**REGULAR ARTICLE** 



# Germination and early establishment of dryland grasses and shrubs on intact and wind-eroded soils under greenhouse conditions

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# Abstract

Aims Grassland-to-shrubland transition is a common form of land degradation in drylands worldwide. It is often attributed to changes in disturbance regimes, particularly overgrazing. A myriad of direct and indirect effects (e.g., accelerated soil erosion) of grazing may favor shrubs over grasses, but their relative importance is unclear. We tested the hypothesis that topsoil "winnowing" by wind erosion would differentially affect grass and shrub seedling establishment to promote shrub recruitment over that of grass. Methods We monitored germination and seedling growth of contrasting perennial grass (Bouteloua eriopoda, Sporobolus airoides, and Aristida purpurea) and shrub (Prosopis glandulosa, Atriplex canescens, and Larrea tridentata) functional groups on field-collected non-winnowed and winnowed soils under wellwatered greenhouse conditions.

*Results* Non-winnowed soils were finer-textured and had higher nutrient contents than winnowed soils, but based on desorption curves, winnowed soils had

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Department of Geography, University of California, Los Angeles, CA 90095, USA more plant-available moisture. Contrary to expectations, seed germination and seedling growth on winnowed and non-winnowed soils were comparable within a given species. The N<sub>2</sub>-fixing deciduous shrub *P. glandulosa* was first to emerge and complete germination, and had the greatest biomass accumulation of all species.

*Conclusions* Germination and early seedling growth of grasses and shrubs on winnowed soils were not adversely nor differentially affected comparing with that observed on non-winnowed soils under well-watered greenhouse conditions. Early germination and rapid growth may give *P. glandulosa* a competitive advantage over grasses and other shrub species at the establishment stage in grazed grasslands. Field establishment experiments are needed to confirm our findings in these controlled environment trials.

**Keywords** Shrub encroachment · Chihuahuan Desert grassland · Aeolian processes · *Prosopis glandulosa* · *Bouteloua eriopoda* · Plant functional group

# Introduction

Shrub encroachment is a widely-observed form of land degradation in many arid and semiarid grasslands globally (Archer et al. 1995; Van Auken 2000). These conversions of grassland to shrubland adversely affect ecosystem function and services, including biodiversity (Ratajczak et al. 2012), primary productivity (Knapp et al. 2008), and nutrient, water, and carbon cycling (Eldridge et al. 2011; Hibbard et al. 2001; McCulley et al. 2004), with the magnitude of their effects dependent on context (e.g., precipitation regimes; Knapp et al. 2008; Anadón et al. 2014). This physiognomic transformation is commonly ascribed to changes in disturbance regimes (e.g., livestock overgrazing, fire) interacting within constraints imposed by topoedaphic and climate factors (Archer et al. 2017) and plant-plant interactions (Pierce et al. 2019a, b) that mitigate probabilities and rates of change. Disturbances, such as livestock grazing, one of the most important divers of shrub encroachment, potentially alters grass-shrub competitive interactions by reducing grass foliar and root biomass to potentially shift the competitive balance in favor of shrubs. Various aspects of these direct effects of grazing on grass-shrub interactions have been widely studied. However, indirect effects of grazing also have important consequences for grassshrub interactions in drylands. For example, grazing reduces fine-fuel loads and continuity, thus reducing the likelihood, intensity, and spread of fires that might otherwise prevent shrub proliferation (Madany and West 1983). Grazing also reduces vegetation cover, which then promotes topsoil loss via acceleration of soil erosion (Li et al. 2007). However, the extent to which topsoil resource depletions potentially caused by grazing-induced erosion might favor the germination and establishment of shrubs over grasses is largely unknown. Here, we address this knowledge gap and report results of a bioassay experiment quantifying grass and shrub germination and early seedling establishment on winnowed and non-winnowed surface soils.

Soil degradation by erosion has long been a pervasive concern in arid and semiarid ecosystems (Lal 2001; Schlesinger et al. 1990). While the detachment, transport, relocation of soil particles is a natural land surface process, it has been exacerbated by anthropogenic disturbances such as livestock grazing (Nearing et al. 2017). Furthermore, predicted increases in global aridity due to climate change (Berg et al. 2016; Cook et al. 2004) will intensify soil erosion and land degradation in these vulnerable ecosystems (Nearing et al. 2004). Aeolian and fluvial processes are the two primary drivers of soil erosion that can be coupled at different spatial and temporal scales in drylands (Belnap et al. 2011; Okin et al. 2018). However, the combination of low moisture availability, strong seasonal winds, and sparse vegetation likely makes wind erosion more consequential than fluvial processes in many arid regions. Aeolian transport of soil particles affects the spatial distribution of resources in dryland ecosystems that, in turn, may differentially influence the recruitment of dryland plant functional groups (Alvarez et al. 2012; Armbrust and Retta 2000; Okin et al. 2018). Wind erosion following the grass cover reductions accompanying grazing has been documented to rapidly change both physical and chemical properties of surface soils by depleting fine and nutrient-rich soil particles, leaving behind coarser soils that are lower in nutrients (e.g., Li et al. 2007, 2008). Here, we sought to ascertain if this "winnowing" process, might differentially influence the establishment of contrasting grass and shrub functional groups.

Winnowing primarily affects the topsoil (e.g., Li et al. 2007, 2009). Accordingly, its influence on vegetation is ostensibly realized via its effects on the seed germination and early seedling growth phases of the plant life cycle, as adult plants could access water/ nutrients deeper in the soil as roots develop. Changes in surface soil physical properties (e.g., texture and structure) could alter soil temperature and moisture regimes, light penetration, air permeability, and mechanical resistance, all of which are important factors affecting seed germination, seedling emergence and early growth (e.g., Benvenuti 2003; Chachalis and Reddy 2000; El-Keblawy 2017). Seed traits (e.g., mass, morphology) will interact with these abiotic factors to further influence germination and emergence probabilities (Leishman et al. 2000; Saatkamp et al. 2019). The shrub and grass species used in our study have distinctly different seed traits (e.g., shrubs have much larger seed mass than grasses; Table 1), which may favor shrub recruitment on water- and nutrient-limited soils (Huang et al. 2016; Merino-Martín et al. 2017). These interactions between soil physical/chemical properties and seed characteristics may lead to different germination and early establishment potentials of contrasting plant functional groups on winnowed surfaces.

Within and between shrub and grass functional groups, species trait differences related to resource utilization may additionally influence the likelihood of their respective establishment on non-winnowed vs. winnowed soils. For example,  $C_4$  species typically have

Table 1 Summary of functional attributes of targeted grass and shrub species

Species	Functional group	Growthform	Family	Species code	Photo- synthetic pathway	Leaf habit	N <sub>2</sub> -fixing	Seed mass (mg; mean±SD)
Aristida purpurea Nutt.	Grass	Stoloniferous	Poaceae	ARPU	C <sub>4</sub>	Deciduous	No	$1.3 \pm 0.1$
Bouteloua eriopoda (Torr.) Torr.	Grass	Bunchgrass	Poaceae	BOER	C <sub>4</sub>	Deciduous	No	$0.4 \pm 0.03$
Sporobolus airoides (Torr.) Torr.	Grass	Bunchgrass	Poaceae	SPAI	C <sub>4</sub>	Deciduous	No	$0.2 \pm 0.04$
Atriplex canescens (Pursh) Nutt.	Shrub	Suffruticose	Amaran- thaceae	ATCA	C <sub>4</sub>	Semi-ever- green	No	$28.0 \pm 4.4$
Larrea tridentata (DC.) Coville	Shrub	Fruticose	Zygophyl- laceae	LATR	C <sub>3</sub>	Evergreen	No	$5.6 \pm 0.9$
Prosopis glandu- losa Torr.	Shrub	Fruticose/ arborescent	Fabaceae	PRGL	C <sub>3</sub>	Deciduous	Yes	36.9±1.9

higher water and nitrogen use efficiency than  $C_3$  plants in dry environments (Pearcy and Ehleringer 1984; Sage 2004). As a result, C<sub>4</sub> plants may grow better on nutrient-depleted winnowed soils with low water/nutrient holding capacity than C<sub>3</sub> plants. Similarly, species that host N2-fixing bacteria could perform better on nitrogendepleted soils than those lacking this trait (Monks et al. 2012; Zahran 1999). Plants with evergreen foliage can be more drought tolerant, have slower growth rates, lower resource requirements and more conservative nutrient cycling dynamics (Givnish 2002; Wright et al. 2004), so would ostensibly fare better on resource-depleted winnowed soils than deciduous plants. Here, we sought to determine the extent to which plants with these contrasting functional traits (photosynthetic pathway; leaf habit; N<sub>2</sub>-fixation potential) might differ with respect to germination and early seedling growth on non-winnowed and winnowed soils.

We hypothesized that if topsoil winnowing by wind erosion following vegetation loss differentially influences grass and shrub seed germination and seedling establishment to promote shrub recruitment over that of grass, then it could be a mechanism underlying the widely-observed shifts from grass to shrub dominance in grazed drylands. To test this hypothesis, we conducted a greenhouse bioassay experiment that monitored germination and seedling growth of arid-adapted grass and shrub species grown in topsoils obtained from non-winnowed and winnowed portions of a Chihuahuan Desert landscape. Our experiment was conducted in a controlled greenhouse setting, allowing for well-standardized conditions, and avoiding potentially confounding factors that may occur with field trials (e.g., spatial variation in soil properties, rainfall, arthropod and rodent herbivory, etc.). The results could shed light on how different functional groups (Table 1) perform on wind-eroded soils during the early establishment phase of their life cycle, and how this might be linked to grass-to-shrub transitions observed in wind-erodible arid grasslands.

## Materials and methods

Soil sampling and characterization

Soils used in the bioassay experiment were collected at the Jornada Experimental Range (JER)/Jornada Basin Long-Term Ecological Research site in southwestern New Mexico, USA. In 1991, vegetation was removed from a 2-ha area ("scrape site"; UTM 13N 334,896 m E, 3,605,250 m N; Gillette and Chen 2001) within the basin floor geomorphic unit (Monger et al. 2006) where grasslands have historically given way to honey mesquite (*Prosopis glandulosa* Torr.) shrublands (Gibbens et al. 2005). Initially designed to monitor long-term wind erosion mechanisms by manually removing all vegetation (Gillette and Chen 2001), the scrape site is also a proxy for the extreme vegetation loss that would have accompanied the long-term overgrazing that occurred historically (dating back to the late 1800s) and is still on-going in some areas. The area downwind of the scrape site has been exposed to intensified aeolian processes (i.e., wind erosion), and has become dominated by bare soil and coppice dunes (nebkhas) forming around large honey mesquite shrubs. Li et al. (2007) have documented the significant soil winnowing effects that have occurred in near-surface (upper 5 cm) soils. In this study, winnowed soils were obtained from a location (UTM 13N 334,954 m E, 3,605,282 m N)~20 m downwind of the scrape site (Fig. 1). These soils were collected with a flat trowel to a depth of 2 cm to ensure we were using soils that had been maximally impacted. Non-winnowed soils were collected with the flat trowel to a depth of 5 cm at a location~150 m away (UTM 13N 334,932 m E, 3,605,136 m N; Fig. 1) along a transect oriented perpendicular to the prevailing winds (to minimize potentially confounding effects of using soils from upwind and downwind locations). The vegetation at the sampling site for non-winnowed soils was characterized by perennial grasses (primarily Aristida purpurea, Bouteloua eriopoda, and Sporobolus spp.) and shrubs (P. glandulosa, Atriplex canescens, and Yucca elata). Field-collected soils were transported to the University

of Arizona campus, spread on a greenhouse bench, allowed to air dry and then sieved (5 mm mesh).

Soils were classified according to the USDA guidelines (USDA Soil Science Division Staff 2017). The texture was determined by the hydrometer method. Soil pH and electrical conductivity (EC) were measured in 1:1 soil to water suspension (volume to volume; deionized water). Exchangeable cations, including K<sup>+</sup>, Na<sup>+</sup>, Ca<sup>2+</sup>, and Mg<sup>2+</sup> were analyzed in ammonium acetate solution buffered to pH 8.5 using an inductively coupled plasma optical emission spectrometry (ICP-OES, iCAP7200, Thermo-Fisher, Freemont, CA, USA). Soil NO<sub>3</sub><sup>-</sup> was extracted with 2 M KCl and analyzed by reduction to nitrite (NO<sub>2</sub><sup>-</sup>) via cadmium reduction. Soil PO<sub>4</sub><sup>3-</sup> was extracted using 0.5 M NaHCO<sub>3</sub> adjusted to pH 8.5. Both  $NO_3^{-1}$  and  $PO_4^{3-1}$  were measured with a continuous flow analyzer (San<sup>++</sup>, Skalar CFA, Skalar Analytical BV, Breda, the Netherlands). Cation exchange capacity (CEC) was estimated as the sum of exchangeable K<sup>+</sup>, Na<sup>+</sup>, Ca<sup>2+</sup>, and Mg<sup>2+</sup>. Exchangeable sodium percentage (ESP) was calculated as ESP (%)=(exchangeable  $Na^+/$ CEC × 100. Soil total C and total N were measured with a C&N analyzer (LECO TruSpec, LECO Corporation, St. Joseph, MI, USA). Mean values for a given soil variable are based on n = 10 replicates.

To generate soil water retention curve for each soil type, air-dried soil samples were sieved through 2 mm mesh and rewetted with deionized water to create a

Fig. 1 Non-winnowed and winnowed soil sampling sites at the Jornada Experimental Range (JER) "scrape site" (Gillette and Chen 2001), Las Cruces, New Mexico, USA



range of water contents (ranging from low [air-dried] to saturated in 0.025 g g<sup>-1</sup> intervals; n=6 per soil water content) per Campbell et al. (2007). Water potentials of these soil samples were then determined with a dewpoint water potential meter (WP4, Decagon, Pullman, WA, USA). Following water potential measurements, soil samples were oven-dried at 105 °C for 24 h and weighed to derive gravimetric water contents. Volumetric water contents (cm<sup>3</sup> cm<sup>-3</sup>) were then calculated by multiplying gravimetric water contents by the bulk density (g cm<sup>-3</sup>) of the respective soil type measured at soil sampling sites using the core method (Grossman and Reinsch 2002).

#### Plant functional groups

Three grass and three shrub species with different growth forms were targeted in this study (Table 1). All are native and common in Chihuahuan Desert grasslands and represent a broad range of plant functional groups in semiarid and arid regions globally. Grass species included two C<sub>4</sub> perennial bunchgrasses, Aristida purpurea Nutt. and Sporobolus airoides (Torr.) Torr., and a perennial C<sub>4</sub> stoloniferous grass Bouteloua eriopoda (Torr.) Torr., the historically dominant grass in Chihuahuan Desert grasslands (Gibbens et al. 2005). The three shrub species were Prosopis glandulosa Torr., a deciduous C<sub>3</sub> species with N<sub>2</sub>-fixation potential; Larrea tridentata DC. (Coville), an evergreen C3 true xerophyte; and Atriplex canescens (Pursh) Nutt., a semi-evergreen, suffruticose C<sub>4</sub> species.

## Greenhouse experiments

Pot trials were conducted in a greenhouse on the University of Arizona campus in Tucson, AZ, USA. Seeds of *A. purpurea*, *S. airoides*, *L. tridentata*, and *A. canescens* were obtained from a regional seed supplier (Plants of the Southwest, Albuquerque, NM, USA). Seeds of *B. eriopoda* were obtained from the Los Lunas Plant Materials Center, Natural Resources Conservation Service, USDA. Seeds of *P. glandulosa* were collected from the JER in 2010 and stored in a sealed glass jar in the dark. The viability of all seed lots was determined prior to the experiment, with shrubs and grasses having average germinabilities of 50% and 81%, respectively (Shereen Nadoum, unpublished data). Prior to sowing, *P. glandulosa* seeds were scarified by scratching the hard seed coat with a metal file to overcome seed coat-imposed dormancy and allow water imbibition; no pre-treatments were required for seeds of the other species. For each species, seeds were qualitatively selected for uniformity of size.

## Germination on non-winnowed and winnowed soils

This experiment sought to investigate the germination of target grass and shrub species on non-winnowed and winnowed soils and was conducted in April 2018. Small plastic pots (8 cm width  $\times$  8 cm length  $\times$  6 cm height) were filled with either non-winnowed or winnowed soil (n=10 per species per soil type for a)total of 120 pots). Pots had holes on bottoms to allow drainage of excess water. Ten seeds per species were sown~1 cm below the soil surface in each pot for all species. Soils were brought to field water capacity on the day of sowing and moistened with the same amount of water daily thereafter. Seed germination, defined as cotyledon emergence, was recorded daily. The experiment ceased when zero cotyledon emergence was recorded in all pots for five consecutive days. Germinability (G; %) was calculated as:

$$G = \frac{\sum n_i}{N} \times 100$$

where  $n_i$  is the number of seeds germinated on day i; N is the total number of sown seeds (10 per pot).

Mean germination time (T; day) was calculated as (Ranal et al. 2009):

$$T = \frac{\sum t_i \times n_i}{\sum n_i}$$

where  $t_i$  is the number of days after sowing,  $n_i$  is the number of seeds germinated on day *i*.

Seedling growth on non-winnowed and winnowed soils

This experiment was aimed at quantifying seedling growth on non-winnowed and winnowed soils. Two separate trials of the same experiment were carried out: one in 2018 (04 March – 04 April, 31 days; hereafter shorter-term trial) and the other in 2019 (01 January – 17 March, 76 days; hereafter longer-term

trial). The greenhouse received full sun exposure and contained no supplemental lighting. The daytime temperature maximum was set at 32 °C and the night-time minimum was 24 °C, which is a favorable temperature range for the germination and growth of the species in our experiment (Khan and Gulzar 2003; Young and Young 1992).

During each experimental trial, plants were grown in 26.5 cm tall×3.15 cm diameter "Cone-tainers" (Stuewe and Sons, Inc., Corvallis, OR, USA). Pots (n=12 per species per soil type for a total of 144pots) had a 7.5 cm base layer of mineral wool (to prevent soil from falling through drainage holes) overlain by 14 cm of play sand. The sandy base layer was then topped (upper 5 cm) with either non-winnowed or winnowed soil. Pots were spatially randomized on the greenhouse bench. The pots were brought to field capacity on the day of sowing and kept moist by adding the same amount of water per pot daily thereafter. At the end of the experiment, plant height and number of leaves were recorded. Aboveground material was separated into leaf and stem categories (for shrubs) and soils were gently washed away from roots. Plant materials were oven-dried at 65 °C for 48 h and weighed.

## Statistical analyses

All analyses were performed with R version 4.0.0 (R Core Team 2020). The Student's t-test was used for analyzing differences in soil properties, germination indices, and plant morphological characteristics (e.g., plant height, number of leaves, above- and belowground biomass) between soil types within a species. One-way ANOVA was used for analyzing differences in germination indices and morphological characteristics among species. Tukey's HSD test was used for multiple comparisons. Factorial ANOVA was used for testing the effects of plant functional group (grass vs. shrub), soil type (non-winnowed vs. winnowed), and their interactions on morphological characteristics in shorter- and longer-term trials separately. Soil water retention curves were derived by fitting soil water potential (SWP) and volumetric soil water content (VWC) data using a power function  $(VWC = a \times SWP^b; Campbell and Shiozawa 1992).$ Additionally, time-to-event analysis (i.e., survival analysis) was used to assess the temporal dynamics of seed germination. Following McNair et al. (2012), germination probability was estimated using nonparametric Kaplan–Meier method with the 'survfit' function from the 'survival' package in R (Therneau 2015). The G- $\rho$  family of tests were used to compare the survivor functions of seeds grown on different soil types (using the 'survdiff' function from the R 'survival' package).

## Results

## Soil properties

The non-winnowed soil had significantly higher silt and significantly lower sand content than the winnowed soil, while clay content was equivocal (Table 2). As a result, the non-winnowed soil was classified as loamy sand whereas the winnowed soil was sand. The non-winnowed soil had significantly higher pH, K<sup>+</sup>, Ca<sup>2+</sup>, CEC, and total C and N than the winnowed soil; their Na<sup>+</sup>, Mg<sup>2+</sup>, NO<sub>3</sub><sup>-</sup>, PO4<sup>3-</sup>, and ESP composition were statistically comparable.

Volumetric water content at field capacity was higher for the non-winnowed soil  $(0.132 \text{ cm}^3 \text{ cm}^{-3})$  than the winnowed soil  $(0.118 \text{ cm}^3 \text{ cm}^{-3})$  (Fig. 2, inset). However, water in the non-winnowed soil was bound at lower water potentials than that of water in the winnowed soil at a given water content, particularly towards the dry range of soil moisture (Fig. 2).

#### Germination on non-winnowed and winnowed soils

Within species, mean germination time (T; day) and germinability (G; %) were statistically comparable on non-winnowed and winnowed soils (Table 3). Mean germination time averaged across soil types  $(T_{avg})$ of *P. glandulosa*  $(7.0 \pm 2.0 \text{ day; mean} \pm \text{SD; Table 3})$ was significantly shorter than those of A. purpurea and S. airoides (grasses) and L. tridentata (shrub). The grass species B. eriopoda and A. purpurea were slightly slower to germinate, with  $T_{avg}$  of  $8.1 \pm 2.1$ and  $10.3 \pm 3.9$  day, respectively, which were still significantly shorter than S. airoides  $(13.5 \pm 4.0 \text{ day})$  and L. tridentata (14.5 $\pm$ 3.9 day). Average germinability across soil types (Gave) of A. purpurea and P. glandulosa (91.0±17.1 and 90.0±11.2%, respectively; Table 3) was significantly greater than the other species.  $G_{avg}$  of *B. eriopoda* (67.0±15.3%) was significantly greater than that of S. airoides, A. canescens,

<b>Table 2</b> Physical andchemical properties	Soil variable	Non-winnowed	Winnowed
$(\text{mean} \pm \text{SD})$ of non- winnowed and winnowed	Sand (%)	$86.8 \pm 1.1$	$90.4 \pm 1.1^*$
	Silt (%)	$6.0 \pm 1.4^{*}$	$3.4 \pm 0.9$
50115	Clay (%)	$7.2 \pm 1.1$	$6.2 \pm 0.4$
	Soil texture	Loamy sand	Sand
	pH	$8.1 \pm 0.1^{*}$	$7.8 \pm 0.3$
	Electrical conductivity (EC; dS m <sup>-1</sup> )	$0.099 \pm 0.013$	$0.087 \pm 0.018$
	$K^+$ (mg kg <sup>-1</sup> )	$191.0 \pm 13.7*$	$137.0 \pm 11.6$
	$Na^+$ (mg kg <sup>-1</sup> )	$7.3 \pm 4.3$	$8.2 \pm 3.9$
	$Ca^{2+}$ (mg kg <sup>-1</sup> )	$1019.0 \pm 162.3^*$	$799.0 \pm 101.4$
	$Mg^{2+}$ (mg kg <sup>-1</sup> )	$109.4 \pm 12.3$	$115.1 \pm 16.9$
	$NO_{3}^{-}$ (mg kg <sup>-1</sup> )	$3.1 \pm 1.0$	$4.1 \pm 1.8$
	$PO_4^{3-} (mg kg^{-1})$	$10.8 \pm 2.9$	$12.9 \pm 4.0$
	Exchangeable sodium percentage (ESP; %)	$0.5 \pm 0.3$	$0.7 \pm 0.4$
"*" indicates significant	Cation exchange capacity (CEC; meq $100 \text{ g}^{-1}$ )	$6.5 \pm 0.9*$	$5.3 \pm 0.7$
(Student's <i>t</i> -test, $p < 0.05$ )	Total C (%)	$0.202 \pm 0.037$ *	$0.155 \pm 0.035$
differences between soil types	Total N (%)	$0.028 \pm 0.019^*$	$0.025 \pm 0.021$



Fig. 2 Soil water retention curves for non-winnowed and winnowed soils. **Inset**: volumetric soil water content ( $cm^3 cm^{-3}$ ) at field capacity (-0.033 MPa)

and L. tridentata. The semi-evergreen shrub A. canescens had the lowest germinability of all species  $(G_{avg} = 13.0 \pm 13.0\%)$ . Survival analyses showed that there were no significant differences in seed germination probability on the two soil types, with one exception: germination of A. purpurea was higher (p < 0.001) on non-winnowed soils (Fig. 3).

Seedling growth on non-winnowed and winnowed soils

Results of factorial ANOVA showed that with the exception of height in the longer-term trial, plant functional group significantly affected all morphological and growth characteristics. Soil type only significantly affected root:shoot ratio, number of leaves, and plant height in the shorter-term trial (Table 4). Aboveground growth of the three grasses and the A. canescens shrub was significantly greater on winnowed soils in the shorter-term trial, but only for the A. pur*purea* grass in the longer-term trial (Fig. 4a, c). With the exception of A. purpurea and P. glandulosa in the shorter-term trial, belowground biomass was comparable on the two soil types for all species in both trials (Fig. 4b, d). Shrubs produced more biomass than grasses, particularly in P. glandulosa, which had significantly greater above- and belowground biomass (pooled across soil types) than all grass species in both trials (data not shown). With the exception of A. purpurea and S. airoides, root:shoot (R:S) ratios were significantly greater on non-winnowed soils in the shorter-term trial (Fig. 5a). However, in the longer-term trial, R:S ratios were comparable among soil types; the lone exception was L. tridentata, where

Table 3	Germination indices	(mean $\pm$ SD) of	grasses and	shrubs	growing	on n	non-winnowed	soils,	winnowed	soils,	and	averaged
across th	e two soil types.											

Func- tional group	Species	Mean germinatio	on time $(T; data)$	y)	Germinability (G; %)			
		Non-winnowed	Winnowed	Average	Non-winnowed	Winnowed	Average	
Grass	A. purpurea	$9.0 \pm 3.0$	$11.6 \pm 4.5$	$10.3 \pm 3.9b$	$96.0 \pm 9.7$	$86.0 \pm 21.7$	$91.0 \pm 17.1$ d	
	B. eriopoda	$8.3 \pm 2.1$	$8.0 \pm 2.2$	$8.1 \pm 2.1$ ab	$65.0 \pm 19.0$	$69.0 \pm 11.0$	$67.0 \pm 15.3c$	
	S. airoides	$13.5 \pm 4.5$	$13.4 \pm 3.5$	$13.5 \pm 4.0$ cd	$41.0 \pm 17.3$	$35.0 \pm 19.6$	$38.0 \pm 18.2b$	
Shrub	A. canescens	$10.0 \pm 1.0$	$10.1 \pm 3.9$	$10.0 \pm 2.7$ abc	$15.0 \pm 14.3$	$11.0 \pm 12.0$	$13.0 \pm 13.0a$	
	L. tridentata	$14.8 \pm 3.4$	$14.2 \pm 4.5$	14.5 ± 3.9d	$44.0 \pm 14.3$	$40.0 \pm 15.6$	$42.0 \pm 14.7 \mathrm{b}$	
	P. glandulosa	$7.7 \pm 1.7$	$6.4 \pm 2.0$	$7.0 \pm 2.0$ a	$90.0 \pm 11.5$	$90.0 \pm 11.5$	$90.0 \pm 11.2$ d	

There was no significant (Student's *t*-test, p > 0.05) difference in mean germination time (*T*) or germinability (*G*) between soil types for any species. Different letters indicate significant differences among species (one-way ANOVA, p < 0.05) in *T* or *G* averaged across soil types.



Fig. 3 Kaplan-Meier estimates of survivor functions for grasses and shrubs (Table 1) growing on non-winnowed and winnowed soils

R:S ratio was significantly greater on non-winnowed soils (Fig. 5b). Grasses typically had higher R:S ratios than shrubs (Fig. 5; Table 4). Among grasses, *A. purpurea* had the greatest R:S ratio when pooled across

soil types (mean  $\pm$  SD=1.4 $\pm$ 0.5 [shorter-term trial] and 3.1 $\pm$ 1.7 [longer-term trial]; data not shown).

The number of leaves per plant was generally comparable on non-winnowed and winnowed soils in all species in both trials. The exceptions were *B*. **Table 4** Results (*F* values) of factorial ANOVA examining the effects of plant functional group (grass vs. shrub), soil (non-winnowed vs. winnowed), and their interaction on

aboveground biomass, belowground biomass, total biomass, root:shoot ratio, number of leaves, and height

Factor	df	Above-	Below-	Total biomass	Root:shoot ratio	Number of leaves	Height	
		ground biomass	ground biomass					
Shorter-term trial								
Plant functional type	1	$87.80^{***}$	64.32***	80.94***	9.85**	181.86***	107.65***	
Soil	1	0.48	1.31	0.01	$6.78^{*}$	9.92**	20.53***	
Plant functional type × Soil	1	0.09	2.13	0.19	0.91	3.56	2.76	
Longer-term trial								
Plant functional type	1	147.50***	54.19***	106.21***	20.65***	41.05***	0.02	
Soil	1	2.93	2.09	2.84	0.01	1.54	2.59	
Plant functional type × Soil	1	0.46	1.07	0.06	1.19	0.02	0.12	

"\*" indicates p < 0.05, "\*\*" indicates p < 0.01, "\*\*\*" indicates p < 0.001; df = degree of freedom

Fig. 4 Mean  $(\pm SD)$  aboveground (a, c) and belowground (b, d) biomasses of grasses and shrubs growing on non-winnowed and winnowed soils in shorter-term (2018; 31 days) and longerterm (2019; 76 days) trials. A Student's t-test was used for testing the difference between soil types. "\*" indicates significant (p < 0.05) difference. Species codes: ARPU=Aristida purpurea, BOER = Bouteloua eriopoda, SPAI = Sporobolusairoides, ATCA=Atriplex canescens, LATR = Larrea tridentata, and PRGL = Prosopis glandulosa



*eriopoda* and *P. glandulosa* which produced more leaves on winnowed soils in the shorter-term trial (Fig. 6a). Plant height was significantly higher on winnowed soils for *B. eriopoda*, *S. airoides*, *A. canescens*, and *P. glandulosa* in the shorter-term trial, and

for *S. airoides* and *A. canescens* in the longer-term trial (Fig. 6b, d).

Leaf:stem (L:S) biomass ratios varied among shrub species but were not significantly different on non-winnowed and winnowed soils in either trial



Fig. 5 Root:shoot ratios (mean  $\pm$  SD) of grasses and shrubs growing on non-winnowed and winnowed soils in shorter-term (2018; 31 days) and longer-term (2019; 76 days) trials. A Student's *t*-test was used for testing the difference between soil



types. "\*" indicates significant (p < 0.05) difference. Species codes: ARPU=Aristida purpurea, BOER=Bouteloua eriopoda, SPAI=Sporobolus airoides, ATCA=Atriplex canescens, LATR=Larrea tridentata, and PRGL=Prosopis glandulosa

Fig. 6 Mean  $(\pm SD)$  number of leaves (a, c) and plant height (**b**, **d**) of grasses and shrubs growing on nonwinnowed and winnowed soils in shorter-term (2018; 31 days) and longer-term (2019; 76 days) trials. A Student's t-test was used for testing the difference between soil types. "\*" indicates significant (p < 0.05) difference. Species codes: ARPU=Aristida purpurea, BOER = Bouteloua eriopoda, SPAI=Sporobolus airoides, ATCA=Atriplex canescens, LATR = Larrea tridentata, and PRGL=Prosopis glandulosa



(Fig. 7a, b). The L:S ratios averaged across soil types were lowest in *P. glandulosa*  $(1.2 \pm 0.4 \text{ and } 0.7 \pm 0.2 \text{ and }$ 

in shorter- and longer-term trials, respectively; mean $\pm$ SD), highest in *L. tridentata* (5.3 $\pm$ 1.6 and



Fig. 7 Leaf:stem biomass ratios (mean $\pm$ SD) of shrub species growing on non-winnowed and winnowed soils in shorter-term (2018; 31 days) and longer-term (2019; 76 days) trials. A

 $2.3 \pm 0.9$ ) and intermediate in *A. canescens*  $(3.7 \pm 0.7)$  and  $1.8 \pm 0.4$ ) (p < 0.05; data not shown).

## Discussion

Aeolian processes modify topsoil physical and chemical properties in dryland ecosystems (e.g., Li et al. 2007), and potentially impact vegetation growth and plant community dynamics (Alvarez et al. 2012). Here, we aimed to determine if the effects of soil winnowing might favor shrub recruitment over grasses, and hence contribute to the grassland-to-shrubland transitions that have occurred in many arid regions.

Our results showing that winnowed soils were coarser in texture and lower in nutrient content than non-winnowed soils (Table 2) are consistent with the findings of others (Alvarez et al. 2012; Li et al. 2007, 2008). We hypothesized that such differences would modify soil micro-environmental conditions (e.g., soil moisture, temperature, aeration) to differentially influence seed germination and seedling growth of targeted grasses and shrubs. However, our controlled-environment experiment indicated that for each of the grass and shrub species used in our trial, germinability and mean germination time on winnowed soils were comparable to that occurring on non-winnowed soils (Table 3). Survival analysis also indicated that with the exception of A. purpurea, all species had similar temporal patterns of germination on non-winnowed and winnowed soils. The lack of a winnowing effect on germination seen in



Student's *t*-test was used for testing the difference between soil types. Species codes: ATCA=Atriplex canescens, LATR=Larrea tridentata, and PRGL=Prosopis glandulosa

five of the six species in this study suggests that aeolianinduced differences in soil surface properties per se do not differentially influence grass vs. shrub germination under well-watered conditions.

Our controlled-environment experiment was narrowly focused on germination/early seedling establishment and did not account for other factors that are likely influencing plant recruitment on winnowed vs. non-winnowed sites. Wind erosion can, for example, deplete the soil seed bank and reduce the number of propagules available to germinate (Alvarez et al. 2012), ostensibly by blowing away newly dispersed seeds and those in the near-surface soil seed bank. This would be particularly true for smaller/ lighter grass seeds than for larger/heavier shrub seeds (Table 1). In the shrub category, A. canescens and L. tridentata seeds are likely more amenable to wind dispersal than P. glandulosa seeds due to their morphological attributes (e.g., A. canescens is 'winged'). This would provide an advantage for P. glandulosa as its seeds, dispersed primarily via ungulate ingestion/ excretion (Brown and Archer 1987) and cacheing by rodents (Reynolds and Glendening 1949), would be more likely to persist upon arrival on wind-eroded soils. Aeolian redistribution of soil may also influence seed burial and hence germination and establishment (e.g., Traba et al. 2004; Merino-Martín et al. 2017). Burial may be deeper and quicker on sandy soils than on fine-textured clay soils, and protect seeds from predation, desiccation, and other biotic/abiotic stresses (Benvenuti 2007). On the other hand, seedling emergence may be inhibited if seeds are buried too deeply. This would be especially so for small-seeded grass species with limited seed reserves (Leishman et al. 2000). Moreover, coarser (i.e., winnowed) soils may capture and retain more large seeds than fine soils (Chambers et al. 1991). This could potentially increase the number of shrub seeds on winnowed sites and hence opportunities for recruitment. In our experiment, even without accounting for these other aeolian effects, the P. glandulosa shrub was the first to emerge, had the shortest mean germination time, and highest germinability (Table 3). Accordingly, the combined effects of seedbank depletion by wind erosion (Alvarez et al. 2012), potential capture of P. glandulosa seeds on winnowed soils as discussed above, and superior performance of P. glandulosa relative to A. canescens and L. tridentata in our germination/establishment trials suggest a biophysical mechanism by which P. glandulosa, and not the other two shrub species, has increased in abundance/cover on the basin floor geomorphic unit.

We hypothesized that seedling growth would be enhanced on non-winnowed soils relative to that on winnowed soils owing to the changes in soil physical and chemical properties accompanying winnowing. However, our results did not support this hypothesis: most species generated comparable to greater aboveand belowground biomass (Fig. 4), lower root:shoot ratio (Fig. 5), and were taller (Fig. 6) on winnowed soils than on non-winnowed soils. Accounting for this counter-intuitive outcome is problematic. Given that the winnowed soil generally had lower nutrient contents than the non-winnowed soil and the levels of two important macronutrients (N and P) were comparable (Table 2), soil nutrient levels cannot be invoked to account for the observed differences in plant growth. A water availability explanation seems likely. Soil texture and structure largely determine its matric potential, a critical component of soil water potential with respect to plants access to soil moisture (Jones 2007). The winnowed soil in this study was coarser in texture (Table 2), and thereby had higher (less negative) water potential than non-winnowed soils at a given moisture content, particularly under conditions of low soil water content (Fig. 2). This indicates that winnowed soils may have greater water 'availability' to plants. Though plants were wellwatered in our experiment, relatively dry conditions may have developed between watering events. The plants on winnowed soils may have benefitted from greater water availability during these intermittent dry periods. In natural conditions, the texture-related water potential differences experienced by seedlings on non-winnowed and winnowed soils would ostensibly be substantially greater than those occurring in our greenhouse study. Since moisture dry-down rates are rapid and intervals between precipitation events can be long in drylands (Fravolini et al. 2005), moisture differences between soil types could pronounced for extended periods of time, with consequential influences on plant growth. Further greenhouse/field studies manipulating soil moisture on winnowed and non-winnowed soils (particularly drought stress) are needed.

Soil type significantly affected R:S ratios, numbers of leaves, and height in the shorter-term trial, but had no significant effects on plant growth in the longer-term trial (Table 4). Plant biomass accumulation rates (biomass divided by experiment duration) in the shorter-term trial were generally greater (p < 0.05) than those in the longer-term trial (data not shown). Additionally, plants (particularly, P. glandulosa and B. eriopoda) produced more leaves, grew taller, and had greater R:S ratios when growing on winnowed soils in the shorter-term trial. These results indicate the plants exhibited faster growth in the shorter-term trial. In this trial, seedling growth would have been influenced by more nutrients from seed reserves than from soils (e.g., Bouaziz and Hicks 1990; Milberg and Lamont 1997). Whereas in the longer-term trial, seedling growth would have transitioned from reliance on nutrient stored in the seed to a dependence of soil nutrients. Accordingly, nutrients may have been less of a limiting factor during the shorter-term trial and seedlings may have benefitted from the greater water availability on winnowed soils. Furthermore, seedlings of shrubs with greater seed mass (particularly P. glandulosa and A. canescens) would have greater nutrient stores to draw from and could accordingly maximize their initial below/aboveground development compared to small-seeded grass species (Milberg and Lamont 1997; Milberg et al. 1998), thus providing an advantage for establishment on nutrient-depleted soils.

The magnitude of within-species differences in morphological characteristics on the two soil types diminished in the longer-term trial compared to the

shorter-term trial. For example, the only significant difference in aboveground biomass on the contrasting soils in the longer-term trial was for the grass A. purpurea, whereas we documented differences for all grass and one shrub species (A. canescens) in the shorter-term trial (Fig. 4). As mentioned in the previous paragraph, in the longer-term trial, plants could eventually derive nutrients solely from the soil, thus nutrient availability would be a more important factor for plant growth than in the shorter-term trial. Desorption curves indicate that winnowed soils would maintain higher levels of plant-available water as soils dry, but the non-winnowed soils had higher nutrient contents. As such, in the shorter-term trial where seedlings may have been deriving nutrients primarily from the seed, water would have been the primary limiting resource; in the longer-term trial where plants would have transitioned to obtaining nutrients from the soil, both water and nutrients may have been colimiting. Further research regarding how the transition from seed- to soil-based nutrition influences seedling establishment among grass and shrub species may shed light on mechanisms regulating plant recruitment on winnowed vs. non-winnowed soils.

Plant functional group had significant effects on most plant morphological and growth characteristics in both trials (Table 4). Shrubs commonly outperformed grasses in both trials; this was especially so for P. glandulosa, which had the highest above- and belowground biomass of all species (Fig. 4). Because  $C_4$  species typically have higher nitrogen/water use efficiencies than C<sub>3</sub> species (Pearcy and Ehleringer 1984; Sage 2004), they should perform better on resourcedepleted winnowed soils. This was inconsistently supported among shrub species, where the  $C_4$  A. canescens produced more biomass than the  $C_3$  L. tridentata but less than the  $C_3$  P. glandulosa. These photosynthetic pathway comparisons are confounded by the fact that P. glandulosa is deciduous with the potential for symbiotic N<sub>2</sub>-fixation (Monks et al. 2012; Zahran 1999; Zitzer et al. 1996), whereas L. tridentata is an evergreen "true xerophyte" with an inherently slow growth rate (Cody 2000; Lajtha and Whitford 1989). It should be kept in mind that species comparisons in this study were conducted without consideration of plant-plant interactions.

## Conclusions

Overall, our greenhouse bioassay results showed seed germination and early seedling growth of contrasting plant functional groups on winnowed soils were not adversely nor differentially impacted relative to that observed on non-winnowed soils under well-watered conditions. Field trials are needed to ascertain if the results obtained in our greenhouse experiments hold up under field conditions where factors like seasonal temperature and moisture stress, seed burial depth, seed/seedling predation, plant-plant interactions, etc. are at play.

*P. glandulosa* shrubs started and completed germination more rapidly than other grass and shrub species used in our trials and produced the greatest total biomass. Rapid germination/emergence and early rapid growth would give *P. glandulosa* shrubs potential recruitment advantages over grasses and other shrub species and helps explain its widespread, successful proliferation within southern Great Plains and Chihuahuan Desert grasslands of North America (Barger et al. 2011).

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**Data availability** The datasets generated during the current study are available from the corresponding author on reasonable request.

**Code availability** The code used in this study is available from the corresponding author on reasonable request.

### Declarations

**Ethics approval (include appropriate approvals or waivers)** Not applicable.

**Consent to participate (include appropriate statements)** Not applicable.

**Consent for publication (include appropriate statements)** Not applicable.

**Conflicts of interest/Competing interests** The authors declare no conflicts of interests/competing interests.

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