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Temporal and spatial variation characteristics of present stress field in the northern area of North China*

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Abstract

From focal mechanism solutions of the earthquakes in the northern part of North China during the period of 2002~2006, the directions of principal stress axes in 4 stress sub-districts are analyzed using a grid test method. The characteristic of present crustal stress directions is discussed. Based on this result and on the focal mechanism solutions calculated for some events in the period of 1977~1998, in combination with some other study results, the temporal variation of present crustal stress directions in the northern part of North China is investigated. The results confirm that the direction of crustal principal stress in some regions had somewhat rotated after the 1976 Tangshan *M*7.8 earthquake. The mean *P* axes of the focal mechanism solutions rotated clockwise not only in Tangshan sub-district, but also in Beijing and Xingtai sub-districts after the Tangshan earthquake. In Beijing and Xingtai sub-districts the orientations of principal stress axes in the period of 2002~2006 are consistent with that before the Tangshan earthquake, implying that the stress orientations has rotated back to the state before the Tangshan earthquake in these two sub-districts. The directions of the mean *P* axes are nearly E-W in Tangshan sub-district since the *M*7.8 earthquake. The present stress field in the sub-district northwest to Beijing, or in the western part of the Zhangjiakou-Bohai fault zone, is relatively stable during the time period concerned in this study. Because of the limitation of data, this paper only states a possible variation of stress field in the northern part of North China in the recent decades.

Key words: focal mechanism, North China, stress field CLC number: P315.72⁺7 **Document code**: A

Introduction

Over years, Chinese scholars have carried out studies on the tectonic stress field in North China by making use of ample focal mechanism data in this area and delineated the overall pattern of present tectonic stress field in North China. It is believed that the medium principal stress axis in North China is basically vertical; the maximum and minimum principal axes are nearly in horizontal direction. The direction of the maximum principal stress axis is from ENE to NE. The direction of maximum principal stress axis in the west part of North China is NE, then turns to E-W

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gradually in the southeastern part of North China and neighboring sea area; the stress trajectories appear as a radial distribution from western land toward coast. (XU *et al*, 1983; WANG and XU, 1985; WANG and ZHANG, 2002; CHEN *et al*, 2003).

Besides the spatial inhomogeneity of present tectonic stress field in North China, Chinese scholars also found some temporal variations of present stress field in North China (especially before and after some moderate and strong earthquakes) (ZHAO et al, 1984; ZHOU et al, 2000; FAN et al, 2003). For example, ZHOU et al (2001) analyzed the temporal and spatial variation of stress field in the source area and different tectonic positions around four moderate and strong earthquakes (the 1975 Haicheng earthquake, 1976 Tangshan earthquake, 1983 Heze earthquake and 1995 Cangshan earthquake) by making use of focal mechanism solutions of small earthquakes; some abnormal changes were found in the stress field neighboring to the seismic source area before the earthquake. XU (1985) determined the mean stress field in Tangshan aftershock area by fitting slip directions, found that the maximum principal stress axis in the aftershock area was in near-E-W direction, and the axis rotated about 30° clockwise compared to the value before the quake. Cui and XIE (2002) made further research by making use of focal mechanism solutions of 126 moderate and strong earthquakes in North China and found that variation region of stress field before and after the Tangshan earthquake is larger; the direction of the maximum principal stress in south-central North China including the Tangshan aftershock area may have rotated clockwise. With improvement of observation technique and development of analytical method, the temporal and spatial heterogeneity of stress field in North China area had been revealed gradually.

However, the studies concerning the rotation and variation of principal stress direction in the past were mainly focused on small area (seismic source area of strong earthquakes) and short time (before and after strong earthquakes), whereas studies on continuous variation of stress field is relatively rare. Since the 1980s, fewer strong earthquakes happened in North China area, while a large number of small quakes were recorded by the seismic network. In view of this situation, we made an analysis of focal mechanism solutions of recent small quakes happened in northern area of North China by the grid test method; a preliminary study on the temporal variation characteristic of stress field in the northern area of North China was carried out based on studies of spatial distribution characteristic of present stress field in northern area of North China.

1 Focal mechanism solution and division of stress zone

The method of using P, B and T axes from focal mechanism solutions to infer the direction of stress field is applied extensively. P axis and T axis reflect the change of stress state in seismic source area before and after an earthquake, rather than the tectonic stress of the seismic source area (Yamakawa, 1971). However from the analysis of first motion polarity of a large number of widely distributed moderate and small earthquakes in an area or the P, B and T axes of focal mechanism solutions, the stress directions in this area can be obtained possibly (XU et al, 1983; ZHONG and CHENG, 2006). In the year of 2002, a digital seismic network in Capital Circle was built and put into official operation, since then, moderate and small earthquakes in large quantity in northern area of North China have been recorded. LIANG et al (1984) proposed a focal mechanism inversion method by adopting a point dislocation source model in layered media and fitting the synthetic seismograms to the observed maximum amplitudes of \overline{P} and \overline{S} waves in vertical components. The method is applicable for regional seismic networks. Therefore, we used this method to determine the focal mechanisms of recent moderate and small earthquakes in the north-

ern area of North China. During the solving process, we looked up numerous earthquake waveform records from the digital seismic network, chose the events with epicentral distance less than 200 km; for each event, at least four stations had readings of maximum \overline{P} and \overline{S} amplitude from vertical components and at least one station recorded clear first motion polarity of \overline{P} wave to ensure the stability and reliability of focal mechanism solution (HU *et al*, 2004; DIAO *et al*, 2007). We have obtained altogether 431 reliable focal mechanism solutions from January 2002 to July 2006 in the northern area of North China. The earthquake epicenter distribution is shown in Figure 1; for time and magnitude distribution, see Table 1.

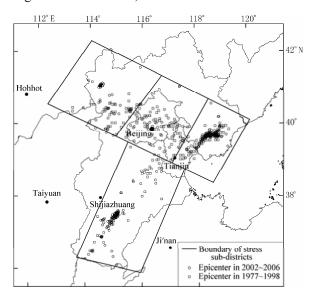


Figure 1 Spatial distribution of earthquakes and the stress sub-districts.

Table 1 The temporal and magnitude distribution of earthquakes in the northern area of North China during 2002~2006

Date	Range of magnitude	Number of focal mechanism solution
2002-01~2002-12	1.6~4.7	170
2003-01~2003-12	2.0~4.3	84
2004-01~2004-12	2.0~5.0	56
2005-01~2005-12	2.0~3.9	92
2006-01~2006-07	2.0~5.1	29

In order to make better analysis on the temporal variation of the present stress field in northern part of North China, we used the "Crustal Stress Database in China and Adjacent Area" system (XIE *et al*, 2004) to search and sort focal mechanism solutions in the period from 1977 to 2001, and selected 236 focal mechanism solutions in the period from 1977 to 1998. Owing to too many data, the parameter and author of every focal mechanism solution in details will not be listed in the thesis. For the distribution of seismic data, see Table 1.

The Zhangjiakou-Bohai fault zone in northern area of North China is the boundary between two active crustal blocks of North China Plain and Yanshan; the fault zone is composed of more than 20 discontinuous faults generally trending NW. This fault zone is one of the seismic structural belts that control recent strong earthquakes. The Bohai M7.4 earthquake in 1969, the Tangshan M7.8 earthquake in 1976, and the Zhangbei M6.2 earthquake in 1998 are all situated at this structural belt (WANG $et\ al,\ 2004$; GUO $et\ al,\ 2007$; FANG $et\ al,\ 2008$). In this paper, based on the

structural features of this fault belt and the distribution of focal mechanism solutions we divide the research district into four stress sub-districts; the Zhangjiakou-Bohai fault belt and adjacent area is divided into three sub-districts, namely, sub-district northwest to Beijing, Beijing sub-district, and Tangshan sub-district; the plain to the south of Zhangjiakou-Bohai fault belt is taken as a sub-district, namely Xingtai sub-district.

The four stress sub-districts are shown in Figure 1. The yearly distribution of focal mechanism solutions in each sub-district are shown in Figure 2.

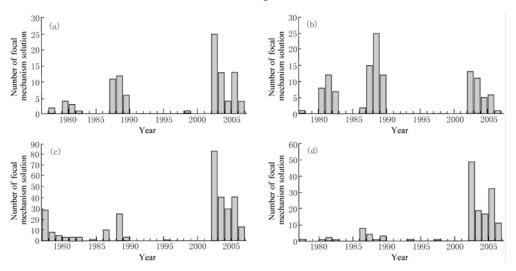


Figure 2 The yearly distribution of the focal mechanism solution date in four stress sub-districts

(a) Sub-district northwest to Beijing; (b) Beijing sub-district; (c) Tangshan sub-district; (d) Xingtai sub-district

2 Analysis of present stress field in northern area of North China based on focal mechanism solutions of moderate and small earthquakes

The grid test method for determining the average nodal plane solution of earthquakes using P wave first motion polarity data of distributed earthquakes in large numbers was put forth by XU *et al* (1983), it is illustrated through experiments and computation that if earthquakes occur on general pre-existed plane of weakness, the mean P, B and T axes from a number of earthquakes can represent the principal stress axes as long as the number of earthquakes is large enough, and the orientations of fault planes for these earthquakes are comparatively random. After then, some scholars (FAN *et al*, 2003; WANG *et al*, 2003) inferred the orientation of mean principal stress axis in the researched area by solving mean P, B and T axes from the P, B and T axes of several focal mechanism solutions with the grid test method.

HU *et al* (2004) carried out an analysis on the reliability of focal mechanism solutions of moderate and small earthquakes that were determined from the amplitude ratio of \overline{P} and \overline{S} waves; it shows that there are several factors which have influence on the uncertainty of focal mechanism solution; furthermore, moderate and small earthquakes happen randomly, therefore the focal mechanism solutions of moderate and small earthquakes obtained by inversion of amplitude ration could not be taken individually in detailed analysis. The analysis shall take a statistic method on the basis of a large quantity of focal mechanism solutions of moderate and small earth-

quakes so as to extract the average information and statistic features. It can be observed from Figures 1 and 2 that the 431 focal mechanism solutions of moderate and small earthquakes used in the paper are distributed in space and time. For this reason, we first calculate these focal mechanisms of moderate and small earthquakes by the grid test method; the orientation feature of stress in the four stress sub-districts in the northern area of North China from 2002 to 2006 is analyzed. The detailed steps of analysis and computation are as follows. Take stress sub-district respectively as unit of analysis, and the P and T axes of every focal mechanism solution in the sub-district as upward and downward "first motion polarity" (FAN et al, 2003), and scan all the possible 3-D parameter space with a step length of $5^{\circ}\times5^{\circ}\times5^{\circ}$ in order to get the optimum solution for the ratio of contradiction (ψ) to reach the minimum value (ψ_{min}). For expressing the possible features of each sub-district clearly, all the possible P, P and P axes between P and P axes between P are given; the distribution range of these axes is titled as the scatter zone. Determine the average orientation of all the possible axes in the end and take it as the orientation of principal axis for stress field in each sub-district.

Table 2 The directions of mean principal stress axes in the northern area of North China during 2002~2006 by the grid test method

Sub-district	Date	Total num- ber of earth- quake	Mean P axis /°		Mean B axis /°		Mean Taxis /°		The lowest
Sub-district	Date			inclination	Azimuth	Inclination	Azimuth	inclination	
Sub-district Northwes to Beijing	t 2002-03~2006-07	59	75	16	219	70	341	11	12%
Beijing Sub-district	2002-01~2006-02	36	65	1	179	87	335	3	17%
Tangshan Sub-district	2002-01~2006-06	208	267	5	52	84	176	3	23%
Xingtai Sub-district	2002-01~2006-07	128	66	5	241	85	336	0	27%

Table 2 and Figure 3 show the average orientation of principal stress axis from 2002 to 2006 in every stress sub-district in the northern area of North China that were gained by the grid test method. It can be observed that the stress field in northern area of North China has the following features: The mean B axis in the four stress sub-districts is close to vertical with 87° as the maximum inclination and 70° as the minimum; the mean P axis and T axis are close to horizontal; all the above indicate that horizontal movement dominates in the present stress field in northern area of North China, the result agrees with that obtained in the past (LI, 1980; WANG and XU, 1985). The orientations of mean P axis and mean T axis in the four stress sub-districts are different obviously. The orientation of mean P axis is ENE in sub-district northwest to Beijing, Beijing sub-district and Xingtai sub-district and the orientation of mean T axis is NWN; whereas the mean P axis in Tangshan sub-district is close to E-W and mean T axis is close to S-N.

From Table 2 and Figure 3 it can be seen that the lowest contradiction ratio in sub-district northwest to Beijing and Beijing sub-district is lower, the scatter zone of possible *P*, *B* and *T* axes is comparatively concentrated with circular or symmetric distribution pattern. The lowest contradiction ratio in Tangshan sub-district and Xingtai sub-district is comparatively higher with relatively wide scatter zone of possible *P*, *B* and *T* axes; while the scatter zone is symmetrical basically. Generally speaking, the data of focal mechanisms of small earthquakes has a good constraining effect on the mean principal stress axis in the four stress sub-districts, and the obtained mean principal stress axes reflect the orientation feature of stress in the four stress sub-districts in northern area of North China from 2002 to 2006.

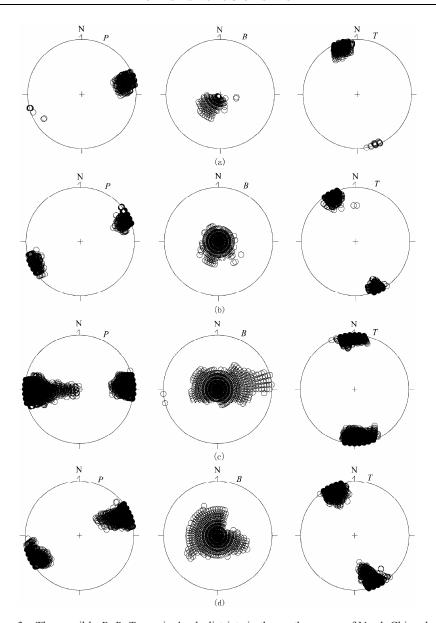


Figure 3 The possible *P*, *B*, *T* axes in 4 sub-districts in the northern area of North China during 2002~2006 (equal-area projection)

(a) Sub-district northwest to Beijing . Number of earthquakes =59, the lowest contradiction ratio =12%; (b) Beijing sub-district. Number of earthquakes =36, the lowest contradiction ratio =17%; (c) Tangshan sub-district. Number of earthquakes =208, the lowest contradiction ratio =23%; (d) Xingtai sub-district. Number of earthquakes =128, the lowest contradiction ratio =27%

3 Present stress field in northern a rea of North China changes with time

XU et al (1983) carried out studies on the heterogeneity of stress field in North China using the P wave first motion polarity data during 1966~1978. They divided North China into 13 sub-districts and obtained the average nodal plane solutions in the 13 sub-districts. The boundaries of sub-district northwest to Beijing, Beijing sub-district, Tangshan sub-district and Xingtai

sub-district in the 13 sub-districts are not identical to the ones of the four stress sub-districts in this paper, while the scopes are accordant generally. The data of Beijing sub-district and Tangshan sub-district are P wave first motions polarity of small earthquakes in the ten years before the Tangshan earthquake from 1966 to 1976, the resulted average nodal plane solutions represent the stress state in the two stress sub-districts before the Tangshan earthquake. The time period of data for Xingtai sub-district is from 1972 to 1978, which continued after the 1976 Tangshan earthquake. It is fortunate that these data of Xingtai sub-district are preserved. This thesis selected the data in Xingtai sub-district before Tangshan earthquake from the P wave first motion polarity data provided by Prof. XU, and carried out re-computation and re-analysis with the grid test method; the average nodal plane solutions and distributions of scatter zone for P, B and T axes from XU et al (1983) and obtained by us do not differ significantly (Figure 4). XU et al (1983) used data from 1971 to 1977 for the sub-district northwest to Beijing, the results were quite in accordance with the average nodal solution from small earthquake data of Shacheng seismic station in this area during 1971~1972 (LI et al, 1973). Therefore, we take the results of sub-district northwest to Beijing, Beijing sub-district and Tanshang sub-district given by XU et al (1983) and the result of Xingtai sub-district obtained in this study as the orientation of mean principal stress axis in the four stress sub-districts before the Tangshan earthquake (Table 3).

Table 3 The directions of mean principal stress axes in the northern area of North China before the Tangshan earthquake (XU *et al*, 1983)

Sub-district	Date	Total number of symbol	Total number of earthquake Orientation of $P = \frac{P \times P}{P}$		Orientation of Taxis/°	The lowest contradiction ratio
Sub-district Northwest to Beijing	1971~1977	78	66	75	345	21%
Beijing Sub-district	1966~1976	116	102	65	335	21%
Tangshan Sub-district	1966~1976-06	81	65	60	330	19%
Xingtai Sub-district*	1972~1976-05	89	59	71	339	13%

Note: * represents results of this thesis

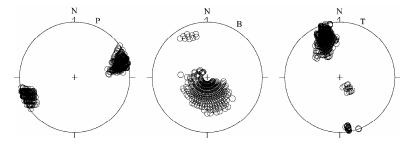


Figure 4 The possible *P*, *B*, *T* axes in Xingtai sub-district during 1972~1976-05 (equal-area projection) Number of earthquakes =59, Number of the first motion polarity records =89, the lowest contradiction ratio =13%

The data utilized for analysis and computation in the previous section of this paper are the focal mechanism solutions of moderate and small earthquakes during $2002\sim2006$ in the northern area of North China, which are different from the data utilized by XU *et al* (1983), but both carried out computations of mean P, B and T axes by grid test method. The results should reflect the recent stress state in the northern area of North China. It can be seen from a comparison between Tables 2 and 3 that the two sets of results are different. There are only small differences in the orientations of P and T axes among the sub-district northwest to T Beijing, Beijing sub-district, and Xingtai sub-district with the maximum variation not over T. The region of the maximum variation

is Tangshan sub-district: The P axis of average nodal plane solution is NE before the Tangshan earthquake (azimuth 60°) and T axis runs NW, while the mean P axis is close to E-W (azimuth 267°) after the Tangshan earthquake and the mean T axis is close to N-S with a difference approximately 30° for both P and T axes.

A lot of research results (XU, 1985; JIN and YU, 1987; ZHOU *et al*, 2001) assumed that the direction of stress field in seismic source area of the Tangshan earthquake was changed after the Tangshan earthquake. As the research methods and data adopted are different, the research results are not consistent. Based on a research of stress field in North China area using focal mechanism solutions of moderate and strong earthquakes, CUI *et al* (2002) considered that a clockwise rotation of the maximum principal stress in central south area of North China that includes the Tangshan aftershock area may have taken place after the Tangshan earthquake. We carried out an analysis of the selected 236 focal mechanism solutions during 1977~1998 to further investigate the variation feature of present stress field in northern area of North China. For solving the directions of mean *P*, *B* and *T* axes from the focal mechanism solutions in every sub-district, the grid test method is also applied in the computation to facilitate the comparison. The detailed results are shown in Table 4 and Figure 5.

Table 4 The directions of mean principal stress axes in the northern area of North China during 1977~1998 by the grid test method

Sub-district Date	Total number of earthquake	Mean P axis /°		Mean B axis /°		Mean Taxis /°		The lowest	
		Azimuth	Inclination	Azimuth	Inclination	Azimuth	Inclination	contradic- tion ratio	
Sub-district North- west to Beijing	1978~1998	40	70	12	275	76	161	6	25%
Beijing Sub-district	1977~1989	82	80	0	354	86	170	4	24%
Tanshan Sub-district	1977~1995	91	86	3	344	77	177	12	24%
Xingtai Sub-district	1977~1997	23	85	18	322	58	184	25	26%

It can be seen from Table 4 and Figure 5 that the lowest contradiction ratios of the four stress sub-districts are all around 25%. The scatter zones of possible P, B and T axes in sub-district northwest to Beijing, Beijing sub-district, and Tangshan sub-district are basically symmetric except for the Xingtai sub-district. The data of focal mechanism solutions constrained satisfactorily the mean P, B and T axes. As the data of Xingtai sub-district is sparse with only 23 focal mechanism solutions, the scatter zone of possible P, B and T axes is much wider and the distribution is not so symmetric, which means the reliability of the result for Xingtai sub-district is relatively poor. Generally speaking, the mean P, B and T axes in every sub-district can represent the state of stress field in the stress sub-strict in the time period.

The computation result of this paper shows that the mean P axes of every time period in the four stress sub-districts are all near to horizontal (Tables 2 and 4, Figures 3, 4 and 5). XU *et al* (1983) only presented the directions of P and T axes of the average nodal plane solutions in their paper, but not the inclination values. However, it can be seen from their result (Figure 5 in XU *et al*, 1983) that the P axis of average nodal plane solutions in sub-district northwest to Beijing, Beijing sub-district, and Tangshan sub-district are all near to horizontal. For this reason, we collect the directions of mean P axis of several earthquakes in different time periods in northern area of North China and the directions of P axes from the average nodal plane solutions of small earthquakes, that is, put together the directions of P axes of Tables 2, 3, and 4 in Figure 6, from which we could get a clear view of varying feature of stress field changing with time in northern area of North

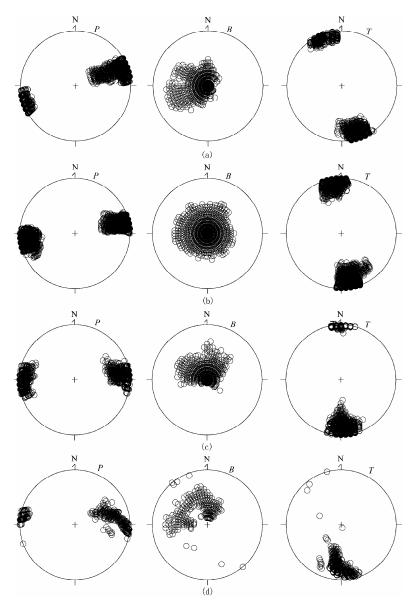


Figure 5 The possible *P*, *B*, *T* axes in four sub-districts in the northern area of North China during 1977~1998 (equal-area projection)

(a) Sub-district northwest to Beijing. Number of earthquakes =40, the lowest contradiction ratio =25%; (b) Beijing sub-district. Number of earthquakes =82, the lowest contradiction ratio =24%; (c) Tangshan sub-district. Number of earthquakes =91, the lowest contradiction ratio =24%; (d) Xingtai sub-district. Number of earthquakes =23, the lowest contradiction ratio =26%

China from 1960s to the beginning of this century. The directions of P axes in all stress sub-districts are all around 60° ~75° before the 1976 Tangshan earthquake, after the quake, the direction of P axis in Tangshan sub-district rotated about 30°, and stays near-E-W since then; the direction of P axis in Beijing sub-district rotated also by a relatively small value of 15°; after 2002 the direction of P axis returned to NE; Xingtai sub-district is similar to Beijing sub-district, the direction of P axis turned to near-E-W, while after 2002 the direction turned back to NE; the direction

tion of P axis in sub-district northwest to Beijing in the recent decades is relatively stable $(70^{\circ} \sim 75^{\circ})$, and has no significant changes.

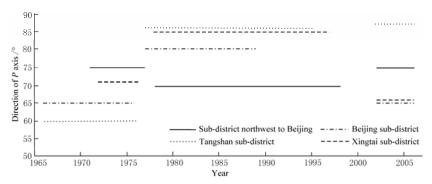


Figure 6 The temporal changes of directions of P axes in four sub-districts in the northern area of North China

4 Discussion and conclusions

Firstly, the paper carried out analysis and computation on the mean principal stress axis using the data of moderate and small earthquakes during 2002~2006 in the four stress sub-districts in northern area of North China by grid test method. The results show that the mean *B* axis (medium principal stress axis) is vertical basically; the mean *P* axis (the maximum principal stress axis) and mean *T* axis (the minimum principal stress axis) are near horizontal; the direction of the maximum principal stress axis is NE-SW and near-E-W, and the direction of the minimum principal stress axis is NW-SE and near-S-N, which shows that horizontal action dominates the present stress field in northern area of North China, and the general features of the present stress field in northern area of North China presented by the mean principal stress axis are in accordance with the results in the past.

Secondly, the paper investigated the temporal variation feature of present stress field in northern area of North China using the mean principal stress axes obtained from focal mechanism solutions in northern area of North China during $1977\sim1998$ and during $2002\sim2006$. The analysis results further supports that the direction of principal stress rotated after the M7.8 Tangshan earthquake in 1976 in Tangshan seismic source area as well as in the neighboring Beijing and Xingtai sub-districts; the mean P axis of focal mechanism solution rotated about $15^{\circ}\sim30^{\circ}$ clockwise, while the present stress field in sub-district northwest to Beijing at the west section of Zhangjia-kou-Bohai Fault Belt is relatively stable in the researched period, and the direction of principal stress in sub-district northwest to Beijing did not change much after the Tangshan earthquake. It should be pointed out that the focal mechanism solutions in Xingtai sub-district during $1977\sim1998$ are fewer (23 solutions only), the reliability of its result still need to be tested by more data.

The analysis results presented in this paper also give an interesting changing image of stress field in northern area of North China: The direction of mean P axis of focal mechanism solution in Beijing sub-district during 2002~2006 is 65°, while the direction of mean P axis in Xingtai sub-district in the same period is 66°, which are quite coherent with the P axis of average nodal plane solutions before Tangshan earthquake, the stress field in these two stress sub-districts seems to have rotated back to the state before the Tangshan Earthquake. However, the mean P axis of focal mechanism solution in Tangshan sub-district stays in E-W direction all along after the quake.

It can be seen from Figure 2 that the data of focal mechanism solutions used in this paper are discontinuous in time, the focal mechanism solutions in northern area of North China (mainly the sub-district northwest to Beijing and Xingtai sub-district) during 1977~1998 are relatively fewer, especially during 1990~2001 there were only a few focal mechanism solutions, therefore it is hard to make specific analysis on the process of adjusting and changing of stress field in the northern area of North China. The direction feature of stress field in the northern area of North China in two time periods after the Tangshan earthquake was analyzed in this paper by the grid test method, and a simple comparison was made with the average nodal plane solutions in northern area of North China before the Tangshan earthquake by XU *et al* (1983). As the data sources used in the three time periods are different, the analysis results of this paper give only a possible preliminary image of the adjusting and changing of stress field in the northern area of North China in recent several decades, requiring more data and researches to prove.

In addition, the paper does not involve the dynamic mechanism of stress field adjusting and changing and its relation with strong earthquakes, further studies are required.

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