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Methods for promoting electrochemical properties of LiNi_{1/3}Co_{1/3}Mn_{1/3}O₂ for lithium-ion batteries

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One popular study of the recent research is to develop the cathode materials for lithium-ion batteries. As a new cathode material for lithium-ion batteries, the $\text{LiNi}_{1/3}\text{Co}_{1/3}\text{Mn}_{1/3}\text{O}_2$ has drawn widespread attention because of its high capacity, high cut-off voltage and high tap density. Its theoretical capacity is 277.8 mAh/g. The crystal structure of $\text{LiNi}_{1/3}\text{Co}_{1/3}\text{Mn}_{1/3}\text{O}_2$ is α -NaFeO₂. The structural and morphological features of the $\text{LiNi}_{1/3}\text{Co}_{1/3}\text{Mn}_{1/3}\text{O}_2$ are introduced in this paper. The emphasis is to present the methods for promoting electrochemical properties. The electrochemical properties and structure characteristics are discussed. And the prospect of layered $\text{LiNi}_{1/3}\text{Co}_{1/3}\text{Mn}_{1/3}\text{O}_2$ is forecast in the end.

lithium-ion batteries, cathode material, LiNi_{1/3}Co_{1/3}Mn_{1/3}O₂, modification

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One of the challenges for improving the performance of lithium-ion batteries to meet the increasingly demanding requirements for energy storage is the development of suitable cathode materials [1,2]. The cathode materials have influence on some important properties of batteries like battery voltage, working hours, and stability. Simple calculations show that an increase in cell energy density of 57% can be achieved by doubling the capacity of the cathode materials [3].

So the chances of drastically improving Li-ion cells energy density are mainly rooted in spotting better positive electrode materials. Recently, $\text{LiNi}_{1/3}\text{Co}_{1/3}\text{Mn}_{1/3}\text{O}_2$ has attracted growing interest because it exhibits high capacity, high cut-off voltage and high tap density. Besides, it has the merits of good security and stability. It is considered to be one of the candidate cathode materials for high energy density lithium batteries [4–6].

The tap density and thermal stability of $\text{LiNi}_{1/3}\text{Co}_{1/3}$ Mn_{1/3}O₂(NCM) have been improved greatly in recent years. According to statistics, the output of NCM in China is 8500 t, which is 48.1% of the total amount all over the world. However, it is lacking in competitiveness in the international market because of the core technology and patents of NCM having been tightly controlled by America and Canada. It mostly applies to the portable electronic device and some small electric transport in China.

1 Structural characteristics and mechanism of charging and discharging

Since $\text{LiNi}_{1-x-y}\text{Mn}_x\text{Co}_y\text{O}_2$ (0<x<0.5, 0<y<0.5) is reported in 1991, this cathode material has attracted much attention [7]. Layered NCM was first prepared by a solid state reaction at 1000°C in air in 2001 [8]. NCM shows a rechargeable capacity of 150 mAh/g in 3.5–4.2 V or 200 mAh/g in 3.5–5.0

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V. Research shows that the NCM has the α -NaFeO₂ structure, which is the characteristic of the layered LiCoO₂ and LiNiO₂ structures and shows larger capacity of more than 150 mAh/g in the voltage range of 2.5–4.2 V with excellent cycle ability and no transformation to spinel phase during electrochemical cycling [9–11]. The DSC measurements shows the thermal behavior of NCM is milder than that of LiCoO₂ or LiNiO₂[12].

Figure 1 shows two structural models for NCM [13]. The first model illustrated in Figure 1(a) consists of [Co1/3Ni1/3 $Mn_{1/3}O_2$ slabs of the $\sqrt{3} \times \sqrt{3}$ $R30^{\circ}$ -type super lattice in Wood's notation based on basal net in triangular lattice of sites. The second model illustrated in Figure 1(b) consists of CoO₂, NiO₂ and MnO₂ slabs piled up regularly, called piled-up model hereafter. This structure has Li-ions at the 3a position, the transition metal ions (M=Mn, Co, Ni) at 3b position and O ions at 6c position. Layered structure intercalation material provides a 2-dimensional interstitial space, which can facilitate fast mobility of Li⁺ ion in the host materials. The structures with 1-dimensional tunnels, such as olivine [14], encounter the difficulty of Li-ion bulk diffusion. However, some researchers believe that the magnitudes for ionic conductivity in both the two directions and the diffusion coefficient are the same at elevated temperatures [15].

However, data from laboratory, synchrotron, and constant wavelength neutron sources show that $\text{LiNi}_{1/3}\text{Co}_{1/3}$ $\text{Mn}_{1/3}\text{O}_2$ does not present any evidence of a [$\sqrt{3} \times \sqrt{3}$]R30°type super lattice [16]. So it is necessary to study the structure of $\text{LiNi}_{1/3}\text{Co}_{1/3}\text{Mn}_{1/3}\text{O}_2$.

We tested the charge-discharge properties at 0.1 C current density. The voltage rapidly rose to 3.6 V at first and then rose to 4.5 V slowly. The initial discharge capacity and the irreversible capacity were 173 and 25 mAh/g, respectively. With the increase of the numbers of cycle, the discharge capacity reduced gradually. Typical chargedischarge profile of NCM is shown in Figure 2. This result is similar to the reports [8,17].

Now the high-performance cathode material still has a lot of problems to be resolved, such as cation mixing, capacity decaying too fast at a large current and the transition metal ions dissolving into electrolyte. These issues limit the use of



Figure 1 Schematic illustration of the framework of NCM. Reprinted from [13] with permission from Elsevier.



Figure 2 Typical charge-discharge profiles of NCM.

NCM in lithium batteries.

2 Methods for promoting electrochemical properties of LiNi_{1/3}Co_{1/3}Mn_{1/3}O₂

A lot of fruitful work has been done by many researchers on solving the problems in order to enhance the electrochemical properties of NCM.

2.1 The inhibition effect on cation mixing

A partial interchange of occupancy of Li⁺ and transition metal ions among the sites (i.e. Li⁺ in 3b and M in 3a sites) would give rise to disordering in the structure called 'cation mixing', which is known to deteriorate the electrochemical performance of the above layered compounds [17]. More Ni²⁺ in lithium layer results in harder de-intercalation of Li⁺, and the electrochemical properties gets worse [18]. The lithium excess cathode is found to exhibit better cyclability and rate capability than the stoichiometric cathode up to a cutoff charge voltage of 4.6 V in lithium cells [19]. The better electrochemical performance of the lithium excess cathode is attributed to a smaller volume change occurring during the discharge-charge cycling and a consequent suppression of lattice strain and impedance growth [20]. The higher Li/M molar ratio on electrochemical performances of layered NCM oxide improved specific capacity, rate performance and the first cycle coulombic efficiency and inhibited the cation mixing.

NCM samples are synthesized based on the homogeneous precursors formed in ethanol [21]. Special synthetic conditions at 0°C were used to prepare nanostructured NCM via chemical coprecipitation synthesis [22]. NCM with nanostructured shows a well-ordered layered hexagonal lattice with low cation mixing. Galvanostatic testing shows good electrochemical properties and high rate capability. The initial charge and discharge capacities are 208.7 mAh/g and 171.8 mAh/g at a constant current density of 32 mA/g (0.2 C), respectively. The material shows stable cycling properties and a capacity of 162.5 mAh/g is obtained after 50 cycles. Especially, the discharge capacity obtained at the 4 C rate is 84.2% of that at 0.2 C, which indicates the good high rate performance of the material prepared. A porous cathode material composed of interconnected single crystal NCM nanoparticles was synthesized via nitric acid as a pH value adjuster [23]. The modified sol-gel process results in significant improvement in chemical and physical characteristics. These structural modifications in turn significantly enhance the electrochemical properties of the NCM cathode material, in terms of improved charge/discharge capacity, cyclic stability and rate capability and reduced cation mixing. Layered lithium transition metal oxide cathode materials were synthesized through a simple carbonate co-precipitation method [24]. NCM cathode could deliver a discharge capacity of 190 and 166 mAh/g at constant current density of 25 and 250 mA/g, respectively. Non-spherical NCM powders have been synthesized using a two-step drying method with 5% excess LiOH at 800°C for 20 h [25]. The initial discharge capacity of 167 mAh/g is obtained between 3 and 4.3 V at a current of 0.2 C rate. The capacity of 159 mAh/g is retained at the end of 30 charge-discharge cycle with capacity retention of 95%.

Hydrothermal reaction is another method for synthesizing cathode materials like LiFePO₄[26]. The materials with best electrochemical properties synthesized via hydrothermal reaction for 30 h and calcined at 850°C for 6 h [27]. The initial charge and discharge capacity is 223.5 and 187.7 mAh/g at a current density of 20 mA/g, respectively. The material shows stable cycling properties. Capacity maintains at 97.9% at 1 C rate after 40 cycles. Cyclic voltammetry tests (Figure 3) show that the cathodic and anodic peak of every cycle is almost at the same place, which indicates the good cycle ability. The electrochemical impedance spectroscopy test shows the impedance after 40 cycles.

 $\text{LiNi}_x \text{Co}_{1-2x} \text{Mn}_x \text{O}_2(1/3 \le x \le 1/2)$ electrode powders were prepared by a sol-gel method. It was synthesized and characterized [28]. Increasing the *x* value in the synthesized



Figure 3 Cyclic voltammogram of NCM. Reprinted from [27] with permission from Elsevier.

 $LiNi_xCo_{1-2x}Mn_xO_2$ powders leads to decrease its particle size and increase its cation mixing. The best specific capacity of 192 mAh/g was obtained for the LiNi_{0.375}Co_{0.25}Mn_{0.375}O₂ electrode with good capacity retention among the synthesized materials cycled at 0.1 C in the potential between 3.0 and 4.5 V at room temperature. Although structural parameters of the LiNi_{0.375}Co_{0.25}Mn_{0.375}O₂ powder are similar to those of the NCM powder, its specific capacity is higher due to the increase of the stoichiometry of active site Ni. The increase of Ni and Mn content could reduce cost of the materials. It is found that the cell performance of the $LiNi_xCo_{1-2x}Mn_xO_2$ electrode drops dramatically and its cation mixing increases while the x value is higher than 0.4. Layered O₂-phase lithium manganese oxides was synthesized, $Li_{2/3}(Ni_{1/3-x}Mn_{2/3-x}M_{2x})O_2(M=Cr, Co, x=0.05)$ by ion exchange of Li^+ for Na^+ in P_2 - $Na_{2/3}(Ni_{1/3-x}Mn_{2/3-x}M_{2x})O_2$ precursors via sol-gel method [29]. The discharge capacity of O₂-Li_{2/3}(Ni_{1/3-x}Mn_{2/3-x}Co_{2x})O₂ (x=0.05) is around 188 mAh/g at a current density of 0.2 mA/g.

2.2 The capacity attenuation at high current

LiCo_{0.8}Mn_{0.2}O₂ powders were synthesized using a sol-gel method [30]. The capacity at 1 C rate is 134 mAh/g. This performance was not necessarily expected for this material, since Mn³⁺ is a Jahn-Teller ion, which implies that the change of valence $Mn^{3+} \Leftrightarrow Mn^{4+}$ is accompanied by local distortions, and thus strains fields, which reduces the life of the battery, especially at high discharge current. For NCM, there is the same Jahn-Teller phenomenon. Researchers use doping anion like fluorine and sulfur to improve the stability of the structure, the cycle performance and lithium ion migration rate. The M-F bond energy is greater than M-O bond energy because the fluorine and oxygen is the element of the same cycle and fluorine ion radius is smaller than the ionic radius of oxygen. So doping a little amount of fluorine could improve the stability of the structure of the NCM and achieve the purpose of improving the cycle performance [31,32].

 $Li[Ni_{0.333}Co_{0.333}Mn_{0.293}Al_{0.04}]O_{2-z}F_z(0 \le z \le 0.1)$ were synthesized from a sol-gel precursor [33]. It shows an improved cathodic behavior and discharge capacity retention compared to the undoped samples in the voltage range of 3.0-4.3 V. The electrodes prepared from Li[Ni_{0.333}Co_{0.333} Mn_{0.293}Al_{0.04}]O_{1.95}F_{0.05} delivers an initial discharge capacity of 158 mAh/g and an initial coulombic efficiency is 91.3%, and the capacity retention at the 20th cycle is 94.9%. Though the F-doped samples have lower initial capacities, they show better cycle performances compared with F-free samples. Therefore, this is a promising material for a lithium-ion battery. To improve the electrochemical property of NCM at high upper voltage limit of 4.6 V, fluorine is partly substituted for oxygen. Fluorine is both substituted in bulk and coated on the surface of NCM. It shows stable cycling performance and improvement of high rate capability compared with bare NCM. In addition, fluorine substitution catalyzes the growth of the primary particles, which in turn resulted in high tap density as well as high volumetric capacity [34]. Researchers found that the initial discharge capacity of M-doped ($M=Mg^{2+}$, Ti^{4+} , Al^{3+} , Zn^{2+} and Ce^{2+}) NCM decreased because the M was not involved in the redox process [35–38]. And the cation mixing phenomenon is serious because the Mg^{2+} radius is close to the Li⁺ radius. However, Mg^{2+} could improve the the stability of the structure of the NCM and facilitate the cycle stability of materials at high voltage and high current.

An initial search with density functional theory to sort through potential cathode materials based on their Li⁺ intercalation potentials and electronic structures is carried on. Fe³⁺ substitution is found to be advantageous. The material shows the lowest voltage at the last stage of the charge [39].

In addition, some researchers modify the cathode materials by coating the compounds [40]. The Al(OH)₃-coated NCM shows a capacity retention of 80% at 320 mA/g based on 20 mA/g, while the pristine NCM delivers only 45% at the same current density. Also, unlike pristine NCM, the Al(OH)₃-coated Li[Ni_{1/3}Co_{1/3}Mn_{1/3}]O₂ cathode exhibits excellent rate capability and good thermal stability.

2.3 The inhibition effect on transition metal dissolution

Spontaneous reactions occurring at the surface of LiNi_{0.8} Co_{0.2}O₂ and LiMn₂O₄-based electrodes during the storage in organic non-aqueous electrolytes (LiPF₆, EC, DMC) have been investigated by diffuse reflectance FTIR technique. It is suggested that the products of these reactions create a film on the surface of lithium metal and graphite electrodes. This surface film is found to play an important role in the electrochemical processes occurring during cycling. Therefore, it has attracted great scientific interest. It is often called a "passivating layer" or a "solid electrolyte interphase"(SEI) [41]. Meanwhile, there is a possibility of ionic exchange between LiMn₂O₄ and ions such as H⁺ (e.g. coming from unavoidably present HF in LiPF₆ solutions). This leads to the formation of an inactive mass on the LiMn₂O₄ particles. The new compounds formed on LiMn₂O₄ particles are organic polycarbonates and polymeric hydrocarbons, and salt-based products are also observed. LiF is the main product. Such phenomena results in capacity fading of these electrodes in solutions, especially at elevated temperatures [42,43].

For LiNi_{0.5}Mn_{0.5}O₂, coating with a compound could improve the electrochemical properties [44,45]. There are some studies about the coating effects on NCM. Some studies show that C, ZrO₂, TiO₂, Al₂O₃, Al(OH)₃ coating on NCM could improve the electrochemical properties [40,46]. Modification which can improve the electrochemical properties is attributed to existence of the coating layer. It can separate the electrolyte from direct contact with the active cathode material and prevent dissolution of the cathode ma

terials. Surface coating suppresses the interaction between LiCoO₂ and the electrolyte at the uncharged state and alleviates the electrolyte decomposition at charged states. Modifying the surface of commercial LiCoO₂ particles with nano-MgO can suppress chemical reactions on the electrode surface significantly. Cr₂O₃-coated NCM cathode material was synthesized via a novel method [47]. The measured results indicate that surface coating with 1.0wt% Cr₂O₃ does not affect the NCM crystal structure (α -NaFeO₂) of the cathode material compared with the pristine material, the surfaces of NCM samples are covered with Cr₂O₃ well, and the NCM material coated with Cr2O3 has better electrochemical performance under a high cutoff voltage of 4.5 V [48]. The coated samples are found to display the improved electrochemical performance, which is mainly attributed to the suppression of the charge-transfer resistance at the interface between the cathode and the electrolyte. CeO₂coated NCM has been synthesized with sol-gel method for Li-ion batteries [49]. It indicates that coating metal oxides is an effective way to improve the electrochemical properties of cathode materials. The improvement of the discharge capacity and cycling characteristics is due to the coating layer that could reduce the direct contact between the cathode material and electrolyte thus protecting the surface of cathode material from harmful reaction with the electrolyte. The effect of the heat treatment of particles at 600°C with organic substances such as sucrose and starch is investigated [50]. The results indicate that the surface of particles has been modified (Figure 4(a), (b)). The annealing does not lead to any carbon coating but it leads to the crystallization of the thin disordered layer on the surface of NCM (Figure 4(c), (d)). And the capacity at 10C-rate is enhanced by 20%. Polymer-NCM composites are prepared to test. Polymers on



Figure 4 TEM and HRTEM images of NCM powders for as-grown and heat treated sample with sucrose at 600°C for 30 min in air. Reprinted from [50] with permission from Elsevier.

the surface of NCM could significantly decrease the chargetransfer resistance of NCM [51,52]. Grapheme was used as a conductive additive to enhance the discharge capacity and rate capability of NCM [53]. The results indicate a better electrochemical performance of the NCM-graphene composite in terms of high discharge capacity (188 mAh/g), good rate capability, and good cycling performance compared with NCM. The improved electrochemical performance of the NCM-graphene composite is attributed to a decrease in the charge-transfer resistance.

3 Conclusion

The electrochemical properties of anode materials are better than those of cathode materials [54,55]. And the electrolytes apply widely [56,57]. Therefore, the performance of the cathode material determines the performance of the lithium-ion batteries. NCM is a cathode material with layered crystal structure, good thermal stability, low cost of production and superior electrochemical performance for lithium batteries. It is expected to be a good competitor for the next generation of lithium battery cathode material. Synthesizing NCM with a stable structure and good de-intercalation property, inhibiting cation mixing, promoting the performance of large-current discharge ability and preventing elution of the transition metal is the focus of current research.

4 **Prospects**

We have done a lot of work on NCM system and have got some achievement in recent years. We used activated carbon as a template to synthesize NCM via a sol-gel method. The layered NCM materials with good crystalline are synthesized by a novel method of hydrothermal method followed by a short calcination process [6]. In order to improve the electrochemical properties, we doped metal ions, such as Al, Y, Cr, into the bulk of NCM [58]. And we coated Y₂O₃, CeO₂ and TiO₂ on the surface of NCM [59–61]. The Li-rich layered cathode material, Li[Ni_{0.2}Li_{0.2} Mn_{0.6}]O₂, was synthesized via a "mixed oxalate" method [62].

The lithium-ion batteries have a bright prospect [63]. Meanwhile lithium-ion batteries have to compete with other types of energy storage materials [64–66]. The NCM would become a good competitor for power battery by promoting its special capacity, energy density, rate capability, cycle life, especially the thermal stability properties [67]. The positive temperature coefficient resistors (PTC) are usually equipped as a safety device on the crust of commercial lithium ion batteries to restrict or even prevent current from flowing through the cell under abusive conditions [68].

In recent years, lithium-enriched manganese-based solid

solution $x\text{Li}[\text{Li}_{1/3}\text{Mn}_{2/3}]\text{O}_2 \cdot (1-x)\text{LiMO}_2$ is a new cathode material for lithium ion batteries. Researches on these materials still dedicate to promoting the electrochemical properties [69,70].

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