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The assessment of the optimal time window for prediction of seismic hazard for longwall coal mining: the case study

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Abstract

The dynamic nature of rock mass damage during mining activity generates seismic events. This article shows, how the time window for the database influences on the actual status of seismic hazard for the longwall mining area in one of Polish coal mines using Gutenberg–Richter law. A time window of 10–90 days was assumed with similar or shorter prediction times forecast on its basis. Additionally, for each seismic database the hazard prediction accuracy was determined. The analysis shows that the 10- and 20-day base periods are too short for prediction purposes. The higher-energy seismic events sometimes do not occur within such a short period of time, preventing regression analysis and parameter *b* determination. The best time window for the seismic hazard prognosis in given geological and mining conditions seems to be 30–50 days. The shorter periods cause the underestimation of the seismic hazard prognosis. Low range of tremor energies and the relatively low number of seismic events with high energy cause the low probability of prediction of the seismic mining events (10–40%) of the energy of min. 10^6 J, even for longer day base periods. The accuracy of hazard prediction, obtained from each seismic database period, was determined, using the developed coefficient of hazard autoregression C_N . The analysis of the Gutenberg–Richter distribution should serve as complementary tool of seismic hazard prediction only.

Keywords Seismic hazard in coal mining \cdot Time window for seismic hazard prediction \cdot Gutenberg–Richter distribution \cdot Seismic hazard during longwall mining \cdot Seismic activity during the extraction of a coal seam

Introduction

Driving of roadways and mining exploitation cause a change of stress magnitude and distribution in the rock mass. The dynamic nature of rock mass damage generates seismic events. Specifying the coordinates of seismic foci and providing the calculated seismic energy of the recorded events are the fundamental data in the procedures of rockburst hazard assessment using seismological methods.

Numerous observations from mines in South Africa (Kijko and Funk 1994; Van Aswegen 2001; Mendecki and Lötter 2011; Wesseloo 2014), England (Bishop et al. 1993),

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China (Lu et al. 2015), Poland (Lasocki and Orlecka-Sikora 2008; Lasocki 2008; Gołda and Kornowski 2011) showed that mining-induced seismic events can be described, in a number of aspects, using the same rules as those applied to natural earthquakes. The distinctive features of mining tremors, in contrast to earthquakes, are: ground vibrations frequencies, smaller areas of impact on the environment, and shallow location of hypocentres that correspond to the depth of mining.

Therefore, the Gutenberg–Richter (Gutenberg and Richter 1954) equation used in mining practice is as follows:

$$logN(E) = a - b \cdot logE \tag{1}$$

where *E*—seismic energy, N(E)—the number of events with a given energy *E*, *a*, *b*—constant parameters of the Gutenberg–Richter distribution.

The assessment and prediction of seismic hazard via the Gutenberg–Richter distribution uses parameters derived from a set of data from seismic events that took place in the hazard area. A seismic hazard prediction based on the

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Gutenberg–Richter distribution is simple and has relatively low requirements as to the database.

However, the simplicity of the Gutenberg–Richter distribution is linked with the uncertainty of assessments and predictions, and it is suggested that the probability of the predictions should be also provided (Kornowski and Kurzeja 2012; Kołodziejczyk et al. 2013).

This article shows, how the database period used in Gutenberg–Richter distribution influences the level of seismic hazard of the longwall mining area. For the study, periods of 10–90 days were assumed.

Additionally, the accuracy of hazard prediction, obtained from each seismic database period, was determined, using the developed coefficient of hazard autoregression C_N .

The subject of the analysis was an area of two longwall excavations within the field S of the "Murcki-Staszic" hard coal mine. This mine is located in the centre of Upper Silesian Coal Basin in Poland.

Seismic hazard assessment based on the observed seismicity

Seismic hazard assessment is based on the collected information from a number N_T of seismic events, and the latercalculated slope *b* of the Gutenberg–Richter distribution. Even though the distribution of mining seismic events cannot be accurately approximated with the Gutenberg–Richter model (Lasocki 2008), this model is used for the general assessment of seismic hazard in Polish coal mines.

The primary prediction is the expected number of seismic events $N^*_{\Delta t}$ of a given energy that will occur in a specific time interval ΔT . The defined proportion (2) should be fulfilled:

$$\frac{N_{\Delta t}^*}{N_T} = \frac{\Delta t}{T} \tag{2}$$

where T—observation period (days), N_T —number of tremors within the observation period.

In accordance with the Gutenberg–Richter distribution, it is assumed that the distribution of exceedances of energy Eis expressed by formula (1), however, for a given number of tremors in the database N_T (Kurzeja and Kornowski 2013; Kołodziejczyk et al. 2013):

$$a = log N_T \tag{3}$$

$$E = \frac{E^*}{E_p} \tag{4}$$

where E^* —energy of the predicted exceedances, E_p —minimum observed energy.

Hence, by applying Eqs. (3) and (4) to Eq. (1) the following relationship is obtained:

$$N_{\Delta t}^* = \left(\frac{\Delta t}{T}\right) \bullet N_T = \left(\frac{\Delta t}{T}\right) \bullet 10^{\log N_T} = \left(\frac{\Delta t}{T}\right) \bullet 10^{\log N_T - b \bullet \log\left(\frac{E^*}{E_p}\right)}$$
(5)

Dependency (5) shows that from the number N_T of tremors recorded in a given area, and using the slope of the Gutenberg–Richter distribution *b*, it is possible to estimate the hazard, specified as the possible number of tremors of an energy E^* .

Assuming that sequences of mining-induced seismic events can be approximated using the Poisson statistical model, it is also possible to determine the probability of a seismic event of energy $E > E^*$ (Lasocki 2008; Gołda and Kornowski 2011; Kołodziejczyk et al. 2013; Wesseloo 2018). The estimator of seismic hazard $Z^s_{\Delta t}$ in the chosen time ΔT is Eq. (6) (Gibowicz and Kijko 1994; Kornowski and Kurzeja 2012; Kurzeja and Kornowski 2013), in which the number of tremors in a time unit (per day) N_T^* , and parameter *b* become the random variables of this probability:

$$Z_{\Delta t}^{s} = P(E > E^{*})_{\Delta t} = 1 - \exp\left[-N_{T}^{*} \bullet \Delta t \bullet \left(\frac{E^{*}}{E_{p}}\right)^{-b}\right]$$
(6)

The effectiveness of seismic hazard prediction for the specified prediction time window was checked based on the seismic records during the longwall panel extraction in the chosen mine, and with a help of the developed by the authors *coefficient of hazard autoregression* C_N (7). It shows the proportion between the observed number of tremors N_f^* recorded within the prediction time window, and the expected number of seismic events $N_{\Delta t}^*$ of a given energy predicted from Gutenberg–Richter distribution using Eq. (5) for that time interval ΔT .

$$\frac{N_f^*}{N_{\Delta t}^*} = C_N \tag{7}$$

The interpretation of the *coefficient of hazard autoregression* C_N is as follows:

- *C_N* value smaller than 1 means a greater predicted than observed number of tremors (more conservative prediction),
- C_N value is 1—perfect prediction,
- *C_N* value greater than 1 means a greater number of observed than predicted tremors.

Greater number and energy of predicted tremors compared to observed numbers is more favourable in mining engineering, because, in this case, rockburst hazard procedures for staff are implemented before the mining field (or a part of this field) extraction starts. Of course, if prophylactic means are overestimated, they can restrain the mining work advance considerably, what is not proved by observed seismic level hazard. The reliability of prognosis decreases so the key question then is what can be the acceptable error of a prognosis. In mining practice, it is chosen with regard to experience, a mining system and possible consequences in the mining field.

The probability $Z_{\Delta t}^s$ of occurrence of prognosed tremors higher than 10⁴ J for the chosen time window ΔT was also determined (acc. to Eq. 6) during the analysis.

Mining and geological conditions, and seismicity distribution

The analysis was conducted for the IIa-S longwall face in seam 501 of Murcki–Staszic coal mine. The longwall face is placed at a depth of 923–946 m. The coal bed in this region is irregularly shaped, and its thickness ranges between 3.7 and 7.25 m, with an average of 6.5 m.

The maximum thickness of Quaternary and Neogene cover is 10 m only. Coal-bearing Carboniferous rocks occur beneath the cover, consisting of alternating layers of claystone, mudstone, sandstone and coal. There is a thick bed of sandstone in the roof of the seam 510. Its uniaxial compressive strength is about 60–75 MPa. This is the main source of seismic events in the analysed mining area. Alternating layers of claystone and sandstone of a total thickness 20–35 m are deposited under seam 510.

Longwall IIa-S was mined for 288 days from 23 September 2015 until 16 July 2016.

The seismic database collected at the "Murcki-Staszic" mine contained 4264 records including tremor date, time, coordinates and energy, of which 31 were for longwall Ia-S,



Fig. 1 The location of the recorded tremors in the areas of longwalls Ia-S and IIa-S of "Murcki-Staszic" coal mine

and 4233 for longwall IIa-S (Fig. 1). This means that it was only after the mining of the second longwall that seismic events in the rock mass intensified and were numerous enough for analysis.

The record of just 31 events occurring over 7 months of mining the first longwall is too small for any statistical analysis. Low seismic activity in the rock mass at the beginning of mining operations in a given region is typical for longwall mining, as with initially small area of goaves the roof remains undisturbed. Only when a considerable opening of a mining field is formed, the dynamic breakage of strata occurs. The time of appearance, and intensity of seismic events depend on stiffness of the rock layers which are able to concentrate the elastic energy. It is noteworthy that the seismic activity continued for a period of 41 days after the extraction of the mining field with longwalls Ia-S and IIa-S had ended.

It is also remarkable that some of the tremors occurred in front of the mining face, also beyond the mining panel. Therefore, in case of seismic hazard analysis for longwall mining, the assumption appears incorrect for Gutenberg–Richter analysis that tremors only from within the region of mining panel extraction should be analysed. In this case, the assessment and prediction of seismic hazard should include the seismic database of events located eastward from the analysed longwall parcels, in order not to omit of any group of tremors caused by mining activity. These tremors may change the results of analysis, especially for higher energies events.

Estimation of the optimal time window for seismic hazard prediction

Assumptions for analysis

The analysis was performed generally for two setups:

- 1. Base periods from 10 to 90 days, with a step of 5 days, and the same number of days in corresponding prediction time windows,
- 2. Shorter prediction time windows than base periods. Considering 288 days of longwall mining, the selected base periods were 90, 50, 30 and 10 days, and the corresponding prediction time windows were 2 to 9 times shorter.

In the analysis of the first setup, distributions of parameter b were compared to the number of tremors and their energies in order to check whether parameter b correctly responds to the increase or decrease in seismic activity. It was assumed in the analysis that the minimum correctly observed energy in the obtained seismic database was $E_p = 1 \times 10^2$ J.

In the analysis of the second setup, the selected 90, 50, 30 and 10-day base periods were obtained by dividing the total mining time into three 90-day periods, six 50-day periods, nine 30-day periods and twenty-eight 10-day periods.

A $\log N_T(E) = f(\log E)$ graph was prepared for the database, and the probability of the occurrence of a tremor of a given energy was calculated for a prediction at the confidence level of 0.05. In the next step, histograms of the *coefficient of hazard autoregression* were introduced that show the effectiveness of seismic hazard predictions for selected time window.

It should be emphasised that the coal extraction was carried out only on weekdays, which means that every 5 days there was a weekend break in the advance of the longwall face. The stoppage of longwall panel advance for weekends always results in an increase in elastic energy concentration in the rock mass (an abutment pressure) next to the front of longwall face.

Analysis of time windows equal to base periods

For the analysis of the setup 1, in which base periods and prediction time windows were equal and were set from 10 to 90 days, with a step of 5 days, two assumptions were made:

- For every base period T (T=10, 20, 30, ..., 90 days), the prediction time window Δt is equal to T,
- The prediction covered the period in which longwall panel was still mining.

Figure 2 presents the results of analysis for selected prediction periods.

An analysis of the charts above shows that base periods 10 and 20 days are too short to obtain a sufficient seismic database for the calculation of coefficient *b* (Fig. 2a, b) in analysed geological and mining conditions. As for longer prediction periods of 70–90 days, they result in a gradual reduction in the value of coefficient *b*, the number of tremors and their seismic energy, which leads to smaller differences between the consecutive periods (Fig. 2g–i). It is apparent that the most effective prediction can be achieved using a 30- or 40-day prediction time window (Fig. 2c, d).

In this option, in the conditions of the longwall IIa-S it was possible to determine coefficient b for all base periods. The total amount of energy induced in the rock mass and the number of seismic events significantly differed in the analysed base periods.

It is also worth to note, that in a period directly after the start of the mining of longwall IIa-S, the value of the calculated coefficient b remains virtually unchanged. Therefore, it can be concluded, that the small number of seismic events, recorded in the early stage of mining, does not allow for appropriate determination of coefficient b. Once the opening formed in the mining field, which, in the analysed case, was in total about 300 m, is large enough to cause a significant seismic

activity in the rock mass, the seismic database becomes sufficient for the analysis.

Analysis of prediction effectiveness in time windows shorter than base periods

90-day base period

For the analysis, the whole database was divided into three 90-day base periods starting from the first recorded tremor induced by mining. The analysis of tremor probability based on the division of the records into only 3 base periods shows that this number of base periods is insufficient to perform a correct assessment of the hazard level (Table 1).

The seismic records, hence, the database, cover the whole period of mining including the initial stage when the events of energies higher than 1×10^6 J are rare, and the later stage when the probability of occurrence of increase to about 55%. Therefore, if the study is based on seismic activity from the initial period of mining, the higher-energy seismic occurrences are underestimated.

Only two predictions were performed: prediction for the second 90-day time window based on the records in the first 90-day period, and for the third 90-day time window based on the records in the second 90-day base period of longwall IIa-S mining.

Figure 3 shows that predictions of seismic hazard for period 2, which are based on the seismicity from the first 90-day base period, i.e. the initial stage of mining, are inconsistent. However, the predictions for period 3 based on base period 2 show the dependency graph almost linear.

This means that after the development of rock mass seismicity at the vicinity of the longwall panel an accurate prediction of seismic hazard was obtained using the Gutenberg–Richter distribution. The graph indicates an increase in accuracy of predictions of seismic hazard obtained from Gutenberg–Richter equation together with an increase in seismicity in longwall area— C_N coefficient value is close to 1 for the third period. The seismicity increase, together with the longwall progress, causes also an apparent rising tendency of the ratio of observed to predicted tremors.

The value of coefficient of autoregression close to 1 was generally obtained for 60-day time window of prognosis for both periods 2 and 3. For time windows shorter than 60 days, the seismic hazard prognosis is largely overestimated for the 2nd period. Hence, the analysis shows that seismic hazard prediction effectiveness for 90-day database is poor, because the correct prediction can be used only for 3rd 90-day period of longwall panel extraction.

Fig. 2 The charts of total seismic energy and Gutenberg-Richter coefficient b for: **a** 10-day period, b 20-day period, **c** 30-day period, **d** 40-day period, e 50-day period, f 60-day period, **g** 70- day period, **h** 80-day period, and 90-day period



-coefficient b Registered total seismic energy in the time of prognosis

0.0E+00

0.00

 Table 1
 Prediction probability of the tremor occurrence of the given energy for 90-day base period

 Energy
 Probability in base period

 Probability in base period
 Probability in

E04 E05	2 (%)	base period 3 (%)		
E04	100.0	100.0		
E05	100.0	100.0		
E06	27.6	54.7		



Fig. 3 Diagram of the coefficient of autoregression for consecutive periods if T=90 days

exceedances occurring only for the full 50-day prediction period. For prediction periods 4 and 5, i.e. during mining a central section of the longwall IIa-S panel, the effectiveness of assessment of seismic hazard appeared to be very high. The full 50-day time window seems to be most adequate period to achieve reliable seismic hazard prognosis. Panel IIa-S has been extracted for 288 days, so the 6th period considers fewer number of days in the base period and it does not allow for reasonable assessment of seismic hazard.

30-day base period

Assuming 30-day period, nine such periods were distinguished. Comparing the estimated probability of seismic events for 30-day period to those for 90- and 50-day periods, it can be noted that there is a slightly smaller probability of occurrence of events of energies of 10^5-10^6 J (Table 3). For events of energies 10^6 J, the probability ranges between 6 and 47%, and it is very variable for the first periods of long-wall panel advance.

Figure 5 presents changes of the coefficient of autoregression. It appears that base period 5 was the riskiest in the context of the prediction, considering the recorded data. For this base period, the number of observed tremors was

y ne	Energy	Period 2 (%)	Period 3 (%)	Period 4 (%)	Period 5 (%)	Period 6 (%)
	E04	100.00	100.00	100.00	100.00	100.00
	E05	99.11	99.63	99.93	99.99	99.93
	E06	18.95	16.77	26.07	40.87	36.62

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📕 5 days 📙 10 days 🔳 25 days 🔲 50 days Time window Autoregression coefficient C_N 2.0 underestimated prognosis 1.5 1.0 0.5 0.0 2 3 4 5 6 Base period

Fig. 4 The coefficient of autoregression for consecutive periods if T=50 days

more than double of the number of tremors predicted with the use of Eq. 5. Unexpectedly, similar conclusion comes from base period 3, where the observed number of tremors is

Table 2Prediction probabilityof the tremor occurrence of thegiven energy for 50-day baseperiod

50-day base period

Assuming 50-day period six such long periods were distinguished. Analysis of the values of probabilities of seismic events within these 6 periods shows they are generally smaller in comparison with 90-day periods (Table 2).

Events of energies of a range of 10^5 J are never predicted with 100% probability. The probability of events with energies of 10^6 J consistently increases from a dozen or so percent to up to 41% in last two prediction time periods.

Figure 4 presents aggregated changes of the autocorrelation coefficient. It clearly indicates that the most risky in the context of the seismic hazard prediction is period 2, i.e. the first predicted period, where the coefficient of autocorrelation C_N is 2 for the time window 5 and 10 days and 1.5 for the time window 50 days. It means that the number of tremors is twice underrated for shorter prediction periods and about 1.5 times underrated for the full observation range of 50 days. For period 3, there is a visible increasing tendency of the ratio of observed to predicted tremors, with

Energy	Period 2 (%)	Period 3 (%)	Period 4 (%)	Period 5 (%)	Period 6 (%)	Period 7 (%)	Period 8 (%)	Period 9 (%)
E04	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00
E05	98.22	95.43	93.10	99.51	99.70	99.58	99.36	98.69
E06	47.50	9.04	5.59	15.85	30.09	23.55	24.91	22.72





Fig. 5 The coefficient of autoregression for consecutive periods if T=30 days

about 1.5 times the predicted number. In the remaining base periods, the number of predicted tremors is overestimated, sometimes even twofold. It is worth noting that the time windows shorter than 30 days cause principally lower values of coefficient of autocorrelation and less effective assessment of seismic hazard.

10-day base period

Assuming 10-days periods, 28 such periods were distinguished. It is observable in Fig. 6, that the 10-day base period is too short to observe a representative number of high-energy mining-induced tremors. In this case, the seismic database is too small to allow for the correct application of the Gutenberg–Richter distribution, and the determination of parameter *b*, except only for low seismic energies. This in turn, precludes correct prediction of rockburst hazard. The seismic database of the recorded tremors enables the estimation of the probability of further seismic events.

The probabilities obtained in case of 10-day base period are lower (Table 4) than the ones in other base periods. The probability of occurrences of energy of 10^6 J are calculated as low as several or a dozen or so percent, while the probability of occurrences of energies of 10^5 J varies from 77 to 90%. In addition, the fact that high-energy tremors are not recorded in the initial base periods prevents the determination of the probability of occurrences of energies of 10^6 J in 9 periods, and occurrences of energies of 10^5 J in 2 periods.

From 10-day measurement data bases, additional predictions were made for 2- and 5-day time windows.



Fig. 6 Diagram of the coefficient of autoregression for consecutive periods if T=10 days

 Table 4
 Prediction probability of the tremor occurrence of the given energy for 10-day base period

Energy	E04 (%)	E05	E06
For period 2	99.96	_	_
For period 3	100.00	_	-
For period 4	100.00	79.79%	18.71%
For period 5	100.00	95.55%	-
For period 6	100.00	95.61%	-
For period 7	100.00	88.86%	-
For period 8	100.00	72.81%	4.81%
For period 9	100.00	89.72%	-
For period 10	100.00	80.04%	5.59%
For period 11	100.00	90.87%	6.67%
For period 12	100.00	87.65%	8.27%
For period 13	100.00	77.66%	3.39%
For period 14	100.00	75.30%	5.31%
For period 15	100.00	74.12%	6.27%
For period 16	100.00	70.91%	6.27%
For period 17	100.00	94.91%	18.71%
For period 18	100.00	86.81%	9.84%
For period 19	100.00	84.45%	9.39%
For period 20	100.00	79.30%	8.27%
For period 21	100.00	87.31%	12.90%
For period 22	100.00	90.29%	-
For period 23	100.00	86.16%	17.16%
For period 24	100.00	86.94%	-
For period 25	100.00	76.99%	8.27%
For period 26	100.00	83.45%	-
For period 27	100.00	97.95%	24.13%
For period 28	100.00	87.65%	9.84%

Autoregression coefficient C_N values (Fig. 6) demonstrate that the predictions are highly varied across all time windows, what proved that 10-day database was too short for this analysis. The predicted number of tremors for half of the base periods (time window = 5 days) is underestimated. For base period 11th even 3.8 times for a 10-day time window (about 2.4 times for shorter time windows) and for base period 26th—about 3 times for a 2-day time window. In contrast, for 3rd base period the number of predicted tremors was at least 2 times higher than the number of the observed tremors.

Conclusions

The possibility of assessing the seismic hazard on the basis of observed seismic events for longwall mining is limited. If there are no tectonic disturbances next to the mining panel, such events usually occur alongside significant roof opening over the mining field as a result of an extraction of large volume of rock, as emphasised by Srinivasan et al. (1997).

In the presented longwall panel, intensive seismic events appeared only after an excavated length of ca. 300 m was achieved. It is important to note that the subject of the analysis was the second longwall panel extracted in the mining field, so the rock mass was already disturbed by mining activity. Nevertheless, the Gutenberg–Richter distribution could be applied, yet under certain conditions. The Gutenberg–Richter model is ineffective due to small number of tremors in the initial stage of the longwall mining. The reliability of seismic hazard predictions increases in the next stages, together with growing area of the excavated panel and growing number of observed tremors.

The 10- and 20-day base periods of observed tremors are too short for seismic hazard prediction purpose. In many cases, higher-energy seismic events do not occur within such a short period of time, precluding regression analysis and parameter *b* determination, which confirms the conclusions by Kulhanek (2005) and Lasocki (2008): the shorter base periods, the smaller probability of predicted number of highenergy tremors.

The informative time window for the seismic hazard prognosis seems to be 30–50 days in the analysed geological and mining conditions. Seismic database from 30 to 90 days of observations appeared to be of a sufficient size to carry out the seismic hazard analysis with the help of Gutenberg–Richter model. Ninety-day base period database allows for a reliable hazard prognosis in 30–50-day time window for the prognosis. Whereas, from 30-day database the prediction time window rather should not be longer than 30 days.

Low energies of tremors, and infrequent high-energy events, cause the probability of prediction of seismic mining events of energy min. 1×10^6 J, as low as 10–40%, even for longer database periods. There are known methods that provide good results despite incomplete and uncertain data (Kijko and Funk 1994; Wesseloo 2018).

It is worth noting that weak tremors in many cases are not registered because their source is too far from the seismometer and the wave field is completely attenuated in the rock mass. It also happens in case of stronger tremors too. This, in turn, affects the interpretation of the Gutenberg–Richter distribution in terms of the incomplete number of tremors and their energies. This situation is characteristic of longwall coal mining.

The presented analysis confirms that Gutenberg–Richter distribution should serve rather as a complementary method used alongside, e.g. probabilistic seismic hazard analysis.

Compliance with ethical standards

Conflict of interest On behalf of all authors, the corresponding author states that there is no conflict of interest.

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