

# Unitary equivalent classes of one-dimensional quantum walks

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**Abstract** This study investigates unitary equivalent classes of one-dimensional quantum walks. We prove that one-dimensional quantum walks are unitary equivalent to quantum walks of Ambainis type and that translation-invariant one-dimensional quantum walks are Szegedy walks. We also present a necessary and sufficient condition for a one-dimensional quantum walk to be a Szegedy walk.

Keywords Quantum walk  $\cdot$  Szegedy walk  $\cdot$  One-dimensional quantum walk  $\cdot$  Unitary equivalence

# **1** Introduction

This study investigates unitary equivalent classes of one-dimensional quantum walks. A quantum walk is defined by a pair  $(U, \{\mathcal{H}_v\}_{v \in V})$ , where *V* is a countable set,  $\{\mathcal{H}_v\}_{v \in V}$  is a family of separable Hilbert spaces, and *U* is a unitary operator on  $\mathcal{H} = \bigoplus_{v \in V} \mathcal{H}_v$  [17]. For a given quantum walk  $(U, \{\mathcal{H}_v\}_{v \in V})$ , we can define a digraph G = (V, D) [7,8,17]. In this paper, we consider primarily one-dimensional quantum walks, which have been the subject of many studies [1–6, 10–14, 16–18, 20].

It is important to clarify when we think of two quantum walks as being the same. We consider unitary equivalence of quantum walks in the sense of [17]. If two quantum walks are unitary equivalent, then their digraphs and dimensions of their Hilbert spaces are the same. Furthermore, the probability distributions of the quantum walks are also

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the same. Consequently, we can think of unitary equivalent quantum walks as being the same.

Unitary equivalent classes of simple quantum walks have been shown to be parameterized by a single parameter [5]. We extend this result and show that every translation-invariant one-dimensional quantum walk is unitary equivalent to a simple quantum walk. Moreover, we prove that every one-dimensional quantum walk is unitary equivalent to one of Ambainis type.

The Szegedy walk, whose original form was introduced in [19], is one of the well-investigated quantum walks (see also [9, 15-17]). We prove that every translation-invariant one-dimensional quantum walk is a Szegedy walk and present a necessary and sufficient condition for a one-dimensional quantum walk to be a Szegedy walk.

Relation between Szegedy walks and staggered quantum walks was considered in [15]. The evolution operator of a staggered quantum walk is the product of two (or more) self-adjoint unitary operators. On the other hand, the evolution operator of a quantum walk considered in this paper is just a unitary operator. Therefore, the approach used in this paper is different from that used in [15].

The remainder of this paper is organized as follows. We introduce some notations for quantum walks in Sect. 2. In Sect. 3, we describe the unitary equivalence of quantum walks. In Sect. 4, we reveal the form of standard quantum walks. In Sect. 5, we prove that every one-dimensional quantum walk is unitary equivalent to one of Ambainis type. In Sect. 6, we clarify when a one-dimensional quantum walk becomes a Szegedy walk and show that every translation-invariant one-dimensional quantum walk is a Szegedy walk.

## 2 Preliminaries

Let us recall the definition of quantum walks in the sense of [16, 17].

**Definition 1** Let *V* be a countable set,  $\{\mathcal{H}_v\}_{v \in V}$  a family of separable Hilbert spaces, and *U* a unitary on  $\mathcal{H} = \bigoplus_{v \in V} \mathcal{H}_v$ . A quantum walk is a pair  $(U, \{\mathcal{H}_v\}_{v \in V})$ , and we write  $(U, \{\mathcal{H}_v\}_{v \in V}) \in \mathcal{F}_{QW}$ .

A (pure) quantum state is represented by a unit vector in a Hilbert space. For  $\lambda \in \mathbb{R}$ , quantum states  $\xi$  and  $e^{i\lambda}\xi$  in  $\mathcal{H}$  are identified. Hence, quantum walks  $(U, \{\mathcal{H}_v\}_{v \in V})$  and  $(e^{i\lambda}U, \{\mathcal{H}_v\}_{v \in V})$  are also identified.

Let  $(U, \{\mathcal{H}_v\}_{v \in V})$  be a quantum walk.  $P_v \in B(\mathcal{H})$  is a projection onto  $\mathcal{H}_v$ , and  $U_{uv} \in B(\mathcal{H})$  is an operator defined by  $U_{uv} = P_u U P_v$  for all  $u, v \in V$ . An operator  $U_{uv}$  is also considered as an operator in  $B(\mathcal{H}_v, \mathcal{H}_u)$ , and we use the same notation if there is no confusion.

Given a quantum walk  $(U, \{\mathcal{H}_v\}_{v \in V}) \in \mathcal{F}_{QW}$ , we can construct a digraph G = (V, D). For vertices  $u, v \in V$ , the number of directed edges from v to u is denoted by  $\operatorname{card}(u, v)$ ; i.e.,

$$\operatorname{card}(u, v) = \operatorname{card}\{e \in D : t(e) = u, o(e) = v\},\$$

where o(e) and t(e) are the origin and the terminus of the directed edge e, respectively, and card indicates the cardinal number of a set.

**Definition 2** For a quantum walk  $(U, \{\mathcal{H}_v\}_{v \in V}) \in \mathcal{F}_{QW}$ , define the number of directed edges from v to u by

$$\operatorname{card}(u, v) = \operatorname{rank} U_{uv}.$$

Then, a digraph (V, D) is called a digraph of the quantum walk  $(U, \{\mathcal{H}_v\}_{v \in V})$ .

Next, we define a translation-invariant quantum walk. Translation-invariant onedimensional quantum walks are well known. Here, we extend the notion of translationinvariant quantum walk to arbitrary digraphs.

**Definition 3** A bijection  $\gamma$  on V is called an automorphism on a digraph (V, D) if

$$\operatorname{card}(u, v) = \operatorname{card}(\gamma(u), \gamma(v))$$

for all  $u, v \in V$ . A quantum walk  $(U, \{\mathcal{H}_v\}_{v \in V})$  is called translation invariant for  $\gamma$  if

$$\mathcal{H}_v = \mathcal{H}_{\gamma(v)}$$
 and  $U_{uv} = U_{\gamma(u)\gamma(v)}$ 

for all  $u, v \in V$ .

A digraph G = (V, D) is called symmetric if card(u, v) = card(v, u) for any  $u, v \in V$ . A digraph G = (V, D) is called locally finite if  $card\{e \in D : o(e) = v\}$  and  $card\{e \in D : t(e) = v\}$  are finite for any  $v \in V$ .

Now, we introduce three classes of quantum walks.

**Definition 4** A quantum walk  $(U, \{\mathcal{H}_v\}_{v \in V}) \in \mathcal{F}_{QW}$  is called standard if the digraph is locally finite and symmetric, and satisfies

$$\operatorname{card} \{ e \in D : o(e) = v \} = \dim \mathcal{H}_v$$

for all  $v \in V$ .

Note that a symmetric digraph satisfies

$$card\{e \in D : o(e) = v\} = card\{e \in D : t(e) = v\}.$$

**Definition 5** A quantum walk is called one-dimensional if dim  $\mathcal{H}_n = 2$ , and the digraph of the quantum walk satisfies  $V = \mathbb{Z}$  and

$$D = \{(n, n+1), (n+1, n) : n \in \mathbb{Z}\}$$

with  $\operatorname{card}(n, n + 1) = \operatorname{card}(n + 1, n) = 1$  for all  $n \in \mathbb{Z}$ , i.e., the digraph has no multiple edges.

We can canonically define an automorphism  $\gamma$  on the digraph of a one-dimensional quantum walk, i.e.,  $\gamma(n) = n + 1$  for  $n \in \mathbb{Z}$ .

**Definition 6** [16,17,19] A standard quantum walk  $(U, \{\mathcal{H}_v\}_{v \in V})$  is called a Szegedy walk if there exist a self-adjoint unitary operator *S* on  $\mathcal{H}$ , a real number  $\lambda \in \mathbb{R}$ , and unit vectors  $\phi_v \in \mathcal{H}_v$  such that  $e^{i\lambda}SU$  has the form

$$C = \bigoplus_{v \in V} C_v,$$

where  $C_v = 2|\phi_v\rangle\langle\phi_v| - I_{\mathcal{H}_v}$  on  $\mathcal{H}_v$ . Here, the unitary operators S and C are called shift and coin operators, respectively.

Since a self-adjoint unitary operator S satisfies  $S^2 = I$ , a Szegedy walk is represented as

$$U = e^{-i\lambda}SC.$$

In the case of one-dimensional quantum walks, the operator  $C_v$  is a traceless selfadjoint unitary operator.

Finally, we recall the probability distribution of a quantum walk.

**Definition 7** Let  $(U, \{\mathcal{H}_v\}_{v \in V}) \in \mathcal{F}_{QW}$ , and let  $\Psi_0$  be an initial state in  $\mathcal{H}$ . The probability  $\mu_t^{\Psi_0}(v)$  of finding the quantum walker at time  $t \in \mathbb{Z}_+$  and at vertex v is defined by

$$\mu_t^{\Psi_0}(v) = \|P_v U^t \Psi_0\|^2.$$

## 3 Unitary equivalence of quantum walks

In this section, we consider the unitary equivalence of quantum walks.

**Definition 8**  $(U_1, \{\mathcal{H}_{v_1}^{(1)}\}_{v_1 \in V_1}) \in \mathcal{F}_{QW}$  and  $(U_2, \{\mathcal{H}_{v_2}^{(2)}\}_{v_2 \in V_2}) \in \mathcal{F}_{QW}$  are unitary equivalent, written  $(U_1, \{\mathcal{H}_{v_1}^{(1)}\}_{v_1 \in V_1}) \simeq (U_2, \{\mathcal{H}_{v_2}^{(2)}\}_{v_2 \in V_2})$ , if there exist a unitary W from  $\bigoplus_{v_1 \in V_1} \mathcal{H}_{v_1}$  to  $\bigoplus_{v_2 \in V_2} \mathcal{H}_{v_2}$  and a bijection  $\phi$  from  $V_1$  to  $V_2$  such that

$$W\mathcal{H}_{v_1} = \mathcal{H}_{\phi(v_1)}$$
 and  $WU_1W^* = U_2$ .

We would like to regard unitary equivalent quantum walks as being the same. The next proposition says that unitary equivalent quantum walks have the same digraphs.

**Proposition 1** Let  $(U_1, \{\mathcal{H}_{v_1}^{(1)}\}_{v_1 \in V_1})$  and  $(U_2, \{\mathcal{H}_{v_2}^{(2)}\}_{v_2 \in V_2})$  be quantum walks, and let  $G_1 = (V_1, D_1)$  and  $G_2 = (V_2, D_2)$  be their digraphs. If quantum walks  $(U_1, \{\mathcal{H}_{v_1}^{(1)}\}_{v_1 \in V_1})$  and  $(U_2, \{\mathcal{H}_{v_2}^{(2)}\}_{v_2 \in V_2})$  are unitary equivalent by a unitary W, the digraphs  $G_1 = (V_1, D_1)$  and  $G_2 = (V_2, D_2)$  are isomorphic; that is, there exists a bijection  $\phi$  from  $V_1$  to  $V_2$  such that

$$\operatorname{card}(u, v) = \operatorname{card}(\phi(u), \phi(v)).$$

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*Proof* From the definition of unitary equivalence, there exists a bijection  $\phi$  from  $V_1$  to  $V_2$ . A unitary W maps  $\mathcal{H}_{v_1}$  to  $\mathcal{H}_{\phi(v_1)}$ , with the result that  $WP_{v_1}W^* = P_{\phi(v_1)}$ . Since  $\operatorname{card}(u, v) = \operatorname{rank} P_u U_1 P_v$  for  $u, v \in V_1$ ,

$$\operatorname{card}(u, v) = \operatorname{rank} P_u U_1 P_v = \operatorname{rank} W P_u U_1 P_v W^* = \operatorname{rank} P_{\phi(u)} U_2 P_{\phi(v)}$$
$$= \operatorname{card}(\phi(u), \phi(v)).$$

Hence, we obtain the proposition.

When  $(U_1, \{\mathcal{H}_{v_1}^{(1)}\}_{v_1 \in V_1}) \in \mathcal{F}_{QW}$  and  $(U_2, \{\mathcal{H}_{v_2}^{(2)}\}_{v_2 \in V_2}) \in \mathcal{F}_{QW}$  are unitary equivalent, we can identify  $V_2$  with  $V_1$  using the bijection  $\phi$ , and write  $V = V_1$ . Similarly,  $D_1$  and  $D_2$ , and  $\mathcal{H}_v^{(1)}$  and  $\mathcal{H}_{\phi(v)}^{(2)}$  can be identified, and we write  $D = D_1$  and  $\mathcal{H}_v = \mathcal{H}_v^{(1)}$ . Here, the unitary W can be decomposed as

$$W = \bigoplus_{v \in V} W_v,$$

where  $W_v = P_v W P_v$ .

The following corollary is an immediate consequence of Proposition 1.

**Corollary 1** Let quantum walks  $(U_1, \{\mathcal{H}_v\}_{v \in V})$  and  $(U_2, \{\mathcal{H}_v\}_{v \in V})$  be unitary equivalent. If  $(U_1, \{\mathcal{H}_v\}_{v \in V})$  is standard or one-dimensional, then so is  $(U_2, \{\mathcal{H}_v\}_{v \in V})$ .

Unitary equivalence also preserves the properties of a Szegedy walk.

**Proposition 2** Let quantum walks  $(U_1, \{\mathcal{H}_v\}_{v \in V})$  and  $(U_2, \{\mathcal{H}_v\}_{v \in V})$  be unitary equivalent by a unitary W. If  $(U_1, \{\mathcal{H}_v\}_{v \in V})$  is a Szegedy walk, then so is  $(U_2, \{\mathcal{H}_v\}_{v \in V})$ .

*Proof* By the assumption, there exist a self-adjoint unitary *S* on  $\mathcal{H}$ , a real number  $\lambda \in \mathbb{R}$ , and unit vectors  $\phi_v \in \mathcal{H}_v$  such that  $e^{i\lambda}SU_1$  has the form

$$C = \bigoplus_{v \in V} C_v,$$

where  $C_v = 2|\phi_v\rangle\langle\phi_v| - I_{\mathcal{H}_v}$ . Then,  $WSW^*$  is also a self-adjoint unitary on  $\mathcal{H}$ . Moreover,

$$e^{i\lambda}WSW^*U_2 = e^{i\lambda}WSU_1W^* = W \bigoplus_{v \in V} C_vW^* = \bigoplus_{v \in V} 2|W\phi_v\rangle\langle W\phi_v| - I_{\mathcal{H}_v},$$

from which it follows that  $(U_2, \{\mathcal{H}_v\}_{v \in V})$  is a Szegedy walk.

In general, a quantum walk that is unitary equivalent to a translation-invariant quantum walk is not translation invariant. However, if we add a condition, then translation invariance is also preserved.

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**Proposition 3** Let  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  be a translation-invariant one-dimensional quantum walk such that  $\mathcal{H}_n = \mathcal{H}_{n+1}$  for all  $n \in \mathbb{Z}$ , and let W be a unitary on  $\mathcal{H}$  that has the form

$$W=\bigoplus_{n\in\mathbb{Z}}W_n,$$

where  $W_n$  is a unitary on  $\mathcal{H}_n$ , and  $W_n = W_{n+1}$  for all  $n \in \mathbb{Z}$ . The quantum walk  $(WUW^*, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  is a translation-invariant one-dimensional quantum walk.

*Proof* It is sufficient to prove that  $WUW^*$  is translation invariant; i.e.,  $P_nWUW^*P_m = P_{\gamma(n)}WUW^*P_{\gamma(m)}$ . Since U is translation invariant,

$$P_n WUW^* P_m = W_n P_n UP_m W_m^* = W_n U_{nm} W_m^* = W_{\gamma(n)} U_{\gamma(n)\gamma(m)} W_{\gamma(m)}^*$$
  
=  $W_{\gamma(n)} P_{\gamma(n)} U P_{\gamma(m)} W_{\gamma(m)}^* = P_{\gamma(n)} WUW^* P_{\gamma(m)}.$ 

Hence, WUW\* is translation invariant.

Finally, we consider the probability distribution of a quantum walk. This does not change under unitary equivalence.

**Proposition 4** Let quantum walks  $(U_1, \{\mathcal{H}_v\}_{v \in V})$  and  $(U_2, \{\mathcal{H}_v\}_{v \in V})$  be unitary equivalent by a unitary W, let  $\Phi_0$  and  $W\Phi_0$  in  $\mathcal{H}$  be an initial state of  $(U_1, \{\mathcal{H}_v\}_{v \in V})$  and  $(U_2, \{\mathcal{H}_v\}_{v \in V})$ , respectively, and let  $\mu_t^{(1), \Phi_0}$  and  $\mu_t^{(2), W\Phi_0}$  be the probability distributions of the quantum walks  $(U_1, \{\mathcal{H}_v\}_{v \in V})$  and  $(U_2, \{\mathcal{H}_v\}_{v \in V})$ , respectively. Then,

$$\mu_t^{(1),\Phi_0}(v) = \mu_t^{(2),W\Phi_0}(v)$$

for all  $t \in \mathbb{Z}_+$  and  $v \in V$ .

*Proof* By the definition,

$$\mu_t^{(2),W\Phi_0}(v) = \|P_v(WU_1W^*)^t W\Phi_0\|^2 = \|WP_vU_1^t\Phi_0\|^2 = \|P_vU_1^t\Phi_0\|^2$$
$$= \mu_t^{(1),\Phi_0}(v).$$

Therefore, we obtain the proposition.

One of the primary topics of study in connection with quantum walks is the probability distributions of quantum walks, by virtue of which we can think of unitary equivalent quantum walks as being the same. When we consider other properties of quantum walks, additional properties, such as Proposition 3, must be considered.

#### 4 Standard quantum walk

This study investigates one-dimensional quantum walks and Szegedy walks. Since both kinds of quantum walk are standard, we clarify the form of a standard quantum walk.

**Theorem 1** Let  $(U, \{\mathcal{H}_v\}_{v \in V}) \in \mathcal{F}_{QW}$  be a standard quantum walk. There exist orthonormal bases  $\{\xi_e\}_{e \in D}$  and  $\{\zeta_e\}_{e \in D}$  of  $\mathcal{H}$  with  $\xi_e \in \mathcal{H}_{t(e)}$  and  $\zeta_e \in \mathcal{H}_{o(e)}$ , such that

$$U = \sum_{e \in D} |\xi_e\rangle \langle \zeta_e|,$$

Moreover,  $U_{uv}$  has the form

$$U_{uv} = \sum_{e:t(e)=u,o(e)=v} |\xi_e\rangle\langle\zeta_e|$$

for any  $u, v \in V$ .

*Proof* Since rank  $U_{uv} = \text{card}\{e \in V : t(e) = u, o(e) = v\}$ , we can set  $\{\xi_e : t(e) = u, o(e) = v, e \in D\} \subset \mathcal{H}_u = \mathcal{H}_{t(e)}$  as an orthonormal basis of ran  $U_{uv}$  for all  $u, v \in V$ , where ran  $U_{uv}$  is the range of  $U_{uv}$ . Then,  $\{\xi_e : o(e) = v, e \in D\}$  is an orthonormal system of  $\mathcal{H}$ . An operator  $UP_v$  is a partial isometry with an initial projection  $P_v$ . The range of this operator is contained in  $\bigoplus_{u \in V} \operatorname{ran} U_{uv} = \operatorname{span}\{\xi_e : o(e) = v, e \in D\}$ , that is,

$$\operatorname{ran} U P_v \subset \operatorname{span} \{ \xi_e : o(e) = v, e \in D \}.$$

$$(1)$$

From the definition of a standard quantum walk, dim  $\mathcal{H}_v = \operatorname{card} \{e \in D : o(e) = v\}$ . Since the rank of the range projection of  $UP_v$  is equal to the rank of the initial projection  $P_v$ , rank  $UP_v = \operatorname{card} \{e \in D : o(e) = v\}$ . Considering the dimensions of the subspaces in (1),

$$\operatorname{ran} UP_v = \operatorname{span}\{\xi_e : o(e) = v, e \in D\}.$$

Moreover, the range projection  $UP_vU^*$  leaves span{ $\xi_e : o(e) = v, e \in D$ } unchanged. Therefore,  $UP_vU^*\xi_e = \xi_e$  for all  $e \in D$  with o(e) = v, and this implies that  $P_vU^*\xi_e = U^*\xi_e$ , with the result that  $U^*\xi_e \in \mathcal{H}_v = \mathcal{H}_{o(e)}$ .

Let  $\zeta_e = U^* \xi_e$ . Since  $\{\xi_e : o(e) = v, e \in D\}$  is an orthonormal system,  $\{\zeta_e : o(e) = v, e \in D\}$  is an orthonormal basis of  $\mathcal{H}_v$ . Hence,  $\{\zeta_e : e \in D\}$  is an orthonormal basis of  $\mathcal{H}$ . Since U is unitary and  $U\zeta_e = \xi_e, \{\xi_e : e \in D\}$  is also an orthonormal basis of  $\mathcal{H}$ , and

$$U = \sum_{e \in D} |\xi_e\rangle \langle \zeta_e|.$$

By the definition of  $U_{uv}$ , the equation

$$U_{uv} = \sum_{e:t(e)=u,o(e)=v} |\xi_e\rangle \langle \xi_e|$$

also holds.

**Corollary 2** For a standard quantum walk  $(U, \{\mathcal{H}_v\}_{v \in V}) \in \mathcal{F}_{QW}$ , there exist a selfadjoint unitary operator S on  $\mathcal{H}$  and a unitary operator  $T_v$  on  $\mathcal{H}_v(v \in V)$  such that U = ST, where  $T = \bigoplus_{v \in V} T_v$ .

*Proof* Since the digraph of a standard quantum walk is symmetric, there exists a bijection on *D*, denoted by  $e \mapsto \bar{e}$ , for which  $t(e) = o(\bar{e})$ ,  $o(e) = t(\bar{e})$ , and  $\bar{\bar{e}} = e$ .

By Theorem 1, U can be written as

$$U = \sum_{e \in D} |\xi_e\rangle \langle \zeta_e|.$$

Define S by  $S\xi_e = \xi_{\bar{e}}.S$  is a self-adjoint unitary; hence,  $S^2 = I$ . Then,

$$SU = \sum_{e \in D} |\xi_{\bar{e}}\rangle \langle \zeta_e| = \bigoplus_{v \in V} \sum_{o(e)=v} |\xi_{\bar{e}}\rangle \langle \zeta_e|.$$

The operator

$$T_v = \sum_{o(e)=v} |\xi_{\bar{e}}\rangle \langle \zeta_e|$$

satisfies the assertion.

Now, to clarify the explicit form of a shift operator *S* of a Szegedy walk, we present the next lemma.

**Lemma 1** Let  $(U, \{\mathcal{H}_v\}_{v \in V})$  be a Szegedy walk with a shift operator S and a coin operator C, such that  $U = e^{i\lambda}SC$  for some  $\lambda \in \mathbb{R}$ . Then,

$$S(\operatorname{ran} U_{uv}) = \operatorname{ran} U_{vu}$$

for any  $u, v \in V$ .

*Proof* By Theorem 1, we can assume that there exist orthonormal bases  $\{\xi_e\}_{e \in D}$  and  $\{\zeta_e\}_{e \in D}$  of  $\mathcal{H}$  with  $\xi_e \in \mathcal{H}_{t(e)}$  and  $\zeta_e \in \mathcal{H}_{o(e)}$ , such that

$$U = \sum_{e \in D} |\xi_e\rangle \langle \zeta_e|.$$

Then,  $\operatorname{ran} U_{uv} = \operatorname{span} \{\xi_e : t(e) = u, o(e) = v, e \in D\}$ . Since the coin operator *C* is written as a direct sum of  $C_v$ ,

$$S\xi_e = SU\zeta_e = \mathrm{e}^{\mathrm{i}\lambda}C\zeta_e \in \mathcal{H}_v = \mathcal{H}_{o(e)}$$

for all  $e \in D$  with t(e) = u and o(e) = v. This implies that  $S(\operatorname{ran} U_{uv}) \subset \mathcal{H}_v$ . Furthermore, by the form of  $U, \mathcal{H}_v$  is decomposed as

$$\mathcal{H}_v = \bigoplus_{w \in V} \operatorname{ran} U_{vw}.$$

Here,

$$\operatorname{ran} U_{uv} = S^2(\operatorname{ran} U_{uv}) \subset S\mathcal{H}_v = \bigoplus_{w \in V} S(\operatorname{ran} U_{vw}).$$

Since  $S(\operatorname{ran} U_{vw}) \subset \mathcal{H}_w$  and  $\operatorname{ran} U_{uv} \subset \mathcal{H}_u$ ,  $\operatorname{ran} U_{uv} \subset S(\operatorname{ran} U_{vu})$ . Considering the inversion formula,

$$S(\operatorname{ran} U_{uv}) = \operatorname{ran} U_{vu}$$

for all  $u, v \in V$ .

Using this lemma, we have the next theorem.

**Theorem 2** Let  $(U, \{\mathcal{H}_v\}_{v \in V})$  be a Szegedy walk with a shift operator S and a coin operator C, such that  $U = e^{i\lambda}SC$  for some  $\lambda \in \mathbb{R}$ . There exist orthonormal bases  $\{\xi_e\}_{e \in D}$  and  $\{\zeta_e\}_{e \in D}$  of  $\mathcal{H}$  with  $\xi_e \in \mathcal{H}_t(e)$  and  $\zeta_e \in \mathcal{H}_o(e)$  such that

$$U = \sum_{e \in D} |\xi_e\rangle \langle \xi_e| \text{ and } S = \sum_{e \in D} |\xi_e\rangle \langle \xi_{\bar{e}}|.$$

*Proof* By Theorem 1, we can assume that there exist orthonormal bases  $\{\xi_e\}_{e \in D}$  and  $\{\zeta_e\}_{e \in D}$  of  $\mathcal{H}$  with  $\xi_e \in \mathcal{H}_{t(e)}$  and  $\zeta_e \in \mathcal{H}_{o(e)}$  such that

$$U = \sum_{e \in D} |\xi_e\rangle \langle \zeta_e|.$$

By Lemma 1,  $S(\operatorname{ran} U_{uv}) = \operatorname{ran} U_{vu}$ . Moreover, in the proof of Theorem 1, the choice of an orthonormal basis of  $\operatorname{ran} U_{uv}$  is arbitrary. Therefore, for an orthonormal basis  $\{\xi_e : t(e) = u, o(e) = v, e \in D\}$  of  $\operatorname{ran} U_{uv}$ , we can redefine  $\xi_{\overline{e}} = S\xi_e$ . Then,  $\{\xi_{\overline{e}} : t(e) = u, o(e) = v, e \in D\}$  is an orthonormal basis of  $\operatorname{ran} U_{vu}$ . Consequently, we can obtain orthonormal bases  $\{\xi_e\}_{e\in D}$  and  $\{\zeta_e\}_{e\in D}$  of  $\mathcal{H}$  with  $\xi_e \in \mathcal{H}_{t(e)}$  and  $\zeta_e \in \mathcal{H}_{o(e)}$  such that

$$U = \sum_{e \in D} |\xi_e\rangle \langle \zeta_e|$$
 and  $S = \sum_{e \in D} |\xi_e\rangle \langle \xi_{\overline{e}}|.$ 

This completes the proof.

#### **5** One-dimensional quantum walk

In this section, we consider a one-dimensional quantum walk  $(U, \{\mathcal{H}_n\}_{n\in\mathbb{Z}})$ . Without loss of generality, we can assume that  $\mathcal{H}_n = \mathbb{C}^2$  for all  $n \in \mathbb{Z}$ . Here,  $D = \{(n, n + 1), (n + 1, n) : n \in \mathbb{Z}\}$ . By Theorem 1, there exist orthonormal bases  $\{\xi_{n,n+1}, \xi_{n+1,n}\}_{n\in\mathbb{Z}}$  and  $\{\zeta_{n,n+1}, \zeta_{n+1,n}\}_{n\in\mathbb{Z}}$  of  $\mathcal{H}$  with  $\xi_{n,n+1}, \zeta_{n+1,n} \in \mathcal{H}_n$  and  $\xi_{n+1,n}, \zeta_{n,n+1} \in \mathcal{H}_{n+1}$  such that

$$U = \sum_{n \in \mathbb{Z}} \left( |\xi_{n,n+1}\rangle \langle \zeta_{n,n+1}| + |\xi_{n+1,n}\rangle \langle \zeta_{n+1,n}| \right)$$
$$= \sum_{n \in \mathbb{Z}} \left( |\xi_{n-1,n}\rangle \langle \zeta_{n-1,n}| + |\xi_{n+1,n}\rangle \langle \zeta_{n+1,n}| \right).$$

There is a substantial literature on one-dimensional quantum walks, which fall into four principal types. The first type is represented as follows. Let  $\{e_1^n, e_2^n\}$  be a canonical orthonormal basis of  $\mathcal{H}_n = \mathbb{C}^2$ . We consider  $e_i^n$  as  $|n\rangle|i\rangle$ . We take  $\xi_{n-1,n} = e_1^{n-1}$ ,  $\xi_{n+1,n} = e_2^{n+1}$ , and  $\zeta_{n-1,n} = \bar{a}_n e_1^n + \bar{b}_n e_2^n$ ,  $\zeta_{n+1,n} = \bar{c}_n e_1^n + \bar{d}_n e_2^n$ . Then,

$$U_{n-1,n} = |e_1^{n-1}\rangle \langle \bar{a}_n e_1^n + \bar{b}_n e_2^n| = |n-1\rangle \langle n| \otimes \begin{bmatrix} a_n & b_n \\ 0 & 0 \end{bmatrix}$$
$$U_{n+1,n} = |e_2^{n+1}\rangle \langle \bar{c}_n e_1^n + \bar{d}_n e_2^n| = |n+1\rangle \langle n| \otimes \begin{bmatrix} 0 & 0 \\ c_n & d_n \end{bmatrix}$$

A quantum walk of this type is said to be of Ambainis type [2,3]. A set of all quantum walks of this type is denoted by  $C_1$ . Note that

$$\begin{bmatrix} a_n & b_n \\ c_n & d_n \end{bmatrix}$$

is unitary.

The second type is represented by taking  $\xi_{n-1,n} = a_n e_1^{n-1} + c_n e_2^{n-1}$ ,  $\xi_{n+1,n} = b_n e_1^{n+1} + d_n e_2^{n+1}$ , and  $\zeta_{n-1,n} = e_1^n$ ,  $\zeta_{n+1,n} = e_2^n$ , such that

$$U_{n-1,n} = |a_n e_1^{n-1} + c_n e_2^{n-1}\rangle \langle e_1^n| = |n-1\rangle \langle n| \otimes \begin{bmatrix} a_n & 0\\ c_n & 0 \end{bmatrix}$$
$$U_{n+1,n} = |b_n e_1^{n+1} + d_n e_2^{n+1}\rangle \langle e_2^n| = |n+1\rangle \langle n| \otimes \begin{bmatrix} 0 & b_n\\ 0 & d_n \end{bmatrix}$$

A quantum walk of this type is said to be of Gudder type [6]. A set of all quantum walks of this type is denoted by  $C_2$ .

Similarly, the third type is represented by taking  $\xi_{n-1,n} = e_2^{n-1}$ ,  $\xi_{n+1,n} = e_1^{n+1}$ , and  $\zeta_{n-1,n} = \bar{c}_n e_1^n + \bar{d}_n e_2^n$ ,  $\zeta_{n+1,n} = \bar{a}_n e_1^n + \bar{b}_n e_2^n$ , such that

$$U_{n-1,n} = |e_2^{n-1}\rangle \langle \bar{c}_n e_1^n + \bar{d}_n e_2^n| = |n-1\rangle \langle n| \otimes \begin{bmatrix} 0 & 0\\ c_n & d_n \end{bmatrix}$$
$$U_{n+1,n} = |e_1^{n+1}\rangle \langle \bar{a}_n e_1^n + \bar{b}_n e_2^n| = |n+1\rangle \langle n| \otimes \begin{bmatrix} a_n & b_n\\ 0 & 0 \end{bmatrix}.$$

A set of all quantum walks of this type is denoted by  $C_3$ . The fourth type is represented by taking  $\xi_{n-1,n} = b_n e_1^{n-1} + d_n e_2^{n-1}$ ,  $\xi_{n+1,n} = a_n e_1^{n+1} + c_n e_2^{n+1}$ , and  $\zeta_{n-1,n} = e_2^n$ ,  $\zeta_{n+1,n} = e_1^n$ , such that

$$U_{n-1,n} = |b_n e_1^{n-1} + d_n e_2^{n-1} \rangle \langle e_2^n| = |n-1\rangle \langle n| \otimes \begin{bmatrix} 0 & b_n \\ 0 & d_n \end{bmatrix}$$
$$U_{n+1,n} = |a_n e_1^{n+1} + c_n e_2^{n+1} \rangle \langle e_1^n| = |n+1\rangle \langle n| \otimes \begin{bmatrix} a_n & 0 \\ c_n & 0 \end{bmatrix}.$$

A set of all quantum walks of this type is denoted by  $C_4$ .

Summarizing, we have four types of one-dimensional quantum walks:

$$\begin{array}{ll} (1) \quad U_{n-1,n} = |n-1\rangle\langle n| \otimes \begin{bmatrix} a_n & b_n \\ 0 & 0 \end{bmatrix}, \quad U_{n+1,n} = |n+1\rangle\langle n| \otimes \begin{bmatrix} 0 & 0 \\ c_n & d_n \end{bmatrix}, \\ (2) \quad U_{n-1,n} = |n-1\rangle\langle n| \otimes \begin{bmatrix} a_n & 0 \\ c_n & 0 \end{bmatrix}, \quad U_{n+1,n} = |n+1\rangle\langle n| \otimes \begin{bmatrix} 0 & b_n \\ 0 & d_n \end{bmatrix}, \\ (3) \quad U_{n-1,n} = |n-1\rangle\langle n| \otimes \begin{bmatrix} 0 & 0 \\ c_n & d_n \end{bmatrix}, \quad U_{n+1,n} = |n+1\rangle\langle n| \otimes \begin{bmatrix} a_n & b_n \\ 0 & 0 \end{bmatrix}, \\ (4) \quad U_{n-1,n} = |n-1\rangle\langle n| \otimes \begin{bmatrix} 0 & b_n \\ 0 & d_n \end{bmatrix}, \quad U_{n+1,n} = |n+1\rangle\langle n| \otimes \begin{bmatrix} a_n & 0 \\ c_n & 0 \end{bmatrix}. \end{array}$$

These four types of one-dimensional quantum walks are also represented as follows:

$$(1) \quad U = \sum_{n \in \mathbb{Z}} \left( |e_1^{n-1}\rangle \langle \zeta_{n-1,n}| + |e_2^{n+1}\rangle \langle \zeta_{n+1,n}| \right),$$

$$(2) \quad U = \sum_{n \in \mathbb{Z}} \left( |\xi_{n-1,n}\rangle \langle e_1^n| + |\xi_{n+1,n}\rangle \langle e_2^n| \right),$$

$$(3) \quad U = \sum_{n \in \mathbb{Z}} \left( |e_2^{n-1}\rangle \langle \zeta_{n-1,n}| + |e_1^{n+1}\rangle \langle \zeta_{n+1,n}| \right),$$

$$(4) \quad U = \sum_{n \in \mathbb{Z}} \left( |\xi_{n-1,n}\rangle \langle e_2^n| + |\xi_{n+1,n}\rangle \langle e_1^n| \right).$$

**Theorem 3** Let  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  be a one-dimensional quantum walk. For each k = 1, 2, 3, 4, there exists a one-dimensional quantum walk in  $C_k$  that is unitary equivalent to  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$ .

*Proof* By Theorem 1, U can be written as

$$U = \sum_{n \in \mathbb{Z}} \left( |\xi_{n-1,n}\rangle \langle \zeta_{n-1,n}| + |\xi_{n+1,n}\rangle \langle \zeta_{n+1,n}| \right),$$

where  $\{\xi_{n,n+1}, \xi_{n+1,n}\}_{n \in \mathbb{Z}}$  and  $\{\zeta_{n,n+1}, \zeta_{n+1,n}\}_{n \in \mathbb{Z}}$  are orthonormal bases of  $\mathcal{H}$  with  $\xi_{n,n+1}, \zeta_{n+1,n} \in \mathcal{H}_n$  and  $\xi_{n+1,n}, \zeta_{n,n+1} \in \mathcal{H}_{n+1}$ .

First, we prove that  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  is unitary equivalent to a quantum walk in  $\mathcal{C}_1$ . Let

$$W_n = |e_1^n\rangle\langle\xi_{n,n+1}| + |e_2^n\rangle\langle\xi_{n,n-1}|$$

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for all  $n \in \mathbb{Z}$ . It is easily seen that  $W_n$  is a unitary on  $\mathcal{H}_n$ , with the result that  $W = \bigoplus_{n \in \mathbb{Z}} W_n$  is a unitary on  $\mathcal{H}$  that satisfies  $W\mathcal{H}_n = \mathcal{H}_n$ . Moreover, from a direct calculation,

$$WUW^* = W\left(\sum_{n\in\mathbb{Z}} \left(|\xi_{n-1,n}\rangle\langle\zeta_{n-1,n}| + |\xi_{n+1,n}\rangle\langle\zeta_{n+1,n}|\right)\right)W^*$$
$$= \sum_{n\in\mathbb{Z}} \left(|e_1^{n-1}\rangle\langle W\zeta_{n-1,n}| + |e_2^{n+1}\rangle\langle W\zeta_{n+1,n}|\right).$$

Since  $W = \bigoplus_{n \in \mathbb{Z}} W_n$  is unitary,  $\{W\zeta_{n-1,n}, W\zeta_{n+1,n}\}$  is an orthonormal basis of  $\mathcal{H}_n$ . Hence,  $(WUW^*, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  is in  $\mathcal{C}_1$ , and we obtain that  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  is unitary equivalent to a quantum walk in  $\mathcal{C}_1$ .

Second, we prove that  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  is unitary equivalent to a quantum walk in  $\mathcal{C}_2$ . Let

$$W_n = |e_1^n\rangle\langle\zeta_{n-1,n}| + |e_2^n\rangle\langle\zeta_{n+1,n}|$$

for all  $n \in \mathbb{Z}$ . It is easily seen that  $W_n$  is a unitary on  $\mathcal{H}_n$ , with the result that  $W = \bigoplus_{n \in \mathbb{Z}} W_n$  is a unitary on  $\mathcal{H}$ . Moreover, from a direct calculation, we have

$$WUW^* = W\left(\sum_{n \in \mathbb{Z}} \left( |\xi_{n-1,n}\rangle \langle \zeta_{n-1,n}| + |\xi_{n+1,n}\rangle \langle \zeta_{n+1,n}| \right) \right) W$$
$$= \sum_{n \in \mathbb{Z}} \left( |W\xi_{n-1,n}\rangle \langle e_1^n| + |W\xi_{n+1,n}\rangle \langle e_2^n| \right).$$

Since  $W = \bigoplus_{n \in \mathbb{Z}} W_n$  is unitary,  $\{W\xi_{n,n-1}, W\xi_{n,n+1}\}$  is an orthonormal basis of  $\mathcal{H}_n$ . Hence,  $(WUW^*, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  is in  $\mathcal{C}_2$ , and we obtain that  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  is unitary equivalent to a quantum walk in  $\mathcal{C}_2$ .

The proofs of the remaining parts are similar to these.

As a corollary of the theorem, we have the following.

**Corollary 3** Let  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  be a translation-invariant one-dimensional quantum walk. For each k = 1, 2, 3, 4, there exists a translation-invariant one-dimensional quantum walk in  $C_k$  that is unitary equivalent to  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$ .

*Proof* By Theorem 1, U can be written as

$$U = \sum_{n \in \mathbb{Z}} \left( |\xi_{n-1,n}\rangle \langle \zeta_{n-1,n}| + |\xi_{n+1,n}\rangle \langle \zeta_{n+1,n}| \right),$$

where  $\{\xi_{n,n+1}, \xi_{n+1,n}\}_{n \in \mathbb{Z}}$  and  $\{\zeta_{n,n+1}, \zeta_{n+1,n}\}_{n \in \mathbb{Z}}$  are orthonormal bases of  $\mathcal{H}$  with  $\xi_{n,n+1}, \zeta_{n+1,n} \in \mathcal{H}_n$  and  $\xi_{n+1,n}, \zeta_{n,n+1} \in \mathcal{H}_{n+1}$ . Moreover, by translation invariance, we can assume that

$$\xi_{n,n+1} = \xi_{n-1,n}, \quad \xi_{n+1,n} = \xi_{n,n-1}, \quad \zeta_{n,n+1} = \zeta_{n-1,n} \text{ and } \zeta_{n+1,n} = \zeta_{n,n-1}$$

for all  $n \in \mathbb{Z}$ . Therefore, in the proof of Theorem 3,  $W_n = W_{n+1}$  for all  $n \in \mathbb{Z}$ . Then, the assertion of the corollary follows from Proposition 3.

#### 6 One-dimensional Szegedy walk

In this section, we consider a necessary and sufficient condition for a one-dimensional quantum walk to be a Szegedy walk. Let  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  be a one-dimensional quantum walk. Considering the unitary equivalence, we can assume  $\mathcal{H}_n = \mathbb{C}^2$  for all  $n \in \mathbb{Z}$  without loss of generality. By Theorem 3, we can assume that U is represented as

$$U = \sum_{n \in \mathbb{Z}} \left( |e_1^{n-1}\rangle \langle \zeta_{n-1,n}| + |e_2^{n+1}\rangle \langle \zeta_{n+1,n}| \right),$$

where  $\{\zeta_{n-1,n}, \zeta_{n+1,n}\}$  is an orthonormal basis of  $\mathcal{H}_n$ . Here,

$$U_{n-1,n} = |e_1^{n-1}\rangle\langle\zeta_{n-1,n}| = |n-1\rangle\langle n| \otimes \begin{bmatrix} a_n & b_n \\ 0 & 0 \end{bmatrix},$$
$$U_{n+1,n} = |e_2^{n+1}\rangle\langle\zeta_{n+1,n}| = |n+1\rangle\langle n| \otimes \begin{bmatrix} 0 & 0 \\ c_n & d_n \end{bmatrix},$$

where the matrix

$$\begin{bmatrix} a_n & b_n \\ c_n & d_n \end{bmatrix}$$

is unitary for all  $n \in \mathbb{Z}$ .

If this is a Szegedy walk, there exists a shift operator *S* such that  $e^{i\lambda}SU$  is a direct sum of traceless self-adjoint unitary operators for some  $\lambda \in \mathbb{R}$ . By Lemma 1,  $S(\operatorname{ran} U_{n,n+1}) = \operatorname{ran} U_{n+1,n}$ . Moreover,  $\operatorname{ran} U_{n+1,n} = \mathbb{C}e_2^{n+1}$  and  $\operatorname{ran} U_{n,n+1} = \mathbb{C}e_1^n$ . Therefore,  $Se_1^n = e^{i\theta_n}e_2^{n+1}$  for some  $\theta_n \in \mathbb{R}$ . Consequently, *S* has the form

$$S = \sum_{n \in \mathbb{Z}} \left( e^{i\theta_n} |e_2^{n+1}\rangle \langle e_1^n| + e^{-i\theta_n} |e_1^n\rangle \langle e_2^{n+1}| \right).$$
(2)

Then, SU is described as

$$SU = \bigoplus_{n \in \mathbb{Z}} \begin{bmatrix} e^{-i\theta_n} c_n & e^{-i\theta_n} d_n \\ e^{i\theta_{n-1}} a_n & e^{i\theta_{n-1}} b_n \end{bmatrix}.$$

Let  $c_n = e^{i\mu_n}r_n$  and  $b_n = e^{i\nu_n}r_n$  with  $r_n \ge 0$  and  $\mu_n, \nu_n \in \mathbb{R}$ . Then,

$$e^{i\lambda}SU = \bigoplus_{n \in \mathbb{Z}} \begin{bmatrix} e^{i(-\theta_n + \lambda + \mu_n)}r_n & e^{i(-\theta_n + \lambda)}d_n \\ e^{i(\theta_{n-1} + \lambda)}a_n & e^{i(\theta_{n-1} + \lambda + \nu_n)}r_n \end{bmatrix}.$$
 (3)

When  $r_n \neq 0$ , the 2 × 2 matrices on the right-hand side are traceless self-adjoint unitary if and only if

$$-\theta_n + \lambda + \mu_n = 0 \pmod{\pi}, \quad -\theta_n + \mu_n = \theta_{n-1} + \nu_n + \pi \pmod{2\pi}.$$
(4)

Indeed, assume that the  $2 \times 2$  matrices on the right-hand side of (3) are traceless self-adjoint unitary. Then, the diagonal entries are real, and the sum of them is zero. Therefore,

$$-\theta_n + \lambda + \mu_n = \theta_{n-1} + \lambda + \nu_n = 0 \pmod{\pi},$$

and

$$-\theta_n + \lambda + \mu_n = \theta_{n-1} + \lambda + \nu_n + \pi \pmod{2\pi}.$$

These equations imply (4). Conversely, assume (4). Then, the matrices in (3) are traceless, and their diagonal entries are real. Since SU is unitary by the definitions of S and U, the matrices in (3) are also unitary. It is easy to see that a 2 × 2 traceless unitary matrix with real and nonzero diagonal entries is self-adjoint.

In the case  $r_n = 0$ ,  $|a_n| = |d_n| = 1$ , because *SU* is unitary. Let  $a_n = e^{i\sigma_n}$  and  $d_n = e^{i\tau_n}$  for some  $\sigma_n, \tau_n \in \mathbb{R}$ . Then, (3) is represented as

$$e^{i\lambda}SU = \bigoplus_{n \in \mathbb{Z}} \begin{bmatrix} 0 & e^{i(-\theta_n + \lambda + \tau_n)} \\ e^{i(\theta_{n-1} + \lambda + \sigma_n)} & 0 \end{bmatrix}$$

Therefore, the  $2 \times 2$  matrices on the right-hand side are traceless self-adjoint unitary if and only if

$$\theta_{n-1} + \lambda + \sigma_n = \theta_n - \lambda - \tau_n \pmod{2\pi}.$$
(5)

Hence,  $\theta_n$  and  $\lambda$  satisfy conditions (4) and (5).

Conversely, if there exist  $\theta_n$  and  $\lambda$  satisfying these conditions, the quantum walk  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  is a Szegedy walk. Indeed, define a shift operator S by (2). Then, it is easily seen that  $e^{i\lambda}SU$  is a direct sum of traceless self-adjoint unitary operators.

Therefore,  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  is a Szegedy walk if and only if the above simultaneous equations for  $\lambda$  and  $\theta_n$  have a solution.

Now, we have the next theorem.

**Theorem 4** Let  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  be a one-dimensional quantum walk given by

$$U_{n-1,n} = |e_1^{n-1}\rangle\langle\zeta_{n-1,n}| = |n-1\rangle\langle n| \otimes \begin{bmatrix} e^{i\sigma_n}s_n & e^{i\nu_n}r_n\\ 0 & 0 \end{bmatrix},$$
$$U_{n+1,n} = |e_2^{n+1}\rangle\langle\zeta_{n+1,n}| = |n+1\rangle\langle n| \otimes \begin{bmatrix} 0 & 0\\ e^{i\mu_n}r_n & e^{i\tau_n}s_n \end{bmatrix},$$
(6)

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where  $r_n, s_n \ge 0$  and  $\mu_n, \nu_n, \sigma_n, \tau_n \in \mathbb{R}$ , and let  $e^{i\delta_n}(\delta_n \in \mathbb{R})$  be the determinant of

$$U_n = \begin{bmatrix} e^{\mathrm{i}\sigma_n} s_n & e^{\mathrm{i}\nu_n} r_n \\ e^{\mathrm{i}\mu_n} r_n & e^{\mathrm{i}\tau_n} s_n \end{bmatrix}$$

 $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  is a Szegedy walk if and only if the simultaneous equations

$$\theta_n - \theta_{n-1} - 2\lambda = \delta_n \pmod{2\pi} \tag{7}$$

*for all*  $n \in \mathbb{Z}$  *and* 

$$\theta_n - \lambda = \mu_n \pmod{\pi} \quad \text{(mod } \pi) \quad \text{when } r_n \neq 0$$
(8)

with respect to  $\lambda$  and  $\{\theta_n\}_{n \in \mathbb{Z}}$  have a solution.

*Proof* The determinant of  $U_n$  is calculated as

$$\mathrm{e}^{\mathrm{i}\delta_n} = \mathrm{e}^{\mathrm{i}(\sigma_n + \tau_n)} s_n^2 - \mathrm{e}^{\mathrm{i}(\mu_n + \nu_n)} r_n^2.$$

Since  $s_n^2 + r_n^2 = 1$  and  $|e^{i\delta_n}| = 1$ ,

$$\delta_n = \sigma_n + \tau_n$$
 (if  $s_n \neq 0$ ) and  $\delta_n = \mu_n + \nu_n + \pi$  (if  $r_n \neq 0$ )

modulo  $2\pi$ . Hence, equation (5) is calculated as

$$\theta_n - \theta_{n-1} - 2\lambda = \sigma_n + \tau_n = \delta_n \pmod{2\pi}.$$

On the other hand, the first equation in (4) is equivalent to

$$-2\theta_n + 2\lambda + 2\mu_n = 0 \pmod{2\pi},$$

with the result that

$$-\theta_n + \mu_n = \theta_n - 2\lambda - \mu_n \pmod{2\pi}.$$

Therefore, the second equation in (4) is calculated as

$$\theta_n - \theta_{n-1} - 2\lambda = \mu_n + \nu_n + \pi = \delta_n \pmod{2\pi}.$$

Equation (8) is equivalent to the first equation in (4). Consequently, the simultaneous equations (4) and (5) have a solution if and only if the simultaneous equations (7) and (8) have a solution.  $\Box$ 

Another necessary and sufficient condition for a one-dimensional quantum walk to be a Szegedy walk is easier to check in some cases. **Corollary 4** Let  $\{n_k\}_{k \in \Lambda} \subset \mathbb{Z}$  be numbers indexed by  $\Lambda \subset \mathbb{Z}$  that satisfy  $r_{n_k} \neq 0$ with  $n_k < n_{k+1}$ . Suppose that  $\Lambda \neq \emptyset$  and  $0 \in \Lambda$ . A one-dimensional quantum walk  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  given by (6) is a Szegedy walk if and only if there exists  $\eta \in \mathbb{R}$  such that

$$\mu_{n_{k-1}} + \nu_{n_k} + \sum_{n=n_{k-1}+1}^{n_k-1} \delta_n = \eta(n_k - n_{k-1}) \pmod{\pi}$$

for all  $k \in \Lambda$  with  $k - 1 \in \Lambda$ .

*Proof* First, we assume that the simultaneous equations (7) and (8) have a solution  $\{\lambda, \theta_n\}$ . By (7)

$$\theta_{n_k} - \theta_{n_{k-1}} = \sum_{n=n_{k-1}}^{n_k-1} (\theta_{n+1} - \theta_n) = 2\lambda(n_k - n_{k-1}) + \sum_{n=n_{k-1}}^{n_k-1} \delta_{n+1}$$
$$= 2\lambda(n_k - n_{k-1}) + \mu_{n_k} + \nu_{n_k} + \sum_{n=n_{k-1}+1}^{n_k-1} \delta_n \pmod{\pi}.$$
(9)

Since  $\theta_{n_k}$  and  $\theta_{n_{k-1}}$  satisfy (8),

$$\mu_{n_k} - \mu_{n_{k-1}} = 2\lambda(n_k - n_{k-1}) + \mu_{n_k} + \nu_{n_k} + \sum_{n=n_{k-1}+1}^{n_k-1} \delta_n \pmod{\pi},$$

with the result that

$$\mu_{n_{k-1}} + \nu_{n_k} + \sum_{n=n_{k-1}+1}^{n_k-1} \delta_n = -2\lambda(n_k - n_{k-1}) \pmod{\pi}.$$

On the other hand, assume that there exists  $\eta \in \mathbb{R}$  such that

$$\mu_{n_{k-1}} + \nu_{n_k} + \sum_{n=n_{k-1}+1}^{n_k-1} \delta_n = \eta(n_k - n_{k-1}) \pmod{\pi} \tag{10}$$

for all  $k \in \Lambda$  with  $k - 1 \in \Lambda$ . Set  $\lambda = -\eta/2$ ,  $\theta_{n_0} = \mu_{n_0} + \lambda$ , and

$$\theta_n = \begin{cases} \theta_{n-1} + 2\lambda + \delta_n & (n > n_0) \\ \theta_{n+1} - 2\lambda - \delta_{n+1} & (n < n_0) \end{cases}$$

inductively. Then,  $\lambda$  and  $\theta_n$  satisfy (7). Moreover, if  $\theta_{n_{k-1}}$  with  $n_{k-1} \ge n_0$  satisfies (8), then  $\theta_{n_k}$  also satisfies (8). Indeed, by (9) and (10),

$$\theta_{n_k} = \theta_{n_{k-1}} + 2\lambda(n_k - n_{k-1}) + \mu_{n_k} + \nu_{n_k} + \sum_{n=n_{k-1}+1}^{n_k-1} \delta_n$$
  
=  $\theta_{n_{k-1}} + \mu_{n_k} - \mu_{n_{k-1}} = \lambda + \mu_{n_k} \pmod{\pi}.$ 

Similarly, if  $\theta_{n_k}$  with  $n_k \le n_0$  satisfies (8), then  $\theta_{n_{k-1}}$  also satisfies (8). Indeed, by (9) and (10),

$$\theta_{n_{k-1}} = \theta_{n_k} - 2\lambda(n_k - n_{k-1}) - \mu_{n_k} - \nu_{n_k} - \sum_{n=n_{k-1}+1}^{n_k-1} \delta_n$$
  
=  $\theta_{n_k} - \mu_{n_k} + \mu_{n_{k-1}} = \lambda + \mu_{n_{k-1}} \pmod{n}.$ 

This completes the proof.

As a special case of Corollary 4, we have the next corollary.

**Corollary 5** A one-dimensional quantum walk  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  given by (6) with  $r_n \neq 0$  for all  $n \in \mathbb{Z}$  is a Szegedy walk if and only if there exists  $\eta \in \mathbb{R}$  such that

$$\mu_{n-1} + \nu_n = \eta \pmod{\pi}.$$

When  $r_n = 0$  for all  $n \in \mathbb{Z}$ , a one-dimensional quantum walk is a Szegedy walk.

**Corollary 6** A one-dimensional quantum walk  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  given by (6) with  $r_n = 0$  for all  $n \in \mathbb{Z}$  is a Szegedy walk.

*Proof* Set  $\lambda = 0, \theta_0 = 0$ , and

$$\theta_n = \begin{cases} \theta_{n-1} + \delta_n & (n \ge 1) \\ \theta_{n+1} - \delta_{n+1} & (n \le -1) \end{cases},$$

inductively. This is a solution of simultaneous equations (7).

Using these corollaries, we prove that every translation-invariant one-dimensional quantum walk is a Szegedy walk.

**Corollary 7** A translation-invariant one-dimensional quantum walk is a Szegedy walk.

*Proof* If  $r_n = 0$  for all  $n \in \mathbb{Z}$ , then it is a Szegedy walk by Corollary 6. If  $r_n \neq 0$  for all  $n \in \mathbb{Z}$ , then  $\mu_{n-1} + \nu_n$  is a constant, because the quantum walk is translation invariant. Therefore, it is a Szegedy walk by Corollary 5.

Now, we consider some known models of one-dimensional quantum walks.

**Corollary 8** A one-dimensional quantum walk  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  with 2 coins [4, 10], i.e.,

$$U_{n-1,n} = |n-1\rangle \langle n| \otimes \begin{bmatrix} a_+ & e^{i\nu_+}r_+\\ 0 & 0 \end{bmatrix} \quad (n \ge 0),$$

$$U_{n+1,n} = |n+1\rangle \langle n| \otimes \begin{bmatrix} 0 & 0 \\ e^{i\mu_{+}}r_{+} & d_{+} \end{bmatrix} \quad (n \ge 0),$$
  
$$U_{n-1,n} = |n-1\rangle \langle n| \otimes \begin{bmatrix} a_{-} & e^{i\nu_{-}}r_{-} \\ 0 & 0 \end{bmatrix} \quad (n < 0),$$
  
$$U_{n+1,n} = |n+1\rangle \langle n| \otimes \begin{bmatrix} 0 & 0 \\ e^{i\mu_{-}}r_{-} & d_{-} \end{bmatrix} \quad (n < 0),$$

where  $r_+, r_- > 0$ , is a Szegedy walk if and only if

$$\mu_{+} = \mu_{-} \pmod{\pi}, \quad \nu_{+} = \nu_{-} \pmod{\pi}.$$
 (11)

*Proof* By Corollary 5,  $(U, \{\mathcal{H}_n\}_{n \in \mathbb{Z}})$  is a Szegedy walk if and only if there exists  $\eta \in \mathbb{R}$  such that

$$\mu_+ + \nu_+ = \mu_- + \nu_+ = \mu_- + \nu_- = \eta \pmod{\pi}.$$

This condition is equivalent to

$$\mu_{+} = \mu_{-} \pmod{\pi}, \quad \nu_{+} = \nu_{-} \pmod{\pi}.$$

Using Corollary 5, we have following two corollaries.

Corollary 9 The following quantum walk, considered in [12],

$$U_{n-1,n} = |n-1\rangle\langle n| \otimes \frac{1}{\sqrt{2}} \begin{bmatrix} e^{\mathrm{i}\omega_n} & 1\\ 0 & 0 \end{bmatrix}, \quad U_{n+1,n} = |n+1\rangle\langle n| \otimes \frac{1}{\sqrt{2}} \begin{bmatrix} 0 & 0\\ 1 & -e^{-\mathrm{i}\omega_n} \end{bmatrix}$$

is a Szegedy walk.

Corollary 10 The following quantum walk, considered in [13,14],

$$U_{n-1,n} = |n-1\rangle\langle n| \otimes \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & e^{\mathrm{i}\omega_n} \\ 0 & 0 \end{bmatrix}, \quad U_{n+1,n} = |n+1\rangle\langle n| \otimes \frac{1}{\sqrt{2}} \begin{bmatrix} 0 & 0 \\ -e^{-\mathrm{i}\omega_n} & 1 \end{bmatrix}$$

is a Szegedy walk if and only if there exists  $\eta \in \mathbb{R}$  such that

$$-\omega_{n-1}+\omega_n=\eta \pmod{\pi}$$
.

Using Theorem 4, we can prove that a quantum walk of the Shikano–Katsura model [18] is a Szegedy walk.

Corollary 11 A quantum walk of the Shikano-Katsura model, i.e.,

$$U_{n-1,n} = |n-1\rangle\langle n| \otimes \begin{bmatrix} \cos(2\pi\alpha n) & -\sin(2\pi\alpha n) \\ 0 & 0 \end{bmatrix},$$

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$$U_{n+1,n} = |n+1\rangle\langle n| \otimes \begin{bmatrix} 0 & 0\\ \sin(2\pi\alpha n) & \cos(2\pi\alpha n) \end{bmatrix}$$

is a Szegedy walk for any  $\alpha \in \mathbb{R}$ .

*Proof* By the definition of U,  $\delta_n$  is 0, and  $\mu_n$  is 0 or  $\pi$  for all  $n \in \mathbb{Z}$ . Set  $\lambda = 0$ ,  $\theta_n = 0$  for all  $n \in \mathbb{Z}$ . This is a solution of (7) and (8).

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