# **REVIEW ARTICLE**



# **Optimizing Surgical and Imatinib Therapy for the Treatment of Gastrointestinal Stromal Tumors**

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## Abstract

*Introduction* The discovery of activating *KIT* and *PDGFR* $\alpha$  mutations in gastrointestinal stromal tumors (GISTs) represented a milestone as it allowed clinicians to use tyrosine kinase inhibitors, like imatinib, to treat this sarcoma. Although surgery remains the only potentially curative treatment, patients who undergo complete resection may still experience local recurrence or distant metastases. Therapeutic strategies that combine surgical resection and adjuvant imatinib may represent the best treatment to maximize patient outcomes. In addition to the use of imatinib in the adjuvant and metastatic settings, neoadjuvant imatinib, employed as a cytoreductive therapy, can decrease tumor volume, increase the probability of complete resection, and may reduce surgery-related morbidities. Thus, selected patients with metastatic disease may be treated with a combination of preoperative imatinib and metastasectomy. However, it is critical that patients with GIST be evaluated by a multidisciplinary team to coordinate surgery and targeted therapy in order to maximize clinical outcomes.

*Discussion* Following a systematic literature review, we describe the presentation, diagnosis, and treatment of GIST, with a discussion of the risk assessment for imatinib therapy. The application of surgical options, combined with adjuvant/neoadjuvant or perioperative imatinib, and their potential impact on survival for patients with primary, recurrent, or metastatic GIST are discussed.

**Keywords** Gastrointestinal stromal tumor · GIST · Imatinib · Tyrosine kinase inhibitor · Outcomes

# Introduction

Gastrointestinal stromal tumors (GISTs) are the most common type of mesenchymal tumors of the gastrointestinal tract. The estimated prevalence of GIST is 12–20 cases per million, with an estimated annual incidence of 6,000 cases in the USA.<sup>1,2</sup> Approximately 70–80 % of sporadic GISTs are caused by gain-of-function mutations in *KIT*, whereas 5– 10 % are caused by gene mutations, deletions, or insertions that activate platelet-derived growth factor receptor alpha (*PDGFR* $\alpha$ ).<sup>3,4</sup> Another 10–15 % are wild type (WT) for

N. E. Lopez e-mail: n1lopez@ucsd.edu *KIT* and *PDGFR* $\alpha$  but may contain mutations in *BRAF* (including up to 15 % with the V600E mutation) or succinate dehydrogenase (~2 %).<sup>3-5</sup>

Surgery is the primary treatment for resectable GIST, but this approach is not always curative. Although complete (R0) resection can be achieved in up to 85 % of patients with primary disease, approximately 50 % of patients develop recurrences or metastases within 5 years of primary resection.<sup>6</sup> Thus, prior to the advent of tyrosine kinase inhibitor (TKI) therapy, the 5-year recurrence-free survival (RFS) rate remained at only 45–65 %.<sup>6,7</sup> The TKI, imatinib, which selectively inhibits the KIT receptor, has demonstrated clinical benefit for patients with GIST. In clinical trials, imatinib treatment resulted in response rates of 40-55 %, improved progression-free survival (PFS) for patients with KIT-positive unresectable or metastatic GIST,<sup>8-11</sup> and extended RFS and overall survival (OS) in the adjuvant setting.<sup>12,13</sup> Accordingly, imatinib is currently approved as first-line treatment in both settings.<sup>14</sup>

Treatment of GIST depends on several factors such as the presence of metastases, expected difficulty of surgery, size of the primary tumor, and overall health of the patient. The judicious use of surgery and imatinib therapy is essential to

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maximizing patient outcomes in GIST. Herein, we discuss how the optimal use of surgery (for primary tumors and metastases) in combination with neoadjuvant/preoperative and adjuvant/postoperative imatinib can improve clinical outcomes and may extend survival.

### Methods

We performed a systematic review of published medical literature (PubMed) for English-language articles based on the following search terms: gastrointestinal stromal tumor (GIST); GIST and surgery; GIST and resection; GIST and metastasectomy; GIST and imatinib; and imatinib and adjuvant or neoadjuvant therapy. Selected relevant abstracts from key oncology meetings were also reviewed.

#### **GIST** Presentation and Diagnosis

GISTs primarily arise in the stomach (60 %) and small intestine (35 %), with fewer than 5 % occurring in the esophagus, mesentery, omentum, and rectum. Rarely, cases occur outside of the GI tract.<sup>15</sup> Although metastases are mostly hepatic and peritoneal-based (Fig. 1), they can also develop extra-abdominally late in the disease.<sup>16</sup>

Small GISTs (<2 cm) are usually asymptomatic and detected incidentally during endoscopy, surgery or physical examination (21 %), or autopsy (10 %).<sup>5,17</sup> However, most GISTs (69 %) are diagnosed at the time of presentation with nonspecific symptoms such as nausea, vomiting, early satiety, melena and anemia (due to bleeding caused by intraluminal erosion or intraperitoneal rupture), abdominal pain, distension, fever, or leukocytosis.<sup>5,18</sup>

GISTs originate in the muscularis propria, likely originating from the interstitial cells of Cajal. Most GISTs present as a single endophytic or exophytic nodule with a well-defined border and a median size of 3–5 cm (range of a few millimeters to  $\geq$ 35 cm).<sup>5</sup> They rarely invade adjacent structures, but penetration through the bowel wall, organ invasion, adenopathy, cystic degeneration, irregular margins, mesenteric fat infiltration, ulceration, hemorrhage, and necrosis likely indicate malignancy. GISTs are also highly vascular (Fig. 2) and friable. Thus, they are prone to rupture and dissemination, which greatly increase the risk of recurrence.<sup>19</sup>

Histologically, GISTs can have spindle (70 %), epithelioid (20 %), or mixed cellular morphologies (10 %). Because spindle and epithelioid GISTs can be confused with many other tumor types (e.g., leiomyoma, schwannoma, sarcomatoid carcinoma), a suspected diagnosis of GIST should be confirmed by immunohistochemical staining.<sup>5</sup> Markers typically include KIT (c-KIT, CD117), CD34, and  $\alpha$ -smooth muscle actin, which stain positive in 95, 70, and 30–40 % of cases,

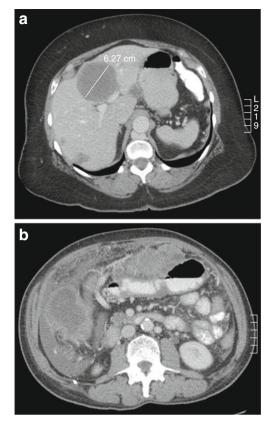


Fig. 1 GIST metastatic spread is typically hematogenous, with metastases occurring mainly to the liver (a) and peritoneum (b)

respectively. The discovered on GIST1 (DOG1) marker can facilitate the diagnosis of KIT-negative GISTs since 92 % are DOG1-positive.  $^{5,20,21}$ 

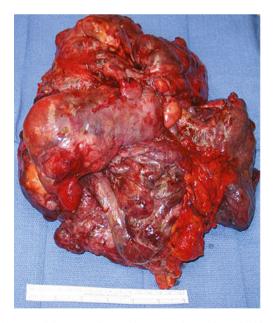


Fig. 2 Many GISTs are or become hypervascular, as shown in this pathologic specimen

## **Imaging of GIST**

Endoscopic ultrasound (EUS), computed tomography (CT) with contrast enhancement, magnetic resonance imaging (MRI), and positron emission tomography (PET) can be used to image suspected GISTs, characterize extent of disease, and assess response to therapy.

The endoscopic characteristics of GISTs include smooth shape, normal overlying mucosa, occasional mucosal ulceration, and firm consistency on compression (Fig. 3).<sup>22</sup> However, standard endoscopy cannot reliably determine the size of these submucosal lesions nor provide adequate biopsy samples using standard forceps.<sup>23</sup> EUS can determine additional lesion features, including hypoechoic appearance, oval shape, and wall laver of origin, which may aid in diagnosing GIST and determining malignant features.<sup>24,25</sup> EUS-guided tissue acquisition for histological analysis is now preferred for GISTs because it provides adequate material for histologic and mutational analyses,<sup>26</sup> with a diagnostic accuracy of ~80 %.27-29 Moreover, endoscopic ultrasoundguided fine-needle aspiration (EUS-FNA) is preferred over percutaneous biopsy due to its lower risk of hemorrhage and tumor seeding.<sup>30</sup> More recently, the utility of endoscopic ultrasound-guided Tru-Cut biopsy (EUS-TCB) for GISTs

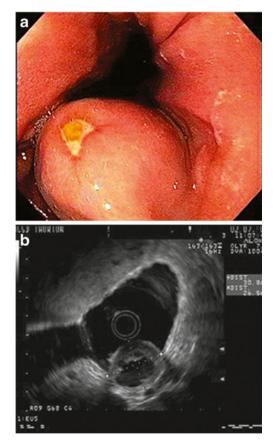


Fig. 3 Endoscopy image of an ulcerated proximal gastric GIST (a) and corresponding EUS (b) (images courtesy of Dr. Thomas Savides)

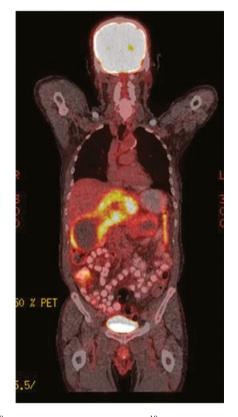
was evaluated in six patients to obtain specimens for pathological and immunohistochemical studies.<sup>31</sup> All tumors were >2 cm, and core tissue samples were successfully procured in all cases. The final diagnosis was KIT-positive GIST in five patients and leiomyoma in one patient. No patients developed complications after the procedure, suggesting that EUS-TCB is safe. Additionally, EUS-TCB is faster to perform than endoscopic mucosal biopsy, providing sufficient tissue for pathological diagnosis.<sup>31</sup>

Contrast-enhanced CT is the best radiological technique for the characterization of GISTs and for the evaluation of the extent of disease.<sup>32</sup> Typically, GISTs appear as hyperdense, enhancing masses on CT. When present, calcification, ulceration, necrosis, cystic areas, fistula, metastases, ascites, and infiltration indicate malignancy.<sup>33</sup> The limitations of CT include the inability to accurately identify the bowel wall layers involved and difficult analysis of small lesions.<sup>22</sup> MRI provides higher sensitivity for small liver lesions and should be used to evaluate liver metastases or when CT is inconclusive or contraindicated.<sup>34</sup> MRI also offers good soft tissue contrast and may be best for imaging retrorectal tumors.<sup>35</sup>

Most GI malignancies are metabolically active and take up the radiolabeled glucose analog <sup>18</sup>F-fluorodeoxyglucose (<sup>18</sup>FDG), making <sup>18</sup>FDG-PET a highly sensitive and valuable imaging tool for diagnosis and a predictor of clinical outcome (Fig. 4).<sup>22</sup> The overall sensitivity of <sup>18</sup>FDG-PET is approximately 80 % in detecting GISTs at initial presentation.<sup>20</sup> Unfortunately, GISTs exhibit variable <sup>18</sup>FDG uptake,<sup>36,37</sup> and only some tumors are <sup>18</sup>FDG-avid. <sup>18</sup>FDG-PET is thus typically reserved for resolving ambiguous findings on CT or MRI (e.g., increase in size due to pseudo-progression versus true tumor progression) and for monitoring early response to imatinib treatment by serial scans. In phase II trials, <sup>18</sup>FDG-PET revealed responses within the first 1-7 days of treatment in 69-85 % of patients, supporting its use to monitor early response to imatinib.<sup>38,39</sup> Decreases in FDG uptake, as measured by changes in  $SUV_{max}$ , can occur as early as 24 h after imatinib treatment.<sup>20</sup> At present, however, there are no standardized criteria for PET response for GIST, and this is an area of active research.

## **Biology Dictates Treatment**

Patients with suspected GIST should be evaluated by a multidisciplinary team with expertise in sarcoma, as recommended by current National Comprehensive Cancer Network (NCCN) and European Society of Medical Oncology (ESMO) guidelines. They should undergo a thorough work-up, including imaging and biopsy (unless biopsy will not change the decision to operate), to obtain an accurate diagnosis and determine the optimal treatment.<sup>14,26</sup> The prognosis of patients with primary tumors varies depending upon



**Fig. 4** <sup>18</sup>FDG-PET scan of a patient with <sup>18</sup>FDG-avid metastatic GIST showing significant uptake of this marker

tumor size, mitotic index (MI, mitoses per 50 high-power fields [HPF] equals 5 mm<sup>2</sup>), and location.<sup>15,40</sup> In an analysis of pooled, population-based cohorts involving 2,560 patients with operable GIST, independent adverse prognostic factors included tumor rupture and male gender, in addition to large tumor size, high MI, and non-gastric location.<sup>41</sup>

For GISTs ≤2 cm in size, abdominal/pelvic CT and/or MRI should be performed to determine tumor location and possible presence of metastatic disease.<sup>14,26,30</sup> Biopsy should be obtained by EUS-FNA or core needle to confirm diagnosis and identify potential high-risk features (e.g., irregular border, cystic spaces, ulceration, echogenic foci, heterogeneity, MI  $\geq$ 5/50 HPF). Patients without high-risk features may be followed up by endoscopic surveillance for signs of transformation or until they become symptomatic. However, all rectovaginal GISTs require biopsy/excision, regardless of size, due to their increased risk of morbidity.<sup>30</sup> A biopsy by endorectal EUS is also recommended to screen for imatinib-resistant genotypes (e.g., WT GISTs,  $PDGFR\alpha$ D842V mutation) to avoid unnecessary drug-related toxicity and ineffective therapy.<sup>14,26</sup> If EUS-guided biopsy is not feasible, laparoscopic or open resection can be performed.

For resectable GISTs >2 cm, abdominal/pelvic CT and/or MRI are also recommended to identify potential metastases and determine surgical risk. Resection is the preferred primary treatment for localized GISTs >2 cm, with a goal of R0

margins, intact pseudocapsule, no tumor rupture, and minimal morbidity. Postsurgical pathology is important to confirm GIST diagnosis.<sup>14,26</sup> The pathology report should detail anatomic location, tumor morphology, size, MI (determined from the most proliferative area), and the presence/absence of tumor rupture.<sup>30</sup>

## Surgical and Systemic Treatment Options

Surgical options for GISTs range from minimally invasive endoscopic techniques for small tumors to open surgery for large malignancies. The goal of surgical treatment for GIST is complete gross resection (i.e., negative microscopic margins and intact pseudocapsule without tumor rupture). Because lymph node metastases are uncommon, lymphadenectomy is not generally indicated. Gastric GISTs located away from the gastroesophageal junction may be adequately resected with a gastric wedge resection, rather than by gastrectomy with lymphadenectomy. The lack of need for lymphadenectomies, combined with advances in minimally invasive techniques, has resulted in wider acceptance of minimally invasive approaches for resecting larger GISTs.<sup>42-44</sup> Table 1 summarizes the advantages and disadvantages of various surgical procedures for GIST resection. For example, endoscopic submucosal resection with negative margins may not be attainable in all patients, and this technique has been associated with high rates of margin positivity and perforation, compared with other procedures.<sup>45–49</sup> Regardless of the chosen method, capsule disruption and/or tumor rupture should be avoided during surgery to minimize the risk of recurrence.<sup>50</sup>

Laparoscopic techniques are well-established for the resection of gastric GISTs ranging from a mean of 3.4 to 10 cm without additional risk of complications or recurrence.<sup>42–44,51–57</sup> Current guidelines suggest laparoscopy for tumors located in the anterior wall of the stomach, jejunum, or ileum.<sup>58</sup> Several case reports have also shown that combined laparoscopic and endoscopic techniques can be used successfully to resect GISTs.<sup>59–61</sup>

Compared with laparoscopic surgery, laparotomy allows for additional exposure, visualization, and mobilization of larger tumors.<sup>62–64</sup> Although no differences in recurrence rates have been reported between laparoscopic procedures and laparotomy, the latter has been associated with longer postoperative hospital stays, greater blood loss, and longer operation time. However, this may reflect a selection bias because large tumors may not be resectable by minimally invasive approaches.

## **Adjuvant Imatinib Therapy**

Imatinib can further improve patient outcomes when used as adjuvant therapy. The randomized, double-blind, placebo-

Surgical technique	Advantages	Limitations (evidence)	Tumor location	Disadvantages
Endoscopy <sup>45–49</sup>	Minimally invasive; potentially shorter operation time	Small series; retrospective study	Esophagus; stomach; rectum	Often leaves positive margins
Laparoscopy <sup>42–44,51–57</sup>	Full-thickness resection of stomach wall; negative margins; minimal risk of dissemination; shorter hospital stay	Small studies $(n=4-61)$	Stomach; small bowel	Can be technically challenging with larger tumors
Laparoendoscopy <sup>59–61</sup>	Monitor endoscopic resection; repair injury/perforation	Case reports	Stomach; duodenum	
Laparotomy <sup>62,63</sup>	Better visualization and mobilization of larger tumors or those in technically challenging locations	Small case series; retrospective studies	Stomach	Longer hospital stay; potentially more blood loss; potentially longer operation time

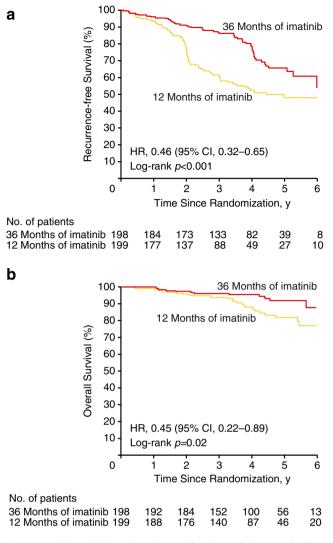
Table 1 Relative advantages and disadvantages of surgical options for primary GISTs

controlled American College of Surgeons Oncology Group Z9001 phase III study was conducted to assess the survival benefit of 1 year of adjuvant therapy with imatinib (400 mg/day) in patients with high risk of recurrence following complete resection of primary GIST. Adjuvant imatinib significantly reduced GIST recurrence in patients with tumors  $\geq$ 3 cm in size: the 1-year RFS rate was 98 % with imatinib versus 83 % with placebo (*P*<0.0001).<sup>12</sup> Analysis at 4-year follow-up showed no difference in RFS for patients undergoing R1 versus R0 resection, regardless of whether they received adjuvant imatinib (hazard ratio [HR], 1.095; 95 % confidence interval [CI], 0.66–1.82; *P*=0.73) or placebo (HR, 1.51; 95 % CI, 0.76–2.99; *P*=0.24).<sup>50</sup> These data suggest that factors beyond margin status, such as rupture and tumor location, may also be critical for predicting recurrence.

Subsequently, to explore whether increasing duration of imatinib therapy would result in improved RFS at long-term follow-up, the open-label Scandinavian Sarcoma Group/Sarcoma Group of the Arbeitsgemeinschaft Internistische Onkologie (SSGXVIII/AIO) phase III trial randomized patients who underwent gross resection of primary KIT-positive GIST to receive 1 or 3 years of adjuvant imatinib (400 mg/day). These patients were judged to be at high risk of recurrence if they had tumors with one or more of the following characteristics: diameter >10 cm; MI >10 mitoses per 50 HPF; tumor diameter >5 cm with >5 mitoses per HPF; or tumor rupture before or at surgery. Patients had significantly greater RFS and OS with 3 years adjuvant imatinib versus 1 year of treatment (Fig. 5). At 5-year follow-up, RFS and OS rates were 65.6 and 92.0 % in the 3-year arm versus 47.9 and 81.7 % in the 1-year arm (P < 0.001 and P = 0.02), respectively.<sup>13</sup> Accordingly, NCCN guidelines now recommend at least 3 years of adjuvant imatinib treatment for patients with KIT-positive GIST who are at high risk of recurrence based on tumor size, MI, site, rupture, and completeness of surgery.<sup>14</sup>

The risk of GIST recurrence can be predicted based on MI, tumor size and location, and tumor rupture. Various stratification strategies have been developed to quantify the risk of recurrence in patients with primary GIST.<sup>40,65–67</sup> The 2002 National Institutes of Health (NIH) Fletcher criteria<sup>66</sup> rely solely on MI and tumor size, whereas the Miettinen-Lasota/Armed Forces Institute of Pathology criteria<sup>40</sup> also account for tumor location (gastric versus duodenal versus jejunal/ileal versus rectal), defining six risk groups and providing an estimated rate of metastasis or tumor-related death. The modified NIH Fletcher system defines risk by tumor size, location (gastric versus non-gastric), MI, and tumor rupture while identifying subgroups at especially high risk for recurrence.68 The Memorial Sloan-Kettering nomogram was derived from 127 patients and validated at two additional centers. It predicts 2- and 5-year RFS after the resection of localized, primary GIST based upon tumor size, location, and MI.<sup>65</sup> In 2010, the American Joint Committee on Cancer proposed a GIST staging system parallel to that for other soft tissue sarcomas based upon tumor location (gastric versus small intestine), tumor size (T), lymph node metastasis (N), distant metastasis (M), and MI.<sup>69</sup> Most recently, another method for risk assessment was proposed by Joensuu and colleagues.<sup>41</sup> Using an observational cohort of 2,560 patients and a validation cohort of 920 patients who had R0/R1 resections, they developed prognostic contour maps that utilize tumor size, location (including extraintestinal GISTs), MI, and tumor rupture to predict 10-year RFS. Additional factors such as KIT, PDGFR $\alpha$ , and BRAF mutational status and tumor histology (e.g., spindle versus epithelioid) are being investigated, but are not currently considered for risk assessment. However, there is no standardized risk assessment strategy. Physicians who treat patients with GIST need to ensure that these tools are used routinely and correctly to optimize decisions on the prescription and duration of adjuvant imatinib for eligible patients.

The optimal duration of adjuvant imatinib is still unknown, but two ongoing studies are currently addressing this question. The European Organization for Research and Treatment of Cancer 62024 trial (ClinicalTrials.gov identifier: NCT00103168) is a randomized, phase III study of 2 years of imatinib therapy in patients with GIST at intermediate or high



**Fig. 5** RFS (**a**) and OS (**b**) in patients with primary GIST treated with 1 versus 3 years of adjuvant imatinib in the SSGXVIII/AIO phase III trial<sup>13</sup>

risk of recurrence following resection, with a 5-year followup. PERSIST-5 (ClinicalTrials.gov identifier: NCT00867113) is a phase II trial evaluating up to 5 years of imatinib in patients at significant risk of GIST recurrence following complete resection. Results from these trials will provide additional information regarding the long-term use of adjuvant imatinib.

# Neoadjuvant/Preoperative Imatinib Therapy for Locally Advanced/Metastatic GIST

Neoadjuvant/preoperative imatinib therapy may reduce the risk of surgical morbidity and improve the probability of achieving complete resection. Several studies support its use in patients with potentially resectable primary or metastatic GIST. Tielen et al. evaluated 57 patients with locally advanced primary GIST who received neoadjuvant imatinib for a median 8 months.<sup>70</sup> Imatinib caused tumor reduction (median, 49 %) and enabled R0 resection in 84 % of patients, with no tumor rupture; 5-year PFS and OS rates were 77 and 88 %, respectively.<sup>70</sup> Additionally, in patients with advanced primary GIST who received neoadjuvant imatinib for up to 12 months, 11 out of 14 (79 %) subsequently underwent complete resection (4-year OS, 100 %; 4-year disease-free survival, 64 %; follow-up, 48 months).<sup>71</sup> Neoadjuvant imatinib can also facilitate resection of borderline resectable primary GIST.<sup>72</sup> Thus, neoadjuvant imatinib can be employed for treating borderline or initially unresectable tumors in the gastroesophageal junction, duodenum, or rectum.

In another study, 55 patients with metastatic GIST underwent surgery after a median 16 months of TKI therapy (range, 3–72 months).<sup>73</sup> At the time of surgery, the rate of tumor recurrence or progression was lower in patients who responded to preoperative TKI therapy (48 %) than in those who did not (85 %). Although nearly all patients who undergo excision of metastases ultimately experience recurrence,<sup>32</sup> initial response to combined preoperative TKI therapy and complete resection correlated with improved PFS and OS.<sup>73</sup>

These retrospective results suggest that patients with advanced primary or metastatic/recurrent GIST may have improved outcomes with surgical resection if they respond to neoadjuvant/preoperative imatinib as compared to those patients who have progressive disease on therapy. Unfortunately, prospective trials comparing imatinib plus surgery versus surgery alone have failed to accrue patients. Therefore, the additional benefit of surgery over imatinib alone is still unproven. Despite a lack of prospective data, neoadjuvant/preoperative imatinib (starting dose, 400 mg/day) is recommended by the NCCN (duration based on tumor response, generally 6-12 months) and ESMO guidelines as an option in patients with large, localized GISTs in which resection carries significant risk of morbidity/functional deficit and in those with poorly positioned tumors that are marginally resectable, unresectable, recurrent, or metastatic.<sup>14,30</sup> Presently, however, this is not an approved indication for neoadjuvant/preoperative imatinib (or other TKIs) for GIST.

#### Surgical Resection of Recurrent or Metastatic GISTs

Approximately 50 % of patients who undergo GIST resection will develop recurrent disease,<sup>6</sup> at which time approximately two thirds will have liver metastases and half will have peritoneal disease (Fig. 1).<sup>32</sup> Liver metastases are usually multifocal, but approximately 25 % are candidates for resection.

Combined with perioperative imatinib therapy, metastasectomy can be effective in some patients.<sup>74</sup> In a retrospective study, seven patients with advanced/recurrent GIST underwent radical resection of liver (n=4), peritoneum (n=1), liver and peritoneum (n=1), or liver and lymph node (n=1) metastases

upon best response to preoperative imatinib; imatinib was then continued for a median of 26 months. The 2-year PFS was  $64.4 \ \%.^{75}$ 

Perioperative imatinib can also improve outcomes in metastasectomy patients who present with metastatic GIST.<sup>76</sup> DeMatteo et al. reported increased 2-year PFS (61 %) and OS (100 %) rates in patients with metastatic GIST who responded to perioperative imatinib. In contrast, 2-year PFS and OS rates were 0 and 36 %, respectively, in patients who did not respond.<sup>77</sup> Another study showed that, for patients who underwent surgical debulking in the context of perioperative TKI therapy, the 1-year PFS rates were 80, 33, and 0 % for patients with stable disease (SD), limited progression, and generalized progression, respectively (P < 0.0001), whereas the 1-year OS rates were 95, 86, and 0 %, respectively (P < 0.0001).<sup>80</sup> In another study, patients with metastatic GIST who were treated with perioperative TKI therapy and partial hepatectomy had an OS rate of 96.7, 76.8, and 67.9 % at 1, 2, and 3 years, respectively. The median OS was not reached at 5 years.<sup>74</sup> Long-term follow-up of patients with GIST who underwent metastasectomy in the context of perioperative imatinib treatment revealed a median OS of 8.7 years for R0/R1 resections, compared with 5.3 years for R2 resections (P=0.0001).<sup>78</sup> Moreover, median survival was not reached in R0/R1 patients with hepatic-only metastases compared with 8.7 and 5.9 years in patients with peritoneal (P=0.064) versus peritoneal and hepatic metastases (P=0.001 and P=0.024).<sup>78</sup>

In the prospective phase II Radiation Therapy Oncology Group 0132 trial, the safety and efficacy of neoadjuvant/preoperative imatinib (600 mg/day for 8-12 weeks) were evaluated in patients who underwent resection of advanced primary (group A, n=30) or metastatic (group B, n=22) GIST, followed by adjuvant/postoperative imatinib for 2 years.<sup>79</sup> In group A, 7 % of patients had a partial response (PR) and 83 % had SD, compared with 4.5 % PR and 91 % SD in group B. The 2-year PFS rate was 83 % in group A versus 77 % in group B, and the estimated rate of OS was 93 % in group A versus 91 % in group B. Surgical complications and imatinib toxicity were minimal, suggesting that combining perioperative imatinib with surgery is both feasible and safe for patients with advanced or metastatic GIST.<sup>79</sup> At 5-year follow-up, RFS and OS rates were 57 and 77 % in group A, respectively. Six of seven relapses occurred after patients discontinued adjuvant imatinib.<sup>80</sup> In group B, PFS and OS rates were 30 and 68 %, respectively. Six of ten relapses also occurred after discontinuation of adjuvant imatinib. Importantly, there was no increase in long-term surgical complications compared with the initial report.

Lastly, the role of surgery in patients with metastatic/recurrent GIST who exhibited at least 6 months of SD or response while taking imatinib has been evaluated. At a median follow-up of 58.9 months, median OS was not reached in patients who underwent surgery (n=42), compared with 88.8 months in those

who did not undergo surgery (n=92), with PFS values of 87.7 and 42.8 months, respectively (P=0.001 for both).<sup>81</sup>

Overall, these results suggest that combining surgery with perioperative imatinib therapy can be more effective than either treatment alone in patients with advanced primary GIST, as well as those with recurrent or metastatic GIST, provided the disease is responsive to imatinib.

## **Future Directions and Conclusions**

Technical advances now allow access to enhanced imaging and advanced minimally invasive surgical techniques for many patients, hence facilitating tumor visualization and diagnosis, pathologic and mutational analyses, and treatment to improve recovery and outcomes. Surgical decisionmaking based on tumor genetics and responsiveness to TKIs is expected to evolve further. Meanwhile, the increasing safety<sup>51,82–85</sup> and oncologic integrity<sup>86</sup> of laparoscopic GIST resections encourage further exploration of minimally invasive options, such as robotic surgery,<sup>87–89</sup> transanal minimally invasive surgery,<sup>90</sup> and fluorescent-guided imaging during surgery.<sup>91</sup>

Results of ongoing trials will undoubtedly provide additional insights into the synergy of surgery and imatinib therapy and bring us closer to determining optimal treatment regimens for patients with GIST. Regardless, current evidence supports the combined use of surgery and perioperative imatinib because its impact on patient outcomes can be greater than either treatment alone. Surgical resection of metastases may even allow prolonged or complete remission in patients who respond to neoadjuvant imatinib and continue imatinib therapy afterwards. However, it is critical that patients be evaluated by a multidisciplinary team with expertise in GIST to coordinate surgery and therapy, as well as to ensure maximal clinical benefits over the entire course of the disease.

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**Ethical Guidelines** No patient studies or hazardous procedures were required to prepare this article.

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