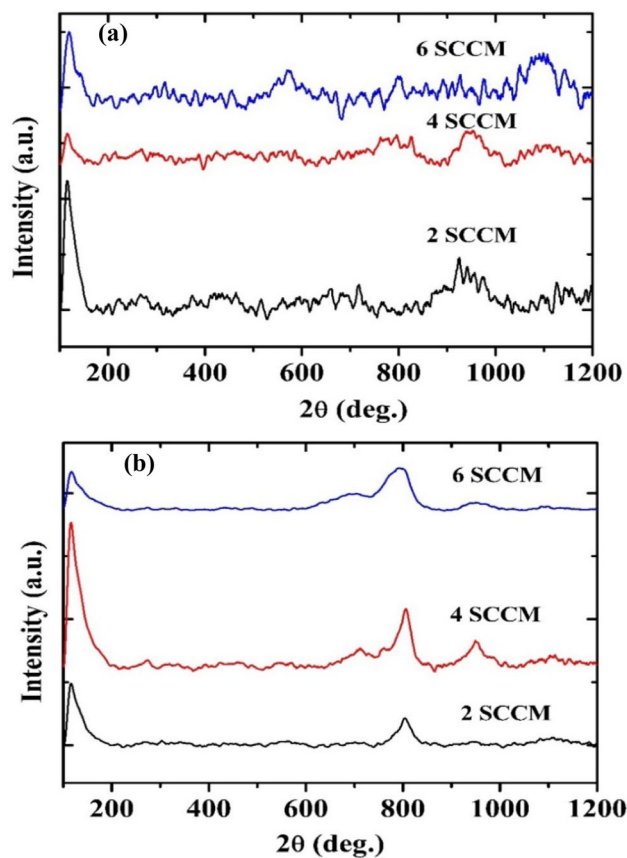


**Fig. 1** XRD spectra of  $\text{WO}_3$  films deposited at various OFR: (a) RT and (b) annealed.

(020), (112), (220), (222), (002), and (102), respectively. There were differences in OFRs between 2 and 6 SCCM. For increased OFRs,  $\text{WO}_3$  films have a crystalline character, due to the annealing at  $400^\circ\text{C}$ .

### Raman Analysis

The Raman spectra of the  $\text{WO}_3$  films at various OFRs are shown in Fig. 2a and b. Because of the W–O bonds, the spectra of the as-deposited film exhibit a significant peak at  $770\text{ cm}^{-1}$ , as shown in Fig. 3a.<sup>45</sup> The appearance of this peak corresponds with the two strongest peaks at  $719$  and  $807\text{ cm}^{-1}$  in the Raman spectrum of the crystalline  $\text{WO}_3$  films. The W=O stretching mode of terminal oxygen atoms is attributed to a rather steep peak at  $950\text{ cm}^{-1}$ , which may occur on the surfaces of the cluster and microvoid structures in the film.<sup>9</sup> A weaker, broad peak is also present at  $220\text{ cm}^{-1}$ , which is attributed to the presence of  $\text{W}^{4+}$  states. Figure 2b displays the Raman spectra that



**Fig. 2** Raman spectra of  $\text{WO}_3$  films at various OFRs: (a) RT and (b) annealed.

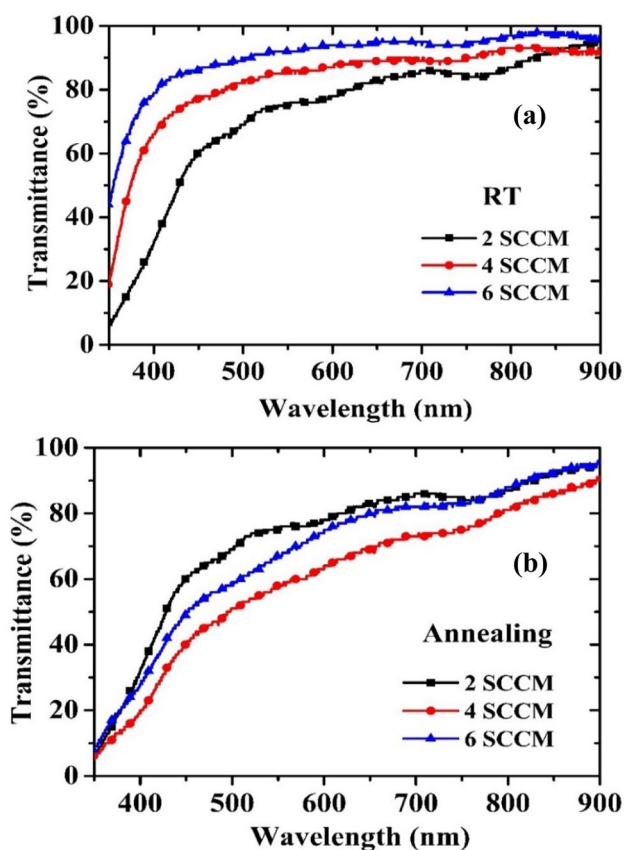
were recorded for the  $\text{WO}_3$  annealed films. The spectra of  $\text{WO}_3$  films annealed at  $400^\circ\text{C}$  for 2 h at various OFRs exhibit a broad peak in the range of  $100\text{--}1200\text{ cm}^{-1}$  due to the O–W–O stretching vibration mode, which denotes the growth of sub-stoichiometric  $\text{WO}_3$  films of mixed metallic tungsten and tungsten oxide. Sharp Raman peaks, representing the bending and stretching vibration modes of the O–W–O bond, can be seen at  $695$  and  $809\text{ cm}^{-1}$ <sup>46</sup> when the OFR increases from 2 to 6 SCCM.

### Optical Properties

Figure 3 illustrates the transmittance plots of  $\text{WO}_3$  films for both RT and post-annealing at  $400^\circ\text{C}$  for 2 h at different OFRs. The transmittance is increased with respect to the increase in OFR for RT-deposited samples, and transmittance is decreased for annealed samples.<sup>47,48</sup> The transmittance is more than 82% at a wavelength of  $700\text{ nm}$  for RT samples at different OFRs, and transmittance is less for the sample annealed at 4 SCCM. The transmittance values for annealed and RT samples are shown in Table I.

## Electrochemical Properties

The electrochemical properties determine the structure of the electrochromic  $\text{WO}_3$  thin film, which is employed as the working electrode.  $\text{Hg}/\text{HgCl}$  serves as the reference electrode, and a Pt needle serves as the counter electrode. For the half cell, 0.5 M  $\text{H}_2\text{SO}_4$  and  $\text{LiClO}_4$  solution were prepared as electrolytes. The cyclic voltammetry (CV) curves of  $\text{WO}_3$  films were recorded in the potential range of  $-0.7$  to 1 V at a scan rate of  $20 \text{ mV s}^{-1}$ . When a negative voltage is supplied to the working electrode, the color changes from



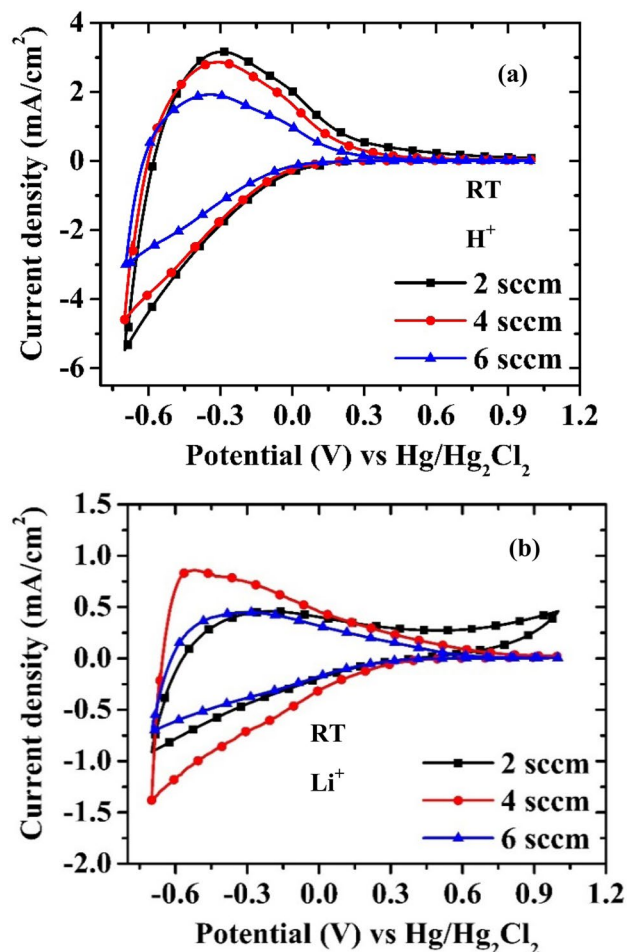
**Fig. 3** Transmittance plots of  $\text{WO}_3$  films deposited at various OFRs: (a) RT and (b) annealed.

**Table 1** Comparison of transmittance of  $\text{WO}_3$  films before annealing and after annealing at various OFRs

$\text{WO}_3$ film	Oxygen flow rate (SCCM)	Transmittance (%) at 500 nm	Transmittance (%) at 600 nm	Transmittance (%) at 700 nm
RT	2	69	78	86
	4	83	87	89
	6	90	94	95
Annealing at 400 °C	2	69	78	86
	4	51	63	73
	6	58	74	82

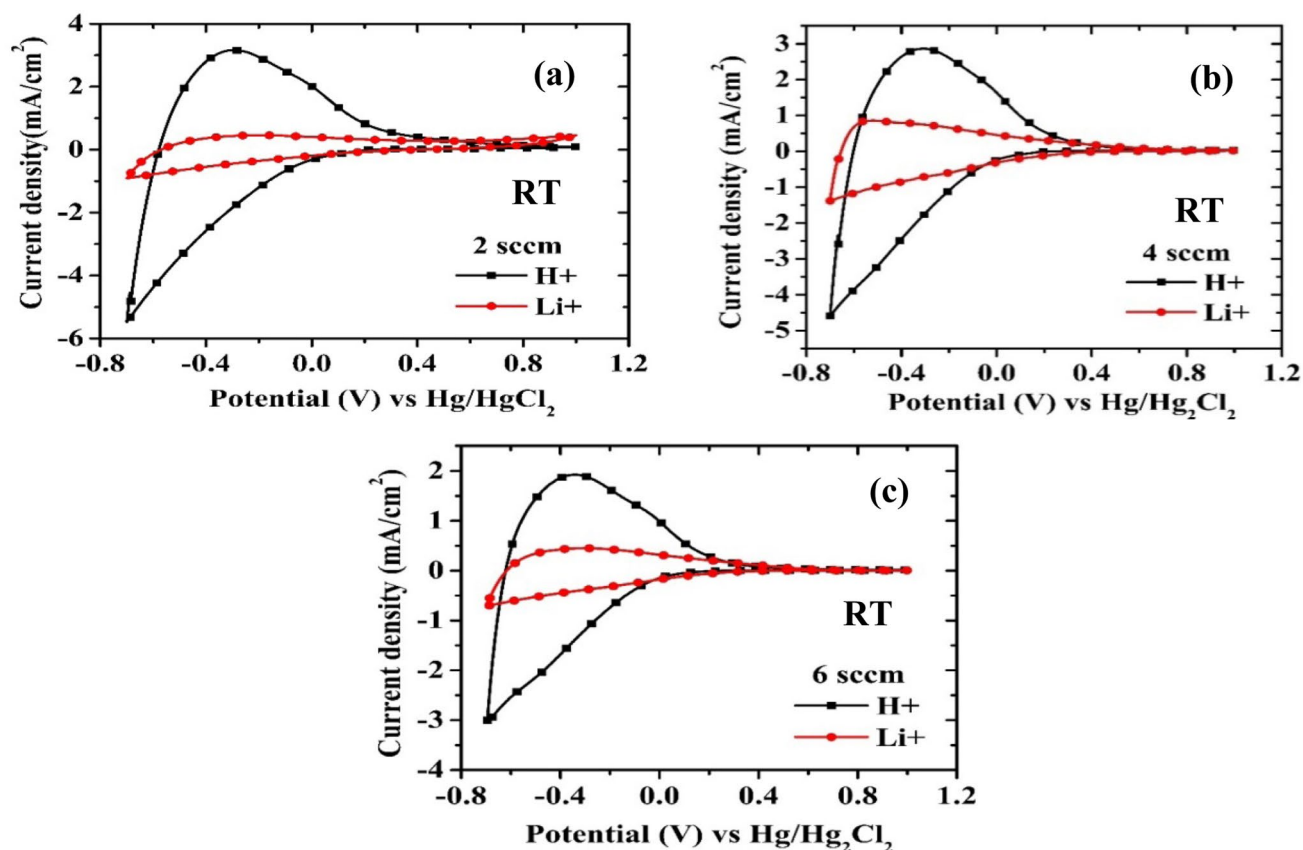
transparent to blue. When a positive voltage is applied, the film becomes transparent.<sup>49</sup>

Figures 4a and b and 6a and b show typical broad featureless peaks in the CV characteristics that represent the insertion and extraction of  $\text{H}^+$  and  $\text{Li}^+$  ions into  $\text{WO}_3$  films. The cathodic peak current of  $\text{WO}_3$  films at RT in the  $\text{H}_2\text{SO}_4$  electrolyte solution, as measured by the CV curves (measured at  $-0.7$  V), initially decreased from  $-2.98 \text{ mA/cm}^2$  at 2



**Fig. 4** Cyclic voltammograms of  $\text{WO}_3$  films deposited at RT for various OFRs: (a) 0.5 M  $\text{H}_2\text{SO}_4$  ( $\text{H}^+$ ) and (b) 0.5 M  $\text{LiClO}_4$  ( $\text{Li}^+$ ).





**Fig. 5** Comparison plots of CV curves of  $\text{WO}_3$  films deposited at RT at various OFRs: (a) 2 SCCM, (b) 4 SCCM, and (c) 6 SCCM.

SCCM to  $-5.40 \text{ mA/cm}^2$  at 6 SCCM OFR. This increase in OFRs eventually led to an increase in cathodic peak current as shown in Fig. 4a. The cathodic peak current of  $\text{WO}_3$  films at RT in  $\text{LiClO}_4$  electrolyte solution is shown in Fig. 4b. The CV curves initially decreased from  $-0.83 \text{ mA/cm}^2$  at 2 SCCM to  $-1.42 \text{ mA/cm}^2$  at 4 SCCM. This increase in OFRs ultimately led to an increase in cathodic peak current back to  $-0.74 \text{ mA/cm}^2$  at 6 SCCM OFRs (Fig. 5). Figure 6 shows the first and 50th cyclic voltammetry curves of  $\text{WO}_3$  film deposited at RT, which reveal that the performance of the thin film was severely degraded and the stability decreased after 50 cycles. The reversibility decreased slightly as the number of cycles increased. The film contained certain irreversible deep trap sites, which prevented the electrochromic reversibility from reaching 100%. The area of the voltammogram decreased with an increase in the electrochemical cycle. An increase in voltage was observed with a decrease in current. The charge insertion capability of the film also decreased with increased cycles.<sup>50</sup> The cathodic peak current of  $\text{WO}_3$  films in the  $\text{H}_2\text{SO}_4$  electrolyte solution that was annealed at  $400^\circ\text{C}$  for 2 h showed a CV curve that initially decreased from  $-0.74 \text{ mA/cm}^2$  at 2 SCCM to  $-0.92 \text{ mA/cm}^2$  at 4 SCCM, as shown in Fig. 7a. However, as OFRs increased, the cathodic peak current eventually

increased back to  $-0.87 \text{ mA/cm}^2$  at 6 SCCM OFRs. The lowest cathodic peak current of all the CV curves is shown in Fig. 7b, which represents the cathodic peak current of annealed at  $400^\circ\text{C}$  in 2 h of  $\text{WO}_3$  films in  $\text{LiClO}_4$  electrolyte solution. The CV curves are recorded as  $-0.55 \text{ mA/cm}^2$  at 2 SCCM,  $-0.48 \text{ mA/cm}^2$  at 4 SCCM, and  $-0.39 \text{ mA/cm}^2$  at 6 SCCM OFRs. Figures 5 and 8 show the comparison plots of CV curves of  $\text{WO}_3$  films RT and annealed for OFRs of 2 SCCM, 4 SCCM, and 6 SCCM. The Randles–Ševčík equation can be used to calculate the diffusion coefficient,  $D$ , for the  $\text{H}^+$  and  $\text{Li}^+$  ions.<sup>51</sup>

$$D^{1/2} = \frac{i_p}{C_o \times A \times 10^5 \times 2.69 \times n^{3/2} \times \nu^{1/2}} \quad (2)$$

where  $i_p$ ,  $n$ ,  $A$ ,  $C_o$ ,  $\nu$ , and  $D$  are peak current, number of electrons, area of the active  $\text{WO}_3$  film, electrolyte concentration, scan rate, and diffusion coefficient, respectively.

Table II summarizes the determined diffusion coefficients of the  $\text{WO}_3$  films and annealed  $400^\circ\text{C}$  in 2 h of the  $\text{WO}_3$  films in both  $\text{H}_2\text{SO}_4$  and  $\text{LiClO}_4$  electrolyte solutions treated at increasing OFRs. It is obvious that the diffusion coefficient (DC) is influenced by the OFRs and different electrolytes. Amorphous  $\text{WO}_3$  films in  $\text{H}_2\text{SO}_4$  electrolyte treated

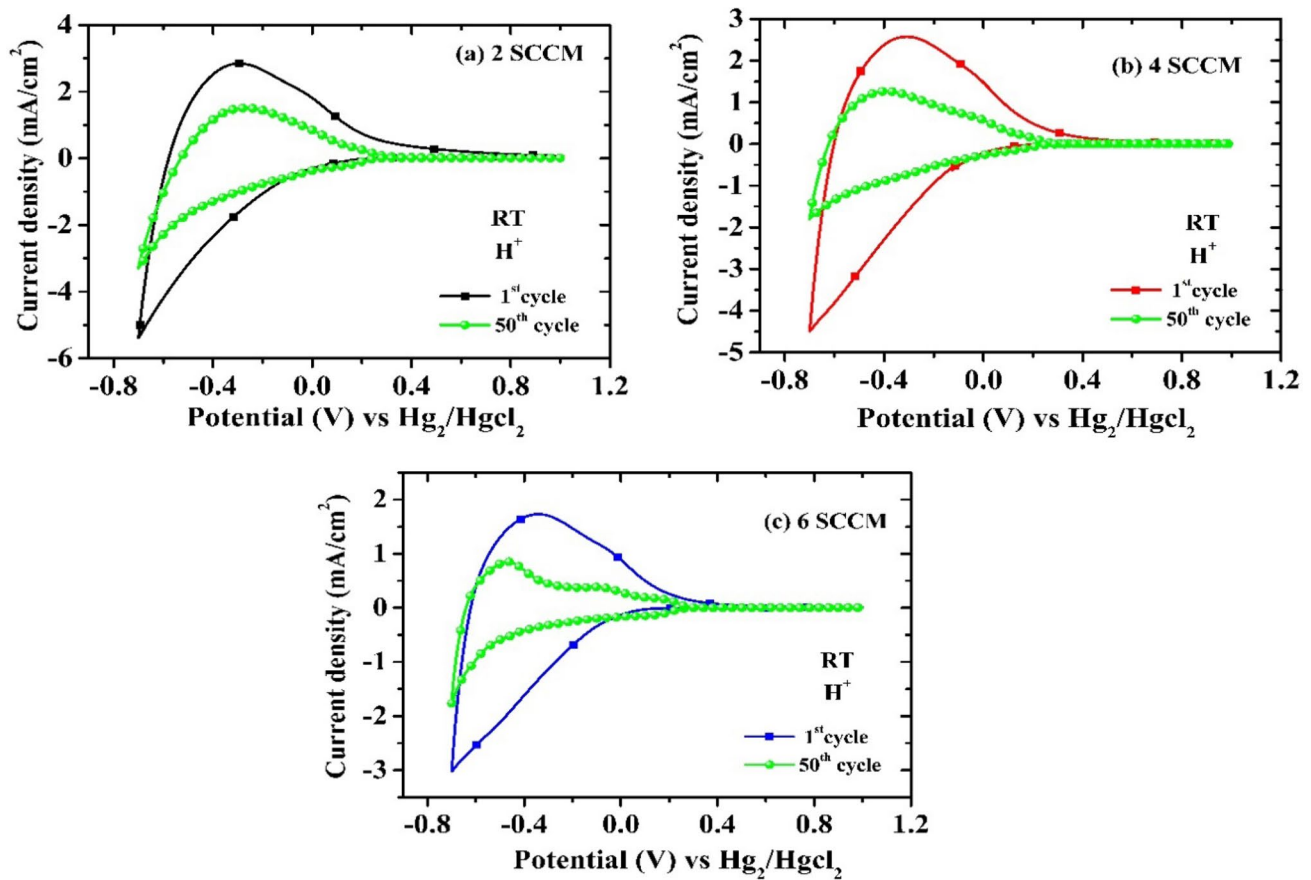


Fig. 6 CV plots of  $\text{WO}_3$  thin films: (a) 2 SCCM, (b) 4 SCCM, and (c) 6 SCCM after 50 cycles in  $\text{H}_2\text{SO}_4$  electrolyte solution at RT.

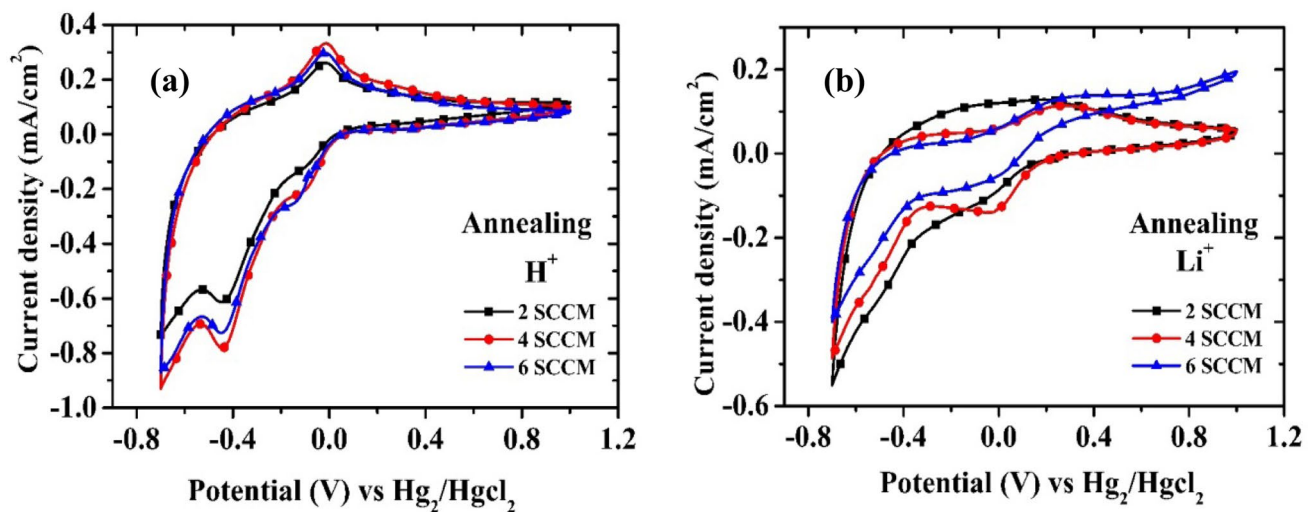


Fig. 7 Cyclic voltammograms of  $\text{WO}_3$  films annealed at  $400^\circ\text{C}$  for various OFRs: (a) 0.5 M  $\text{H}_2\text{SO}_4$  ( $\text{H}^+$ ) and (b) 0.5 M  $\text{LiClO}_4$  ( $\text{Li}^+$ ).

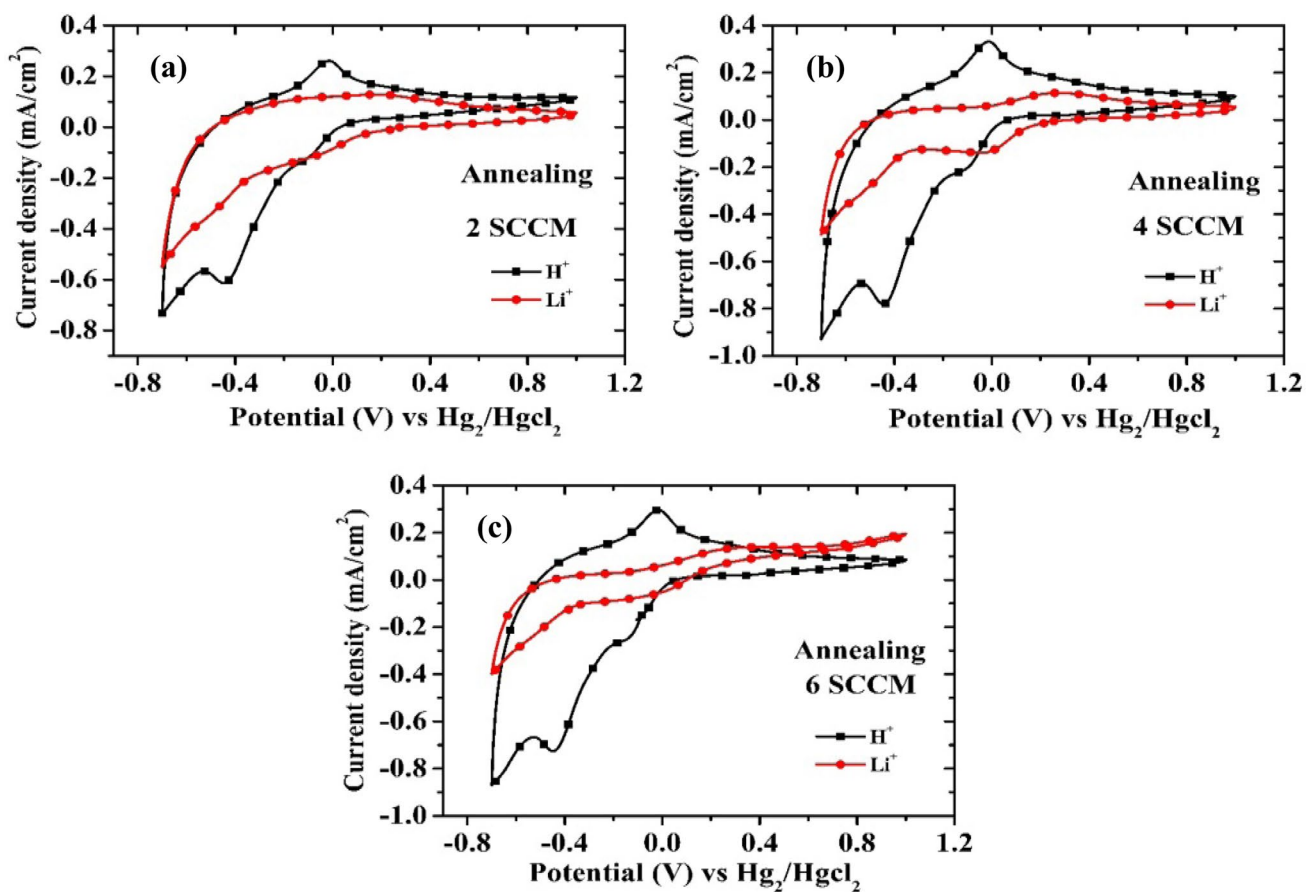
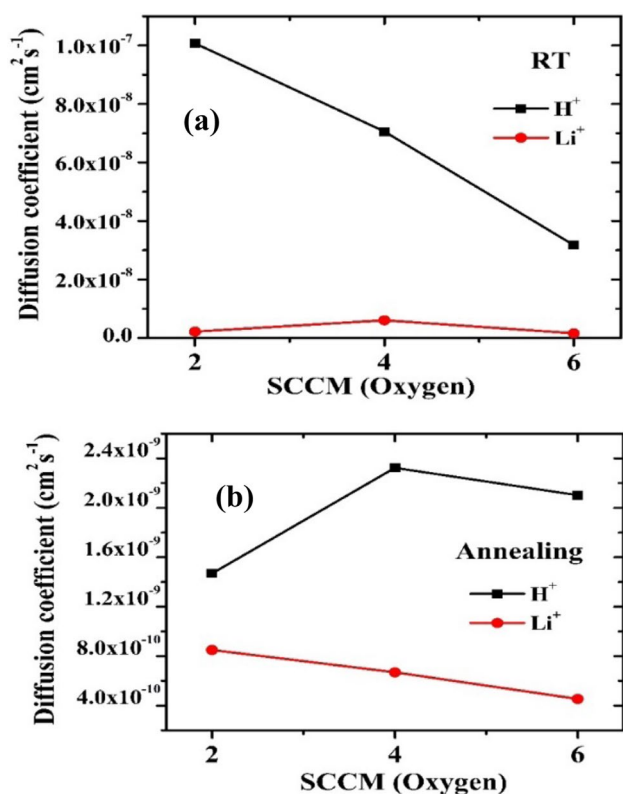


Fig. 8 Comparison plots of CV curves of WO<sub>3</sub> films annealed at 400°C for various OFRs: (a) 2 SCCM, (b) 4 SCCM, and (c) 6 SCCM.

**Table II** Diffusion coefficients of comparison for before annealing and after annealing WO<sub>3</sub> films in H<sub>2</sub>SO<sub>4</sub> (H<sup>+</sup>) and LiClO<sub>4</sub> (Li<sup>+</sup>) electrolytes and various OFRs

Name of electrolyte	WO <sub>3</sub> films	Oxygen flow rate (SCCM)	Cathodic peak current <i>i<sub>p</sub></i> (mA)	Diffusion coefficient <i>D</i> (cm <sup>2</sup> /s)
H <sub>2</sub> SO <sub>4</sub> (H <sup>+</sup> )	RT	2	-2.98	1.007 × 10 <sup>-7</sup>
		4	-4.60	7.05 × 10 <sup>-8</sup>
		6	-5.40	3.17 × 10 <sup>-8</sup>
	Annealed	2	-0.749	1.468 × 10 <sup>-9</sup>
		4	-0.929	2.323 × 10 <sup>-9</sup>
		6	-0.870	2.102 × 10 <sup>-9</sup>
LiClO <sub>4</sub> (Li <sup>+</sup> )	RT	2	-0.83	2.16 × 10 <sup>-9</sup>
		4	-1.42	6.02 × 10 <sup>-9</sup>
		6	-0.74	1.59 × 10 <sup>-9</sup>
	Annealed	2	-0.550	8.50 × 10 <sup>-10</sup>
		4	-0.485	6.689 × 10 <sup>-10</sup>
		6	-0.399	4.538 × 10 <sup>-10</sup>



**Fig. 9** Diffusion coefficients comparison plots of (a) RT and (b) annealed WO<sub>3</sub> films at H<sub>2</sub>SO<sub>4</sub> (H<sup>+</sup>) and LiClO<sub>4</sub> (Li<sup>+</sup>) electrolytes and various OFRs.

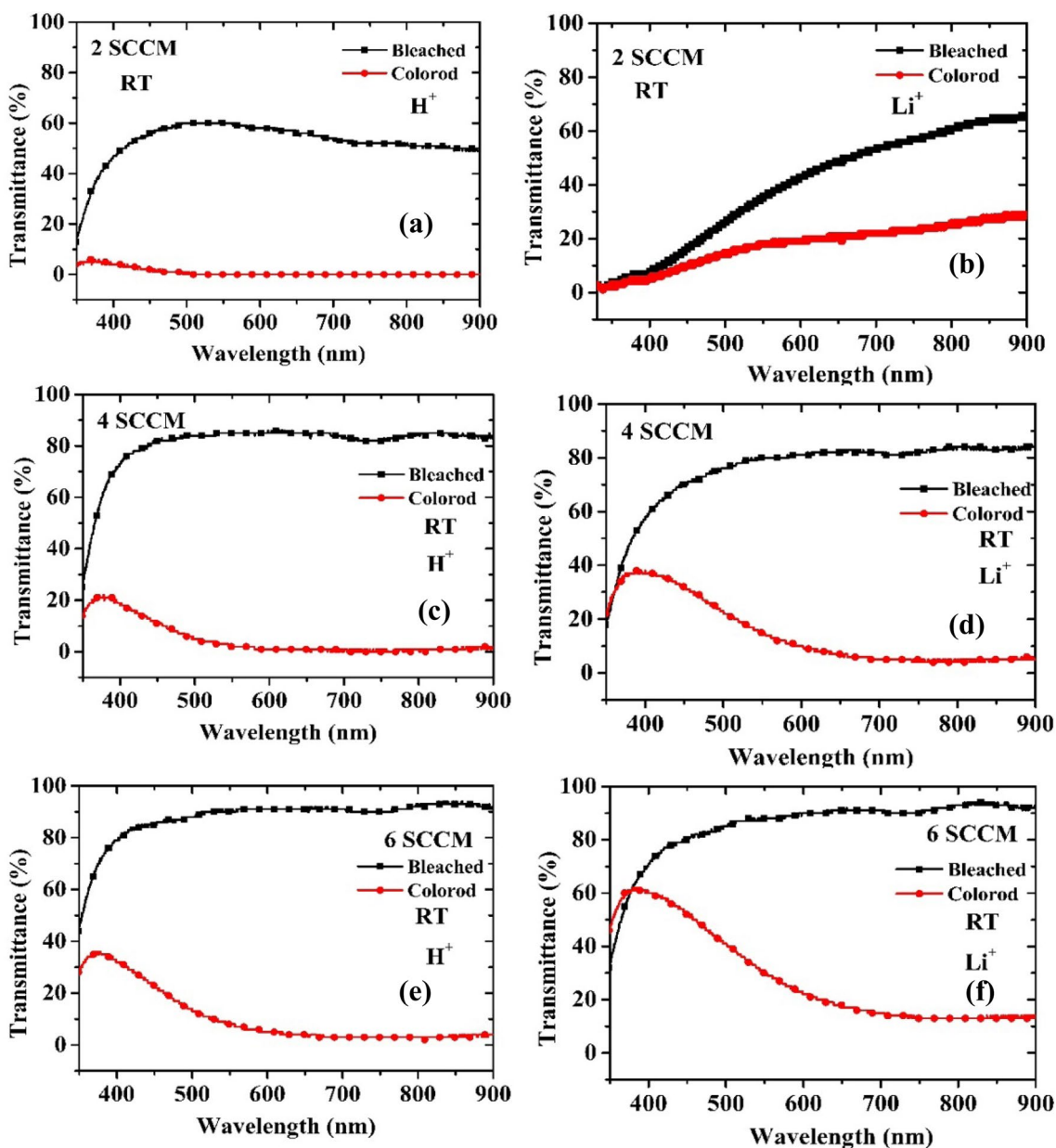
at 2 SCCM OFR demonstrated a maximum diffusivity of  $1.007 \times 10^{-7} \text{ cm}^2/\text{s}$ , which is two orders of magnitude higher than the  $1.59 \times 10^{-9} \text{ cm}^2/\text{s}$  of the amorphous WO<sub>3</sub> films in LiClO<sub>4</sub> electrolyte at 6 SCCM OFR. Additionally, crystalline WO<sub>3</sub> films in H<sub>2</sub>SO<sub>4</sub> electrolyte treated at 4 SCCM OFR showed the highest diffusivity of  $2.32 \times 10^{-9} \text{ cm}^2/\text{s}$ , which is an order of magnitude higher than the  $4.53 \times 10^{-10} \text{ cm}^2/\text{s}$  of the crystalline WO<sub>3</sub> films in LiClO<sub>4</sub> electrolyte at 6 SCCM OFR. These films were post-annealed at 400 °C for 2 h. Finally, amorphous WO<sub>3</sub> films in an electrolyte of H<sub>2</sub>SO<sub>4</sub> at a 2 SCCM OFR revealed the highest DC of  $1.007 \times 10^{-7} \text{ cm}^2/\text{s}$  in comparison to crystalline WO<sub>3</sub> films in an electrolyte of LiClO<sub>4</sub> at 6 SCCM OFR, as shown in Fig. 9. Similar findings were reported by Madhavi et al., who found that the blockage of insertion sites was the reason for the decrease in DC at higher annealing temperatures.<sup>52</sup> Hsu et al. explained that although there is a driving force from the applied voltage, the compact film structure prevented Li<sup>+</sup> ions from intercalating in MoO<sub>3</sub> electrochromic films that were produced using a sol-gel technique, which reduced the DC.<sup>53</sup>

## Electrochromic Studies

Figures 10 and 11 show the optical transmission spectra of the WO<sub>3</sub> films in their corresponding colored and bleaching states in the 300–900 nm range. The optical transmittance spectra of the DC-sputtered WO<sub>3</sub> films were investigated by UV-visible spectroscopy. Figure 10a, b, c, d, and e displays the transmittance for the bleached and colored states obtained for various OFRs (2 SCCM, 4 SCCM, and 6 SCCM) and two different electrolyte solutions (H<sub>2</sub>SO<sub>4</sub> and LiClO<sub>4</sub>). As shown in Fig. 11a, b, and c, the observed values of transmittance at a wavelength of 600 nm range from 0% for 2 SCCM, 1% for 4 SCCM, 5% for 6 SCCM for the colored state, and 58% for 2 SCCM, 85% for 2 SCCM, and 91% for 6 SCCM for the bleached state of WO<sub>3</sub> films in 0.5 M H<sub>2</sub>SO<sub>4</sub> electrolyte solution. In the colored state of the WO<sub>3</sub> films in the 0.5 M LiClO<sub>4</sub> electrolyte solution, the observed values of transmittance at a wavelength of 600 nm range from 19% for 2 SCCM, 10% for 4 SCCM, and 22% for 6 SCCM; and in the bleached state, the values are 43% for 2 SCCM, 81% for 2 SCCM, and 90% for 6 SCCM, as shown in Fig. 10d and e. Also, as measured for different OFRs (2 SCCM, 4 SCCM, and 6 SCCM) and two different electrolyte solutions (H<sub>2</sub>SO<sub>4</sub> and LiClO<sub>4</sub>), the transmittance of annealed WO<sub>3</sub> films (crystalline) for bleached and colored states is shown in Fig. 11 a, b, c, d, and e. As shown in Fig. 11a, b, and c, the observed values of transmittance at a wavelength of 600 nm range from 9% for 2 SCCM, 8% for 4 SCCM, and 15% for 6 SCCM for the colored state, and 66% for 6 SCCM for the bleached state of crystalline WO<sub>3</sub> films in 0.5 M H<sub>2</sub>SO<sub>4</sub> electrolyte solution. Figure 11d, e, and f shows the observed values of transmittance at a wavelength of 600 nm for crystalline WO<sub>3</sub> films in 0.5 M LiClO<sub>4</sub> electrolyte solution. The observed values range from 42% for 2 SCCM, 41% for 4 SCCM, and 64% for 6 SCCM for the colored state, and 68% for 2 SCCM, 60% for 2 SCCM, and 70% for 6 SCCM bleached state. We may deduce from this result that if OFRs in both electrolytes are increased, the films become less intensely colored because fewer ions are available for intercalation, making them less opaque and increasing the transmittance for the colored state.

The transmittance of these transition metal oxides in both transparent and opaque phases, which is necessary for electrochromic applications, is one of their most important properties. As a result, there is a significant optical modulation, or  $\Delta\text{OT}$ , which is measured as the difference between the transmittance of the colored and bleached states.<sup>54</sup> The host matrix's trapped ions play a part in the material's

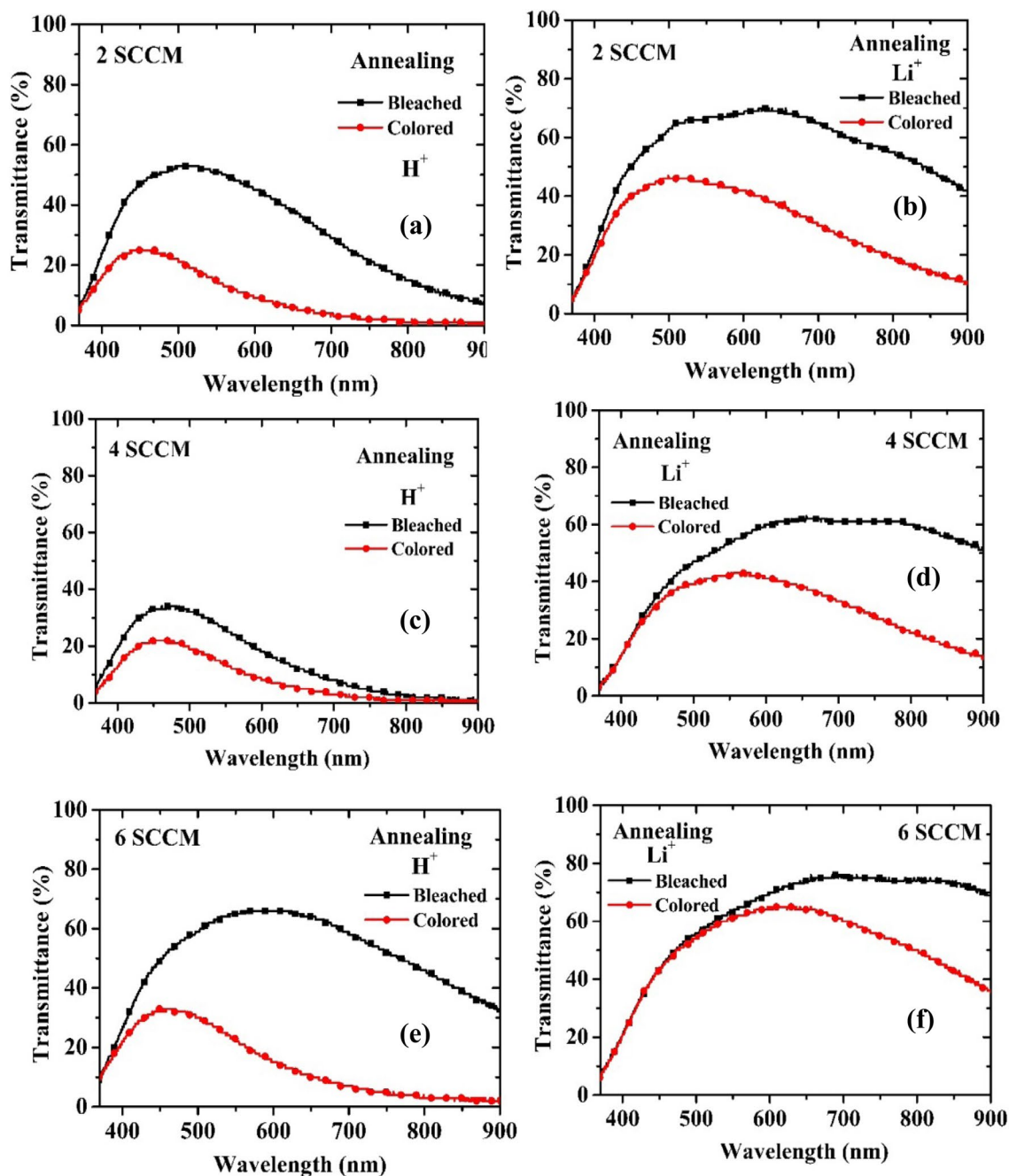




**Fig. 10** Transmittance plots of colored and bleached states of RT  $\text{WO}_3$  films: (a) 2 SCCM, (c) 4 SCCM, and (e) 6 SCCM for  $\text{H}_2\text{SO}_4$  ( $\text{H}^+$ ) solution and (b) 2 SCCM, (d) 4 SCCM, and (f) 6 SCCM for  $\text{LiClO}_4$  ( $\text{Li}^+$ ) solution.

degraded electrochromic performance. Because the intercalated  $\text{H}^+$  ions are not entirely removed from the formed film, degradation in optical modulation of the host matrix can be detected during specific voltage cycles. CV measurements were performed, and the bleached and colored switching characteristics of  $\text{WO}_3$  and post-annealed  $\text{WO}_3$  films in  $\text{H}_2\text{SO}_4$  and  $\text{LiClO}_4$  in two different electrolyte

solutions of 0.5 M concentration were recorded at a scan rate of 10 mV/s and applied potential of  $-0.7$  to 1 V. The results are shown in Table III for the various OFRs, as well as the  $\text{WO}_3$  and post-annealed  $\text{WO}_3$  films. The optical modulation of amorphous  $\text{WO}_3$  films in  $\text{H}_2\text{SO}_4$  electrolyte solution is greatest at 6 SCCM OFR. However,  $\text{WO}_3$  films that were annealed at  $400^\circ\text{C}$  displayed very low bleaching and



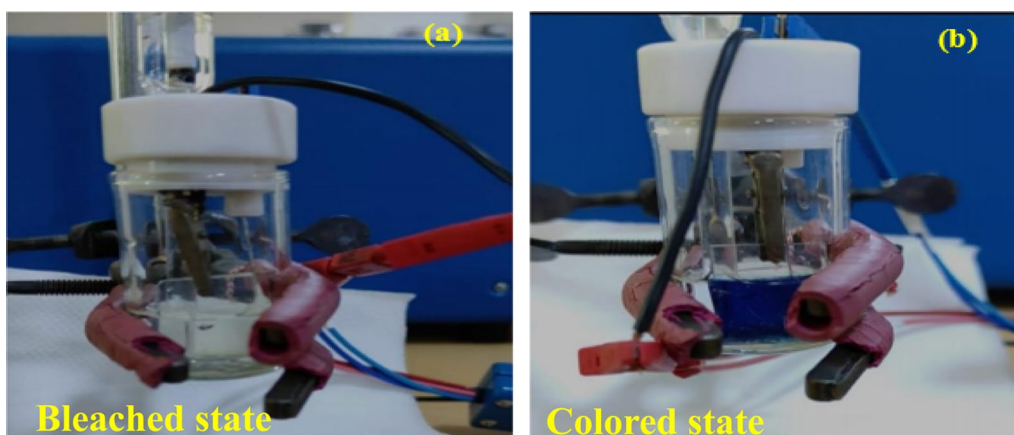
**Fig. 11** Transmittance plots of colored and bleached states of annealed  $\text{WO}_3$  films: (a) 2 SCCM, (c) 4 SCCM, and (e) 6 SCCM for  $\text{H}_2\text{SO}_4$  ( $\text{H}^+$ ) solution and (b) 2 SCCM, (d) 4 SCCM, and (f) 6 SCCM for  $\text{LiClO}_4$  ( $\text{Li}^+$ ) solution.

coloring transmittances, which is indicative of weak electrochromic characteristics. The crystalline  $\text{WO}_3$  films in  $\text{LiClO}_4$  electrolyte were unable to recover to their initial transparent form despite having poor transmittance in the colored state. Figure 12a and b shows the bleached and colored states obtained during oxidation and reduction, respectively. The coloring and bleaching times of the electrochromic films are plotted using chronoamperometry in Fig. 10. We fixed

the pulse interval to 10 s, the start voltage to  $-0.7$  V, and the upper limit voltage to 1 V for the chronoamperometry (CA) curve experiment using  $\text{H}_2\text{SO}_4$  as the electrolyte. The response period for oxidation and reduction is the amount of time required for the anodic and cathodic currents to equalize when voltages are applied. The coloration time for 2 SCCM, 4 SCCM, and 6 SCCM of  $\text{WO}_3$  samples is 3.2, 3.7, and 3.3 s, respectively, and the bleach time for 2 SCCM, 4

**Table III** Comparison of optical modulation, coloration efficiency, bleaching, and coloration transmittance before annealing, after annealing WO<sub>3</sub> films in H<sub>2</sub>SO<sub>4</sub> (H<sup>+</sup>) and LiClO<sub>4</sub> (Li<sup>+</sup>) electrolytes at various OFRs

Electrolyte	WO <sub>3</sub> films	Oxygen flow rate (SCCM)	Transmittance (%)		Optical modulation ( $\Delta T = T_b - T_c$ %)	CE (cm <sup>2</sup> /C)
			Bleaching ( $T_b$ )	Coloring ( $T_c$ )		
H <sub>2</sub> SO <sub>4</sub> (H <sup>+</sup> )	RT	2	58	0	58	22
		4	85	1	84	21.16
		6	91	5	86	20.54
	Annealed	2	45	9	36	50.18
		4	18	8	10	16.64
		6	66	15	51	33.75
LiClO <sub>4</sub> (Li <sup>+</sup> )	RT	2	43	19	24	19.10
		4	81	10	71	27.44
		6	90	22	68	18.46
	Annealed	2	68	42	26	20.06
		4	60	41	19	18.25
		6	70	64	6	4.92



**Fig. 12** (a) Bleached and (b) colored states of WO<sub>3</sub> thin film.

SCCM, and 6 SCCM of WO<sub>3</sub> samples is 1.7, 2.8, and 1.3 s, respectively. The outcome demonstrates that all deposited films took longer to color than to bleach (Fig. 13).

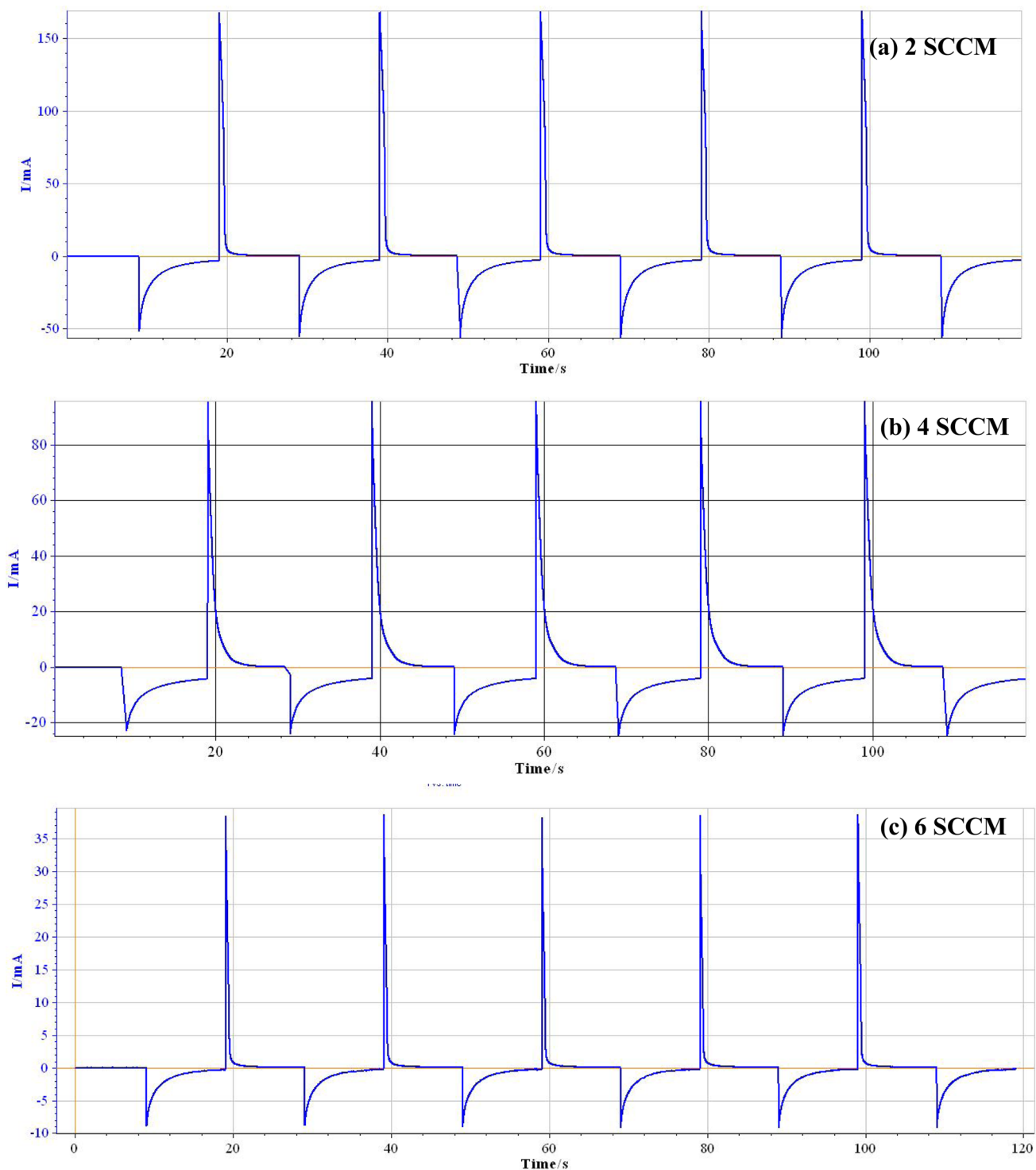
The ratio of the change in optical density to the incorporated charge per unit area is known as the coloring efficiency (CE), and it is one of the most important parameters for assessing the effectiveness of electrochromic products. It can be calculated using the formula shown below<sup>55</sup>:

$$CE = \frac{\Delta OD}{\frac{Q}{A}} \quad (3)$$

$$\Delta OD = \text{Log} \frac{T_b}{T_c} \quad (4)$$

where  $T_b$  is the bleached transmittance,  $T_c$  is the color transmittance,  $Q_{in}$  is the incorporated charge, and  $\Delta OD$  is the

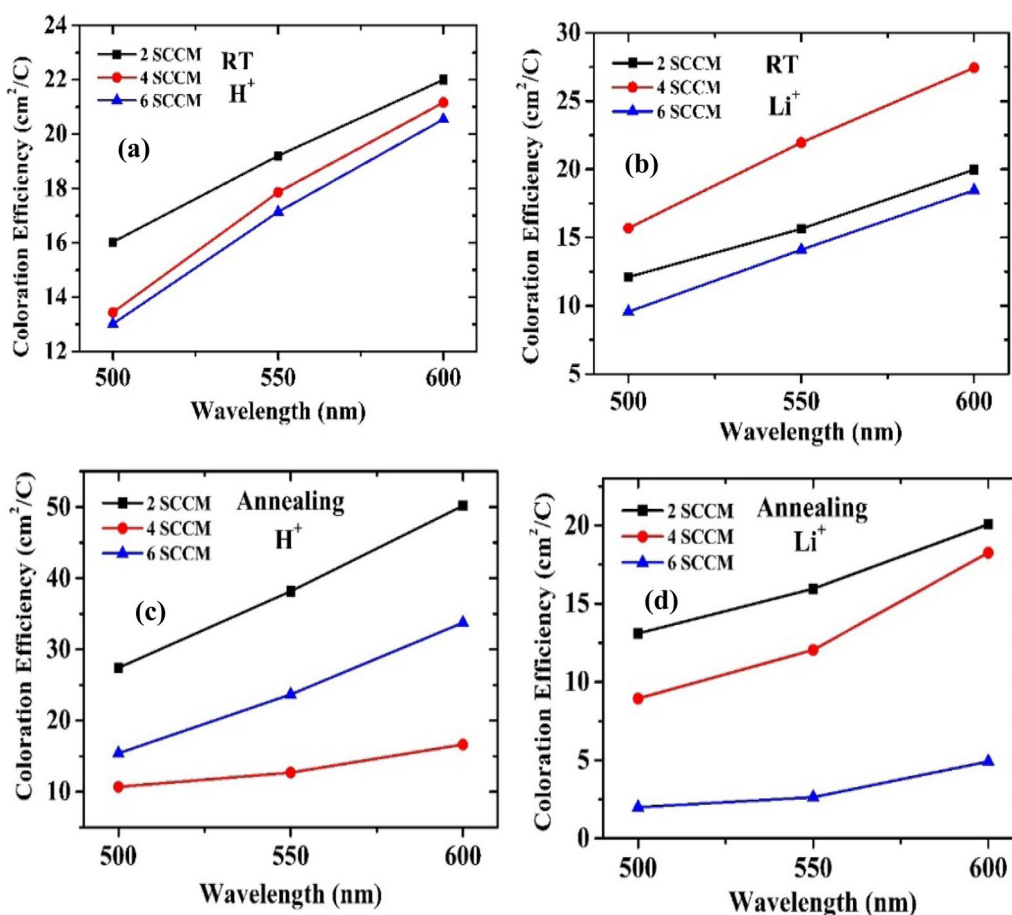
optical density. Using  $T_b$  and  $T_c$  from Table III, the CE of the WO<sub>3</sub> films at 600 nm was then calculated as follows. For different OFRs, the calculated CE of the WO<sub>3</sub> films (amorphous) was found to be 22 cm<sup>2</sup>/C at 2 SCCM, 21.16 cm<sup>2</sup>/C at 4 SCCM, 20.54 cm<sup>2</sup>/C at 6 SCCM in H<sub>2</sub>SO<sub>4</sub> electrolyte solution, and 19.10 cm<sup>2</sup>/C at 2 SCCM, 27.44 cm<sup>2</sup>/C at 4 SCCM, and 18.46 cm<sup>2</sup>/C at 6 SCCM in LiClO<sub>4</sub> electrolyte solution, respectively. The calculated CE of the heated WO<sub>3</sub> films (crystalline) at 400°C for 2 h was found to be 50.18 cm<sup>2</sup>/C at 2 SCCM, 16.64 cm<sup>2</sup>/C at 4 SCCM, and 33.75 cm<sup>2</sup>/C at 6 SCCM in H<sub>2</sub>SO<sub>4</sub> electrolyte solution, and 20.06 cm<sup>2</sup>/C at 2 SCCM, 18.25 cm<sup>2</sup>/C at 4 SCCM, and 4.92 cm<sup>2</sup>/C at 6 SCCM in LiClO<sub>4</sub> electrolyte solution, respectively. According to CE results, crystalline WO<sub>3</sub> films in an electrolyte concentration of H<sub>2</sub>SO<sub>4</sub> performed better in an electrochemical cell than amorphous WO<sub>3</sub> films in an electrolyte concentration of LiClO<sub>4</sub>, as shown in Fig. 14.



**Fig. 13** CA plots of WO<sub>3</sub> thin films: (a) 2 SCCM, (b) 4 SCCM, and (c) 6 SCCM after 50 cycles in H<sub>2</sub>SO<sub>4</sub> electrolyte solution at RT.

In this study, we propose the coexistence of amorphous and crystalline phases in WO<sub>3</sub> films annealed at 400°C at various OFRs (2, 4, and 6 SCCM) in two different electrolyte (H<sub>2</sub>SO<sub>4</sub> and LiClO<sub>4</sub>) solutions. Since they demonstrated a good balance between ion storage capacity,

optical modulation, and CE, they are recognized as the optical electrochromic features for electrochromic device applications. A higher CE was seen in the WO<sub>3</sub> that was annealed at 400°C with a lower OFR (2 SCCM) and a higher concentration of the H<sub>2</sub>SO<sub>4</sub> electrolyte solution but



**Fig. 14** Comparison of CE for  $\text{H}_2\text{SO}_4$  ( $\text{H}^+$ ) and  $\text{LiClO}_4$  ( $\text{Li}^+$ ) electrolytes: (a) and (b) RT  $\text{WO}_3$  films and (c) and (d) annealed  $\text{WO}_3$  films.

at the expense of switching properties, as shown by the prior data. The quick switching properties of crystalline  $\text{WO}_3$  films, however, occur at the expense of low optical modulation.

## Conclusion

This study revealed the effects of various OFRs (2, 4, and 6 SCCM) and annealing temperature on the structural, electrochromic, and optical characteristics of DC magnetron-sputtered  $\text{WO}_3$  films. The  $\text{WO}_3$  films are amorphous, according to XRD measurements; however, they exhibit crystalline characteristics at  $400^\circ\text{C}$  after annealing. SEM scans of  $\text{WO}_3$  films revealed a uniform and smooth surface, which is a sign of its amorphous nature. The elimination of additional organic residues caused the  $\text{WO}_3$  films to become denser with more evident cracks. Energy-dispersive x-ray spectroscopy (EDS) investigation demonstrated the absence of contaminants in the grown film and provided confirmation that W and O were present in the deposited film. We observed

and carefully examined the effects of changing OFR and two different electrolyte solutions ( $\text{H}_2\text{SO}_4$  and  $\text{LiClO}_4$ ) on the electrochromic characteristics of the  $\text{WO}_3$  and post-annealed  $\text{WO}_3$  films. UV-visible spectrometry showed that the optical transmittance of higher OFRs and amorphous  $\text{WO}_3$  samples was greater than that of lower OFRs and air-annealed samples. The amorphous  $\text{WO}_3$  films in an electrolyte of  $\text{H}_2\text{SO}_4$  at a 2 SCCM OFR is the highest DC of  $1.007 \times 10^{-7} \text{ cm}^2/\text{s}$  in comparison to crystalline  $\text{WO}_3$  films in an electrolyte of  $\text{LiClO}_4$  at a 6 SCCM OFR. The optical modulation of amorphous  $\text{WO}_3$  films in  $\text{H}_2\text{SO}_4$  electrolyte solution is 86% at 6 SCCM OFR. In the CE results, crystalline  $\text{WO}_3$  films in an electrolyte solution of  $\text{H}_2\text{SO}_4$  performed better in an electrochemical cell than amorphous  $\text{WO}_3$  films in an electrolyte solution of  $\text{LiClO}_4$ . Finally, we reach the conclusion that the electrolyte solution of  $\text{H}_2\text{SO}_4$  contributes to improving the electrochromic properties of  $\text{WO}_3$ .

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**Data availability** All data generated or analyzed during this study are included in this article and are available from the author.

**Conflict of interest** There is no conflict of interest to declare.

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