

# IR-Spectroscopic Study on the Interface of Cu-Based Methanol Synthesis Catalysts: Evidence for the Formation of a ZnO Overlayer

Julia Schumann<sup>1,3,4</sup> · Jutta Kröhnert<sup>1</sup> · Elias Frei<sup>1</sup> · Robert Schlögl<sup>1,2</sup> · Annette Trunschke<sup>1</sup>

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**Abstract** Carbon monoxide was applied as probe molecule to compare the surface of a ZnO-containing (Cu/ZnO:Al) and a ZnO-free (Cu/MgO) methanol synthesis catalyst (copper content 70 atomic %) after reduction in hydrogen at 523 K by DRIFT spectroscopy. Nano-structured, mainly metallic copper was detected on the surface of the Cu/MgO catalyst. In contrast, the high energy of the main peak in the spectrum of CO adsorbed on reduced Cu/ZnO:Al ( $2125\text{ cm}^{-1}$ ) proves that metallic copper is largely absent on the surface of this catalyst. The band is assigned to  $\text{Zn}^{\delta+}\text{-CO}$ . The presence of not completely reduced  $\text{Cu}^{\delta+}\text{-CO}$  species cannot be excluded. The results are interpreted in terms of a partial coverage of the copper nano-particles in the Cu/ZnO:Al catalyst by a thin layer of metastable,

defective zinc oxide. Minor contributions in the spectrum at  $2090$  and  $2112\text{ cm}^{-1}$  due to nano-structured  $\text{Cu}^0\text{-CO}$  and CO adsorbed on highly defective  $\text{Cu}^0$ , respectively, indicate that the coverage of metallic copper is not complete.

**Keywords** Cu · Methanol synthesis catalyst · CO adsorption · DRIFTS

## 1 Introduction

Climate change and the limited availability of fossil fuels drive the development of renewable energy sources. The fluctuations of renewable energy require efficient energy storage for a viable renewable future energy scenario. One promising possibility is the conversion of electrical energy into chemical energy in molecules such as methanol using  $\text{CO}_2$  as feedstock. Despite the large-scale application of methanol synthesis over Cu/ZnO/ $\text{Al}_2\text{O}_3$  catalysts for several decades, understanding of the unique Cu–ZnO synergy that renders this catalyst active is still incomplete. However, this understanding is crucial in order to optimize the catalyst to the special conditions needed for methanol synthesis from a  $\text{CO}_2$  based feed and to application under dynamic operation conditions.

Infrared spectroscopy is a powerful tool to investigate oxides or supported metal catalysts [1–4]. Indirect information concerning nature and number of adsorption sites at the surface of the catalyst is retrieved by adsorption of appropriate probe molecules. Carbon monoxide has been used frequently as a probe molecule based on non-reactive adsorption at cationic sites, [4] the formation of carbonyls with metals, [5, 6] and the weak interaction with hydroxyl groups [2, 7]. Alterations of the probed surface by possible redox reactions of CO with surface sites or the formation

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✉ Elias Frei  
efrei@fhi-berlin.mpg.de

✉ Annette Trunschke  
trunschke@fhi-berlin.mpg.de

<sup>1</sup> Department of Inorganic Chemistry, Fritz-Haber-Institut der Max-Planck-Gesellschaft, Faradayweg 4-6, 14195 Berlin, Germany

<sup>2</sup> Max Planck Institute for Chemical Energy Conversion, Stiftstr. 34-36, 45470 Mülheim, Germany

<sup>3</sup> Present Address: Department of Chemical Engineering, Stanford University, 443 Via Ortega, Stanford, CA 94305, USA

<sup>4</sup> SLAC National Accelerator Laboratory, SUNCAT Center for Interface Science and Catalysis, 2575 Sand Hill Road, Menlo Park, CA 94025, USA

of volatile carbonyls [8] can be prevented by adsorption at liquid nitrogen temperature.

Due to the high copper content (50–70 wt%) in industrial relevant Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> (Al<sub>2</sub>O<sub>3</sub> as phase, higher Al-content of 10–15 wt%) methanol synthesis catalysts, infrared studies of probe molecule adsorption on realistic systems and operando investigations are, however, limited [9–12]. Frequently, FTIR studies have been performed on model catalysts with lower copper contents or after dilution [13–19].

Recently, a Cu/ZnO:Al (Al as dopant in the ZnO; ZnO:Al, lower Al-content of <3 wt%) catalyst has been compared with a Cu/MgO catalyst in methanol synthesis [20–22]. The Cu nano-structure in Cu/ZnO:Al and Cu/MgO is almost identical due to the common malachite-based precursor structure [20]. Despite similar Cu-content and specific surface area, the Cu/MgO catalyst showed a seven times higher activity in a CO/H<sub>2</sub> feed, [22] and almost no activity in a CO/CO<sub>2</sub>/H<sub>2</sub>-feed, confirming the needed Cu–ZnO synergy in particular for the CO<sub>2</sub> activation [23–28] that may be attributed to different reasons including a wetting of Cu by (layered-) ZnO under reaction conditions. The coverage of the Cu-surface by a ZnO overlayer, as possible reason for the poor activity in a CO/H<sub>2</sub> feed, has been recently visualized by high-resolution transmission electron microscopy (HR-TEM) studies of a reduced Cu/ZnO:Al catalyst [29]. Strong metal-support interaction (SMSI) is apparently implemented by the formation of a thin layer of metastable “graphitic -/boron nitride-like” ZnO on the surface of the copper particles after reductive activation of the catalyst.

Local insight into the nano-structure by electron microscopy is complemented in the present contribution by a diffuse reflectance infrared Fourier transform (DRIFT) spectroscopy study. Infrared spectroscopy of adsorbed carbon monoxide provides integral information regarding nature and abundance of adsorption sites. The surface of Cu/ZnO:Al and Cu/MgO catalysts are compared with a special focus on the metal/metal oxide interface and the accessibility of the Cu moieties.

## 2 Experimental

### 2.1 Catalyst Synthesis

Calcined CuO/ZnO:Al and CuO/MgO precursors that contain a similar amount of Cu were prepared by constant pH co-precipitation [20, 21]. The zincian malachite precursor with a Cu:Zn ratio of 70:30 and 3 mol% (metal base) Al was synthesized by co-precipitation from a Cu, Zn, Al nitrate solution (1 M metal-based) and a 1.6 M Na<sub>2</sub>CO<sub>3</sub> solution as a basic precipitating agent in an automated laboratory reactor setup (Labmax, Mettler Toledo). While stirring at 300 rpm, the metal nitrate solution (600 g) was dosed at a

temperature of 338 K. The pH was controlled by a feedback loop through simultaneous addition of the Na<sub>2</sub>CO<sub>3</sub> solution at pH 6.5. After 30 min the dosing was complete. Ageing was pursued for 80 min, then the slurry was filtrated and the precipitate was washed until the conductivity of the filtrate was below 0.5 mS cm<sup>-1</sup>. Spray-drying yielded the greenish-blue-colored precursor powder. For the preparation of the zinc-free Cu/MgO catalyst, a Cu, Mg nitrate solution with a Cu:Mg ratio of 70:30 was used. The co-precipitation was performed at pH 9. Subsequent synthesis steps were identical to the preparation of the Cu/ZnO:Al precursor.

The precursors were calcined in a rotary furnace in a flow of 125 mL min<sup>-1</sup> of 20% O<sub>2</sub> in Ar at 603 K for 3 h and a heating rate of 2 K min<sup>-1</sup>. Reduction of the calcined precursors was performed in-situ in the cell for DRIFTS measurements.

### 2.2 DRIFTS Measurement

Diffuse reflectance infrared Fourier transform (DRIFT) spectra were collected on a Cary 600 Series FTIR Spectrometer from Agilent Technologies equipped with a liquid nitrogen-cooled MCT detector at a spectral resolution of 2 cm<sup>-1</sup> and accumulation of 512 scans. An in-situ cell (Harrick Praying Mantis™ diffuse reflectance attachment DRP-DF8 in combination with a low temperature CHC-CHA-3 reaction chamber) was used. Prior to the measurements the optical path was aligned. The contribution of specular reflected light directed to the detector was minimized by using a mirror in sample position.

Spectra were taken at 77 or 300 K, respectively, after appropriate pretreatment in the reaction chamber. The calcined precursors were dehydrated in the DRIFTS cell by treatment in 4% O<sub>2</sub> in Ar at 423 K. 5% H<sub>2</sub> in Ar gas flow was used to reduce the calcined precursors with a heating rate of 6 K min<sup>-1</sup> up to 523 K. The temperature was kept at 523 K for 30 min, then hydrogen was switched off and the sample was cooled down to 298 K in Ar. At room temperature, the DRIFTS cell was evacuated until a pressure of 10<sup>-5</sup> mbar. The spectra of the two catalysts after reduction measured in diffuse reflection using KBr as background are presented in the Supporting Information (Fig. S1). Despite the low reflectance (<0.1%) the quality of the spectra is high. Furthermore, the reflectance of the two reduced catalysts in the frequency range of C–O stretching vibrations (2150–1950 cm<sup>-1</sup>) is comparable.

The single-beam spectrum of the corresponding pretreated catalyst was used as background to generate the spectrum of adsorbed CO. The spectra of adsorbed CO are presented in Kubelka–Munk units  $F(R_{\infty}) = (1 - R_{\infty})^2 / 2R_{\infty}$  [30–32]. CO isotherms were recorded by dosing CO at increasing equilibrium pressures ranging from 0.1 to

80 mbar. For each experiment a freshly pretreated sample was used.

### 3 Results

The general characteristics of the investigated samples within this DRIFTS study are summarized in Table 1. The nano-structure of copper oxide in the two calcined precursors is very similar leading to comparable Cu domain sizes in the reduced catalysts as revealed by XRD, regardless whether ZnO:Al or MgO was used as spacer. The XRD data are measured under in-situ conditions and the patterns

are shown in the Supporting Information (Fig. S2). The Cu domain size is given as volume weighted ( $L_{VOL}$ -IB) and Scherrer-equation based FWHM values. The Cu surface area is determined with  $H_2$ -TPD measurement. The  $N_2O$ -surface area is in correlation to the redox active sites on the surface. That means if we have e.g. oxygen vacancies in the metal oxide component or the metal oxide is reducible, the  $N_2O$  and the  $H_2$ -TPD values are different. This is the case for Cu/ZnO:Al, but not for Cu/MgO. The reactivity data show very clearly that for a fast  $CO_2$  hydrogenation ZnO has to be present and with a ZnO-free Cu/MgO only CO can be converted.

#### 3.1 CO Adsorption at 300 K

##### 3.1.1 CO Adsorption on the Calcined Precursors

Spectra of CO adsorbed on the calcined precursors CuO/ZnO:Al and CuO/MgO (calcination at 603 K in synthetic air), respectively, are shown in Fig. 1. Bands due to adsorbed CO appear at the same positions for the two calcined precursors: a main signal at ca.  $2100\text{ cm}^{-1}$  with a small shoulder at  $2135\text{ cm}^{-1}$ . The main peak shifts slightly from  $2092/2093\text{ cm}^{-1}$  at very low CO coverage to higher wavenumbers with increasing CO coverage for both the ZnO-free and ZnO-containing calcined precursors.

The integrated CO bands were plotted against the respective equilibrium pressure to obtain CO adsorption isotherms. Adsorption isotherms normalized to full coverage ( $\theta = 1$ ) are presented in the Supporting Information (Fig. S3, left). Both CuO/MgO and CuO/ZnO:Al display the same shape of the CO adsorption isotherm. The overall adsorption capacity is comparable, although slightly higher for CuO/MgO as it

**Table 1** Chemical composition, surface areas and particle size of Cu particles in Cu/ZnO:Al and Cu/MgO

|  | Cu/ZnO:Al | Cu/MgO   |
|--|-----------|----------|
| ID of calcined catalyst <sup>a</sup>   | 19,081    | 19,802   |
| XRF metal-based (atom%)  | 68/29/3   | 70/30    |
| BET precursor/calcined catalyst ( $\text{m}^2\text{g}^{-1}$ )  | 128/123   | 114/116  |
| Cu domain size reduced catalyst (nm) <sup>b</sup>  | 5.5/7.7   | 9.3/13.0 |
| Cu particle size TEM (nm)  | 8.1 [21]  | n.d.     |
| Cu surface area $N_2O$ ( $\text{m}^2\text{g}^{-1}$ )   | 36 [33]   | 24 [33]  |
| Cu surface area $H_2$ ( $\text{m}^2\text{g}^{-1}$ ) <sup>c</sup>   | 16 [33]   | 24 [33]  |
| Catalytic activity [ $\mu\text{mol}_{\text{MeOH}}(\text{g}_{\text{cat}}\text{ min})^{-1}$ ] <sup>d</sup> | 55        | 350      |
| Catalytic activity [ $\mu\text{mol}_{\text{MeOH}}(\text{g}_{\text{cat}}\text{ min})^{-1}$ ] <sup>e</sup> | 300       | 20       |

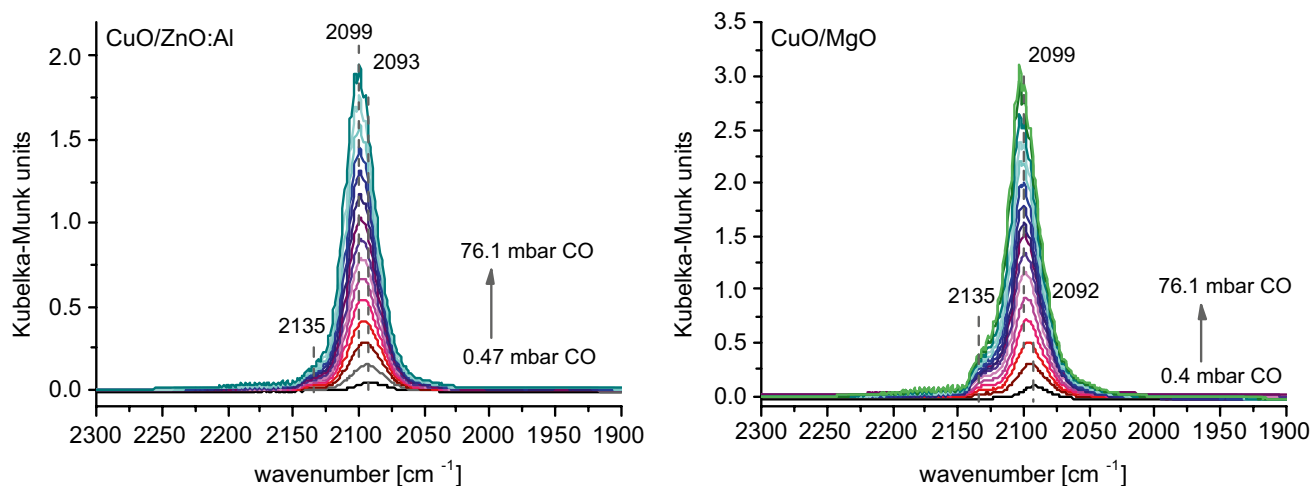
<sup>a</sup>required to clearly distinguish different batches of catalyst synthesis

<sup>b</sup>determined by in-situ XRD measurements as  $L_{VOL}$ -IB/ $L_{VOL}$ -FWHM

<sup>c</sup>surface area was determined assuming Cu: $H_2$ =3:1 stoichiometry, according to [33]

<sup>d</sup> $p = 30\text{ bar}$ ,  $T = 503\text{ K}$ , feed 14%  $CO/59\%$   $H_2$ /rest He

<sup>e</sup> $p = 30\text{ bar}$ ,  $T = 503\text{ K}$ , feed 8%  $CO_2/6\%$   $CO/59\%$   $H_2$ /rest He



**Fig. 1** Infrared spectra of CO adsorbed on the calcined precursors CuO/ZnO:Al (left), and CuO/MgO (right) after evacuation at 423 K ( $T_{\text{ads}} = 300\text{ K}$ , increasing equilibrium pressures from 0.5 to 76 mbar CO)

becomes apparent from the direct comparison at  $\theta=0.5$  in Fig. 5, left.

### 3.1.2 CO Adsorption at 300 K on the Reduced Catalysts

After reduction of the CuO containing calcined precursors in hydrogen containing feed at 523 K to bulk Cu metal, the CO band positions and adsorption capacity of the ZnO-containing and ZnO-free sample differ significantly (Fig. 2). The corresponding adsorption isotherms are shown in Fig. S3, right. The raw data (adsorption isotherms not normalized) are additionally presented in Fig. S4.

CO bands of the ZnO-free sample Cu/MgO (Fig. 2, right; Fig. 5, middle) appear mainly below  $2100\text{ cm}^{-1}$ . The main band is located at  $2089\text{ cm}^{-1}$ . With increasing coverage a shoulder at  $2077\text{ cm}^{-1}$  develops that is shifted to  $2060\text{ cm}^{-1}$  at  $\theta=1$ . No blue shift is observed with increasing coverage.

The overall intensity of the absorption bands of the ZnO containing Cu/ZnO:Al catalyst is much weaker compared to Cu/MgO (Fig. 2, left). More important, the main signal appears here at  $2125\text{ cm}^{-1}$ . At low coverage a signal at  $2112\text{ cm}^{-1}$  can be distinguished with only very weak features at lower wavenumbers around  $2090\text{ cm}^{-1}$ . With increasing pressure, the gas phase CO vibration and a weak band at  $1996\text{ cm}^{-1}$  become visible.

Direct comparison of the two catalysts at 50% coverage is shown in Fig. 5 (middle). This figure clearly depicts the diminished adsorption capacity of the Cu/ZnO:Al catalyst and the differences in the band positions.

### 3.1.3 CO Desorption Experiments at 300 K on the Reduced Catalysts

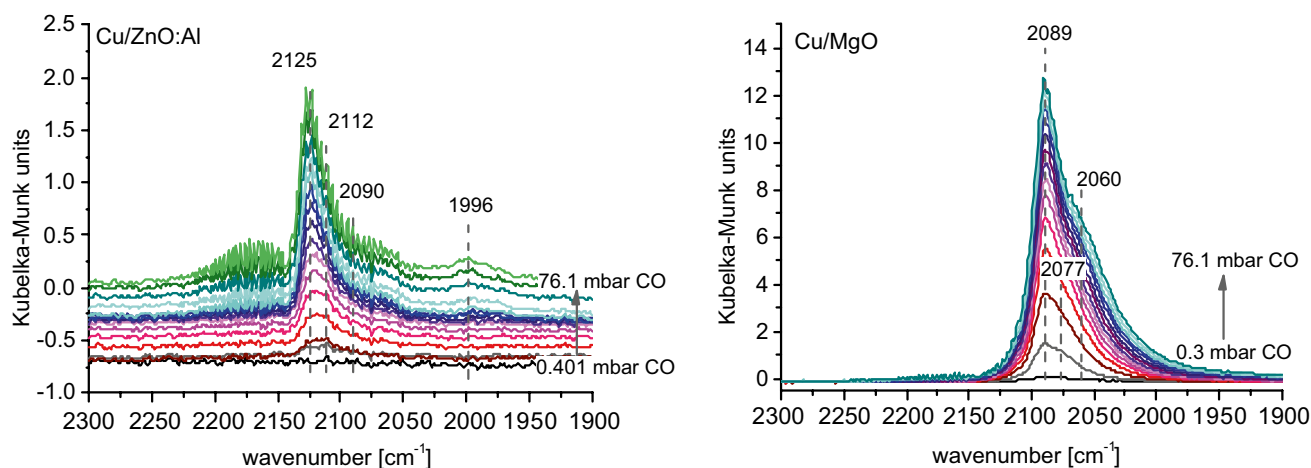
Bands due to CO adsorption disappear rapidly upon evacuation at 300 K for both catalysts (Fig. 3). CO is slightly stronger adsorbed on Cu/MgO. After 3.5 min no signal due to CO adsorption is seen for Cu/ZnO:Al, whereas for Cu/MgO a small signal can still be observed after 3.5 min in vacuum. The additional band at  $1996\text{ cm}^{-1}$  only observed on Cu/ZnO:Al cannot be removed by evacuation at room temperature.

### 3.2 CO Adsorption at 77 K on the Reduced Catalysts

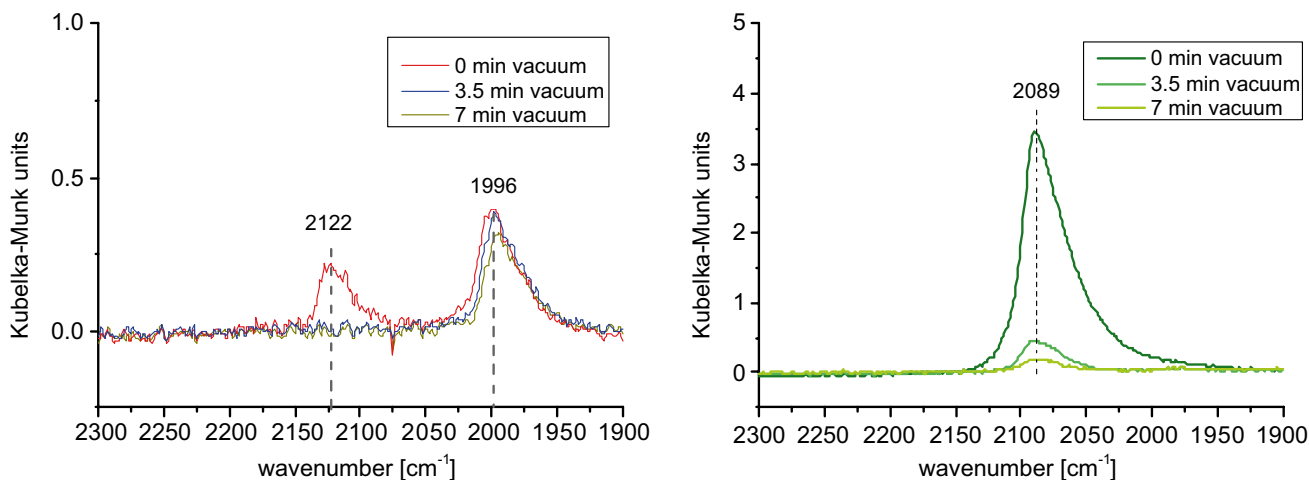
In addition to the measurements at 300 K, CO adsorption spectra of the reduced catalysts were also recorded at liquid N<sub>2</sub> temperature at 77 K (Fig. 4). The corresponding adsorption isotherms are presented in the Supporting Information (Fig. S5).

A band at  $2091\text{ cm}^{-1}$  appears upon adsorption of CO on Cu/MgO (Fig. 4, right), similar like in the adsorption experiment at 300 K (Fig. 2, right). A shoulder is observed at lower wavenumbers at around  $2060\text{ cm}^{-1}$ . Additionally, a weak signal arises at  $2180\text{ cm}^{-1}$ . This signal was not observed at room temperature. With increasing CO coverage, the peak maxima do not shift, but a weak shoulder of the main signal appears at higher wavenumbers around  $2114\text{ cm}^{-1}$ , much stronger pronounced compared to the spectra recorded at room temperature.

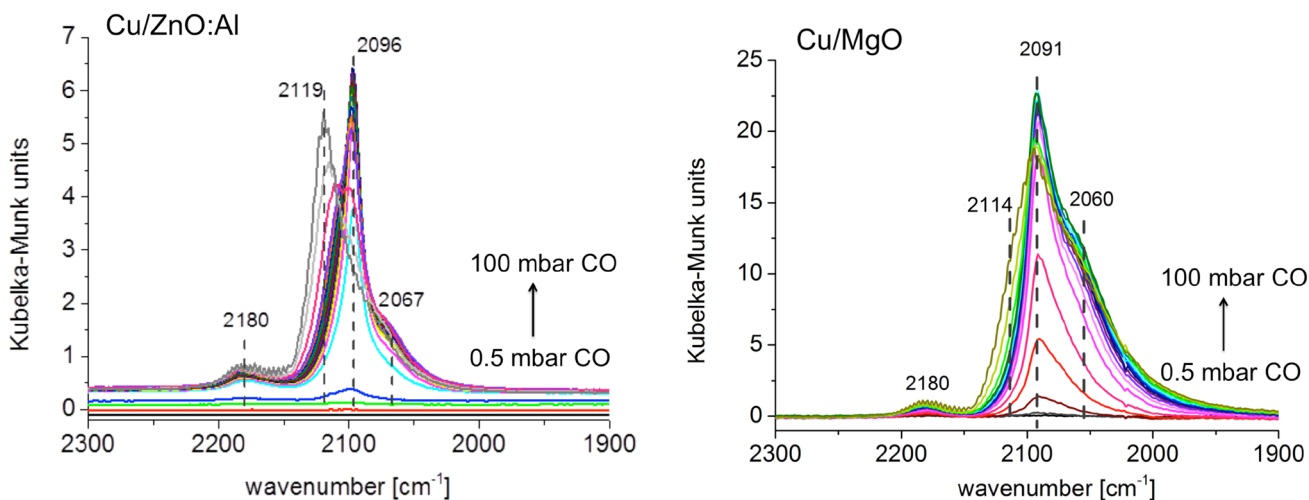
The CO adsorption spectra of the reduced ZnO-containing catalyst differ strongly from the measurement at room temperature and show an increase in the absolute signal intensity (Fig. 4, left). At low coverage a main signal arises at  $2096\text{ cm}^{-1}$ . A weak signal is observed at



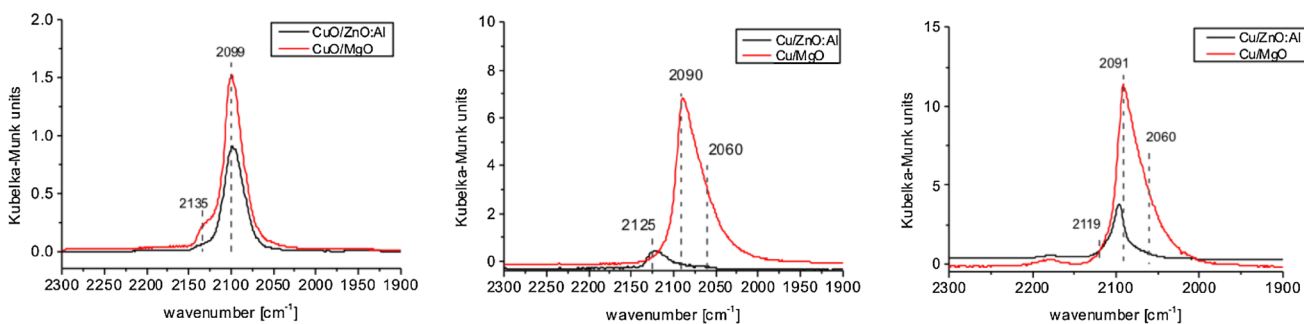
**Fig. 2** Infrared spectra of CO adsorbed on the reduced catalysts Cu/ZnO:Al (left) and Cu/MgO (right) after reduction of the catalysts at 523 K in the infrared chamber ( $T_{\text{ads}}=300\text{ K}$ , increasing equilibrium pressures from 0.3 to 76 mbar CO)



**Fig. 3** Desorption of CO at 300 K on the reduced catalysts. *Left* Cu/ZnO:Al catalyst. *Right* Cu/MgO catalyst



**Fig. 4** Infrared spectra of CO adsorbed on Cu/MgO (*right*) and Cu/ZnO:Al (*left*) after reduction of the catalysts at 523 K in the DRIFTS cell ( $T_{ads} = 77$  K, increasing equilibrium pressure from 0.1 to 58 mbar CO)



**Fig. 5** DRIFT spectra of CO adsorbed at 300 K on the calcined precursors (*left*) and reduced catalysts (*middle*) and at 77 K on the reduced catalysts (*right*); the spectra have been recorded at a CO coverage of 0.5 ML

2180  $\text{cm}^{-1}$ . Above a CO pressure of 5 mbar, the main signal at 2096  $\text{cm}^{-1}$  decreases and a new signal at 2119  $\text{cm}^{-1}$  arises and increases with increasing CO pressure.

### 3.3 CO Adsorption on Reference Oxides

CO was adsorbed on CuO and ZnO for reference (Fig. S6). CO adsorption at 300 K on CuO (Fig. S6, left) gives rise to CO stretching vibration at 2135  $\text{cm}^{-1}$ . CO adsorption on ZnO is very weak. In order to obtain a measurable signal the adsorption was performed at liquid  $\text{N}_2$  temperature (Fig. S6, right). A very weak signal at 2180  $\text{cm}^{-1}$  was obtained.

## 4 Discussion

Assignment of CO band positions to Cu oxidation states and coordination numbers is by no means unambiguous and is further complicated by surface coverage dependencies of the band positions and the readiness of the Cu surface to react with CO [3]. The comparison of differently treated catalysts as well as the analysis of reference materials gave a better insight into the surface of ZnO-containing vs. ZnO-free Cu catalysts.

The stretching frequency regions of  $\text{Cu}^{n+}$ -CO surface carbonyl species with copper in different oxidation states overlap [3]. Generally, bands in the range 2220–2150  $\text{cm}^{-1}$  have been assigned to  $\text{Cu}^{2+}$ -CO, whereas bands in the range 2160–2080  $\text{cm}^{-1}$  are attributed to  $\text{Cu}^+$ -CO.  $\text{Cu}^0$  carbonyls including single-site and nanostructures species occur <2130  $\text{cm}^{-1}$ .

CO adsorption on single crystal copper surfaces results in peaks  $\leq 2100$   $\text{cm}^{-1}$  [34–37]. A difference of 26  $\text{cm}^{-1}$  (2076–2102  $\text{cm}^{-1}$ ) in the stretching frequency of linearly adsorbed CO has been reported for different crystal facets [36]. Low frequency bands can be assigned to terrace places on low-indexed Cu surface planes. High frequency bands are due to CO adsorbed on high-indexed Cu surface planes or defect sites. CO stretching frequencies at  $\nu(\text{CO}) = 1830$  and 1812  $\text{cm}^{-1}$  have been associated with bridging species on the Cu(111) surface [37]. Furthermore, the band position of linearly adsorbed CO is affected by dipole coupling (blue shift with increasing coverage in absence of any variation in the electron exchange between the CO molecule and the metal) superimposed by gradual changes in the balance of  $\sigma$ - and  $\pi$ -bonding components [38].

In spite of these complications, good accordance has been generally detected between the spectra of CO adsorbed on single crystal Cu surfaces on the one hand and nanostructured copper particles supported on oxides on the other hand, [10, 14–18, 36] resulting in the general agreement that peaks  $>2100$   $\text{cm}^{-1}$  are ascribed to CO adsorption on

oxidized copper species  $\text{Cu}^{n+}$ , while peaks  $<2100$   $\text{cm}^{-1}$  are due to CO adsorption on metallic copper.

According to XRD, the calcined precursors contain a CuO phase (tenorite) as the only semi-crystalline phase [21]. Adsorption of CO on copper oxide at room temperature generally leads to the formation of  $\text{Cu}^+$ -CO carbonyls [3]. In agreement with the literature, adsorption of CO on the reference CuO (Fig. S6) resulted in the formation of a weak signal at 2135  $\text{cm}^{-1}$  that suggests the presence of  $\text{Cu}^+$ . This band was also found in the spectra of the calcined precursors (Fig. 1). However, it contributes only as a weak shoulder to the intense signals with maxima at 2100  $\text{cm}^{-1}$ . Due to the nano-structured character of the calcined precursors, the surfaces consist of structurally/energetically different sites reflected in the low energy and broadness of the main peaks for CO adsorption on CuO/MgO and CuO/ZnO:Al, respectively. The peaks may contain several contributions. The signal of both calcined precursors at about 2100  $\text{cm}^{-1}$  is proposed to be a result of partial surface reduction during calcination. From NEXAFS measurements at ambient pressure of the calcined CuO/ZnO:Al precursor, we know that a small fraction of CuO is already reduced to  $\text{Cu}_2\text{O}$  (Fig. S7). The partially reduced surface is probed by the adsorption of CO. Since no difference between the ZnO-containing and the ZnO-free calcined precursors was detected, the nano-structure of CuO in these materials is apparently comparable.

In contrast, major differences were observed after reduction of the two catalysts (Fig. 2). Cu/MgO displays mainly a CO adsorption band at 2089  $\text{cm}^{-1}$  with a shoulder at 2060  $\text{cm}^{-1}$  well in agreement with the presence of metallic Cu. Furthermore, from single crystal studies performed on differently oriented facets, it was inferred that closed packed surfaces as Cu(111) give rise to lower wavenumber signals at 2076  $\text{cm}^{-1}$ , and more open facets as (100) and (110) lead to signals at higher wavenumbers 2085 and 2093  $\text{cm}^{-1}$ , respectively [36]. The stretching frequency of CO on nanostructured, highly defective Cu surfaces is shifted to still higher wavenumbers [10, 15, 36]. The peak at 2089  $\text{cm}^{-1}$  is consequently attributed to nano-structured metallic copper. The weak shoulder at 2114  $\text{cm}^{-1}$ , only observed at high coverage in the measurement at 77 K, may indicate that the Cu component within a nano-structured Cu/metal oxide system is not completely reducible due to the intimate interfacial contact to the oxide part. CO adsorption was not found to take place on MgO at 300 K. A weak signal for CO adsorption on MgO is visible at a band position of 2180  $\text{cm}^{-1}$  if the CO adsorption was performed at low temperatures (Fig. 4, right) [39].

Compared to Cu/MgO, the intensity of the signals due to CO adsorbed on Cu/ZnO:Al catalyst is drastically diminished by about one order of magnitude after reduction (Fig. 5, middle). The band maximum is located at 2125  $\text{cm}^{-1}$ , which is neither typical for metallic  $\text{Cu}^0$ -CO nor resembling the

adsorption of CO on the calcined precursor or CuO. The signal contains several contributions including a component at  $2090\text{ cm}^{-1}$  attributed to nano-structured metallic copper. Substantial coverage of the metallic copper surface in the reduced Cu/ZnO:Al catalyst would be one plausible explanation for the high energy of the peak maximum and the low intensity of the signal. From previous XPS studies it is known, that under reducing conditions the surface of Cu/ZnO:Al is highly enriched in Zn [21, 28]. Recent HR-TEM investigations provided further evidence for the formation of a zinc oxide overlayer [29]. Furthermore, we do not see any hints for a Cu-Zn surface alloy formation under the applied conditions of reduction. The band position for CO adsorption on a CuZn alloy (or brass) sample is expected to arise at the same position ( $2071\text{ cm}^{-1}$ ) as metallic copper [40]. Adsorption of CO on ZnO at 77 K (Fig. S6, right) results in the formation of  $\text{Zn}^{2+}$ -CO band at  $2188 - 2180\text{ cm}^{-1}$  [3]. The peaks usually disappear upon evacuation. Referring to the HR-TEM study, [29] the main peak at  $2125\text{ cm}^{-1}$  is, therefore, tentatively attributed to adsorption of CO on the surface of a partially reduced ZnO overlayer ( $\text{Zn}^{\delta+}$ -CO) or alternatively to not completely reduced  $\text{Cu}^{\delta+}$ -CO species. Explicit discrimination between carbonyls of  $\text{Cu}^{\delta+}$  and  $\text{Zn}^{\delta+}$  is impossible based on the present experiments. The shoulder at  $2112\text{ cm}^{-1}$  may be attributed to Cu defects, which are coordinatively and electronically unsaturated.

The stability of the carbonyls formed on Cu/ZnO:Al as well as on Cu/MgO is low. Consequently, the CO desorption experiment (Fig. 3) provides no further hints in terms of an assignment. Interestingly, the additional band at  $1996\text{ cm}^{-1}$ , only observed on the ZnO-containing catalyst (Fig. 3, left), is stable in vacuum. Formation of a  $\text{CuZn}_x$  surface alloy is unlikely under the applied conditions, [41] since the peak is not observed when CO is adsorbed on Cu/ZnO:Al reduced at higher temperatures. The assignment of the band at  $1996\text{ cm}^{-1}$  requires further investigations. We may speculate at this point that the feature originates from adsorption of CO on the Cu-ZnO<sub>1-x</sub> interface in a bridged position or on metallic Cu sites that are electronically modified by the interaction with ZnO<sub>1-x</sub>.

In summary, the shift of the peak maximum of CO adsorbed on the reduced Cu/ZnO:Al catalyst to higher energies in comparison with Cu/MgO (Fig. 5, middle) indicates clearly that adsorption sites on metallic copper are largely not accessible in presence of ZnO, which may be interpreted in terms of a coverage of the metallic copper surface with defective zinc oxide species as a result of the reductive treatment [29]. The low intensity of the signal of adsorbed CO may be interpreted in terms of a limited number of adsorption sites on the surface of the partially reduced ZnO overlayer or, more likely, in terms of a different absorption coefficient of CO adsorbed on  $\text{Zn}^{\delta+}$ -CO compared to  $\text{Cu}^0$ -CO [3]. Such a phenomenon is not observed when non-reducible

MgO is used as matrix for nano-structured copper. At this point it should be emphasized again that the domain size of the metallic copper determined by XRD is comparable for the two reduced catalysts (Table 1).

Adsorption of CO on Cu/MgO at 77 K results in similar spectra as the adsorption of CO at room temperature, confirming an incompletely reduced character of Cu by the occurrence of a shoulder at  $2114\text{ cm}^{-1}$  at high coverage. The partially oxidized Cu species are most probably located at the interface to the metal oxide (here MgO). This hypothesis is supported by the results of NEXAFS measurements (Fig. S8), showing a not complete metallic Cu L-edge even under 1 bar 10% hydrogen at 523 K.

In contrast, the spectra recorded at 300 and 77 K on the reduced Cu/ZnO:Al catalyst differ in terms of the peak positions, and also in intensity (Fig. 5, right). The main signal observed at 77 K at low CO coverage is located at  $2096\text{ cm}^{-1}$  and can be attributed to metallic copper. Hence, contrary to the measurement at room temperature, where the Cu surface seemed to be almost completely blocked by the layered ZnO polymorph, Cu is exposed at least partly to gas phase CO at 77 K. One explanation is, that the ZnO overlayer cracked mechanically due to different thermal expansion coefficients of Cu metal and ZnO when the reduced catalyst is cooled down to 77 K. Only at higher CO pressures the overlayer becomes slowly visible again indicating that the encapsulation of Cu is re-established (Fig. 4, left). It has been reported that under reducing conditions, ZnO in contact with Cu becomes mobile leading to rearrangements [25].

## 5 Conclusions

In the present contribution the surface of copper embedded in a matrix of either ZnO:Al or MgO has been studied by DRIFT spectroscopy applying carbon monoxide as probe molecule. It was found, that the adsorption of CO on metallic copper is substantially suppressed after activation of the high-performance Cu/ZnO:Al catalyst by a reductive treatment at 523 K. In contrast, nano-structured metallic copper is accessible for gas-phase CO in the reduced Cu/MgO catalyst. The signal of adsorbed CO that was detected for the ZnO-containing catalyst differs from the signal usually observed after adsorption of CO on metallic copper. The results are in agreement with the adsorption of CO on  $\text{M}^{\delta+}$  ( $\text{M} = \text{Zn}, \text{Cu}$ , but most likely Zn) due to the formation of a thin layer of metastable “graphitic -/ boron nitride-like” ZnO on top of the metallic Cu particles previously detected by HR-TEM [29]. The DRIFTS study indicates that the local observation made by HR-TEM is representative for the entire catalyst surface. The coverage of metallic copper is not complete. Furthermore, our study shows that within a nanostructured Cu/metal oxide system, the Cu-moieties are

not completely reducible, most probably at the interfacial contact of the metal and the metal oxide. The importance of the Cu/ZnO interface in reverse water–gas shift and methanol synthesis reactions was recently evidenced [42, 43].

The differences observed in methanol synthesis reactivity between ZnO-containing and ZnO-free catalysts in their active states could be related to a different nature of the surfaces that are exposed to the gas phase. The results demonstrate a step further towards an improved understanding of the synergistic effects in Cu–ZnO based catalyst for methanol synthesis. In the present study reduced catalysts have been studied. The surface may change under reaction conditions [42]. Therefore, DRIFTS investigations of used catalysts transferred to the measurement cell without air contact and DRIFTS studies under operation at 30 bar pressure are currently underway.

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