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# Synthesis, properties, and optical applications of noble metal nanoparticle-biomolecule conjugates

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Noble metal nanoparticles, such as gold or silver nanoparticles and nanorods, exhibit unique photonic, electronic and catalytic properties. Functionalization of noble metal nanoparticles with biomolecules (e.g., protein and DNA) produces systems that possess numerous applications in catalysis, delivery, therapy, imaging, sensing, constructing nanostructures and controlling the structure of biomolecules. In this paper, the recent development of noble metal nanoparticle–biomolecule conjugates is reviewed from the following three aspects: (1) synthesis of noble metal nanoparticle-biomolecule systems by electrostatic adsorption, direct chemisorption of thiol derivatives, covalent binding through bifunctional linkers and specific affinity interactions; (2) the photonic properties and bioactivation of noble metal nanoparticle–biomolecule conjugates; and (3) the optical applications of such systems in biosensors, and medical imaging, diagnosis, and therapy. The conjugation of Au and Ag nanoparticles with biomolecules and the most recent optical applications of the resulting systems have been focused on.

#### noble metal nanoparticle, biomolecule, integration

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With the development of nanotechnology in biology and medicine, the demand to integrate nanoparticles with biomolecules to form conjugated or hybrid systems has increased in recent years. This is because such structures are versatile in applications including catalysis [1], delivery [2], therapy [3], imaging [4], sensing [5], constructing nanostructures [6] and controlling the structure of biomolecules [7].

Metal nanoparticles [4,5,8] are widely used to construct structures that possess unique electric, photonic and catalytic properties such as local surface plasmon resonance (LSPR) [7,9–11], surface-enhanced Raman scattering (SERS) [12], and surface-enhanced fluorescence (SEF) [13,14]. There are two fundamental strategies used to prepare nanoparticles: bottom-up and top-down. The bottomup approach is a basic technique to prepare the metal nanoparticles by reducing their ions and the growth of the nano-

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particles is usually stopped by an agent such as a surfactant or stabilizer. Bottom-up techniques include chemical reduction [15-17], photochemical reduction [18], electrochemical reduction [19], and templating [20] and thermal methods [21,22]. Bottom-up methods allow large-scale synthesis of the nanoparticles, but an obvious disadvantage is that the nanoparticles are usually of non-uniform size and shape compared with those produced by a top-down method. The top-down approach involves removing material from the bulk substrate to leave behind the desired nanostructures. Common top-down methods include photolithography, electron beam lithography, and nanosphere lithography (NSL) [23,24].

Many biomolecules including proteins/enzymes/oligopeptides [7,25], antibody/antigens [1,26], biotin/streptavidin [23,24], and DNA/oligonucleotides/aptamers [6] have been immobilized on the surface of nanoparticles to form noble metal nanoparticle–biomolecule conjugates. The similar size of nanoparticles and biomolecules makes them rela-

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tively easy to integrate.

The synthesis and applications of biomolecule-nanoparticle hybrid systems have been reviewed [27,28]. There have also been a number of articles that review different specific applications of biomolecule-nanoparticle hybrids, such as biosensing [29-34], probing cells [35], delivery [36] and diagnosis [37,38]. For example, Katz et al. [27] reviewed advances in the synthesis of biomolecule (proteins or DNA)-nanoparticle (metal or semiconductor nanoparticles) conjugates as well as their application. Aubin-Tam et al. [28] reviewed studies of the conjugation of protein and nanoparticles using a linker species. In addition, many specific applications have also been reviewed: biomoleculenanoparticle conjugates for the assembly of electrochemical biosensors [29-32], noble metal nanoparticle aggregates as tags for probing cells [35], gold nanoparticles as non-toxic carriers for drug and gene delivery [36], and gold nanoparticles for application in clinical diagnosis [37]. The development of biomolecule-nanoparticle systems has been very rapid, and many new materials have been reported. As a result, it is very imperative for a review of recent research results including new synthesis methods, optical properties and applications. In this paper, the latest studies on the synthesis, optical and bioactive properties, and optical applications of nanoparticle-biomolecule systems are reviewed. Noble metal nanoparticle-biomolecule systems such as Au or Ag-biomolecule conjugates, and their related optical applications in biology and medicine are the focus of this review.

# **1** Synthesis of noble metal nanoparticlebiomolecule conjugates

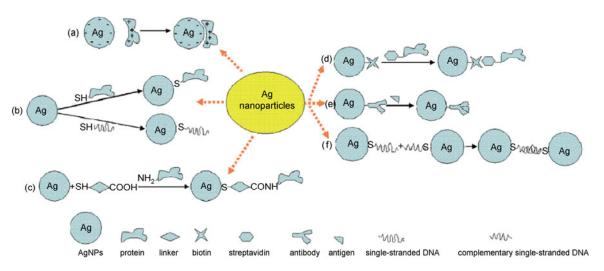
Conjugation of noble metal nanoparticles with biomolecules

has mainly been achieved by one of four major mechanisms: electrostatic adsorption, direct chemisorption of thiol derivatives, covalent binding through bifunctional linkers, and specific affinity interactions. Among these mechanisms, specific affinity interactions can be further classified into sub-categories including biotin-streptavidin binding, antibody-antigen conjugation, and complementary DNA association. These mechanisms are schematically described in Figure 1.

#### 1.1 Electrostatic adsorption

Electrostatic adsorption is a physical approach to fix biomolecules on the surface of nanoparticles. The nanoparticles and biomolecules with opposite charges will be to form the nanoparticle-biomolecule system. As shown in Figure 1(a), silver nanoparticles produced by reduction of citrate can be decorated with human serum albumin (HSA) at pH values higher than the isoelectric point of HSA, which allows more effective binding between the positively charged amino acid side chains of the protein and the negatively charged citrate group of the silver nanoparticles [25].

The electrostatic deposition of biomolecules on nanoparticles such as proteins, enzymes and oligopeptides has recently been extended to multilayer assemblies through the use of layer-by-layer methods [39], which have been widely used to construct multilayer assemblies to enhance the selectivity of multilayer conjugation [40]. In particular, Angelatos et al. [40] used a layer-by-layer coating of colloidal templates with polyelectrolytes to form core-shell particles. They then decomposed the colloidal templates to yield polyelectrolyte multilayer capsules, and loaded macromolecules into these capsules by exploiting the pH-dependence of the permeability of the shell. Finally, they were able to



**Figure 1** (Color online) Synthesis of biomolecule-nanoparticle systems by different routes: (a) assembly of nanoparticle (NP)–protein conjugates by electrostatic adsorption; (b) conjugation of biomolecules (proteins/DNA) on NPs through direct chemisorption of thiol derivation; (c) covalent binding through bifunctional linkers to form biomolecule-NP hybrids; (d)–(f) specific affinity interactions between biomolecules and NPs including (d) biotin-streptavidin binding, (e) antibody-antigen conjugation, and (f) complementary DNA association.

render the capsules optically active by infiltrating lightabsorbing gold nanoparticles into the capsule shell through electrostatic adsorption.

Electrostatic adsorption can be used to assemble biomolecules on the surface of nanoparticles. Furthermore, it causes mutual deposition of nanoparticles and biomolecules. For example, 4-(dimethylamino)pyridine-stabilized gold nanoparticles could infiltrate into polyelectrolyte multilayers preassembled on indium tin oxide electrodes, which led to the formation of multilayer films for use as an electrochemical sensor [42].

Electrostatic adsorption is a simple and convenient method to construct nanoparticle-biomolecule systems; however, it possesses some drawbacks. The systems obtained using this method are unstable and will induce a slight change in the conformation of the biomolecules. For example, the integration of Ag nanoparticles with bovine hemoglobin caused the content of  $\alpha$ -helices in the protein to decrease from 72.5% to 66.2% [43].

## 1.2 Direct chemisorption of thiol derivatives

Direct chemisorption of thiol derivatives on nanoparticles is a simple chemical reaction that occurs between the surface of a nanoparticle and thiol group(s) of a biomolecule (see Figure 1(b)). Thiol groups can be introduced onto the outer part of biomolecules to allow interaction with the surface of nanoparticles. In this situation, the thiol groups in the biomolecules are derived from amino acid residues or are incorporated by chemical methods. Cysteine is usually used to provide thiol groups to bind noble metal nanoparticles with biomolecules. Cysteine residues can assemble on the surface of gold nanoparticles by direct formation of thiol-Au bonds [44,45].

Recently, new applications related to the direct chemisorption of thiol derivatives have appeared. For example, nucleic acids have been attached to nanoparticles through thiol anchor groups [46–49]. Addition of a single-stranded target oligonucleotide (30 bases) to a solution containing the appropriate probes caused a polymeric network of Au nanoparticles to form with a concomitant color change from red to pinkish/purple [49]. Silver nanoparticles functionalized with oligonucleotides and Raman labels coupled with SERS spectroscopy have been used for multiplexed detection of oligonucleotide targets [47]. However, because particle curvature plays an important role in controlling the surface density of DNA on a nanoparticle, and the packing of DNA on nonspherical particles and Au nanorods is inhomogeneous [46].

In contrast to electrostatic adsorption, direct chemisorption of thiol derivatives can produce a more stable noble metal nanoparticle-biomolecule complex because it involves a chemical reaction. However, it still induces a slight change in the conformation of the biomolecule.

## 1.3 Covalent binding through bifunctional linkers

Covalent binding through bifunctional linkers uses bifunctional linkers of low molecular weight to conjugate biomolecules with noble metal nanoparticles (see Figure 1(c)). Such bifunctional linkers contain both anchor groups to attach to the surface of nanoparticles and functional groups that allow covalent binding to the target biomolecule [24,26,50,51].

Recently, a mixed monolayer of 11-mercaptoundecanoic acid (MUA)/6-mercapto-1-hexanol with a molar ratio of 1:3 was used as a bifunctional linker. The monolayer was combined with the surface of silver nanoparticles through its thiol anchor groups and then further conjugated with the protein IgG by the formation of a peptide bond [52]. A monolayer consisting of 1-octanethiol (1-OT)/MUA with a molar ratio of 3:1 has been used to link silver nanoclusters with biotin [23]. Furthermore, it has also been demonstrated that a monolayer of mercaptopropionic acid on gold nanoparticles could provide reactive carboxyl groups that could be modified with biotin [51].

The problems of instability and inactivation caused by nanoparticle/biomolecule integration can be overcome by using bifunctional linkers. An important advantage of this technique it that it retains the stability and activity of biomolecules to allow further conjugation with other biomolecules [5,52]. For example, biotin decorated with silver nanoparticles using a linker have been further linked to streptavidin [23,24,51].

## 1.4 Specific affinity interaction

Nanoparticles decorated with groups that provide sites to bind to biomolecules have been used to produce adducts with specific biomolecules such as streptavidin (see Figure 1(d)), proteins (see Figure 1(e)) and DNA (see Figure 1(f)). Silver nanoparticles functionalized with biotin have been widely used to bind with streptavidin, which can serve as a linker for further conjugation with biomolecules [23,24,51].

In addition, the specific affinity of antibodies for antigens can allow the specific combination of a functionalized nanoparticle with a target biomolecule [5,26,50,52,53]. For example, silver nanoparticles were coated with a monolayer of 1-octanethiol (1-OT)/MUA (molar ratio of 3:1) through the Ag-S bond, and then the carboxyl groups of MUA were conjugated to amyloid-derived diffusible ligands (ADDL) [26]. Finally, the anti-ADDL antibody can bind specifically to ADDL that has been decorated on the surface of the silver nanoparticles.

Oligonucleotides have also been used to link nanoparticles with biomolecules. A three-dimensional crystalline assembly of gold nanoparticles can be constructed by exploiting the interaction between single-stranded DNA and its complementary single-stranded DNA that has been linked on the surface of noble metal nanoparticles [6].

Using specific affinity interactions, a specific target can

be integrated with functionalized noble metal nanoparticles that have been decorated with a specific biomolecule.

## 2 Properties of noble metal nanoparticlebiomolecule conjugates

Compared with the bulk noble metal (such as gold and silver), noble metal nanoparticles show unique photonic, electronic and catalytic properties [54] resulting from their size and shape as well as the dielectric constant of their surrounding environment [55]. Biomolecules decorated on the surface of such nanoparticles can still show activity [26].

## 2.1 Photonic properties of noble metal nanoparticlebiomolecule conjugates

The surface geometry of noble metal nanoparticles and dielectric constants of the surrounding materials both play important roles in controlling the density of the electric field on the surface of nanoparticles. After decoration with biomolecules, the size and shape of a nanoparticle and dielectric constant of the surrounding environment change slightly, which affects the density of the electric field on the surface of the nanoparticle. The corresponding changes in the oscillation frequency of the electrons change optical properties such as absorption and scattering accordingly [54].

(i) Plasmon resonance extinction. A rhombic Ag nanoparticle array prepared by nanosphere lithography as substrate for biosensors has been used to detect the binding signal between biotin and streptavidin [23]. A shift in the plasmon resonance extinction that results from LSPR has been demonstrated in this array. An LSPR biosensor for detecting ADDLs using a sandwich assay composed of an array of triangular silver nanoparticles has been developed to study the oligomerization of amyloid precursors at low concentration [5]. Other examples have also shown that biomolecules can be detected by LSPR because of shifts in the plasmon resonance extinction upon binding to nanoparticles [7,9–11].

(ii) Surface-enhanced Raman scattering. SERS is a technique that allows sensitive and selective detection of analytes at low concentration [56]. There are two effects that have been considered to account for enhancement of the Raman signal at a surface: chemical enhancement [57] and electromagnetic field enhancement [58].

A SERS-based sensor containing a portable spectrometer has been used to detect bacillus spores at the desired limit of detection ( $\sim 10^4$  spores) within 5 s on a silver substrate [12]. Multiplexed detection of oligonucleotide targets has been performed with gold nanoparticle probes that have been labeled with oligonucleotides and Raman-active dyes. In this system, the gold nanoparticles facilitate the formation of a silver coating that acts as a SERS promoter for dyelabeled particles captured by target molecules and an underlying chip in the microarray [47].

(iii) Fluorescence. SEF is caused by the strong electromagnetic field generated on the surface of noble metal nanoparticles [13,14]. Homogeneous silver nanostructures on a glass surface can serve as potential substrates for fluorescence enhancement assays. A monolayer of a fluorescein isothiocyanate (FITC)–HSA conjugate has been formed on glass surfaces covered with silver nanoparticles [59]. In this system, the fluorescence from FITC was enhanced compared with that of the control sample (FITC-HSA monolayer on a bare glass surface).

Furthermore, compared with organic dyes and fluorescent proteins, nanoparticle probes such as fluorescence energy-transfer nanobeads and quantum dots can provide significant advantages in signal brightness, photostability, and multicolor-light emission [14].

## 2.2 Bioactivation of noble metal nanoparticle-biomolecule conjugates

Biomolecules decorated on the surface of noble metal nanoparticles are still able to show bioactivity for further reactions through nonspecific adsorption or specific binding. For example, biotin bound to silver nanoparticles can further bind to streptavidin [23,24,51], antibodies decorated on silver nanoparticles can combine with specific antigens [5,26,50,52,53], and single-stranded DNA fixed on gold nanoparticles can still bind to its complementary DNA strand [6].

# **3** Optical applications of noble metal nanoparticle-biomolecule systems

Noble metal nanoparticles integrated with biomolecules have numerous applications in catalysis, delivery, therapy, imaging, sensing, constructing nanostructures and controlling the structure of biomolecules. Recent progress of their optical applications in areas such as optical biosensors, imaging, diagnosis and therapy in medicine is focused on in this review.

## 3.1 Optical biosensors

The immobilization of biomolecules on the surface of noble metal nanoparticles provides a route to develop biosensors that operate using properties such as LSPR, SERS, SEF and bioactivation.

Haes et al. [5] developed a nanoscale optical biosensor based on LSPR spectroscopy to monitor the interaction between an antigen (ADDL) and specific anti-ADDL antibodies (Figure 2). Using the sandwich assay format, this biosensor provides quantitative binding information about both the antigen and second antibody detection, allowing the concentration of ADDL to be determined and offering a unique analysis of the aggregation mechanisms of this putative Alzheimer's disease pathogen at physiologically relevant monomer concentrations. Monitoring the LSPRinduced shifts from both ADDLs and a second polyclonal anti-ADDL antibody revealed two ADDL epitopes that have binding constants to the specific anti-ADDL antibodies of  $7.3 \times 10^{12}$  and  $9.5 \times 10^8$  M<sup>-1</sup> (1 M = 1 mol L<sup>-1</sup>). The analysis of human brain extract and cerebrospinal fluid samples from control and Alzheimer's disease patients showed that the LSPR biosensor can provide new information relevant to the understanding and possible diagnosis of Alzheimer's disease. Zhu and co-workers [23] reported that the LSPR extinction spectra of targets are detected at lower concentration using a nanosensor containing rhombic silver nanoparticles than one containing triangular silver nanoparticles.

Two types transducers to detect the concentration of streptavidin have been compared [60]. These transducers were based on gold nanoparticles and immobilized gold nanoparticles functionalized with polyelectrolyte. It was revealed that the latter can improve the stability of the gold nanoparticles and reduce nonspecific binding with streptavidin.

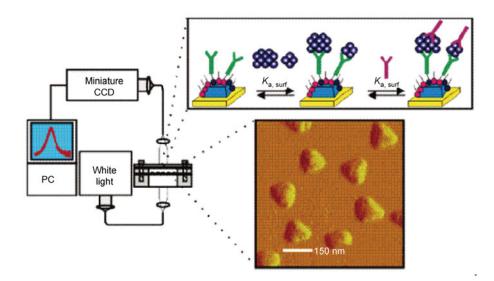
Furthermore, based on the unique optical properties of gold nanoparticles and the high efficiency of chromatographic separation, a disposable nucleic acid biosensor that could detect nucleic acid samples in 15 min has been developed [61].

Shin et al. have prepared highly uniform noble metal nanoparticle arrays for use as biosensors via block copolymer lithography [62]. Biosensors using the photonic properties of noble metal nanoparticle–biomolecule conjugates have been used to detect targets such as small molecules, biomolecules, cells, and viruses. Using a biosensor to detect targets is a simple and convenient method that has been widely applied in medical and environmental settings.

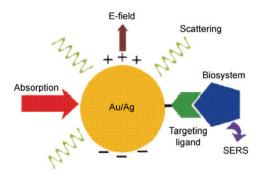
#### 3.2 Medical imaging, diagnosis and therapy

Noble metal nanoparticles composed of gold or silver can behave as non-toxic carriers for medical applications. Noble metal nanoparticles exhibit strong absorption and scattering in the visible and near infrared regions because of surface plasmon resonance (SPR) [63]. Light absorption occurs when the photon energy is dissipated by electron oscillation in the nanoparticle, which is efficiently converted into heat. Noble metal nanoparticles have been used as selective photothermal agents to target cancer cells upon heating; the activity of the nanoparticles to behave as such agents was affected by the size of the nanoparticles [3,64]. Light scattering occurs when the photon causes electron oscillation in the nanoparticle, which then emits photons in the form of scattered light either at the same frequency as the incident light (Rayleigh scattering) or at a different frequency (Raman scattering). The structure and size of metal nanoparticles affects the images obtained [65]. The basic concepts of the activity of noble metal nanoparticles for use in imaging, diagnosis and thermal therapy applications are illustrated in Figure 3.

SPR scattering images and SPR absorption spectra have been obtained for gold nanoparticles conjugated to monoclonal anti-epidermal growth factor receptor (anti-EGFR) antibodies after respective incubation in cell cultures containing a nonmalignant epithelial cell line (HaCaT) and two



**Figure 2** (Color online) Design and experimental setup of an LSPR biosensor for detecting ADDLs using a sandwich assay. Transmission UV-vis spectroscopy was used to monitor the optical properties (LSPR) of Ag nanoparticles. The schematic illustration displays the sandwich assay and surface chemistry of the LSPR nanosensor. First, surface-confined Ag nanoparticles are synthesized on mica substrates using NSL. Next, a self-assembled monolayer consisting of a mixture of 1-OT and MUA is passivated to the nanoparticles through nonspecific binding, which activates the nanoparticles to allow the first anti-ADDL antibody to attach. Finally, an enhancement of the LSPR shift is detected in response to ADDL binding [5].



**Figure 3** (Color online) The basic concepts of the activity of noble metal nanoparticles for use in medical applications [66].

malignant oral epithelial cell lines (HOC 313 clone 8 and HSC 3) [4]. Specific and homogeneous binding was found to give a sharper, red-shifted SPR absorption band compared with that observed when the system was added to noncancerous cells (see Figure 4). This suggests that SPR

scattering images or SPR absorption spectra generated from antibody-conjugated gold nanoparticles can be useful for medical imaging, diagnosis and investigation.

The capability of plasmonic gold nanoparticles and their conjugates to convert absorbed light to heat suggests that they can be used as selective photothermal agents in molecular cancer cell targeting. It has been shown that malignant cells are killed by less than half the laser energy required to kill benign cells after incubation with the anti-EGFR anti-body-Au nanoparticle system (see Figure 5) [3].

### 4 Conclusion

This review has summarized recent studies on the development of noble metal nanoparticle-biomolecule conjugates for optical applications. Functionalization of nanoparticles with biomolecules is based on four main approaches, including electrostatic adsorption, direct chemisorption of

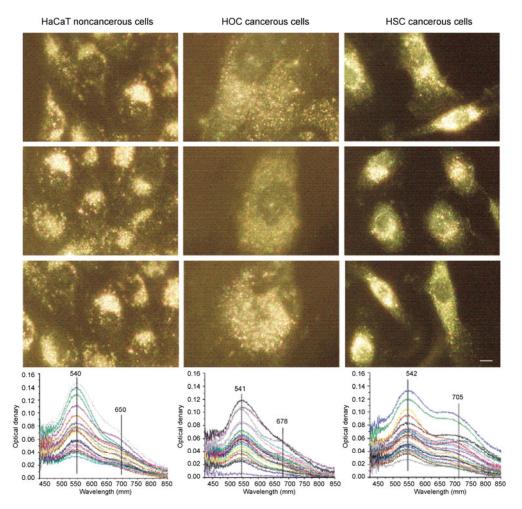
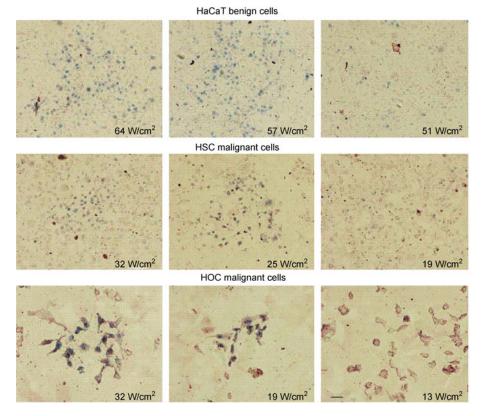


Figure 4 (Color online) Two simple techniques for sensitive cancer diagnosis using anti-EGFR/Au–nanoparticle conjugates: light scattering images (top three rows) and microabsorption spectra (bottom row) are shown for HaCaT noncancerous cells (left column), HOC cancerous cells (middle column), and HSC cancerous cells (right column) after incubation with the nanoparticle conjugate. Three different images of each kind of cells are shown for reproducibility. Microabsorption spectra were measured for 25 different cells. Differences can be seen in the scattering images and absorption spectra obtained for non-cancerous (left column) and cancerous cells (right two columns). Specific binding of the nanoparticle systems to the surface of the cancer cells occurs in higher concentration than to the noncancerous cells. Scale bar =  $10 \ \mu m$  [4].



**Figure 5** (Color online) Microscope images of benign HaCaT cells (top row), malignant HSC cells (middle row), and malignant HOC cells (bottom row) irradiated at different laser powers and then stained with trypan blue. These images show selective photothermal damage of cancer cells when the anti-EGFR/Au-nanopar- ticle conjugate is used. Benign HaCaT cells are killed at and above 57 W/cm<sup>2</sup>, malignant HSC cells are killed at and above 25 W/cm<sup>2</sup>, and malignant HOC cells are killed at and above 19 W/cm<sup>2</sup>. Scale bar =  $60 \mu m$  [3].

thiol derivatives, covalent binding through bifunctional linkers and specific affinity interactions. The properties and optical applications of such systems, such as optical biosensors, and medical imaging, diagnosis and therapy, have been discussed. Labeling biomolecules, such as proteins, antibodies and DNA, with noble metal nanoparticles is a relatively new field and is developing rapidly. Because most biomolecules that have been labeled with nanoparticles have only received limited research, and the technology of nanoparticle–biomolecule conjugates is not yet sophisticated enough, the labeling of biomolecules with complex functions, and new applications of these systems, will be the focus of the future.

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