SLEEP BREATHING PHYSIOLOGY AND DISORDERS • ORIGINAL ARTICLE



Polysomnographic evaluation of obstructive sleep apnea treatment with fixed pressure CPAP determined by formula

Danilo M. Sá¹ · Elcio O. Vianna¹

Received: 25 July 2021 / Revised: 2 February 2022 / Accepted: 9 February 2022 / Published online: 4 March 2022 © The Author(s), under exclusive licence to Springer Nature Switzerland AG 2022

Abstract

Purpose The use of continuous positive airway pressure (CPAP) is one of the therapeutic modalities for obstructive sleep apnea (OSA). Manual titration polysomnography and the 90th or 95th percentiles of pressure titrated by automatic CPAP (APAP) are the current standard for determining fixed pressure. Pressures programmed at an arbitrary fixed value, or at preset values based on body mass index (BMI) or by predictive formulas, are presented as alternative forms. This study aimed to evaluate the residual apnea–hypopnea index (r-AHI) in polysomnography with CPAP therapy using pressure determined by formula and assess its feasibility to start treatment.

Methods Patients referred for CPAP therapy were followed up in three outpatient assessments and underwent polysomnography study with pressure CPAP obtained by formula.

Results The study sample consisted of 80 patients, 41 women; age 58.6 ± 11.3 years, BMI 34.1 ± 7.5 kg/m² and cervical circumference 42.0 ± 4.2 cm. Most patients (74%) had severe OSA and Epworth sleepiness scale (ESS) of 12.0 ± 5.7 points. The calculated average pressure was 7.8 ± 2.1 cmH₂O. Polysomnography studies showed an r-AHI of 6.1 ± 5.2 events/h and reduction of 84% from baseline AHI. The r-AHI in the REM-supine was 8.4 ± 9.9 events/h. At 30- and 120-day follow-up assessment, adherence to CPAP was 78% and 75% and the ESS score was 6.9 and 6.1 points, respectively.

Conclusion Results suggest that a formula provides an effective initial pressure in the majority of patients (73%). This simplified approach appears to be a viable alternative, with reductions in waiting lists and time from diagnosis to initiation of therapy.

Keywords Obstructive sleep apnea · CPAP · Residual apnea-hypopnea index · Predictive formula

Abbreviations

AASM	American academy of sleep medicine
AHI	Apnea and hipopnea index
APAP	Automatic positive airway pressure
BMI	Body mass index
B-PSG	Baseline polysomnography
CC	Cervical circumference
CPAP	Continuous positive airway pressure
EDS	Excessive daytime sleepniness
ESS	Epworth sleepiness scale

Research carried out at Hospital das Clínicas, Medical School of Ribeirão Preto, University of São Paulo, Ribeirão Preto (SP) Brazil.

Danilo M. Sá danilosa@usp.br

NREM	Non rapid eyes movement
OSA	Obstructive sleep apnea
Peff	Effective pressure
PLM	Periodic limb movement
PSG	Polysomnography
r-AHI	Residual apnea and hipopnea index
REM	Rapid eyes movement

Introduction

Obstructive sleep apnea (OSA) is characterized by episodes of upper airway obstruction during sleep, causing arousals, and excessive daytime sleepiness (EDS) [1], with different therapeutic modalities available [2]. Continuous positive airway pressure (CPAP) therapy uses a fixed pressure defined by manual titration polysomnography (gold standard method), automatic CPAP (APAP) titration, arbitrarily at a

¹ Department of Medicine, Medical School of Ribeirão Preto, University of São Paulo, Av. Bandeirantes, 3900 - Ribeirão Preto, São Paulo 14048-900, Brazil

fixed value or by calculating pressure from predictive formulas [3–7].

Initially, the pressure of predictive formulas was considered as an initial value in manual titration to have less incremental changes [8, 9]. Subsequently, the use of formulas was extrapolated to define the initial treatment pressure without titration polysomnography [10]. In 2015, a review presented cataloged formulas [7].

Although extrapolation to clinical practice has been questioned [11], the pressure predicted by the Miljeteig and Hoffstein formula was equivalent to manually titrated or autotitrated pressure in terms of outcomes such as the Epworth sleepiness scale (ESS), residual AHI, arousal index, percentage of sleep periods, and oxygen saturation [12].

This research aimed to evaluate the polysomnographic and clinical findings of CPAP therapy programmed with fixed pressure obtained by this formula. Our hypothesis was that the formula-derived CPAP setting would produce results of sufficient quality to justify use as the initial treatment of OSA.

Methods

This was an intervention study involving 80 patients to determine the success rate of CPAP therapy using pressure predicted by the Miljeteig and Hoffstein formula. The calculation of sample size was based on the success prevalence of manual CPAP titration, estimated at approximately 70% [13–15], an absolute tolerable error of 10%, and 95% confidence coefficient. Volunteer patients gave signed informed consent which was approved by the institution's research ethics committee.

Outpatients diagnosed with OSA on baseline polysomnography with indication for CPAP therapy and without previous use of any device were sequentially included. Mild OSA was defined as an AHI between 5 and 14.9, moderate as an AHI between 15 and 29.9, and severe as an AHI equal to or greater than 30 [16].

In the first outpatient assessment, anthropometric and clinical data were collected. CPAP devices had the pressure programmed at a fixed value according to the result of the formula [(0.13*CC) + (0.04*AHI) + (0.16*BMI) - 5.12], composed of the values of cervical circumference (CC), apnea and hypopnea index (AHI), and body mass index (BMI) [8, 9].

In outpatient follow-up assessments at 30 and 120 days, the usage data provided by the CPAP device were collected. In the second month of treatment, they underwent a full night polysomnography using CPAP with the initial pressure schedule.

Pressure was not reviewed or changed during follow-up to the end of the research protocol in the 120-day follow-up

assessment. The authors did not perform a manual titration to achieve effective pressure for treatment in these patients as part of the research protocol. All patients treated at the institution were referred to await manual titration on the waiting list.

The evaluation of the quality of pressure estimated by formula for the control of obstructive respiratory events in polysomnography with CPAP was performed according to the grading system proposed by Hirshkowitz and Sharafkhaneh with the following terms: optimal, good, adequate, and inadequate pressure, whose main parameter was the residual AHI value during the supine REM stage.

Statistical analysis

Baseline and treatment polysomnography data were compared using the paired Student's *t* test for quantitative variables or McNemar's test for qualitative variables. Poisson regression models with robust variance were used to assess the association of acceptable CPAP pressure with demographic and clinical variables, because it provides a better estimate of the prevalence ratio more significantly for crosssectional studies [17]. Linear models of mixed effects with variance matrix and unstructured covariance, adjusted by baseline measurements were used to evaluate the longitudinal changes of the ESS score.

Results

Demographic and anthropometric data, comorbidities, sleep characteristics, and baseline polysomnography are shown in Table 1. All 80 patients diagnosed with OSA underwent polysomnography with CPAP at fixed pressure calculated throughout the night and had the residual AHI determined (Fig. 1).

Most patients (79%) used a nasal mask and the mean pressure calculated by the formula was $7.8 \pm 2.1 \text{ cmH}_2\text{O}$. Table 2 presents the comparative data between baseline and treatment polysomnography. Polysomnographs with CPAP showed a general residual AHI (r-AHI) of 6.1 ± 5.2 events/h, a reduction in the baseline AHI value by $84\% \pm 17.3$ events/h; 50% of the patients had r-AHI < 5 events/h and 82% (65 patients) had r-AHI < 10 events/h. The r-IAH in the supine position during the REM stage was 8.4 ± 9.9 events/h. The r-AHI of patients using a nasal mask (nCPAP) and oronasal mask (oCPAP) were 5.6 ± 5.1 and 8.0 ± 5.3 , respectively.

Only four exams were within the definition of inadequate pressure. Of the other exams, 18 had an adequate grade, and 30 and 28 met the criteria of good and optimal, respectively.

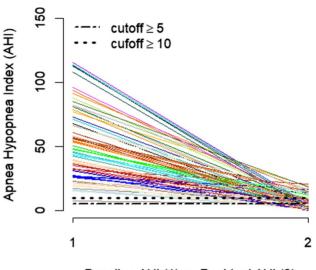
Table 1 Characteristics of the volunteers (n = 80)

Variable	N(%) or mean (sd)		
Sex (male)	39 (49%)		
Age (year)	58.6 ± 11.3		
Weight (kg)	91.6 ± 22.7		
BMI (kg/m ²⁾	34.1 ± 7.5		
Smoking	6 (8%)		
Ex-smoking	19 (24%)		
No smoking	55 (69%)		
COPD	7 (9%)		
Asthma	11 (14%)		
Systemic hypertension	50 (63%)		
Epilepsy	3 (4%)		
Restless legs syndrome	5 (6%)		
Benzodiazepine	12 (15%)		
SSRI	34 (43%)		
Zolpidem	3 (4%)		
Tricyclic antidepressant	9 (11%)		
Anticonvulsant	6 (8%)		
Pregabalin/gababentin	6 (75%)		
Tonsils			
Grade I	62 (78%)		
Grade II	14 (18%)		
Grade III	3 (4%)		
Grade IV	1 (1%)		
m-Mallampatti			
Grade I	9 (11%)		
Grade II	14 (18%)		
Grade III	15 (19%)		
Grade IV	29 (36%)		
Cervical circumference (cm)	42.0 ± 4.2		
Female circumference (cm)	39.8 ± 3.6		
Male circumference (cm)	44.4 ± 3.3		
Mean Saturation	$97\% \pm 2$		
Severity – OSA (events/h)			
Severe OSA	59 (74%)		
Moderate OSA	19 (24%)		
Mild OSA	2 (3%)		
AHI (events/h)			
Baseline AHI	49.5 ± 9.9		
Baseline REM AHI	45.9 ± 36.0		
Baseline supine AHI	55.1 ± 43.5		

BMI, body mass index; *CPOD*. chronic obstructive pulmonary disease; *SSRI*, selective serotonin reuptake inhibitors; *OSA*, obstructive sleep apnea; *AHI*, apnea and hypopnea index; *REM*, rapid eye moviment

Therefore, 58 polysomnographies using CPAP had pressure with an acceptable grade (sum of good and optimal), which represents 73% of the total sample.

The comparisons between the group with acceptable and with unacceptable CPAP pressure showed that only the



Baseline AHI (1) vs Residual AHI (2)

Fig. 1 Individual responses of residual AHI and cut-off values of 5 events/h and 10 events/h. AHI, apnea and hypopnea index

variable weight (> 105 kg), type of mask (nasal), presence of diabetes, and 30-day assessment ESS below 10 points had a significant association with acceptable pressure quality (Table 3).

Analysis was performed to separate patients without residual respiratory events (r-AHI < 5/h) from those with residual respiratory disorders (cutoff of =/>5/h and a cutoff of =/>10/h) (Table 4). Comparison between patients with residual AHI less than or equal to 10 events/h and AHI greater than 10 events/h is shown in (Table 5).

Patients on treatment whose pressure met the acceptable criterion had a 3.61 times chance of not having excessive daytime sleepiness than those whose pressure was considered unacceptable (Table 6). This association did not present significant findings for patients whose pressure was able to decrease the residual AHI below five or below 15/h. The ESS in each assessment of the follow-up was 6.9 and 6.1 points. The Epworth values in the 1st assessment and in the 2nd assessment showed a significant reduction of 5.1 and 5.9 points in relation to the baseline ESS (Table 7).

Adherence to CPAP (> 4.0 h/night) was 78% in the in the 30-day follow-up assessment and 75% in the 120-day follow-up assessment. Only 14% of patients did not show adherence in any of the follow-up assessments.

Discussion

The residual AHI of our study (6.1 events/h) is in the range of five to 10 events/h, with 50% of the patients presenting r-AHI below 5 events/h and 65 patients (82%) presenting r-AHI below 10 events/h, in agreement with studies that use the term effective treatment pressure based on residual events up to the value of 10 events/h [18, 19]. The same understanding applies to the other residual AHI subtypes, such as r-AHI in the supine position (7.2/h), r-AHI in REM sleep (9.5/h), and r-AHI in supine REM (8.4/h).

Lloberes et al. showed similar results, with r-AHI of 5.6/h in the evaluation of CPAP effect on the control of residual obstructive events and on sleep architecture [20]. Our findings must be considered as having clinical impact and therapeutic success, especially when oximetry, sleep architecture, and sleepiness scale show excellent response and reach values of normality, associated with high degree of adherence to CPAP treatment.

In addition, our data showed that the r-AHI of patients using a nasal mask was lower compared to those using an oronasal mask. Therefore, the use of this formula may be less effective in determining pressure in patients who are candidates for the use of an oronasal mask compared to a nasal mask.

The effect of CPAP treatment on sleep architecture, sleep efficiency, and arousal index showed significant improvement. There was no change in the N3 and REM stages. Previous use of CPAP for at least 30 days prior to research polysomnography may reduce or eliminate the rebound effect of slow-wave N3 sleep and REM sleep, which is more often seen as a first-night effect in acute treatment with CPAP [21].

The parameters for analysis of residual OSA (r-OSA) and the cut-off values for residual AHI are still uncertain. According to manual titration guidelines, effective pressure is that capable of controlling obstructive events to preferentially obtain r-AHI below 5 events/h, associated with saturation above 90%. Another definition of residual OSA is the presence of r-AHI above 5 events/h on polysomnography with auto-CPAP [22]. Desai et al. evaluated the accuracy of the APAP device in estimating the residual AHI using two cut-off values (5 and 10). The cut-off point of 10 events/h has also been widely used in the assessment of r-OSA in the literature.

According to the classification proposed by Hirshkowitz and Sharafkhaneh [23], the pressure calculated by formula in our study obtained a mean value of residual AHI in supine REM of 8.4 events/h, with a mean of 44.1 min of supine REM sleep. This pressure could be classified as good quality pressure (acceptable pressure).

The evaluated formula is not fully able to establish an optimal pressure for the treatment [8, 9]. Many patients do not achieve optimal pressure settings, and post-hoc analyses of randomized trials have shown that only 50 to 60% of patients are able to achieve optimal or good pressure (acceptable pressure), while 30 to 40% are classified as having adequate pressure or inadequate (unacceptable pressure) during manual titration [13–15].

Our data showed that 27% of the patients were considered to have adequate and inadequate pressure quality, while 73% achieved the optimal and good grading level. A titration for CPAP pressure assessment should be repeated if optimal or good quality (acceptable quality) is not reached. The results of manual titration polysomnography presented by Su et al. were divided into 52.7% with optimal pressure, 0.5% with good pressure, 38.9% with adequate pressure, and 7.9% with inadequate pressure, making a total of 53.2% of acceptable exams (optimal and good pressure) and 46.8% of unacceptable exams, which would need to be repeated [15].

When the data collection and research follow-up protocol ended, in cases of general residual AHI above 5 events/h, there was an empirical adjustment of + 1 to + 2 cmH₂O in the calculated pressure, until the performance of manual titration polysomnography according to the routine of the outpatient care follow-up.

There are questions about the impact of a general residual AHI just above 5 events/h and possible cardiovascular risk. The threshold at which OSA becomes a disease (reducing longevity or quality of life) is unknown, just as it is not known with certainty how problematic residual events are [24]. It should be noted that it is unclear which cut-off point is critical for improving outcomes after CPAP treatment.

Epidemiological studies indicate that cardiovascular disease is more likely to occur in patients with an AHI \geq 10/h; however, an AHI between 5 and 10 has also been associated with the development of arterial hypertension. Other studies have shown that the AHI is an independent cardiovascular and traffic accident risk factor, but the long-term implications of residual apneas and hypopneas after treatment with CPAP have not been established [12].

The method of determining the CPAP pressure plays an important role in improving the patient's clinical condition. However, it is uncertain whether or not different CPAP titration methods would yield different results if other therapeutic targets, such as cardiovascular risk factors, were taken into account. The effective pressure of CPAP is one that, at the same time, prevents the adverse consequences of OSA and causes minimal discomfort and complications.

A single-night manual CPAP titration is still considered the gold standard for determining effective pressure in the treatment of OSA. However, the test–retest reliability of this measure is questionable [25]. Although it was traditionally considered that night-to-night variability in CPAP requirement was quite low [26], some studies have shown that CPAP requirement may have more variability than is often recognized [25].

In a study published in 2021, Farré, Gozal, and Montserrat reviewed the literature and suggested a much more

Table 2 Sleep characteristics and polysomnograp	ohy	(n = 80)	I)
---	-----	----------	----

Variable	N(%) or mean	p-value#	
	Baseline	Intervention	
Sleep position*			
Lateral	45 (56%)	57 (71%)	0.0047
Supine	35 (44%)	23 (29%)	
Snoring [*]			
No	1 (1%)	77 (96%)	< 0.0001
Yes	79 (99%)	3 (4%)	
Sleep Efficiency (%)	77.8 ± 12.7	86.9 ± 9.4	< 0.0001
Sleep Onset (min)	14.5 ± 15.1	9.9 ± 9.0	0.0272
REM latency (min)	147.5 ± 96.2	177.3 ± 96.2	0.0482
N1%	28.4 ± 17.5	16.0 ± 10.7	< 0.0001
N2%	42.3 ± 14.2	54.2 ± 12.3	< 0.0001
N3%	15.6 ± 11.6	14.7 ± 9.2	0.6167
REM %	13.6 ± 7.4	15.0 ± 6.9	0.2349
AHI (events/h)	49.5 ± 27.3	6.1 ± 5.2	< 0.0001
Supine position AHI	55.1 ± 32.2	7.2 ± 7.3	< 0.0001
Left position AHI	41.7 ± 37.0	1.6 ± 2.2	< 0.0001
Right position AHI	34.3±33.9	2.5 ± 3.6	< 0.0001
REM sleep AHI	52.3 ± 35.4	9.5 ± 14.2	< 0.0001
Respiratory arousal (events/h)	40.9 ± 30.2	1.9 ± 2.2	< 0.0001
Arousal index (events/h)	57.6 ± 27.1	14.5 ± 11.0	< 0.0001
Leg arousal (events/h)	1.4 ± 2.4	1.5 ± 2.5	0.8378
PLMs (events/h)	12.9 ± 25.7	7.3 ± 13.6	0.1484
NREM Saturation (%)	92.4 ± 3.2	95.1 ± 2.0	< 0.0001
REM Saturation (%)	90.8 ± 4.8	95.0 ± 2.3	< 0.0001
Mean Saturation (%)	92.1 ± 3.4	95.0 ± 2.4	< 0.0001
T90 (%)	15.6 ± 20.7	2.3 ± 8.2	< 0.0001

[#]*p*-value calculated by McNemar's test, paired Student's *t* or Wilcoxon; *sleep characteristics at home at baseline and after 30 days of treatment. *REM*, rapid eyes movement; *AHI*, apnea and hypopnea index; *PLM*, periodic limb movement; *NREM*, non rapid eyes movement; *T90*, sleep time with saturation below 90%

simplistic approach to initial treatment with CPAP at a pressure of 9 cmH₂O, which would subsequently be followed by any necessary adjustments in only a minority of patients who did not obtain the necessary benefit from such a standardized intervention [27].

Outpatient follow-up should be performed with monitoring of daytime sleepiness, quality of life, and blood pressure reduction in hypertensive patients with OSA. While several authors use the reduction of the residual AHI to below 10/h as an effective treatment parameter, the reduction to values below 5/h may be necessary to reverse daytime sleepiness [28]. Furthermore, there is a significant effect even in subtherapeutic pressure with CPAP on Epworth scale measures [29].

Our baseline ESS data decreased by 5.2 and 5.9 points at each reassessment. Lloberes et al. showed a reduction of 7.4

 Table 3
 Association between clinical variables and acceptable CPAP pressure

	Gross PR*		Adjusted PR*		
Variable	PR (CI 95%)	<i>p</i> -value	PR (CI 95%)	p-value	
Age (years)					
<60	1	-	-	-	
≥ 60	1.10 (0.84; 1.45)	0.4659	-	-	
Sex					
Female	1	-	-	-	
Male	1.13 (0.86; 1.48)	0.3882	-	-	
Weight (kg)					
<105	1	-	1	-	
≥105	1.44 (1.17; 1.78)	0.0006	1.43 (1.16; 1.77)	0.0008	
Cervical circ	umference				
≤46 (cm)	1	-	-	-	
>46 (cm)	1.34 (1.07; 1.68)	0.0101	-	-	
Type of mask	C				
Oronasal	1	-	1	-	
Nasal	1.69 (1.00; 2.84)	0.0487	1.81 (1.17; 2.79)	0.0076	
Asthma					
No	1	-	-	-	
Yes	1.15 (0.84; 1.58)	0.3809	-	-	
Diabetes					
No	1	-	1	-	
Yes	1.38 (1.07; 1.77)	0.0132	1.35 (1.07; 1.70)	0.0120	
Systematic hy	ypertension				
No	1	-	-	-	
Yes	1.26 (0.92; 1.74)	0.1504	-	-	
Benzodiazepi	ine				
No	1.29 (0.78; 2.11)	0.3221	-	-	
Yes	1	-	-	-	
m-Mallampat	ti				
Grade 1—2	1		-	-	
Grade 3 -4	1.06 (0.78; 1.45)	0.7175	-	-	
ESS 30 day-a	issessment				
≤ 10	1.60 (1.01; 2.52)	0.0434	1.58 (1.08; 2.30)	0.0178	
>10	1	-	1	1	
ESS 120 day-	-assessment-				
≤ 10	1.20 (0.80; 1.80)	0.3777	-	-	
>10	1	-	-	-	

^{*}Distribution of study variables according to the gross and adjusted prevalence ratios calculated by the Poisson regression model with robust variance and their respective 95% confidence intervals. *PR*, prevalence ratio; *CI 95%*, 95% confidence interval; *ESS 30 day-assessment*, Epworth scale value in the first outpatient assessment after 30 days of treatment; *ESS 120 day-assessment*, Epworth scale value in the second outpatient assessment after 120 days of treatment

points in the ESS in patients treated with manually titrated pressure of 7.5 ± 2.2 cmH₂O [20], close to the mean pressure obtained by the formula in our study (7.8 ± 2.1 cmH₂O).

Table 4 Association of AHI < 5 events/hour and anthropometric, clin-
ical, mask type and adherence to CPAP variables

	Gross PR*		Adjusted PR*	
Variable	PR (CI 95%)	<i>p</i> -value	PR (CI 95%)	<i>p</i> -value
Age (years)				
< 60	1	-		
≥ 60	1.10 (0.71; 1.71)	0.6540		
Sex				
Female	1	-		
Male	1.16 (0.75; 1.80)	0.5030		
Weight (kg)				
<105	1	-		
≥105	1.07 (0.65; 1.76)	0.7891		
Cervical circ	cumference			
≤46 (cm)	1	-		
>46 (cm)	1.09 (0.62; 1.91)	0.7546		
Type of mas				
Oronasal	1	-		
Nasal	1.89 (0.88; 4.08)	0.1050		
Asthma				
No	1	-	1	-
Yes	1.82 (1.24; 2.67)	0.0021	1.79 (1.18; 2.70)	0.0057
Diabetes				
Não	1	-		
Sim	1.17 (0.75; 1.81)	0.4851		
Systemic hy				
No	1	-		
Yes	0.95 (0.60; 1.48)	0.8148		
Benzodiazer				
No	0.83 (0.49; 1.42)	0.5020		
Yes	1			
m-Mallampa	ati			
-	1	-		
	0.84 (0.53: 1.32)	0.8381		
•		0.6179		
		-		
-		0.2208		
		-		
		sment		
	1	-		
	-	0.3179		
	1	-		
		1.0000		
Yes m-Mallampa Grade 1, 2 Grade 3, 4 ESS 30 day- ≤ 10 > 10 ESS 120 day ≤ 10 > 10 Adherence C < 7 h > 7 h	1 ati 1 0.84 (0.53; 1.32) assessment 1.15 (0.67; 1.98) 1 /-assessment 0.75 (0.47; 1.19) 1 CPAP 30 day-assess 1 1.29 (0.78; 2.12) CPAP 120 day-asses	- 0.8381 0.6179 - 0.2208 - sment - 0.3179		

^{*}Distribution of study variables according to the gross and adjusted prevalence ratios calculated by the Poisson regression model with robust variance and their respective 95% confidence intervals. *PR*, prevalence ratio; *CI 95*%, 95% confidence interval; *ESS 30 day-assessment*, Epworth scale value in the first outpatient assessment after 30 days of treatment; *ESS 120 day-assessment*, Epworth scale value in the second outpatient assessment after 120 days of treatment

 Table 5
 Comparison of variables between patients with residual AHI

 less than or equal to 10 events/h and residual AHI greater than 10 events/h

Residual AHI			
Variables	$r\text{-}A\text{HI}{\leq}10$	r-AHI > 10	<i>p</i> -value
	n=65	n=15	
Sex, <i>n</i> (%)			0.18
Female	31 (76)	10 (24)	
Male	34 (87)	5 (13)	
Age, years	58.2 (11.9)	60.4 (8.4)	0.50
Weight, kg	93.7 (23.1)	82.2 (19.0)	0.07
Height, cm	165.2 (10.5)	158.4 (9.8)	0.02
BMI, kg/m ²	34.4 (7.6)	32,8 (7.3)	0.45
CC, cm	42.5 (3.9)	40.1 (4.8)	0.04
Baseline AHI, n/h	48.0 (28.3)	55.5 (22.5)	0.34
Pressure, cmH_2O	7.9 (2.2)	7.8 (2.0)	0.95
Nocturnal SpO2 with CPAP, %	96.9 (1.7)	97.7 (1.6)	0.05
Baseline ESS, points	11.5 (5.6)	14.1 (5.5)	0.10
ESS 30 day-assessment, points	7.1 (5.7)	6.1 (6.4)	0.55
ESS 120 day-assessment, points	6.2 (5.0)	5.7 (4.6)	0.71

Data expressed as mean (standard deviation), unless otherwise indicated. *BMI*, body mass index; *CC*, cervical circumference; *AHI*, apnea and hypopnea index; *SpO2*, oxygen saturation; *CPAP*, continuous positive airway pressure; *ESS*, Epworth sleepiness scale. The *p*-value refers to Student's *t* test or Mann–Whitney

Patients using pressure considered optimal or good (acceptable pressure) were 3.61 times more likely to obtain ESS < 10 in outpatient follow-up. This association is suggested by the authors to be used as a potential predictor of acceptable pressure estimated by the formula at the time of outpatient assessment during the 30-day follow-up. AASM guidelines suggest that titration polysomnography is not indicated for patients apparently satisfied with treatment initiated with pressure defined by other methods [3].

While the general residual AHI is determined by the total number of residual apneas and hypopneas in relation to the total sleep time, composed of the 4 sleep stages (N1, N2, N3, and REM) in the different decubitus positions throughout sleep (supine, lateral, and prone), the residual AHI related to acceptable/unacceptable pressure is not determined by the measurement of the general residual AHI, or only in the REM stage, but by the specific measure of the residual AHI present in the REM-supine association. It is the overcoming of the critical level of closure of the upper airways against the simultaneous sum of the two strongest external factors: the supine REM. These findings add further evidence in favor of the major contribution of the 2005 classification system and which was later adopted in the 2008 manual titration guidelines [3, 23]. A significant proportion of REM sleep may require higher blood pressure [15]. Furthermore, the positional component between the supine and lateral

Table 6Odds ratio for ESS andr-AHI

		Univariate		Multivariate*	
ESS	r-AHI (events/h)	OR (CI 95%)	<i>p</i> -value	OR (CI 95%)	<i>p</i> -value
No daytime sleepiness	r-AHI<5	1.15 (0.46; 2.86)	0.7579	0.83 (0.30; 2.33)	0.7193
(ESS < 10)	r-AHI < 15	1.49 (0.17; 14.29)	0.7214	1.22 (0.18; 8.33)	0.8384
	Acceptable pres- sure AHI	2.81 (1.07; 7.44)	0.0368	3.61 (1.30;10.07)	0.0138

*Adjusted by CPAP adherence, use of benzodiazepines, sleep efficiency in intervention polysomnography; *ESS*, Epworth sleepiness scale; *r-AHI*, residual apnea and hypopnea index; *OR*, odds ratio; *CI 95%*, 95% confidence interval

Table 7 Longitudinal changes in ESS

	Moment	Ioment			<i>p</i> -value [#] Comparison between time-points		
Variable ESS	Baseline 12.0 ± 0.6	Change to 30 day- assessment -5.1 ± 0.6	Change to 120 day- assessment -5.9 ± 0.5	Baseline × 30 d < 0.0001	Baseline × 120 d < 0.0001	30×120 days 0.2619	

[#]*p*-value was calculated by linear models of mixed effects; *Ess*, Epworth sleepiness scale

position can be used as an argument for using the supine AHI or the supine REM AHI of baseline polysomnography in the predictive formulas instead of the general AHI [30].

The main limitations of our study are the absence of a control group with autoCPAP or manual titration and the use of an old and outdated formula, associated with doubts in the literature about the cut-off point for residual AHI during treatment with positive pressure.

In conclusion, the data from this study show that a formula provides an effective initial pressure in the majority of patients (73%) on treatment without prior APAP or manual titration. This simplified approach is a viable alternative, with reduced waiting lists and time from diagnosis to initiation of therapy. Our results are of significant value in evaluating the effectiveness of treatment with a programmed CPAP from the pressure estimated by the formula. At this moment of the COVID-19 pandemic and restriction to polysomnographic studies in the sleep laboratory, these data gain additional value.

Acknowledgements The authors would like to thank the technical staff of the Sleep Laboratory of the Respiratory Division Luciana Straccia, Iris Sena, and Alessandro Vilela for their assistance in performing the polysomnography exams. We would also like to thank Geruza A. Silva, MD, for the help and advices during protocol planning.

Author contribution All authors contributed to the study conception and design. Material preparation, data collection, and analysis were performed by Danilo Martins de Sá. The first draft of the manuscript was written by Danilo Martins de Sá and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript. **Funding** This study was financed in part by the Coordenação de Aperfeiçoamento de Pessoal de Nível Superior—Brasil (CAPES)—Finance Code 001.

Data Availability All authors certify that all data and materials support their published statements and comply with field standards.

Code availability Not applicable for that section.

Declarations

Ethics approval This study was performed in line with the principles of the Declaration of Helsinki. Approval was granted by the Ethics Committee of Medical School of Ribeirão Preto, University of São Paulo, Brazil, Date May 07, 2018, No. 2.638.894.

Consent to participate Informed consent was obtained from all individual participants included in the study.

Conflict of interest The authors declare that they have no conflict of interests.

References

- Sateia MJ (2014) International Classification of Sleep Disorders. 3rd ed. Copyright© 2014 American Academy of Sleep Medicine, 2510 North Frontage Road, Darien, IL 60561, U.S.A. Copies of the manual are available from the American Academy of Sleep Medicine in the U.S.A. ISBN:0991543416 (print) ISBN: 0991543408.
- Epstein LJ, Kristo D, Strollo PJ Jr, Friedman N et al (2009) Adult obstructive sleep apnea task force of the american academy of sleep medicine: clinical guideline for the evaluation, management

and long-term care of obstructive sleep apnea in adults. J Clin Sleep Med 15;5(3):263–276

- 3. Kushida CA, Chediak A, Berry RB et al (2008) Clinical guidelines for the manual titration of positive airway pressure in patients with obstructive sleep apnea. positive airway pressure titration task force of the american academy of sleep medicine. J Clin Sleep Med 4(2):157–171
- 4. Morgenthaler T, Aurora RN, Brown T et al (2008) Practice parameters for the use of autotitrating continuous positive airway pressure devices for titrating pressures and treating adult patients with obstructive sleep apnea syndrome: an update for 2007. An American Academy of Sleep Medicine Report. Sleep 31:141–147
- Hukins CA (2005) Arbitrary-pressure continuous positive airway pressure for obstructive sleep apnea syndrome. Am J Respir Crit Care Med 171:500–505. https://doi.org/10.1164/rccm. 200401-019OC
- Skomro RP, Cotton DJ, Gjevre JA, Grover VK, McNab BD, Reid JK, Ward HA (2007) An empirical continuous positive airway pressure trial for suspected obstructive sleep apnea. Can Respir J 14(3):159–163
- Camacho M, Riaz M, Tahoori A, Certal V, Kushida CA (2015) Mathematical equations to predict positive airway pressures for obstructive sleep apnea: a systematic review. Sleep Disorders. Article ID 293868. https://doi.org/10.1155/2015/293868
- Miljeteig H, Hoffstein V (1993) Determinants of continuous positive airway pressure level for treatment of obstructive sleep apnea. Am Rev Respir Dis 147:1526–1530
- Hoffstein V, Mateika S (1994) Predicting nasal continuous positive airway pressure. Am J Respir Crit Care Med 150(2):486–488
- Schiza SE, Bouloukaki I, Mermigkis C et al (2011) Utility of formulas predicting the optimal nasal continuous positive airway pressure in a Greek population. Sleep Breath 15:417–423. https:// doi.org/10.1007/s11325-010-0352-5
- 11. Gokcebay N et al (1996) Accuracy of CPAP predicted from anthropometric and polysomnographic indices. Sleep 19(7):600–601
- Masa JF, Jimenez A, Duran J, Capote F, Monasterio C, Mayos M et al (2004) Alternative methods of titrating continuous positive airway pressure: a large multicenter study. Am J Respir Crit Care Med 170:1218–1224. https://doi.org/10.1164/rccm. 200312-1787OC
- 13. Freedman N, Kuzniar TJ (2017) Mode selection for positive airway pressure titration in adults with obstructive sleep apnea. UpToDate[®]. Disponível em: https://www.uptodate.com/conte nts/mode-selection-for-titration-of-positive-airway-pressure-inadults-with-obstructive-sleep-apnea. Literature review current through: May 2017. Acesso em: 01 jun 2017.
- LETTIERI CJ, et al (2008) Eszopiclone improves overnight polysomnography and continuous positive airway pressure titration: a prospective, randomized, placebo-controlled trial. Sleep 31(9):1310–1316
- Su CS et al (2012) Functional outcomes in patients with REM related obstructive sleep apnea treated with positive airway pressure therapy. J Clin Sleep Med 8(3):243–247
- American Academy of Sleep Medicine (AASM) (1999) Sleeprelated breathing disorders in adults: recommendations for syndrome definition and measurement techniques in clinical research.

The Report of an American Academy of Sleep Medicine Task Sleep 22(2):667–689

- 17. Zou G (2004) A modified poisson regression approach to prospective studies with binary data. Am J Epidemiol 159:702–706
- Schwab RJ, Badr SM, Epstein LJ et al (2013) The optimal monitoring strategies and outcome measures in adults ATS subcommittee on CPAP adherence tracking systems. Am J Respir Crit Care Med 188(5):613–620. https://doi.org/10.1164/rccm. 201307-1282ST
- Berry RB, Kushida CA, Kryger MH, Soto-Calderon H, Staley B, Kuna ST (2012) Respiratory event detection by a positive airway pressure device. Sleep 35(3):361–367. https://doi.org/10.5665/ sleep.1696
- Lloberes P, Ballester E, Montserrat JM, Botifoll E, Ramirez A, Reolid A, Gistau C, Rodriguez-Roisin R (1996) Comparison of manual and automatic cpap titration in patients with sleep apnea/ hypopnea syndrome. Am J Resp Crit Care Med 154:1755–1758
- Issa FG, Sullivan CE (1986) The immediate effects of nasal continuous positive airway pressure treatment on sleep pattern in patients with obstructive sleep apnea syndrome. Electroencephalogr Clin Neurophysiol 63(1):10–17
- 22. Desai H, Patel A, Patel P, Grant BJ, Mador MJ (2009) Accuracy of autotitrating CPAP to estimate the residual apnea–hypopnea index in patients with obstructive sleep apnea on treatment with autotitrating CPAP. Sleep Breath 13:383–390
- Hirshkowitz M, Sharafkhaneh A (2005) Positive airway pressure therapy of OSA sleep and respiration. Editor Seminars in Respiratory and Critical Care Medicine 26(1):68–79
- Beninati W, Sanders MH (2001) Optimal continuous positive airway pressure for the treatment of obstructive sleep apnea/hypopnea. Sleep Med Rev 5(1):7–23
- Netzer NC et al (2011) The need for pressure changes in CPAP therapy 2–3 months after initial treatment: a prospective trial in 905 patients with sleep-disordered breathing. Sleep and Breathing 15(1):107–112
- Choi S et al (2001) Is (re)titration of nasal continuous positive airway pressure for obstructive sleep apnoea necessary? Sleep Med 2(5):431–435
- Farré R, Gozal D, Montserrat JM (2021) Alternative procedure to individual nasal pressure titration for sleep apnea. J Clin Med 7:1453–1459
- Montserrat JM, Ballester E, Olivi H (1995) Time course of stepwise CPAP titration: behavior of respiratory and neurological variables. Am J Respir Crit Care Med 152:1854–1859
- 29. Jenkinson C et al (1999) Comparison of therapeutic and subtherapeutic nasal continuous positive airway pressure for obstructive sleep apnoea: a randomised prospective parallel trial. Lancet 353(9170):2100–2105
- Lai CC et al (2015) Clinical predictors of effective continuous positive airway pressure in patients with obstructive sleep apnea/ hypopnea syndrome. Laryngoscope 125(8):1983–1987

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.