

Are Pain Intensity and Pain Related Fear Related to Functional Capacity Evaluation Performances of Patients with Chronic Low Back Pain?

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Abstract *Introduction:* Pain related fear and pain intensity have been identified as factors negatively influencing Functional Capacity Evaluation (FCE) performances in patients with CLBP. Conflicting results have been reported in the literature. The objective of this study was to analyze the relationships between pain intensity and pain-related fear on the one hand, and performances during an FCE on the other hand in two samples of patients with chronic low back pain (CLBP). *Methods:* Two cross sectional observation studies were performed with two samples of patients with CLBP (study 1: $n = 79$; study 2: $n = 58$). Pain related fears were operationally defined as the score on the Tampa Scale of Kinesiophobia in study 1, and the Fear Avoidance Beliefs Questionnaire (FABQ) in study 2. Pain intensity was measured with a Numeric Rating Scale in both studies. Avoidance behavior observed during FCE was in both studies operationally defined as the unwillingness to engage in high intensity performance levels of three different functional activities: high intensity lifting, prolonged standing in a forward bend position, and fast repetitive bending at the waist. *Results:* A total of 25 correlations between pain and pain related fear, and performance variables were calculated, out of which 7 were significant ($p < 0.05$). The strength of these significant correlations ranged from $r = -0.23$ to $r = -0.50$. Multivariate linear regression analyses revealed non-significant relationships in most instances. Pain and pain related fear contributed little if any to these models. *Conclusions:*

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The relation between pain and pain related fear and FCE performance is weak or non-existent in patients with CLBP.

Keywords Pain cognitions · Disability Assessment · Rehabilitation · Lifting · Tampa scale for Kinesiophobia · Fear Avoidance Beliefs Questionnaire

Introduction

Functional Capacity Evaluations (FCEs) are batteries of tests designed to assess a person's functional capacity related to work [1–3]. To establish functional capacity, the patient is asked to perform a number of activities. Combined, these performances reflect a patient's ability to perform work-related activities. A patient's performance is determined by biological, psychological and social factors [4]. Pain related fear, also referred to as fear avoidance beliefs or fear of movement and reinjury [5], and pain [6] have been identified as examples of psychological factors influencing a patient's performance during an FCE.

Pain related fear refers to a condition in which the patient has an excessive, irrational, and debilitating fear of physical movement and activity, resulting in feelings of vulnerability to painful injury or reinjury [7, 8]. People who experience pain-related fear will avoid activities they associate with increased risk for pain or (re)injury. As such, pain-related fear should have a negative effect on the results of performance testing [5, 9]. It has been stated that 'a valid assessment of functional capacity cannot be carried out without controlling for fear avoidance beliefs' [8]. Several studies were performed to study the relationship between pain-related fear and different types of avoidance behavior in patients with chronic non-specific low back pain (CLBP). The strength of this relationship varied between the studies from $r = 0.06$ to $r = 0.49$ [8–10, 11]. The relationship between pain related fear and performance appeared stronger in studies where patients were observed under strictly controlled conditions [8, 10], and weaker in studies where patients were observed in a less controlled environment [11]. In a previous study performed in an admission phase for a pain rehabilitation program, no relationship was found in a sample of patients with CLBP, between lifting performance and pain-related fear [12]. Although the evidence from other studies did not overwhelmingly support the strength of the relationship, our results, presented in the Journal of Occupational Rehabilitation in 2003, were in contrast with other studies. It could not be determined whether the results found were weaker because of the operational definitions of pain-related fear (score on Tampa Scale for Kinesiophobia (TSK)) or avoidance behavior (maximum lifting performance). It was recommended that future studies should use additional operational definitions for pain-related fear and avoidance behaviors.

In a recent review pain intensity has been identified to consistently associate negatively with patient performances during FCEs [6]. The strength of the significant associations between pain intensity and FCE performances in patients with chronic pain vary from $r = -0.25$ to $r = -0.56$ [6]. In the study mentioned above, the relation between pain intensity and lifting performance was (somewhat) weaker and non-significant ($r = -0.21$) [12].

Aim of this study was to further analyze the relationships between pain intensity and pain-related fear on the one hand, and avoidance behaviors on the other hand. Two studies were performed with separate samples of patients with CLBP. Pain related fears were operationally defined as the score on the TSK in study 1, and the Fear Avoidance Beliefs Questionnaire (FABQ) in study 2. Avoidance behavior was in both studies operationally defined as the unwillingness to engage in high intensity performance levels of three different FCE tests: high intensity lifting, prolonged standing in a forward bend position, and fast repetitive bending at the waist. Non-significant or weak relationships were considered falsifications of the hypotheses that pain and

pain-related fears would have clinically relevant impact on FCE performances in patients with CLBP.

Materials and methods

Patients

Two separate cohorts of patients who were referred to an outpatient rehabilitation program of the Center for Rehabilitation of the Groningen University Medical Center, The Netherlands, were included. Both study samples consisted of patients diagnosed with CLBP, aged between 18 and 60 years, with symptoms lasting longer than 3 months. Excluded were patients with comorbidity with negative consequences for functioning (i.e. severe depression needing a psychiatric referral), and patients with specific pathology related to the lumbar spine (i.e. disc herniations, tumors, spondylolisthesis grade 3 or 4, etc.). Patients were not selected based on (high or low) levels of pain or pain related fears. Selection process of both study samples were equal, with the exception that patients in study sample 1 explicitly agreed to participate in a larger study in addition to regular clinical care [13]. The patients of sample 2 received regular clinical care only.

Procedures

Prior to the medical intake patients filled out a TSK [7, 8], a numeric rating scale to assess pain intensity (NRS), a Roland Morris Disability Questionnaire [14] (RMDQ) to assess disability, and a study consent form. The patients underwent the FCE approximately 2 weeks after the medical intake. Prior to the FCE, all patients were asked whether their pain and functional status was different compared to their status during medical intake. In study 2, patients filled out the FABQ [15] prior to the FCE. For study 1, approval was granted by the medical ethics committee of the University Medical Center Groningen, The Netherlands. The data of the patients of study sample 2 were collected as part of regular clinical procedures, for which institutional approval was received.

Measures

Patients rated their current pain intensity on a NRS ranging from 0 to 10; 0 being no pain at all and 10 being the worst pain imaginable. Self reported disability was assessed by the RMDQ [14]. Scores on the RMDQ can range from 0 to 24; 0 indicating no disability and 24 indicating severe disability. The psychometric properties of the RMDQ are good [14, 16].

Pain related fear was assessed with a different questionnaire for each sample. In study 1, pain related fear, called fear of movement and reinjury, was assessed by the TSK [8]. The TSK consists of 17 items. Each item is provided with a 4-point Likert scale with scoring alternatives ranging from “strongly disagree” to “strongly agree.” Although the scale consists of 2 subscales, activity avoidance and somatic focus, a total score is usually presented. The total score ranges from 17 to 68. The reliability of the TSK is fair [8]. Criterion validity was established from correlations with other self-reported measures of fear (of bodily injury), anxiety, depression, and catastrophizing [8].

In study 2, pain related fear, called fear avoidance beliefs, was assessed by the FABQ [10, 15]. The FABQ consists of 16 items. Each item is provided with a 7-point Likert scale with scoring alternatives ranging from 0 to 6. The FABQ consists of 2 scales. The activity scale consists of 4 items (total score 0–24), the work scale consists of 6 items (total score 0–36). The remaining 4 items are not used. The reliability of the FABQ is good in patients with acute and subacute back pain [17], but has not been established in patients with CLBP.

Avoidance behavior was assessed during an FCE. The patients were asked to perform 14 different activities to their maximum abilities (3 types of lifting, carrying, pushing, pulling, overhead work, stooping, crouching, kneeling, standing, walking, sitting, stair climbing), according to the protocols of Isernhagen Work Systems [18]. Selection of activities was based on the Dictionary of Occupational Titles (DOT), thus assuming construct validity with regard to work [19]. Three activities tested during the FCE were selected for this study, because they might be potentially harmful for patients with CLBP [20]. These activities were lifting, static bending and dynamic bending. Lifting was assessed by means of a standardized lifting task consisting of lifting a receptacle with incremental weights from a table (74 cm) to the floor and vice versa. ‘Ergonomically correct’ body mechanics were not emphasized [21]. The patient’s maximum was reached in four to five increments. The maximal amount lifted five times within 90 seconds was recorded (kilograms). Test-retest reliability of the lifting task is good in patients with CLBP [22–24]. During lifting, heart rate was measured using a heart rate monitor. In study 2, the intensity level of lifting was observed by the evaluator, and recorded by means of a Borg CR-10 scale. The reliability and validity of this procedure is good [25]. These observations were not recorded in study 1. During the static bending capacity test the patient was asked to stand as long as possible with his / her trunk 30–60° flexed forward, while performing a simple manipulation task. The time this position was held was recorded (seconds). Test-retest reliability of this test in patients with CLBP is good [22]. For the dynamic bending test, the patient is asked to bend at the hips and back as fast as possible, pick up a small object from the floor, and to remove this object to a shelf at crown level (top of head). This was repeated 20 times. The number of repetitions completed and the time needed to complete this activity was recorded (seconds). Test retest reliability of this test in patients with CLBP is moderate to good [22]. The construct validity of the tests has been established [26, 27]. Before testing, patients were instructed regarding termination of the test. Testing was terminated when one of the following occurred: the patient stated verbally that he/she wished to terminate the activity, the heart rate reached 85% of the age-related maximum, indicated by a heart rate monitor, or the evaluator deemed further testing to be unsafe. No verbal reassurance was given during the testing procedures. The evaluator was blinded to the questionnaire scores.

Analysis

Descriptive statistics were used to describe the study samples. All variables of interest were analyzed at interval level. A student t-test was used to analyze differences between males and females. When differences were significant, further analyses were performed separately for males and females. Pearson product moment correlations were calculated to express linear associations between pain intensity and measures of pain related fear on the one hand and performance variables on the other hand. Correlations were interpreted as follows: 0.25 or less little if any relationship, 0.26–0.49 poor relationship, 0.50–0.69 moderate relationship, 0.70–0.89 strong relationship, 0.90–1.00 very strong relationship [28]. Pain intensity and pain related fear, as well as gender, age and self-reported disability were entered as predictor variables in a multivariate linear regression model (method: enter) and performances as outcome variables. A p-value of < 0.05 was considered statistically significant for all analyses.

Results

The characteristics of the patients participating in study 1 and 2 are presented in Table 1. All patients declared that their pain and functional status had not changed between medical intake

Table 1 Age, pain intensity, self-reported disability, pain related fear (TSK or FABQ), and performance variables of 2 samples of patients with chronic low back pain

	Study 1 (<i>n</i> = 79)		Study 2 (<i>n</i> = 58)	
	Males (<i>n</i> = 49)	Females (<i>n</i> = 30)	Males (<i>n</i> = 39)	Females (<i>n</i> = 19)
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)
Age (years)	37.8 (9.0)	37.8 (8.8)	40.4(8.6)	35.6 (8.3)
Pain intensity (NRS; 0–10)	4.7 (2.3)	5.0 (1.6)	4.5 (2.4)	4.9 (2.2)
Self-reported disability (RMDQ; 0–24)	12.5 (4.4)	12.4 (4.7)	11.6 (4.4)	11.2 (5.9)
TSK (17–68)	37.5 (5.3)	36.0 (5.6)	N/A	N/A
FABQ activity scale (0–24)	N/A	N/A	13.4 (4.1)	13.2 (5.6)
FABQ work scale (0–36)	N/A	N/A	19.3 (9.5)	15.1 (11.6)
Lifting performance (kg)*	31.7 (14.7)	18.9 (8.1)	32.3 (3.8)	20.5 (6.3)
Lifting maximum heart rate (BPM)	126.7 (15.8)	129.7 (23.5)	128.2 (14.5)	128.8 (19.3)
Static forward bend (sec)	187.4 (148.9)	230.6 (146.3)	188.0 (115.8)	237.3 (154.8)
Dynamic forward bend (sec/rep)	2.8 (0.7)	3.2 (1.3)	3.2 (1.6)	2.9 (0.8)

SD: standard deviation; NRS: Numeric Rating Scale; RMDQ: Roland Morris Disability Questionnaire; TSK: Tampa Scale for Kinesiophobia; FABQ: Fear Avoidance Beliefs Questionnaire; BPM: beats per minute; N/A: not assessed. *: Difference between males and females significant in both studies ($p < 0.05$).

Note. Differences between the study samples were non-significant ($p < 0.05$).

and the FCE. Scores on the TSK subscale in study 1 are: activity avoidance males mean 19.1 (SD 3.8), females mean 18.9 (3.3); somatic focus males mean 9.7 (2.5), females mean 9.2 (2.6). The 20 repetitions of the dynamic bending test were not completed by 10 (of 79, 13%) patients in study 1 and by 6 (of 58, 10%) patients in study 2. To correct for differences in number of repetitions, the time needed to complete one repetition was calculated and presented (time/number of repetitions). The observed level of intensity of the maximal lifting performances in study 2 were for males mean 7.2 (SD 2.2) and for females 7.4 (SD 1.6) (Borg CR-10 scale). Observational data was unavailable in study 1. Within the study samples, differences between males and females were non-significant, with the exception of the maximum lifting performance. Between the study samples, none of the differences were significant.

A total of 25 correlations between pain intensity, TSK (study 1) and FABQ (study 2) and performance variables are presented in Table 2. The strengths of the correlations were moderate in one occasion and otherwise weak/poor or non-significant. Pain intensity explained 25% of the variance of the performances of male lifting performance in study 2 ($r = -0.50$). In all other analyses over both studies, the explained variance between pain intensity and performance variables was 8% ($r = -0.29$) or less. The FABQ work scale explained at best 13% of the variance of the performances (male lifting performance in study 2; $r = -0.37$). The correlation between either TSK subscales with any of the performance variables was non-significant. No differences were observed between patients who did or did not complete 20 repetitions of the dynamic bending test concerning their relationship with the TSK or the FABQ (all non-significant). The correlation between observed intensity of lifting and FABQ work scale was $r = -0.27$ (significant). The correlation between observed lifting intensity and FABQ activity scale was $r = -0.07$ (not significant).

Analyses were performed on the subgroups of patients scoring in the highest quartile of the pain intensity spectrum (study 1, $NRS \geq 6$, $n = 22$; study 2, $NRS \geq 7$, $n = 17$), the TSK (score ≥ 40 , $n = 22$), and the FABQ (activity scale ≥ 17 , work scale ≥ 25 , $n = 16$).

Table 2 Relationships between performance variables and pain intensity, the Tampa Scale for Kinesiophobia (TSK, study 1; $n = 79$) and the Fear Avoidance Beliefs Questionnaire (FABQ, study 2; $n = 58$) in patients with chronic low back pain. All relationships are expressed in Pearson's r (95% CI)

	Study 1		Study 2	
	Pain	TSK	Pain	FABQ work scale
Lifting performance males (kg)	-0.26 (-0.57 to 0.11)	-0.12 (-0.46 to 0.25)	-0.50* (-0.71 to -0.22)	-0.13 (-0.43 to 0.19)
Lifting performance females (kg)	-0.06 (-0.34 to 0.23)	-0.17 (-0.43 to 0.12)	0.09 (-0.52 to 0.38)	-0.07 (-0.51 to 0.40)
Lifting maximum heart rate (BPM)	0.05 (-0.17 to 0.27)	-0.10 (-0.31 to 0.12)	-0.27* (-0.49 to -0.01)	-0.24 (-0.47 to 0.02)
Static forward bend (sec)	0.18 (-0.04 to 0.39)	-0.23* (-0.43 to -0.01)	-0.29* (-0.51 to -0.03)	-0.33* (-0.54 to -0.08)
Dynamic forward bend (sec/rep)	-0.10 (-0.31 to 0.12)	0.14 (-0.08 to 0.35)	0.03 (-0.23 to 0.29)	0.07 (-0.19 to 0.32)

Note. TSK: Tampa Scale for Kinesiophobia; FABQ: Fear Avoidance Beliefs Questionnaire; BPM: beats per minute; 95% CI: 95% confidence Interval.

* $p < 0.05$.

Table 3 Multivariate linear regression models predicting variance in performance in patients with chronic low back pain

	β (95% CI)	Beta	p	r^2 change	Model r^2
Study 1 ($n = 79$)					
<i>Dependent variable: lifting</i>					
• Gender (female = 0, male = 1)	14.45 (7.36 to 21.54)	0.48	< 0.001	0.23	0.28
• Constant	17.01 (− 9.60 to 43.62)	–	0.205	–	
<i>Dependent variable: static forward bend</i>					
• Model $r^2 = 0.11$; None of the independent variables contributed significantly to the regression equation					
<i>Dependent variable: dynamic forward bend</i>					
• Model $r^2 = 0.12$; None of the independent variables contributed significantly to the regression equation					
Study 2 ($n = 58$)					
<i>Dependent variable: lifting</i>					
• Gender (female = 0, male = 1)	14.94 (6.15 to 23.73)	0.48	0.001	0.23	0.37
• Pain intensity	− 1.83 (− 3.65 to − 0.01)	− 0.29	0.049	0.08	
• Constant (kg)	45.70 (25.67 to 65.73)	–	< 0.001	–	
<i>Dependent variable: static forward bend</i>					
• Constant (sec)	549.15 (336.09 to 762.21)	–	< 0.001	–	0.27
• None of the other independent variables contributed significantly to the regression equation					
<i>Dependent variable: dynamic forward bend</i>					
• Model $r^2 = 0.18$; None of the independent variables contributed significantly to the regression equation					

greater than 1, and none of the VIF-values were greater than 10. Specifically, the relationship between pain intensity and measures of pain related fear were analyzed. In study 1, the strength of the relation between pain and the TSK was $r = -0.04$ (ns). In study 2, the strength of the relation between pain intensity and FABQ activity scale was $r = 0.07$ (ns), and between pain intensity and FABQ work scale was $r = 0.20$ (ns).

Discussion

This study has demonstrated that the associations between pain intensity and pain related fears on the one hand and FCE performances on the other hand were generally weak or non-significant. Correlations between pain intensity and pain related fears and performances were significant in only 7 out of 25 analyses (Table 2). The strength of these significant relationships ranged from $r = -0.23$ to $r = -0.50$, explaining 5 to 25% of the variance (r^2). Multivariate regression analyses were non-significant in 3 out of 6 instances. When significant, pain intensity or pain related fear contributed little if any to these models. Relationships are consistent throughout

the intensity spectra (upper quarter analyses and Fig. 1). Thus, overseeing all the results of this study, it appears that the relationships between pain and pain related fear and performances in an FCE are generally weak or non-existent. Although we realize that interpretations of these results are open for discussion, we interpret the magnitude of the relationships between pain and pain related fears on the one hand and performances in an FCE on the other hand of limited clinical relevance. In comparison to our previous study [12], we have now used two new cohorts, two questionnaires instead of one to measure a wider range of pain related fears, and three performance tests instead of one to measure a wider range of avoidance behaviors. Additionally, we have added heart rate and observations as indices for effort level in lifting. Both pain intensity and pain related fear were poorly associated with indices for effort, explaining 7% or less of the variance. The results of the 2 new studies presented here are generally consistent with our previous study, which adds to the robustness of the results.

There may be several explanations for the weakness or non-existence of the associations between pain intensity and pain related fears on the one hand, and avoidance behaviors on the other hand. Pain intensity and pain related fears might have been inappropriately operationally defined by the NRS, the TSK and the FABQ, avoidance behavior might have been inappropriately operationally defined by the three performance measures, our study samples differ from samples reported elsewhere, or the variables were appropriately operationally defined, but the relationship was mediated by one or more currently unknown variables. Additionally, a combination of the above may explain our findings.

The NRS, TSK and the FABQ may be considered among the standards to measure pain intensity and pain related fears. The reliability and the validity of the measures for avoidance behavior and pain related fears have been established. Both the performance measure ‘heavy lifting’ and a postural tolerance test have been used previously in different studies as measures for avoidance behavior [8, 9, 12]. The activities selected are potentially harmful for patients with CLBP [20]. Thus, it seems unlikely that the operational definitions were inappropriate for the constructs measured. Both our study samples are similar to samples reported in other studies reporting on patients with CLBP concerning age, pain intensity, self-reported disability, and amount of fear avoidance beliefs [8, 10]. This similarity may rule out the plausibility the results of our study are caused by the selection of study samples. While the TSK and the FABQ may be considered among the consented standards to measure pain related fears, it may be possible that the domain measured might be too large and general to be of value in predicting specific behaviors such as the ones used in this study. This in itself could explain the findings of this study and the lack of consistent findings in previous research. However, if the measures are considered valid representations of the constructs pain intensity, pain related fear, and avoidance behavior, then a different explanation should be considered for our findings.

Other variables may serve as a mediator between pain variables and avoidance behavior. As suggested in recent pain literature, two variables should be considered as mediators or confounders: motivation [29] and acceptance [30]. Within the motivational models [29, 31, 32], it is suggested that the value of the goal and the patient’s belief in his ability (self-efficacy) to attain this goal is predictive for his behavior. When applied to the results of this study, patients were apparently willing to perform the tests regardless of their pain related fears. They were willing to perform, because they may have been convinced that this would have helped them to reach a valued goal (for example return to work). Contrarily, patients without pain related fears may perform poorly on the tests, because they do not see it to be of any value. Alternatively, a poor performance could be explained if the patient’s goal would be to use the test results for financial gain (disability allowance) [33]. In that case, pain related fears would be of little importance to determine a patient’s behavior. Within the acceptance model [30], it is suggested that patients may be able to accept their pain or fears as an inevitable part of their lives. Patients

would report pain or have cognitions such as pain related fears, but are able to function normally with their pain or fears. Some empirical evidence is found to support the applicability of this model within chronic pain [34, 35]. If a mediating effect or confounding of one or more different variables is present, then poor or non-existent associations between pain intensity, pain related fears and test performance are very well imaginable. Future studies should be conducted to find empirical evidence for the proposition that constructs as motivation and acceptance are more important predictors for functional capacity than pain or pain related fears. Additionally, other currently unknown variables may have played a mediating role in the relationship between pain variables and avoidance behaviors.

Both self-report and performance measures assess effort-related performance [36]. As such, they cannot be defined independently of the person's behavior. Pain-related disability is a matter of human performance, whether it is observed/measured or reported [36] and this may also apply to patient's behavior in an admission phase of a rehabilitation program. In this stage patients need not only reveal their cognitions and perception of disability, but at the same time present an image to the practitioner [37], to justify the need for treatment [38, 39] and perhaps to justify the fact that they are off work due to CLBP. The scores on the questionnaires may thus be an overrating of the 'real' pain or pain related fears. An FCE is a measure of demonstrated ability. Pain behaviors may prevent the patients to perform to their maximal physical capacity. The ratings of effort level indicate a sub maximal performance, suggesting that this behavior has occurred in study 2 (and probably also in study 1, but data were unavailable). Without these pain behaviors, the FCE results might have been higher. On average, the performance-based scores presented in this study may be considered an underrating of the physical abilities of the patients. Consequently, clinical interpretation of the outcomes of effort related assessments should be made with care. We propose that the scores on the questionnaires are interpreted as 'the patient reports that ... (he is fearful), instead of 'the patient is/feels/perceives ... (fearful/fear). For the FCE, we propose that the scores are interpreted as the patient's performance, instead of the patient's capacity (FPE: Functional Performance Evaluation). The performance of a patient depends on his capacity and his willingness to produce [25]. The current study and our previous study show that report of pain and pain related fears explain little of the patient's performances during FCE. Statements that 'a valid assessment of functional capacity cannot be carried out without controlling for fear avoidance beliefs [8] and 'pain related fear is more disabling than pain itself' [10] are not supported by the results of this study. The results of this study may not be generalizable to other FCEs or other groups of patients. From the results of the current study it should be concluded that future research aimed at unrevealing 'determinants of performance' in chronic pain should not be restricted to pain and pain related fears only, but include other avenues such as self efficacy [40, 41], motivation and acceptance as well.

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