

## The altitude effect of $\delta^{18}\text{O}$ in precipitation and river water in the Southern Himalayas

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The lapse rate of water isotopes is used in the study of the hydrologic cycle as well as in the estimation of uplift of the Tibetan Plateau. The greater elevation contrast in the Southern Himalayas allows for a detailed discussion about this lapse rate. We analyze variations of  $\delta^{18}\text{O}$  in precipitation and river water between 1320 m and 6700 m elevations in the Southern Himalayas, and calculate the specific lapse rate of water  $\delta^{18}\text{O}$ . The results show that the multi-year average lapse rate in precipitation over this region is 0.15‰/100 m. The one-year average lapse rate is 0.17‰/100 m from three sites along the Southern Himalayas. The two results agree, but are much lower than the global average of 0.28‰/100 m. This work also shows that there is a difference in precipitation  $\delta^{18}\text{O}$  lapse rate between the monsoon and non-monsoon seasons. The calculated precipitation lapse rate is much lower than that in surface water.

**$\delta^{18}\text{O}$ , precipitation, river water, isotopic lapse rate, southern Himalayas**

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Understanding water stable isotopes in the Tibetan Plateau region is a prerequisite of paleoclimate and paleoenvironmental work. They have a variety of applications, such as paleothermometry in ice core studies [1–6] and as environmental tracers in the regional hydrologic cycle [7–10]. In recent years, the oxygen isotope has been used as an altimeter for plateau uplift. This is related to the “altitude effect”, in which the precipitation isotope decreases with altitude. Thus, it is likely that isotope signals from the paleo-sediment record may preserve information about plateau uplift. Oxygen isotope signals from paleosoil have been used to reconstruct the uplift history of the plateau [11–20]. The stable isotope lapse rate is also used to calculate the contribution of tributaries from different elevations [21].

A crucial issue is calculating the precise isotopic lapse

rate [15]. This can be achieved by a few approaches, such as isotopes in precipitation, river water, snow and ice, as well as modeling based on Rayleigh fractionation [21–29]. Yao et al. [22] studied the impact of the Indian monsoon on  $\delta^{18}\text{O}$  lapse rate in different waters. However, there is still an open question, which is related to the following. Water isotopes are influenced by global and regional hydrologic cycles. This is especially true for the Tibetan Plateau, where large-scale atmospheric circulation interactions cause large spatial and temporal changes of precipitation isotopes [8,11,30]. Prior investigations were mainly restricted to regions below 5000 m altitude. The application of these findings for evaluating plateau uplift at higher elevations has been questioned [28]. The easiest and most feasible method is to use the river water isotope to evaluate isotopic lapse rate [13, 22,29,31–35]. However, there is also uncertainty in this isotope that can affect lapse rate calculation. The isotope is

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an average of the water isotope from the entire basin above the river water-sampling site. The river water isotope changes seasonally. Earlier work on the Southern Tibetan Plateau shows that there is a greater shift in the precipitation  $\delta^{18}\text{O}$  in winter than in summer [7,8]. The river water isotope is a result of isotope fractionation in land surface evaporation. However, this effect is difficult to evaluate quantitatively for different catchments. Considering the above uncertainties, the most feasible method is to estimate a relatively constant isotopic lapse rate using the long-term continuous precipitation  $\delta^{18}\text{O}$ .

The Southern Himalayas region is ideal for calculating the precipitation isotopic lapse rate, because it has a large altitude contrast over a short distance. This may effectively reduce the spatial effect of the precipitation isotope on lapse rate calculation. Although there is a Global Network of Isotopes in Precipitation (GNIP), it has few stations on the Tibetan Plateau. We also maintain a regional network of isotopes in precipitation in the plateau area. Unfortunately, most of these stations are on the part of the plateau inland of the Himalayan range, and their isotopic data are not usable for lapse rate calculation. Instead, we use isotope data, including precipitation and ice core, from three stations in the Southern Himalayas to obtain reliable precipitation isotopic lapse rates. We also compare those with river water lapse rates.

## 1 Study area

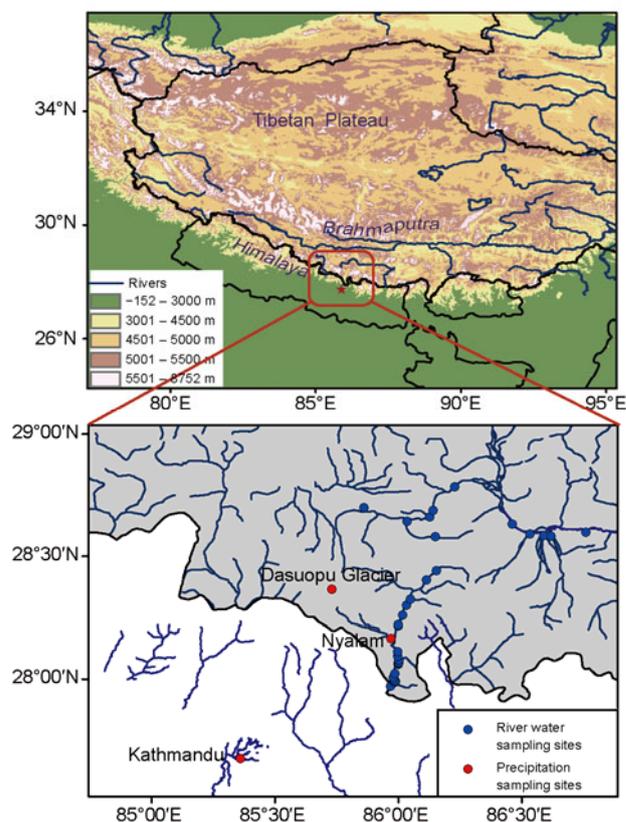
The study region is in the middle of Southern Himalayas, shown in Figure 1. The three sampling sites, from higher to lower elevation, are Dasuopu glacier (Xixibangma), Nyalam and Kathmandu. The Dasuopu ice core-drilling site (28.38°N, 85.73°E) is in a large flat area at 6700 m, on the upper Dasuopu Glacier. A Dasuopu ice core drilled in 1997 reveals climate change over the last 2000 years [2,36,37]. Nyalam (28.18°N, 85.97°E) is in the middle of the Southern slope of the Himalayas, at an altitude of 3811 m. The third station is Kathmandu (27.69°N, 85.36°E), capital of Nepal, at an altitude of 1320 m. River water was sampled from the Boqu River. This river is recharged by glacier meltwater and precipitation, and flows from the Himalayan summits to Nepal, and ultimately to the Indian Ocean.

## 2 Water sampling and measurement

We use continuous precipitation isotope data from Kath-

mandu and Nyalam, where there is continuous *in situ* observation. Precipitation was sampled over the entire year of 1998 in Kathmandu, attaining 116 samples. At Nyalam, sampling was from 1996–2010, obtaining 1281 samples. For the higher site, we use the shallow ice core isotope from Dasuopu Glacier instead of precipitation data. It has been demonstrated that the impact of post-deposition processes on the isotopic signal can be ignored [38]. On 19 August 2006, a shallow ice core of 16.8 m depth was drilled in the glacier. This ice core was dated to 1991, based on the seasonal change of  $\delta^{18}\text{O}$  [39]. On August 20, 2010, a snow pit of 2 m depth was sampled at 0.1 m intervals. This snow pit spanned a 2-year snow accumulation. There were 210 samples from the glacier. Table 1 details the water sampling, including location, duration and total number of samples.

For comparison, river water samples were collected from the main stream of Boqu River. There were 39 river samples collected from September 26–27, 2011, over an altitude range of 1845–5057 m. Sampling altitude was measured by a portable GPS.



**Figure 1** Research area and sampling sites.

**Table 1** Precipitation sampling at three sampling sites in Southern Himalayas

Station	Latitude (N)	Longitude (E)	Altitude (m)	Sampling period	Number of samples
Kathmandu	27.69°	85.36°	1320	1998-04–1999-02	116
Nyalam	28.18°	85.97°	3811	1997–2010	1281
Dasuopu Glacier	28.38°	85.73°	6700	1997–2010	210

Most water samples were measured with a Finnigan MAT 253 mass spectrometer at the Key Laboratory of Tibetan Plateau Environment Changes and Land Surface Processes (TEL), within the Institute of Tibetan Plateau Research of the Chinese Academy of Sciences (CAS) in Beijing. The measurements have an analytical precision of  $\pm 0.1\text{‰}$  for  $\delta^{18}\text{O}$ . Precipitation samples before 2004 were measured with a Finnigan MAT 252 at the State Key Laboratory of Cryosphere Sciences within the Cold and Arid Regions Environmental and Engineering Research Institute of CAS in Lanzhou. Measurement precision was  $\pm 0.2\text{‰}$  for  $\delta^{18}\text{O}$ . Samples in 2010 were analyzed with a Picarro Liquid Water Analyzer at TEL, with precision  $\pm 0.15\text{‰}$  for  $\delta^{18}\text{O}$ . The value of isotopic ratio is expressed per mil relative to Vienna Standard Mean Ocean Water (VSMOW).

The average  $\delta^{18}\text{O}$  of precipitation here is the weighted mean of precipitation amount.  $\delta^{18}\text{O}$  in the shallow ice core and firn pit is the weighted mean with firn density. All data are normally distributed, with a 0.05 significance level.

### 3 Results and discussion

#### 3.1 $\delta^{18}\text{O}$ lapse rate from precipitation in Southern Himalayas

We examine the precipitation  $\delta^{18}\text{O}$  lapse rate in the Southern Himalayas. The annual  $\delta^{18}\text{O}$  lapse rate is estimated from precipitation and snow data, from the three sites at different elevations. Seasonal changes are also discussed. The multi-year average  $\delta^{18}\text{O}$  lapse rate is calculated with precipitation  $\delta^{18}\text{O}$  from two sites.

For the annual lapse rate, we used 116 precipitation samples at Kathmandu from the period April 1998 through February 1999. There were 129 precipitation samples at Nyalam during the period March 1998 through February 1999.  $\delta^{18}\text{O}$  at Dasuopu Glacier is from the same period but taken from the 2006 shallow ice core, which included 16 firn samples.

Based on the annual  $\delta^{18}\text{O}$  at the three sites, Figure 2 gives the variation of precipitation  $\delta^{18}\text{O}$  with altitude during 1998. This  $\delta^{18}\text{O}$  decrease varied from  $-8.7\text{‰}$  at Kathmandu to  $-11.3\text{‰}$  at Nyalam, and  $-17.7\text{‰}$  in Dasuopu, in order of increasing altitude. The error bars in Figure 2 represent the standard deviations of the entire  $\delta^{18}\text{O}$  dataset at each site. The linear correlation between  $\delta^{18}\text{O}$  and elevation is  $\delta^{18}\text{O} = -0.0017h - 5.74$  ( $r^2 = 0.95$ ), where  $h$  is elevation in m. The slope of the regression equation shows a precipitation  $\delta^{18}\text{O}$  lapse rate of about  $0.17\text{‰}/100\text{ m}$ . This calculated lapse rate is much lower than the global average of  $0.28\text{‰}/100\text{ m}$  [23].

In summer, there is a prevailing Indian monsoon in the Himalayas. With monsoon onset, the rainy season begins progressively, from south to north on the Tibetan Plateau. Although winter precipitation shows large spatial variation across the plateau, summer precipitation is consistent over a wide area. This makes it possible to calculate the  $\delta^{18}\text{O}$  lapse

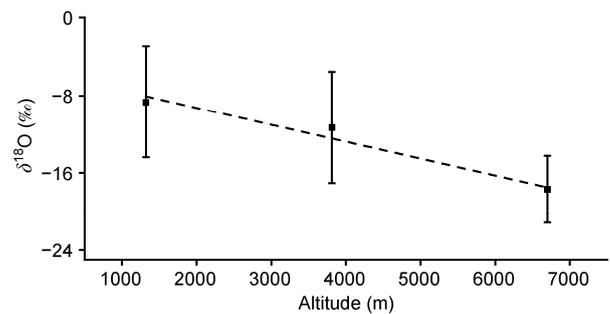
rate in the summer monsoon season, for comparison with the non-monsoon season. Thus, we separate the 1998 precipitation  $\delta^{18}\text{O}$  into monsoon season (June–September) and non-monsoon season (October–following May) for the three sites. We list the precipitation  $\delta^{18}\text{O}$  in both seasons at each site in Table 2.

The summer monsoon has lower precipitation  $\delta^{18}\text{O}$ , because of heavy convective rainfall in monsoon precipitation. Figure 3 shows trend lines between  $\delta^{18}\text{O}$  and altitude in both seasons. The linear regression equations are

$$\begin{aligned}\delta^{18}\text{O} &= -0.0015h - 9.17 \quad (r^2=1) \quad (\text{monsoon season}), \\ \delta^{18}\text{O} &= -0.0023h + 1.10 \quad (r^2=0.91) \quad (\text{non-monsoon season}).\end{aligned}$$

The calculated precipitation  $\delta^{18}\text{O}$  lapse rate in the monsoon season is  $0.15\text{‰}/100\text{ m}$ , matching the result calculated for 1998.

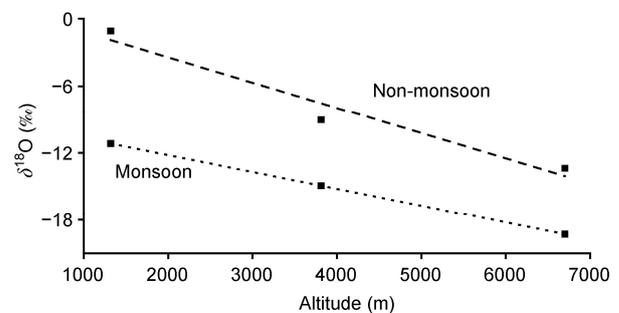
In the non-monsoon season, the calculated lapse rate is  $0.23\text{‰}/100\text{ m}$ , biased from the average of about  $0.15\text{‰}/100\text{ m}$ . This is likely because of the relatively higher  $\delta^{18}\text{O}$  in winter precipitation at Kathmandu (Figure 3). The Kathmandu precipitation amounts in monsoon and non-monsoon seasons were 1286 mm and 424.1 mm, respectively, for the sampling period in 1998. There was less precipitation in the



**Figure 2** Relationship between altitude and annual  $\delta^{18}\text{O}$  in 1998 precipitation in Southern Himalayas.

**Table 2**  $\delta^{18}\text{O}$  in precipitation during monsoon and non-monsoon seasons in Southern Himalayas, 1998

Station	Kathmandu	Nyalam	Dasuopu Glacier
Monsoon (‰)	-11.17	-14.93	-19.29
Non-monsoon (‰)	-1.07	-9.04	-13.37



**Figure 3** Altitude effect of precipitation  $\delta^{18}\text{O}$ , in monsoon and non-monsoon seasons.

non-monsoon season, and most of it occurred in a few events; this weakens the representativeness of the isotope data.

The seasonal and annual changes, as well as spatial variations in precipitation  $\delta^{18}\text{O}$ , can increase uncertainty in the calculated isotopic lapse rate. The multi-year average can effectively smooth this uncertainty [28]. Here, we use long-term observation of precipitation  $\delta^{18}\text{O}$  to evaluate a more stable  $\delta^{18}\text{O}$  lapse rate in the Southern Himalayas. Because long-term isotope observations are very sparse, we use the precipitation  $\delta^{18}\text{O}$  at only two sites, Nyalam and Dasuopu Glacier.

At Nyalam, precipitation  $\delta^{18}\text{O}$  data are from 1997 to 2010. There were interruptions in precipitation sampling during 2001, 2002 and 2004, so we use average precipitation  $\delta^{18}\text{O}$  data from the remaining 11 years. For Dasuopu, we use average  $\delta^{18}\text{O}$  data from the period 1997–2006, preserved in the 2006 ice core, and 2-year data from the 2010 firn pit.

The weighted mean of multi-year precipitation  $\delta^{18}\text{O}$  is  $-17.1\text{‰}$ , calculated from the Dasuopu ice core at 6700 m. The weighted mean of multi-year precipitation  $\delta^{18}\text{O}$  is  $-12.7\text{‰}$  at Nyalam, 3811 m (Table 3). This yields a precipitation  $\delta^{18}\text{O}$  lapse rate of  $0.15\text{‰}/100\text{ m}$ . This lapse rate from multi-year average precipitation isotope data is close to the annual value of  $0.17\text{‰}/100\text{ m}$ , calculated from precipitation isotope data at the three sites in 1998. These results also match the result from the monsoon season. This consistency confirms that reliable  $\delta^{18}\text{O}$  lapse rates can be estimated from long-term observation of precipitation isotopes. The work also shows that the lapse rate is much lower in the Southern Himalayas than the global average ( $0.28\text{‰}/100\text{ m}$ ).

The smaller  $\delta^{18}\text{O}$  lapse rate is consistent with Hou et al. [28]. This substantiates the unique  $\delta^{18}\text{O}$  lapse rate in the Southern Himalayas, which is affected by summer Indian monsoon precipitation. It is believed that the lower lapse rate is related to heavy convective precipitation [28], which the classical Rayleigh fractionation model cannot capture. The stratification of  $\delta^{18}\text{O}$  lapse rate into monsoon and non-monsoon seasons shows that the monsoon-season lapse rate is close to the multi-year average. Limited data from non-monsoon precipitation produce a higher lapse rate. The seasonal lapse rate difference confirms that monsoon precipitation is involved in the reduced lapse rate in the Southern Himalayas.

Another possible factor is the altitude of cloud bottom. This altitude changes abruptly over a short distance along the Southern Himalayas, but may not change as much as the topography. The precipitation  $\delta^{18}\text{O}$  is affected by the altitude of moisture condensation in cloud, not by terrain altitude. As shown above, the results calculated from terrain

elevation yield a lower  $\delta^{18}\text{O}$  lapse rate. Nevertheless, this relationship needs further work.

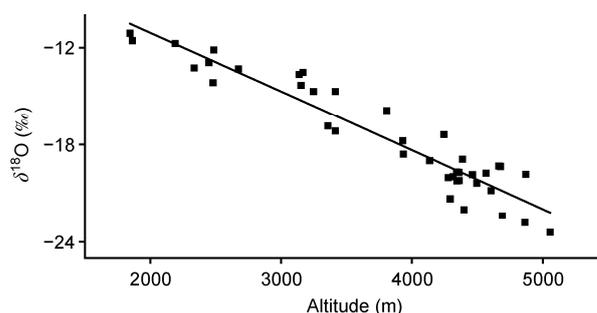
According to the Rayleigh isotopic fractionation model [15], the relationship between precipitation  $\delta^{18}\text{O}$  and altitude is not linear, especially at extremely high elevation. At high elevation, the  $\delta^{18}\text{O}$  lapse rate decreases. Our result, derived from precipitation  $\delta^{18}\text{O}$  over an altitude span 1320–6700 m, is  $0.15\text{‰}/100\text{ m}$ . Kang et al. [27] obtained a lapse rate of  $0.1\text{‰}/100\text{ m}$  from  $\delta^{18}\text{O}$  variation in new snow between 5800 m and 7000 m. Both these results from the Himalayas are relatively low.

We attempt to obtain a constant isotopic lapse rate from precipitation in the Southern Himalayas. The number of observation stations and duration of observation are lacking. Further, the annual variation of precipitation isotopes is large, and is difficult to evaluate. There is still a great need for long-term, continuous observation at more stations in the region, toward an improved understanding of the precipitation isotopic lapse rate.

### 3.2 $\delta^{18}\text{O}$ lapse rate from river water in the Southern Himalayas and comparison with precipitation result

Figure 4 shows the altitude dependence of river water  $\delta^{18}\text{O}$ , from 39 samples collected in the Southern Himalayas during 2010. The relation between  $\delta^{18}\text{O}$  in river water and altitude is robust, indicating a progressive depletion of river water  $\delta^{18}\text{O}$  with altitude. Regression yields the relation  $\delta^{18}\text{O} = -0.0036h - 3.83$  ( $r^2=0.90$ ;  $P=0.01$ ). The linear fit is good. However, the  $\delta^{18}\text{O}$  lapse rate of  $0.36\text{‰}/100\text{ m}$  from this relation is much higher than the multi-year average of  $0.15\text{‰}/100\text{ m}$ , calculated from precipitation.

The  $\delta^{18}\text{O}$  lapse rate derived from river water is much larger than that from precipitation. In Table 4, we summarized the lapse rates from the Tibetan Plateau and adjacent regions, as reported by several studies. These works focused on river and underground water, at altitudes typically below



**Figure 4** Relationship between altitude and  $\delta^{18}\text{O}$  in river water in Southern Himalayas.

**Table 3** Multi-year  $\delta^{18}\text{O}$  in Nyalam precipitation and Dasuopu ice core

Station	Altitude (m)	Sampling period	$\delta^{18}\text{O}$ (‰)	Regression equation
Dasuopu Glacier	6700	1997–2010	-17.1	$\delta^{18}\text{O} = -0.0015h - 6.85$
Nyalam	3811	1997–2010	-12.7	

**Table 4**  $\delta^{18}\text{O}$  lapse rate in water in the Himalaya-Tibetan Plateau region

Regional of interest	Type of water	Altitude range (m)	Lapse rate (‰/100 m)	Reference
Global	precipitation, snow, ice		0.28	[25]
The northern slope of the Himalayas	new snow	5800–7000	0.1	[27]
The southern slope of the Himalayas	precipitation	3811–6700	0.15–0.17	this paper
Tibetan Plateau	precipitation	<5000	0.33	[22]
The southern slope of the Himalayas	river	1845–5057	0.36	this paper
Tibetan Plateau	river	<5000	0.31	[22]
The northeast of Tibetan Plateau	Brahmaputra	<5000	0.14	[29]
Northeastern Tibetan Plateau, Qilian Mountain	Heihe River	<5000	0.18	[21]
The front of the Himalayas, the east edge of the Tibetan Plateau	groundwater, stream	<4000	0.29	[30,31]
Riverhead of Ganges	river	300–3000	0.19	[32]
Southwest of the Himalayas	river	400–2400	0.11	[33]
Northern and southern Tibetan Plateau	river	900–5500	0.24	[13]
Kumaun Himalayas	river, spring	915–2150	0.14–0.15	[34]
India	geothermal springs		0.2–0.3	[35]

5000 m. Compared with precipitation, the calculated  $\delta^{18}\text{O}$  lapse rate shows a large range, from 0.1 to 0.36‰/100 m. Also, lapse rates in river water are usually higher, with an average around 0.23‰/100 m, compared with the average 0.15‰/100 m from precipitation.

There are several reasons for the difference in isotopic lapse rate between precipitation and river water. The river water isotope value is actually an average of water in the entire basin above the sampling site. The altitude of the sampling site is not equal to the average basin altitude. The basin area, river discharge processes, types of water origin in the basin (precipitation, glacier melt water, underground water, and others), and seasonality of precipitation can all modify the river water isotopic component, and thus the calculated isotopic lapse rate. But quantitative evaluation of these factors is lacking.

Land surface evaporation is another possible reason. With lower altitude, and therefore higher temperature, heavy isotope enhancement in residual water is more significant. This process increases the calculated isotopic lapse rate from river water, making it higher than that of precipitation. Further, there are undetermined parameters affecting this process.

## 4 Conclusion

Based on  $\delta^{18}\text{O}$  variations in precipitation and ice along a section from 1320 m to 6700 m elevation in the Southern Himalayas, we calculated the  $\delta^{18}\text{O}$  lapse rate on both annual and multi-year scales. Results show that the  $\delta^{18}\text{O}$  lapse rate is 0.15‰/100 m on the multi-year scale, and 0.17‰/100 m on the annual scale from three sites. These results are very close, but are much lower than the global average of 0.28‰/100 m. Limited data also reveal that the isotopic lapse rate is smaller in the monsoon season, and higher in the non-monsoon season. This implies a role for monsoon precipitation in the reduced  $\delta^{18}\text{O}$  lapse rate found in the Southern Himalayas. We also found that the isotopic lapse rate is

generally higher in river water than in precipitation, and also has a large fluctuation.

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