

# *In Silico* Study on the Contribution of the Follicular Route to Dermal Permeability of Small Molecules

Daniel Sebastia-Saez<sup>1</sup> · Guoping Lian<sup>2</sup> · Tao Chen<sup>1</sup>

Received: 24 November 2023 / Accepted: 15 January 2024 / Published online: 13 February 2024 © The Author(s) 2024

#### Abstract

**Purpose** This study investigates *in silico* the contribution of the hair follicle to the overall dermal permeability of small molecules, as published experimental work provides inconclusive information on whether the follicular route favours the permeation of hydrophobic or hydrophilic permeants.

**Method** A study is conducted varying physico-chemical parameters of permeants such as lipophilicity, molecular weight and protein binding. The simulated data is compared to published experimental data to discuss how those properties can modulate the contribution of the hair follicle to the overall dermal permeation.

**Results** The results indicate that the contribution of the follicular route to dermal permeation can range from negligible to notable depending on the combination of lipophilic/hydrophilic properties of the substance filling the follicular route and the permeant.

**Conclusion** Characterisation of the substance filling the follicular route is required for analysing the experimental data of dermal permeation of small molecules, as changes between *in vivo* and *in vitro* due to handling of samples and cessation of vital functions can modify the contribution of the follicular route to overall dermal permeation, hence hindering data interpretation.

Keywords dermal absorption  $\cdot$  follicular route  $\cdot$  hair follicle  $\cdot$  in silico modelling  $\cdot$  skin permeability

#### Abbreviations

#### Latin characters

 $C, C_o$ 

CFR

 $D_{o/w}$  $D, D_{SC}, D_{VE}, D_{De}, D_{se}, D_{w}$ 

Concentration  $[mol \cdot m^{-3}]$ . The subscript *o* denotes the infinite dose concentration Contribution of the follicular route [%] Distribution coefficient. Diffusion coefficient  $[m^2 \cdot s^{-1}]$ : Generic (no subscript), in the stratum corneum (*SC*), viable epidermis (*VE*), dermis (*De*), sebum (*se*) and water (*w*).

k<sub>b</sub>

 $\vec{J}$ 

 $f_u, f_{non}$ 

 $K_p$ 

MW

r

$$K_{o/w}, K_{SC/w}, K_{VE/w}, K_{De/w}, K_{se/w}, K_{pro/w}, K_{lip/w}$$

Popen, Pblocked, Pimpaired

fraction Fick's diffusive flux  $[mol \cdot m^{-2} \cdot s^{-1}]$ Boltzmann constant: 1.3806 × 10<sup>-23</sup> [J·K<sup>-1</sup>] Permeability of the stratum corneum  $[m \cdot s^{-1}]$ Partition coefficients: Octanol to water (o/w), stratum corneum to water (SC/w), viable epidermis to water (VE/w), dermis to water (De/w) and sebum to water (se/w), protein to water (pro/w) and lipid to water (lip/w). Molecular weight [Da] Permeability [ms<sup>-1</sup>] upon open, blocked and impaired follicular route. Molecular radius [Å]

Fraction unbound (*u*) to protein and non-ionised (*non*)

Daniel Sebastia-Saez j.sebastiasaez@surrey.ac.uk

<sup>1</sup> School of Chemistry and Chemical Engineering, University of Surrey, Guildford, UK

<sup>2</sup> Unilever R&D Colworth, Bedford, UK

🙆 Springer

$R^2$	Coefficient of determination
t	Time [s]
Т	Temperature [K]
Greek characters	
δ	Thickness of the stratum cor-
	neum [µm]
η	Dynamic viscosity [Pa·s]
$\rho_{pro}, \rho_{lip}, \rho_{w}$	Density of protein (pro), lipid
I · · · I ··	(lip) and water $(w)$ .
$arphi_{pro}, arphi_{lip}, arphi_{w}$	Volume fraction of protein
	( <i>pro</i> ), lipid ( <i>lip</i> ) and water ( <i>w</i> ).

## Introduction

Understanding the mechanisms behind dermal permeation of chemicals is of high importance for the pharmaceutical and cosmetic industries, as well as for risk assessment of chemical exposure. Reported experimental studies have shown that the follicular route (FR) contributes notably to dermal permeation [1]. This can be explained as the FR offers large surface area for mass transport due to an enfolding around the hair that extends deeply into the dermis (De), hence by-passing the stratum corneum (SC) as the skin's main barrier. Published experimental data is inconclusive on the mechanisms behind the role of the FR on the overall dermal permeability. Specifically, it is inconclusive on whether the FR favours the permeation of hydrophilic or lipophilic compounds, with implications on the general understanding of the delivery and safety of dermal formulations. Table I summarises studies on dermal permeability through the FR published in the last two decades. While these studies showed that the FR plays an important role, further clarification is required regarding the effect of the physico-chemical properties of the permeants, such as lipophilicity and molecular weight. For example, Otberg et al. [2] found that the FR increases the concentration of caffeine (a hydrophilic compound) in plasma in their in vivo experiments, suggesting that the FR enhances the permeation of hydrophilic compounds in vivo. However, it is well known that continuous secretion of sebum by the sebaceous gland happens in vivo, and therefore the FR should not have such a noticeable contribution to the permeability of caffeine. Teichmann et al. [3] reported in vivo experiments and observed that a lipophilic compound (curcumin,  $\log K_{a/w}$ =3.30) permeated into the skin through the FR and the intercellular lipidic phase in the SC but not through the hydrophilic keratinocytes. Their experimental observations are thus in accordance with the postulated lipophilic character of the FR in vivo.

Similarly, inconclusive results can be observed in the literature when comparing experiments carried out *in vitro* 

and ex vivo, where the sebaceous gland has ceased to produce sebum. Specimens are often soaked in aqueous solutions (e.g., PBS) prior to ex vivo and in vitro permeation experiments, which together with freezing and thawing of samples can contribute to altering the substance filling the FR. These alterations could lead to the permeation of hydrophilic compounds being favoured in vitro or ex vivo as opposed to *in vivo*. For example, Genina *et al.* [4] studied the permeation of a lipophilic compound (Dye Indigo Carmine, log  $K_{o/w} = 3.72$ ) and a hydrophilic compound (Dye Indocyanina Green,  $\log K_{o/w} = -0.79$ ) and showed that the hydrophilic compound permeated faster than the lipophilic compound in vitro. This would be expected according to the postulated changes taking place during *in vitro* sample preparation. Grams and Bouwstra [5] however, detected the presence of lipophilic compounds in the FR after their ex vivo permeation experiments, but not hydrophilic ones, suggesting that the FR favours the permeation of lipophilic compounds even when sebum secretion has ceased. These results could be explained as arguably ex vivo experiments mimic in vivo conditions more closely than in vitro tests (i.e., sebum still present in the FR).

Mohd et al. [6] and Frum et al. [7] directly compared the contribution of the FR on the overall skin permeation for a set of compounds with a sufficient range of  $\log K_{a/w}$  in vitro. However, the contribution of the FR was obtained using different methodologies in both studies. While Frum et al. [7] used the skin sandwich technique in their experiments, Mohd et al. [6] opted for wax plugging half of the hair follicles in their skin samples. Mohd *et al.* [6] observed that the contribution of the FR to dermal permeability was noticeably greater for hydrophilic compounds. Their results suggest thus that the FR favours the permeation of hydrophilic compounds in vitro. Frum et al. [7] also provided direct comparison between the contribution of the FR against  $\log K_{o/w}$  for a set of chemicals in vitro. In their study, the opposite trend was observed at low values of log  $K_{o/w}$ , with a turning point at log  $K_{o/w}$ =1.25. Frum *et al.* [7] conclude that not only the lipophilicity, but other phenomena such as hydrogen bonding play an important role on the contribution of the FR on dermal permeability.

Published experimental data suggested that both the molecular weight and the lipophilicity play a substantial role. However, further investigation on how these and other parameters are involved in the process is needed. Here, we use a recently reported 2-D mechanistic *in silico* skin permeation mathematical model. The model has been validated recently in the literature for a variety of cases [8, 9]. This methodology allows carrying out a study for a set of chemical compounds and examining the effects of the parameters involved.

Table I Summary of Published Experimental Studies on the Effect of the FR on Transdermal Permeation of Small Molecules

Reference	Test Condition	Compound(s)	MW [Da]	$\log K_{o/w}$	Findings
Otberg et al. [2]	In vivo	Caffeine	194	-0.07	The FR contributes to increased con- centration in blood plasma <sup>a</sup>
Teichmann et al. [10]	In vivo	Sodium Fluorescein (SF)	376	-0.61	SF was recovered from follicular casts after experiment (3% of the dose was in the FR, 51% in corneocytes and the remainder was absorbed)
Teichmann et al. [3]	In vivo	Curcumin	368	3.30	Curcumin permeates into the skin through lipid and FR
Schwartz et al. [11]	In vivo	Zinc pyrithione (ZP)	317	0.90	Notable amount of ZP detected in FR post-assay
Ossadnik et al. [12]	In vivo	Brilliant green solution	484	2.02	Brilliant green solution detected in FR post-assay
Grams and Bouwstra [5]	Ex vivo	Bodipy FLc5	320	1.20	Bodipy FLc5 and Bodipy 564/570C5
		Bodipy 564/570C5	463	3.00	detected in FR post-assay, but not
		Oregon Green 488	509	-2.50	Oregon Green 488
Chandrasekaran et al. [13]	In vitro	Magnesium	23	-1.10	Magnesium permeates better into the skin when the FR is not blocked
Genina et al. [4]	In vivo & in vitro	Dye Indigo Carmine (IG)	466	3.72	Both substances were present in the
		Dye Indocyanine Green (ICG)	775	-0.29	FR after <i>in vivo</i> assays, but ICG permeates faster <i>in vitro</i>
Frum <i>et al.</i> [7]	In vitro	Estradiol	272	2.29	The FR does not show a clear rela-
		Corticosterone	346	1.94	tionship with the lipophilicity of the
		Hydrocortisone	362	1.60	compound in this study
		Aldosterone	360	1.08	
		Cimetidine	252	0.40	
		Deoxyadenosine	251	-0.55	
		Adenosine	267	-1.05	
Ogiso et al. [14]	In vitro	Ketoprofen (KP)	254	3.12	Flux of KP much higher than that
		Melatonin (MT)	232	1.18	observed for MT and 5FU. His-
		Fluorouracil (5FU)	130	-0.89	tological observations confirmed permeation had occurred through the FR
Mohd <i>et al</i> [6]	In vitro	Fluorescein isothiocvanate-dextran	4000	-0.77	The follicular pathway clearly
		Calcein sodium salt	644	-3.50	favoured the permeation of hydro-
		Fluorescein sodium salt	376	-0.61	philic compounds, with a direct
		Isosorbide dinitrate	236	1.23	relationship between log $K_{o/w}$ and
		Lidocaine hydrochloride	234	-0.9/1.4 <sup>b</sup> -	contribution of the FR
		Aminopyrine	231	1/0.98 <sup>b</sup>	
		Ibuprofen	206	1.9/1.3 <sup>b</sup>	
		Butyl paraben	194	3 50	
		Isosorbide mononitrate	191	-0.15	
Essa et al [15]	In vitro	Estradiol	272	2 29	Greater contribution of the FR for
	in vuio	Mannitol	182	-2.47	hydrophilic than lipophilic com-
		Liposomes	-		pounds
		1 · · · ·			

<sup>a</sup>The contribution of the FR on overall absorption was not reported in the experiment; rather, it was determined to be very small by an *in silico* study [8] when the model provided good agreement with the experimental plasma measurement. <sup>b</sup>Two values were obtained depending on the pH of the buffer solution used

Fig. 1 Schematic illustration of the computational domain including colour-coded boundary layers and dimensions. The figure includes only the boundaries for the case of open FR. Fixed concentration boundaries are included for the cases with a FR filled with water or sebum. Not to scale. Dimensions obtained from Kattou *et al.* [8].



6

#### **Materials and Methods**

The mechanistic mathematical model used in this work consists in a 2-D geometric representation of the skin layers, complemented with state-of-the-art QSPRs to calculate the diffusion and partition coefficients. The model is an adaptation of a previously published model [8, 9], to accommodate a configuration with an infinite dose in the vehicle and a sink in the receiver, used frequently in skin permeability lab work. Dimensions and boundary layers are represented in Fig. 1 for an open FR. Cases with open, impaired, and closed FR were run in this study. In impaired FR cases, flux through the concentration boundary of the FR was restricted to half that of the open FR cases to mimic the experimental conditions of Mohd *et al.* [6], who blocked half of the hair follicles in their experimental samples. In closed FR cases, the concentration boundary of the FR was replaced by a no flux boundary to suppress the flow from the vehicle directly into the FR.

A fixed concentration  $C_0 = 1 \text{ mol/m}^3$  was fixed for the aqueous vehicle with infinite dose, as the concentration does not affect the permeability of the setup. The model was implemented using the commercial software COMSOL Multiphysics v6.1 and solves the diffusion equation with no convection.

$$\frac{\partial C}{\partial t} + \nabla \cdot \vec{J} = 0 \tag{1}$$

where c denotes concentration, t denotes time, and  $\vec{J}$  is Fick's diffusive flux:  $\vec{J} = -D\nabla C$ , with D being the diffusion coefficient.

Diffusion and partition coefficients are needed for each layer to run the model. Recently reported QSPR models with improved coefficients of determination were used when available to obtain the partition coefficients. These are summarised in Table II. The present model includes a homogeneous SC [16]. The literature suggests that De and VE have similar multiphase compositions [17, 18], and therefore the diffusion and partition properties are assumed to be similar [8].

With the purpose of checking the validity of the homogeneous SC assumption, the simulation setup published by Kattou *et al.* [8], different from the setup described above, was implemented in COMSOL Multiphysics v6.1 to replicate their results for dermal absorption of a finite-dose of caffeine with systemic circulation and clearance. Their setup and equations were implemented with the only difference of the homogenised SC, while Kattou *et al.* [8] used the bricks and mortar layout.

Table II	Summary of
Expressi	ions Used to Calculate
Partition	Parameters

pression
$_{C/w} = \left(\varphi_{pro} \times \frac{\rho_{pro}}{\rho_{w}} \times K_{pro/w} + \varphi_{lip} \times \frac{\rho_{lip}}{\rho_{w}} \times K_{lip/w} + \varphi_{w}\right) \times \frac{\rho_{w}}{\rho_{SC}}$
$K_{E/w} = K_{De/w} = 0.7 \times \left(0.68 + \frac{0.32}{f_u} + 0.025 \times f_{non} \times K_{o/w}^{0.7}\right)$
, ,

<sup>a</sup>Volume fractions and densities are respectively  $\phi_{pro} = 0.1476$ ,  $\phi_{lip} = 0.0671 \ \phi_w = 0.7853$ ,  $\rho_{pro} = 1.37 \ g/cm^3$ ,  $\rho_{lip} = 0.90 \ g/cm^3$ ,  $\rho_w = 1.00 \ g/cm^3$  and  $\rho_{SC} = 1.05 \ g/cm^3$ . <sup>b</sup>Partition coefficients calculated as  $K_{pro/w} = 4.2 \times K_{o/w}^{0.31}$  and  $K_{lip/w} = K_{o/w}^{0.69}$  [16]

Table IIISummary ofExpressions Used to CalculateDiffusion Parameters in SI Units

Parameter	Expression
Diffusion coefficient in SC <sup>a</sup>	$D_{SC} = \frac{K_p \times \delta}{K_{SC/w}}$
Diffusion coefficient in VE and De <sup>b</sup> [8]	$D_{VE} = \frac{10^{-8.15-0.655 \times \log MW}}{0.68 + \frac{0.32}{f_u} + 0.025 \times f_{non} \times K_{mw}}$
Diffusion coefficient in sebum $(R^2 = 0.92)^c$ [25]	$D_{se} = 2.48 \times 10^{-4} e^{-0.42 \times r^2}$
Diffusion coefficient in water (Stokes equation) [26]	$D_w = \frac{k_b \times T}{6 \times \pi \times \eta \times r}$

<sup>a</sup>The following QSPR [21] was used to calculate the permeability of the SC:  $K_p = 5.6 \times 10^{-6} K_{o/w}^{0.7} e^{-0.46r^2}$ . Thickness of the SC is  $\delta = 14 \,\mu\text{m}$  as indicated in Fig. 1 [8]. Partition coefficient SC to water  $K_{SC/w}$  calculated as indicated in Table II. <sup>b</sup>Partition coefficient lipid to water was  $K_{mw} = \frac{\rho_{hp}}{\rho_w} K_{o/w}^{0.69}$  [22]. <sup>c</sup>Molecular radius  $r = \sqrt{< spanclass} = ' reftype' > [3] < / span > 3/4\pi \times 0.9087 \times MW$  [23]. <sup>d</sup>Boltzmann constant  $k_b = 1.380649 \times 10^{-23}$  J/K. Temperature T = 20°C. Dynamic viscosity of water  $\eta = 1.0016$  mPa·s

And the expressions used to calculate the diffusion coefficients are gathered in Table III.

The contribution of the follicular route (CFR) to skin permeability was calculated as:

$$CFR[\%] = \frac{P_{open} - P_{impaired}}{P_{open}} \times 100$$
(2)

where *P* represents permeability and is obtained by dividing the flux at steady state *J* over the concentration in the donor  $C_0$ as  $P = \frac{J}{C_0}$ . The flux was obtained from the simulations when steady state was reached (variations below 0.1% across the domain). The calculation of one value of the parameter *CFR* requires two simulations: One with the FR open and another with the FR impaired. The simulations will be carried out for the cases of a hydrophilic (water) and lipophilic (sebum) FR, mimicking respectively, an *in vitro* and an *in vivo* scenario.

For a water FR, simulations will be carried out for 11 values of log  $K_{o/w}$  in the range [-3.70, 5.49] and two values of molecular weight (i.e., MW = 50 Da and 285 Da). These cover the range of values of a large number of commercial cosmetic and therapeutic drugs [19, 20]. The values of unbound  $f_u$  and nonionized  $f_{non}$  fraction were tested at ~ 0 and 1. For each value of log  $K_{o/w}$ , all possible combinations of molecular weight MW, unbound fraction  $f_u$  and non-ionised fraction  $f_{non}$  were simulated. This made a total of 88 virtual compounds (176 simulations for both blocked and unblocked FR). This methodology allowed comparison between the trends obtained by Mohd *et al.* [6] and Frum *et al.* [7] and the simulations in this study.

Further, a check was conducted on the uncertainty introduced by QSPRs used to compute the permeability of the SC due to low coefficient of determination ( $R^2 = 0.58$ ) [21]. The contribution of the FR against log  $K_{o/w}$  was obtained for a set of chemicals with reported experimental measurements of the permeability [19] and compared with the QSPR prediction. The parameters of the chemicals implemented in these simulations are summarised in Table IV. An additional set of 40 simulations (with water FR) was carried out to perform this check. Values of  $f_u = 1$  and  $f_{non} = 1$  were assumed

 Table IV
 Parameters of Chemical Compounds Used in Uncertainty Check

Compound	$\log K_{o/w}$	MW[Da]	Experimental log $K_p$ [cm/s] [19]
Sucrose	-3.70	342	-8.84
Aspartic acid	-3.47	133	-7.43
Lysine	-3.05	146	-6.87
Histidine	-2.90	155	-7.82
Urea	-2.11	61	-7.39
Methanol	-0.77	32	-6.38
Ethanol	-0.31	46	-7.06
Nicotinic acid	0.36	123	-8.18
Barbital	0.65	184	-7.51
Aldosterone	1.08	360	-7.86
Codeine	1.19	299	-7.09
Pentanol	1.51	88	-5.78
Hexanol	2.03	102	-5.44
Salicylic acid	2.26	138	-5.07
Hydrocortisone methylsuc- cinate	2.60	477	-7.23
Naproxen	3.18	230	-4.97
Testosterone	3.32	288	-6.21
Progesterone	3.87	314	-5.43
Diclofenac	4.51	296	-5.30
Hydrocortisone octanoate	5.49	489	-4.76

in this set of simulations to obtain the diffusion and partition coefficients for De and VE.

Cases with sebum FR were run for 9 chemicals with log  $K_{se/w}$  measured experimentally by Yang *et al.* [20] (Table V). The set includes the chemicals where log  $K_{o/w} = \log D_{o/w}$  to avoid the effect of ionization (e.g., a negative log  $K_{se/w}$  was measured for procaine, a lipophilic compound, due to ionization). A set of 18 simulations was performed for sebum FR. The compounds were assumed to be fully unbound (i.e.,  $f_u = 1$ ) and fully non-ionised (i.e.,  $f_{non} = 1$ ) in this set of simulations. Table V Parameters of the Compounds Tested for Sebum FR [20]

Compound	$\log D_{o/w} = \log K_{o/w}$	Experimental log $K_{se/w}$ [20]	MW[Da]	pН
Theophylline	-0.54	-1.00	180	5.5
Theobromine	-0.36	-1.55	180	5.5
Caffeine	-0.15	-0.77	194	5.5
Vanillin	1.23	0.83	152	5.5
Thiabendazole	2.48	1.77	201	5.5
Testosterone	3.27	2.26	288	5.5
Thymol	3.40	2.62	150	5.2
Benzophenone	3.43	2.61	182	3.8
Octopirox	3.50	3.01	238	5.5

with homogenized SC used the experimental SC permeability of Johnson et al. [27] to calculate the diffusion coefficient in the SC ( $D_{SC} = 4.12 \times 10^{-15} \text{ m}^2/\text{s}$ ), Abraham and Martins [28] ( $D_{SC} = 4.73 \times 10^{-15} \text{ m}^2/\text{s}$ ) and the QSPR

prediction by Mitragotri [21]  $(D_{sc} = 4.73 \times 10^{-15} \text{ m}^2/\text{s}).$ 

The three simulated data series compare well with the

## **Results and Discussion**

The simulations run to check the validity of the homogeneous SC assumption are gathered in Fig. 2. The experimental results of Otberg et al. [2] used by Kattou et al. [8] for validation are also included in the graph. The simulations

Fig. 2 Comparison between results obtained using the 'bricks and mortar' SC layout [8] for dermal absorption of caffeine with blood clearance and the replicated results using the homogenized SC assumption (Present sim).

Fig. 3 Percentage of contribution of the follicular route CFR against logKo/w for water FR.



simulated results using the bricks and mortar approach [8], hence providing validation of the homogeneous SC assumption. Errors in the comparison between all the simulated results and the experiments of Otberg *et al.* [2] are attributed to inaccuracies in the values presented in Kattou *et al.* [8] for the parameters of the ODE governing the concentration of permeant in blood.

The results for a water FR are in Fig. 3. CFR is plotted against log  $K_{a/w}$  to facilitate comparison against reported experimental data. An inverse relationship between the contribution of the FR and the octanol-to-water partition coefficient log  $K_{a/w}$  can be observed, confirming that a water FR favours the permeation of hydrophilic virtual compounds. Three areas can be distinguished on the graph. For hydrophilic compounds (i.e.,  $\log K_{o/w} < 1$ ), the contribution of the follicular path to the permeability of the skin is close to 50% regardless of molecular weight, percentage of protein binding and percentage of ionisation. Thus, most of the applied dose would permeate into the sink via the FR, hence by-passing the lipidic route in the SC. This is in accordance with the data of Mohd et al. [6], who observed values of the CFR of around 50% for highly hydrophilic compounds in their experiments wax-plugging half of the hair follicles in their samples, hence suggesting a notable contribution of the FR. For strongly lipophilic molecules (i.e.,  $\log K_{o/w} > 6$ ), the effect is the opposite; the proportion of the dose crossing into the sink via the FR is negligible. For molecules with log  $K_{a/w}$  ranging from 1 through 6, a balance can be found between the epidermal and the FR.

The data shows that the molecular weight has an important impact, although the trend remains unchanged for both values of MW tested. For a given value of the compound's lipophilicity, the contribution of the FR increases with the molecular weight regardless of protein binding and ionisation. Experimental observations in the literature suggest an inverse relationship between the permeability of the SC and molecular weight of the compounds [21], which could result in the FR contributing more than the epidermal route to overall dermal permeation for increased *MW*. This is consistent with this simulated data, as the contribution of the FR is smaller for 50 Da than for 285 Da virtual compounds. No effect of unbound  $f_u$  and non-ionized fraction  $f_{non}$  was observed. Although the latter two parameters have an important weight on the calculation of the diffusion and partition properties of the viable epidermis and dermis, the resistance to diffusion posed by the stratum corneum results in protein binding and ionisation having a negligible effect on the overall permeation rate.

The simulated data is thus in accordance with the hypothesis by which physico-chemical changes might occur in vitro that result in the FR favouring the permeation of hydrophilic compounds. The trend agrees with the experimental observations of Mohd et al. [6], who observed an inverse relationship between the contribution of the FR to dermal permeability and log  $K_{o/w}$  for a set of compounds with varied molecular weights, binding properties and ionization characteristics. Frum et al. [7] recorded an initial increasing trend followed by a turning point and a sharp decrease. However, their experimental observations show a high CFR for highly hydrophilic compounds, and near-zero values for compounds with high log  $K_{o/w}$ . In light of their results, it is likely that the FR was filled with water, or with a high proportion of it, in both in vitro experiments reported, as opposed to expected conditions in vivo.

Figure 4 shows the results of the check on the uncertainty introduced by Mitragotri's expression [21]. The graph shows that the trend does not change regardless of whether experimental measurements Chen et al. [19] or Mitragotri's prediction [21] of the SC permeability is used to calculate the diffusion coefficient in the SC according to the methodology in Table III. This

Fig. 4 Percentage of contribution of the follicular route CFR against  $\log K_{o/w}$  for Mitragotri's [21] SC permeability uncertainty check.



Table VI Comparison Between Experimental Measurement of the Permeability of the SC and the Simulated Permeabilities of the Skin for Closed FR (No Flux Boundary Between Vehicle and FR), Impaired FR (Flux Through Concentration Boundary of FR Limited to Half) and Open FR

Compound	Experimental log $K_p$ [cm/s] [19]	Simulated Skin Permeability log $K_{skin}$ [cm/s] for closed FR	Simulated Skin Permeability log $K_{skin}$ [cm/s] for impaired FR	Simulated Skin Permeability log $K_{skin}$ [cm/s] for open FR
Sucrose	-8.84	-8.89	-6.23	-5.93
Aspartic acid	-7.43	-7.47	-6.06	-5.77
Lysine	-6.87	-6.92	-6.04	-5.76
Histidine	-7.82	-7.86	-6.10	-5.80
Urea	-7.39	-7.42	-5.94	-5.64
Methanol	-6.38	-6.41	-5.75	-5.50
Ethanol	-7.06	-7.09	-5.88	-5.60
Nicotinic acid	-8.18	-8.22	-6.06	-5.76
Barbital	-7.51	-7.56	-6.12	-5.82
Aldosterone	-7.86	-7.92	-6.23	-5.94
Codeine	-7.09	-7.15	-6.17	-5.89
Pentanol	-5.78	-5.84	-5.63	-5.49
Hexanol	-5.44	-5.54	-5.43	-5.35
Salicylic acid	-5.07	-5.27	-5.22	-5.18
Hydrocortisone methylsuc- cinate	-7.23	-7.29	-6.25	-5.97
Naproxen	-4.97	-5.25	-5.22	-5.19
Testosterone	-6.21	-6.28	-5.95	-5.76
Progesterone	-5.43	-5.59	-5.51	-5.45
Diclofenac	-5.30	-5.48	-5.42	-5.37
Hydrocortisone octanoate	-4.76	-5.21	-5.19	-5.18

simulated data was obtained for a set of actual chemicals with a range of values of molecular weight, protein binding properties and ionization characteristics for a water FR. The trend suggests thus that a water FR favours the permeation of hydrophilic compounds regardless of the other parameters, hence providing evidence that the trend observed in Fig. 3 is not altered by the uncertainty introduced by using Mitragotri's QSPR [21] to predict the permeability of the SC. This uncertainty check provides further evidence that the experimental *in vitro* results of Mohd *et al.* [6] might have been obtained with a FR filled with a watery substance.

A further check was carried out to compare the simulated skin permeability with experimental measurements of the SC permeability for the set of chemical compounds

Fig. 5 Percentage of contribution of the follicular route CFR against  $logD_{o/w}$  for sebum FR.



in Table IV. The results of the comparison are included in Table VI. The simulated permeability of the skin with closed FR is the closest to the experimental data among the three simulated series, with slightly smaller values due to adding the contribution of the viable epidermis and dermis. The skin permeability increases notably for the results with impaired FR (i.e., flux restricted to half that of the open hair follicle) and open FR, hence suggesting the important role of the FR to overall dermal permeation.

The results for a FR filled with sebum are included in Fig. 5. The simulated results with a sebum FR do not follow the trend of the experimental data. Instead, permeants with low log  $K_{a/w}$  present a lower value of CFR than those with the highest log  $K_{o/w}$ . This is particularly clear for the case of the bromine (log  $K_{o/w} = -0.36$ ), which presents a low  $K_{se/w}$ , hence reinforcing the hypothesis that the FR behaves as a hydrophilic shortcut in vitro and as a lipophilic shortcut in vivo. The simulations reveal that the FR plays an important role in overall permeation, with values of CFR above 20% in all instances. Given the notable contribution of the FR in overall dermal permeation, these results highlight the importance of careful characterisation of the FR and the permeant before experimental work to avoid discrepancies between conclusions from results obtained in vitro and results obtained in vivo.

## Conclusions

An *in silico* study has been carried out to analyse the role that the follicular route has on overall dermal permeability, as information in the literature remains inconclusive regarding whether the follicular route favours the permeation of hydrophilic or lipophilic compounds. The *in silico* methodology in this study allows the analysis of a wide range of potential dermal permeation scenarios by varying parameters that describe the follicular route and the permeants.

The simulated data shows that the contribution of the follicular route can range from negligible to notable if compared to the alternative epidermal route depending mainly on the appropriate combination of lipophilicity/ hydrophilicity of both the substance filling the follicular route and the compound being administered. Increased lipophilicity of the permeant results in greater contribution of the follicular route when filled with sebum as opposed to cases with a follicular route filled with water. The molecular weight of the permeant proves important, too, with the follicular route gaining relevance for permeants with increased molecular weight. The simulated trends obtained between log  $K_{o/w}$  and the contribution of the follicular route agree with experimental work *in vitro*,

suggesting that the experimental data were obtained with a follicular route filled with a watery substance. Conversely, dermal products are designed to be administered with a follicular route filled with sebum. The effect of switching to a sebum follicular route in the simulation has a large impact on the results, hence opening the way towards distorted conclusions from *in vitro* experiments.

As a result, uncontrolled modifications of the physicochemical properties of the follicular route between *in vivo* and *in vitro* experimental conditions due to sample manipulation and cessation of vital functions may give rise to distorted interpretation of dermal permeation tests. Thus, determination of the composition of the substance that fills the follicular route would be advisable prior to dermal permeation experimental work.

Funding No funding was received for this work.

**Data Availability** Data available in the following link: OSF | Follicular route dermal permeation Simulation.

#### Declarations

Conflicts of Interests The authors declare no conflicts of interest.

**Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

#### References

- Knorr F, Lademann J, Patzelt A, Sterry W, Blume-Peytavi U, Vogt A. Follicular transport route – Research progress and future perspectives. Eur J Pharm Biopharm. 2009;71:173–80.
- Otberg N, Patzelt A, Rasulev U, Hagemeister T, Linscheid M, Sinkgraven R, et al. The role of hair follicles in the percutaneous absorption of caffeine. Br J Clin Pharmacol. 2008;65:488–92.
- Teichmann A, Heuschkel S, Jacobi U, Presse G, Neubert RHH, Sterry W, Lademann J. Comparison of stratum corneum penetration and localization of a lipophilic model drug applied in an o/w microemulsion and an amphiphilic cream. Eur J Pharm Biopharm. 2007;67(3):699–706.
- Genina E a, Bashkatov AN, Sinichkin YP, Kochubey VI, Lakodina N a, Altshuler GB, et al. In vitro and in vivo study of dye diffusion into the human skin and hair follicles. J Biomed Opt. 2002; 7:471–7.
- Grams YY, Bouwstra JA. Penetration and distribution of three lipophilic probes in vitro in human skin focusing on the hair follicle. J Control Release. 2002;83:253–62.

- Mohd F, Todo H, Yoshimoto M, Yusuf E, Sugibayashi K. Contribution of the hair follicular pathway to total skin permeation of topically applied and exposed chemicals. Pharmaceutics. 2016;8:32.
- 7. Frum Y, Bonner MC, Eccleston GM, Meidan VM. The influence of drug partition coefficient on follicular penetration: in vitro human skin studies. Eur J Pharm Sci. 2007;30:280–7.
- Kattou P, Lian G, Glavin S, Sorrell I, Chen T. Development of a two-dimensional model for predicting transdermal permeation with the follicular pathway: demonstration with a caffeine study. Pharm Res. 2017;34:2036–48.
- Sebastia-Saez D, Benaouda F, Lim CH, Lian G, Jones SA, Cui L, Chen T. In-silico modelling of transdermal delivery of macromolecule drugs assisted by a skin stretching hypobaric device. Pharm Res. 2023;40(1):295–305.
- Teichmann A, Jacobi U, Ossadnik M, Richter H, Koch S, Sterry W, et al. Differential stripping: determination of the amount of topically applied substances penetrated into the hair follicles. J Invest Dermatol. 2005;125:264–9.
- 11. Schwartz JR, Shah R, Krigbaum H, Sacha J, Vogt A, Blume-Peytavi U. New insights on dandruff/seborrhoeic dermatitis: the role of the scalp follicular infundibulum in effective treatment strategies. Br J Dermatol. 2011;165:18–23.
- 12. Ossadnik M, Czaika V, Teichmann A, Sterry W, Tietz H-J, Lademann J, et al. Differential stripping: introduction of a method to show the penetration of topically applied antifungal substances into the hair follicles. Mycoses. 2007;50:457–62.
- Chandrasekaran NC, Sanchez WY, Mohammed YH, Grice JE, Roberts MS, Barnard RT. Permeation of topically applied Magnesium ions through human skin is facilitated by hair follicles. Magnes Res. 2016;29:35–42.
- Ogiso T, Shiraki T, Okajima K, Tanino T, Iwaki M, Wada T. Transfollicular drug delivery: penetration of drugs through human scalp skin and comparison of penetration between scalp and abdominal skins in vitro. J Drug Target. 2002;10:369–78.
- Essa EA, Bonner MC, Barry BW. Human skin sandwich for assessing shunt route penetration during passive and iontophoretic drug and liposome delivery. J Pharm Pharmacol. 2002;54:1481–90.
- Wang L, Chen L, Lian G, Han L. Determination of partition and binding properties of solutes to stratum corneum. Int J Pharm [Internet]. Elsevier B.V.; 2010; 398:114–22. Available from: https://doi.org/10.1016/j.ijpharm.2010.07.035.

- Dancik Y, Miller MA, Jaworska J, Kasting GB. Design and performance of a spreadsheet-based model for estimating bioavailability of chemicals from dermal exposure. Adv Drug Deliv Rev. Elsevier BV. 2013;65(2):221–36.
- Kretsos K, Miller MA, Zamora-Estrada G, Kasting GB. Partitioning, diffusivity and clearance of skin permeants in mammalian dermis. Int J Pharm. 2008;346(1–2):64–79.
- Chen L, Han L, Lian G. Recent advances in predicting skin permeability of hydrophilic solutes. Adv Drug Del Rev. 2013;65:295–305.
- 20. Yang S, Li L, Chen T, Han L, Lian G. Determining the effect of pH on the partitioning of neutral, cationic and anionic chemicals to artificial sebum: new physicochemical insight and QSPR model. Pharm Res. 2018; 35.
- 21. Mitragotri S. A theoretical analysis of permeation of small hydrophobic solutes across the stratum corneum based on scale particle theory. J Pharm Sci. 2002;91(3):744–52.
- Chen L, Han L, Saib O, Lian G. In silico prediction of percutaneous absorption and disposition kinetics of chemicals. Pharm Res. 2015;32(5):1779–93.
- Mitragotri S, Johnson ME, Blankschtein D, Langer R. An analysis of the size selectivity of solute partitioning, diffusion, and permeation across lipid bilayers. Biophys J. 1999;77:1268–83.
- 24. Ibrahim R, Nitsche JM, Kasting GB. Dermal clearance model for epidermal bioavailability calculations. J Pharm Sci. 2012;101(6):2094–108.
- Yang S, Li L, Lu M, Chen T, Han L, Lian G. Determination of Solute Diffusion Properties in Artificial Sebum. J Pharm Sci [Internet]. Elsevier Ltd; 2019; 108:3003–10. Available from: https://doi.org/10.1016/j.xphs.2019.04.027.
- Einstein A. On the movement of small particles suspended in stationary liquids required by the molecular-kinetic theory of heat. Ann Phys. 1905;322:549–60.
- Johnson ME, Blankschtein D, Langer R. Evaluation of solute permeation through the stratum corneum: lateral bilayer diffusion as the primary transport mechanism. J Pharm Sci. 1997;86:1162–72.
- Abraham MH, Martins F. Human skin permeation and partition: general linear free-energy relationship analyses. J Pharm Sci. 2004;93:1508–23.

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.