ORIGINAL RESEARCH



Peripheral perfusion index as a predictor of failed weaning from mechanical ventilation

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Received: 22 September 2019 / Accepted: 2 February 2020 / Published online: 8 February 2020 © Springer Nature B.V. 2020

Abstract

We hypothesized that impairment of peripheral perfusion index (PPI) during spontaneous breathing trial (SBT) might be predictive of weaning failure. We included 44 consecutive, adult, patients, who were scheduled for weaning after at least 48 h of invasive mechanical ventilation in this prospective observational study. Weaning failure was defined as failed SBT or reintubation within 48 h of extubation. PPI readings were obtained before initiation of the SBT, and every 5 min till the end of the SBT. PPI ratio was calculated at every time point as: PPI value/ baseline PPI. The primary outcome was the accuracy of PPI ratio at the end of the SBT in detecting failed weaning. Forty-three patients were available for the final analysis. Eighteen patients (42%) were considered failed weaning. PPI ratio at the end of SBT was higher in patients with successful weaning compared to patients with failed weaning. PPI ratio at the end of SBT had good predictive ability for weaning failure {area under receiver operating characteristic curve (95% confidence interval): 0.833(0.688–0.929), cutoff value ≤ 1.41 }. The change in PPI during SBT is an independent predictor for re-intubation. PPI could be a useful tool for monitoring the patients. Failure of augmenting the PPI by 41% at the end of SBT could predict re-intubation with negative predictive value of 95%. Clinical trial identifier: NCT03974568. https://clinicaltrials.gov/ct2/show/NCT03 974568?term=ahmed+hasanin&draw=3&rank=17

Keywords Peripheral perfusion index · Weaning · Mechanical ventilation · Critically ill

1 Background

Weaning difficulty is experienced in nearly 30% of critically ill patients [1, 2]. The decision to extubate mechanically ventilated critically ill patients should aim to avoid both the risk

The study was conducted in Cairo University hospitals

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Dalia Saad dalia_saad@kasralainy.edu.eg of premature liberation from mechanical ventilation which is associated with poor outcome [2]; and the risk of delayed extubation which increases the complications of prolonged mechanical ventilation [1].

Despite the various weaning indices which are recommended in the current guidelines [3], the ideal index for prediction of weaning success is not present yet [1, 4].

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The most acceptable parameter for evaluation of eligibility for weaning is performing a spontaneous breathing trial (SBT). Spontaneous breathing trial is usually associated with increased oxygen demands [5] which is sometimes not matched with equivalent increase in oxygen delivery [5]. Diversion of blood flow towards respiratory muscles during the SBT impairs oxygen delivery to other tissues [6, 7]. Impairment of various indices for peripheral tissue perfusion was found to be associated with higher risk of failed extubation [8, 9]. Hence, monitoring of perfusion indices was hypothesized to be a useful marker for early detection of failed weaning [8, 9]; however, the previously used indices are relatively invasive.

Peripheral perfusion index (PPI) is a simple non-invasive measure that reflects the ratio between pulsatile and nonpulsatile portions of peripheral circulation [10]. We hypothesized that impairment of PPI during SBT might be predictive of weaning failure. The aim of this work was to evaluate the possible association between PPI and success of SBT.

2 Methods

This prospective observational study was carried out in the surgical intensive care unit (ICU), trauma and emergency hospital, Cairo University Hospital, from June 2019 to September 2019 after being approved by the research ethics committee. The study was registered at clinicaltrials. gov registry system (Identifier: NCT03974568). Informed consent was obtained from patients' next-of-kin prior to the enrollment in the study. We consecutively included adult patients who were mechanically ventilated for more than 48 h.

Patients aged below 18 years, patients with peripheral vascular disease, patients on vasopressors, and those with hand injuries that preclude the application of the device probe were excluded.

2.1 Assessment of readiness to wean

The decision of readiness to wean from mechanical ventilation was the responsibility of the attending intensivist according to the ICU protocol which included: (a) resolution of acute phase of disease for which patient was intubated; (b) adequate cough and absence of excessive tracheobronchial secretions; (c) adequate oxygenation: PaO₂ more than 60 mmHg with PEEP of 8 cmH₂O or less cmH₂O, and FIO₂ \leq 0.5; (d) respiratory rate (RR) of 30 breath/minute or less, *p*H, and PaCO₂ appropriate for patients' baseline respiratory status; e) stable cardiovascular status (i.e., heart rate (HR) less than120 beats/min; systolic blood pressure (SBP) of 90–160 mmHg; and no or minimal vasopressor use, i.e., dopamine or dobutamine less than 5 μ g kg⁻¹ min⁻¹ or noradrenaline less than 0.05 μ g kg⁻¹ min⁻¹ [1, 3].

2.2 Spontaneous breathing trial (SBT)

SBT was applied for 30 minutes through pressure support (PS) mode with PS of 5 cmH_2O and positive end expiratory pressure (PEEP) of 5 cmH_2O ; then, weaning parameters were re-assessed. The attending intensivist who was blinded to the PPI measurement was responsible for the decision of extubation.

Failed SBT or reintubation (or use of non-invasive ventilation) within 48 h of extubation were considered weaning failure as defined by the guidelines of international conference on weaning from mechanical ventilation [1, 2]. Failed SBT was considered when one or more of the following criteria were present: RR > 35 breath per minute, SpO_2 less than 90% or PaO_2 less than 60 mmHg on fraction of inspired oxygen (FIO₂) of 0.4, HR more than 140 beat per minute or sustained increase/decrease of heart rate 20% from the baseline reading, SBP > 180 mmHg or <90 mmHg, obvious increase in accessory respiratory muscle activity, and facial signs of respiratory distress.

Radical-7 device pulse co-oximeter (Masimo corporation, Irvine, CA) was used for PPI monitoring via a pulse oximeter probe applied to the third or fourth digit of left hand. The probe was covered with an opaque shield to avoid any artifact caused by external light sources. PPI readings were obtained as a baseline reading before initiation of the SBT, then every 5 min till the end of the SBT. We calculated PPI ratio to evaluate the change in PPI during SBT. PPI was observed for 30 s and the average of the highest and lowest values was recorded. PPI ratio was calculated at every time point as: PPI value/ baseline PPI.

2.3 Outcomes

2.3.1 Primary outcome

The accuracy of PPI ratio at the end of the SBT in detecting failed weaning.

2.3.2 Secondary outcomes

PPI: measured every 5 min during the SBT.

PPI ratio every 5 min during the SBT.

Hemodynamic data: HR, SBP, and central venous pressure. Data were recorded at the baseline (before SBT) and every 5 min till the end of SBT.

Respiratory rate was recorded at the baseline (before SBT), and by the end of the SBT. Respiratory rate ratio was calculated as: respiratory rate by the end of the SBT / respiratory rate at the baseline.

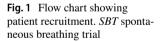
Rapid shallow breathing index (RSBI) was recorded at the baseline (before SBT), and by the end of the SBT. RSBI was calculated by dividing respiratory rate / tidal volume. RSBI ratio was calculated as: RSBI by the end of the SBT / RSBI at the baseline.

Other data included severity scores, PaO_2/F_1O_2 (P/F ratio), *p*H, PaCO₂, serum HCO₃, serum lactate, fluid balance, urine output, and days of mechanical ventilation.

2.4 Statistical analysis and sample size calculation

We calculated our sample size using MedCalc version 12.1.4.0 (MedCalc Software bvba, Mariakerke, Belgium) to detect area under receiver operating characteristic (AUROC) curve of at least 0.75 for PPI to predict weaning failure and the null hypothesis of AUROC was 0.5. The minimum number which was needed to have a study power of 80% and alpha error of 0.05 was 42 patients with at least 14 positive (weaning failure) cases.

Data were presented as mean \pm SD or median and interquartile range for continuous variables and as absolute or relative frequencies for categorical variables. Unpaired Student's *t* test or Mann–Whitney *U* test were used to compare continuous variables, and chi-squared test, or Fisher's exact test were used to compare categorical variables. For comparison of successful weaning group, failed SBT group and reintubation group: normally distributed data were analyzed using one-way analysis of variance (ANOVA) with post-hoc pairwise comparisons using the Tukey test; skewed data were analyzed using Kruskal–Wallis test (oneway ANOVA for ranks). Repeated measures were analyzed using ANOVA for repeated measures with Bonferroni correction. Multivariate regression model was created including



variables with *P* values of less than 0.2 in the univariate analysis. Variables with *P* values ≤ 0.05 in the multivariate analysis were considered statistically significant. Receiver operating characteristic curves were constructed and the area under the curve was calculated. MedCalc version 12.1.4.0 (MedCalc Software bvba, Mariakerke, Belgium) generated values with the highest sensitivity and specificity (Youden index). The level of significance was set at P < 0.05.

3 Results

Forty-eight patients were available for screening. Four patients were excluded for being tracheostomized and 1 patient was excluded due to poor PPI signal. Forty-three patients were available for final analysis (25 patients with successful weaning and 18 patients with failed weaning). Seven patients of the failed weaning group had a failed SBT; whereas, 11 patients were successfully extubated and were then re-intubated within 48 h (Fig. 1).

Patients with successful weaning had lower age compared to patients with failed weaning (40 ± 17 years versus 57 ± 13 years, P=0.001). Other demographic data and baseline characteristics were comparable between the successful weaning group and failed weaning group. Most of the respiratory parameters (*p*H, PaCO₂, HCO₃, and P/F ratio) and hemodynamic variables (central venous pressure, SBP and HR) were comparable between the successful weaning and the failed weaning groups except for the RR and the RSBI which were lower in patients with successful weaning compared to patients with failed weaning (Tables 1,2).

PPI was comparable between the successful weaning group and the failed weaning group at the all readings.

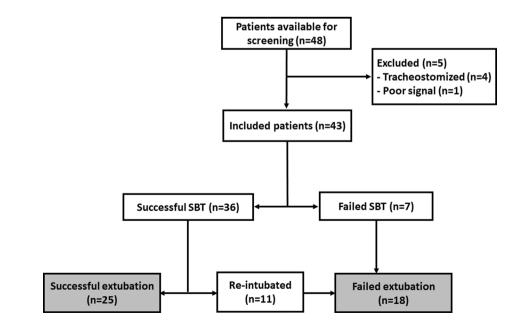


Table 1Demographic data and
baseline characteristics

	Successful weaning (n=25)	Failed weaning (n = 18)	P value
Age (years)	40 ± 17	57±13	0.001
Weight (kg)	78 ± 7	79 ± 8	0.67
Male gender (%)	12 (46%)	13 (68%)	0.26
APACHE score	20.6 ± 8	20.9 ± 5	0.89
Number of previous trials (%)			
0	20 (80)	14 (78)	0.482
1	5 (20)	3 (19)	
3	0 (0)	1 (2)	
GCS (%)			1
13	1 (4)	1 (6)	
15	24 (96)	17 (94)	
ARDS (%)	2 (8)	0 (0)	0.502
COPD (%)	1 (4)	3 (17)	0.293
Renal impairment (%)	12 (48)	8 (44)	1
Septic shock (%)	14 (56)	9 (50)	0.763
Post laparotomy (%)	14 (56)	10 (56)	1
Blood hemoglobin (g/dL)	11.2 ± 2.3	10.3 ± 2.2	0.23
Serum albumin (g/dL)	2.2 ± 0.5	2.1 ± 0.5	0.64
Duration of MV (days)	2.9 ± 1.9	2.5 ± 1.1	0.46
NE infusion rate (mcg/kg/min)	0 (0-0.12)	0 (0–0.18)	0.935
Fluid input in pre-SBT day (mL)	2590 ± 983	3232 ± 1107	0.052
Urine output in pre-SBT day (mL)	2530 ± 1394	2977 ± 926	0.24
Net fluid balance in pre-SBT day (mL)	114 ± 1045	229 ± 815	0.67

Data are presented as mean \pm SD, median (quartiles), and frequency (%)

APACHE acute physiology and chronic health evaluation, MV mechanical ventilation, NE norepinephrine, SBT spontaneous breathing trial

Table 2Blood gases,respiratory mechanics, andhemodynamic variables beforeinitiation of spontaneousbreathing trial

	Successful weaning (n=25)	Failed weaning $(n = 18)$	P value
P/F ratio	345 ± 106	280 ± 107	0.054
PaCO ₂ (mmHg)	30.2 ± 6	31.8 ± 5	0.37
pН	7.44 ± 0.1	7.46 ± 0.07	0.4
HCO3 (mmol/L)	20.7 (19-26.2)	23.5 (20.1–27.2)	0.17
Lactate (mmol/L)	1.35 (0.93–1.7)	1.4 (1.1–1.8)	0.4
CVP (cmH ₂ O)	7.8 ± 3.6	8.9 ± 3.4	0.36
SBP (mmHg)	128 ± 16	128 ± 19	0.936
Heart rate (bpm)	97 ± 18	98 ± 15	0.857
Compliance $(mL \cdot H_2O^{-1})$	87 ± 26	72 ± 26	0.1

Data are presented as mean standard deviation, and median (quartiles)

CVP central venous pressure, P/F ratio: PO₂/fraction of inspired oxygen, *RSB1* rapid shallow breathing index, *SBP* systolic blood pressure, *SBT* spontaneous breathing trial

However, the PPI ratio was higher in patients with successful weaning compared to patients with failed weaning at 15 min from the SBT initiation and continued to be higher in patients with successful weaning till the end of the SBT (Fig. 2). The successful weaning group had lower baseline RR and RSBI compared to the failed SBT group, whereas, both variables were comparable between successful weaning group and re-intubation group. The PPI ratio after 15 min was lower in the failed SBT group compared to the successful

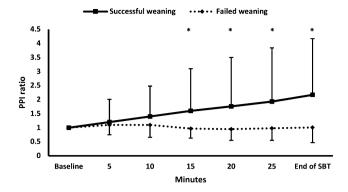


Fig. 2 Time course of PPI during the SBT. Markers are means, error bars are standard deviations. All values are presented as proportions from the baseline reading. *PPI* peripheral perfusion index. *SBT* spontaneous breathing trial. *denotes statistical significance between both groups

weaning group (*P* value: 0.004). The PPI ratio after 30 min was lower in each of failed SBT group and re-intubation group compared to the successful weaning group. (*P* values: 0.001 and 0.049, respectively). (Table 3).

Multivariate analysis revealed that higher age and lower PPI ratio after 30 min were the only independent risk factor for reintubation (odds ratio [95% CI]: 1.2 [1–1.5] and 0.02 [< 0.001-0.8] respectively).

AUROC curve for predicting weaning failure was highest for PPI ratio after 30 min {AUROC (95% CI): 0.83(0.69-0.93), cutoff value ≤ 1.41 }, followed by baseline respiratory rate {AUROC (95% CI): 0.80(0.65-0.91), cutoff value > 23}, and baseline RSBI {AUROC (95% CI): 0.76(0.61-0.88), cutoff value > 39.5} (Table 4).

AUROC curve for predicting re-intubation was highest for PPI ratio after 30 min {AUROC (95% CI): 0.78 (0.61–0.9), cutoff value \leq 1.41}, followed by respiratory rate post SBT {AUROC (95% CI): 0.75 (0.58–0.88), cutoff value > 22}, and baseline respiratory rate {AUROC (95% CI): 0.73 (0.56–0.87), cutoff value > 19}. AUROC (95% CI) of RSBI at baseline and post SBT for predicting re-intubation were 0.71 (0.53–0.85), cutoff value > 39.5 and 0.71 (0.54–0.85), cutoff value > 42.5, respectively (Table 5).

4 Discussion

In our study, we evaluated the change in PPI during SBT. We reported an association between the change in PPI during SBT and the weaning outcome. Patients with successful

	Successful wean- ing $(n=25)$	Failed SBT $(n=7)$	Reintubation (n=11)	P value	
SBP (mmHg)					
Before SBT	129 ± 16	134 ± 24 124 ± 15		0.596	
By the end of SBT	127 ± 18	119 ± 34	124 ± 13	0.647	
Heart rate (beat per min	ute)				
Before SBT	97 ± 18	110 ± 30	96 ± 14	0.311	
By the end of SBT	98 ± 16	106 ± 27	98 ± 14	0.576	
Respiratory rate/min					
Before SBT	11 (16, 23)	28 (25, 34)*	24 (20, 27)	0.002	
By the end of SBT	19 (15, 24)	30 (24, 34)*	25 (23,26)	0.003	
RSBI					
Before SBT	41 (27, 68)	83 (49,100)*	67 (44, 74)	0.011	
By the end of SBT	36 (26, 55)	85 (29, 99)*	58 (40,71)	0.007	
PPI					
Before SBT	2.4 (0.74, 3.5)	6.7 (1.1, 13)	1.3 (0.74, 7.1)	0.581	
At 15 min	3 (0.9, 5)	3 (0.3, 8.8)	2.3 (0.7, 8.2)	0.986	
By the end of SBT	3.5 (1.6, 6.1)	3.8 (1.4, 7.7)	2.1 (0.67, 7.4)	0.674	
PPI ratio					
At 15 min	1.1 (0.99, 1.7)	0.7 (0.65, 0.8)* 1 (0.8,1.3)		0.009	
At the end of SBT	1.8 (1.3, 2.3)	$0.7 (0.5, 1)^*$	1 (0.9, 1.4)*	< 0.001	
Respiratory rate ratio	1 (0.9, 1.1)	1 (0.9,1.1)	9,1.1) 1.1 (1, 1.2)		
RSBI ratio	1.1 (0.99, 1.2)	1 (0.95, 1.1)	1 (0.98, 1.4)	0.346	

Data are presented as mean ± standard deviation and median (quartiles)

PPI peripheral perfusion index, *RSBI* rapid shallow breathing index, *SBT* spontaneous breathing trial. ^{*}Denotes significance in relation to the successful weaning group

Table 3PPI, respiratoryvariables and hemodynamicvariables before and after SBT

	AUROC (95% CI)	Sensitivity (%)	Specificity (%)	PPV (%)	NPV (%)	Cutoff value
Respiratory rate (baseline)	0.80 (0.65–0.91)*	65	84	73	78	>23
RSBI (baseline)	$0.76 \left(0.61 {-} 0.88\right)^{*}$	88	56	58	88	> 39.5
PPI (baseline)	0.57 (0.41-0.72)	33	100	100	67	> 6.1
PPI ratio (15 min)	0.71 (0.55–0.84)*	56	84	71	72	≤ 0.88
PPI ratio (30 min)	$0.83 \left(0.69 - 0.93\right)^{*}$	94	72	71	95	≤1.41

Table 4 Receiver operating characteristic properties for prediction of weaning failure

^{*}Denotes statistical significance (P value < 0.05). AUROC Area under receiver operating characteristic curve, NPV negative predictive value, PPI peripheral perfusion index, PPV positive predictive value. RSBI rapid shallow breathing index

Table 5 Receiver operatingcharacteristic properties forprediction of re-intubation

	AUROC (95% CI)	Sensi- tivity (%)	Speci- ficity (%)	PPV (%)	NPV (%)	Cutoff value
Respiratory rate (baseline)	0.73 (0.56–0.87)*	82	60	47	88	>19
Respiratory rate (30 min)	0.75 (0.58-0.88)*	82	72	56	90	>22
Respiratory rate ratio	0.64 (0.46-0.79)	91	48	44	92	> 0.95
RSBI (baseline)	0.71 (0.53-0.85)*	82	56	45	88	> 39.5
RSBI (30 min)	0.71 (0.54–0.85)	82	60	47	88	>42.5
RSBI ratio	0.52 (0.35-0.69)	64	68	47	81	>1.06
PPI (baseline)	0.54 (0.37-0.71)	36	92	67	74	>4.4
PPI ratio (15 min)	0.63 (0.45-0.78)	82	44	39	84	≤1.34
PPI ratio (30 min)	0.78 (0.61-0.9)*	91	72	59	95	≤1.41

^{*}Denotes statistical significance (P value < 0.05). *AUROC* Area under receiver operating characteristic curve, *CI* confidence interval, *NPV* negative predictive value, *PPI* peripheral perfusion index, *PPV* positive predictive value. *RSBI* rapid shallow breathing index. ^{*}denotes statistical significance

weaning augmented the PPI by >41% during SBT; whilst, patients with failed weaning were unable to augment the PPI during SBT to the same extent. Thus, serial measurement of PPI could predict weaning failure.

Switching the patient from assisted to spontaneous ventilation increases preload, afterload, and catecholamine release [11]; thus, it is usually associated with increased cardiac output [12] which would consequently increase the PPI. PPI reflects the adequacy of blood flow in the peripheral circulation. PPI decreases in hypovolemia [13]; thus, it is considered an indirect index for the adequacy of cardiac output. PPI was recently used as a surrogate of the cardiac output during resuscitation of shocked patients [14]. This relation between the PPI and the cardiac output could explain the change in the PPI during the SBT. In patients with failed SBT, there is an increased oxygen demand which is not compensated with increased oxygen delivery with increased blood flow to the respiratory muscles on the expense of peripheral tissues. Hence, patients who fail to augment the PPI during the SBT are more likely to fail during the weaning trial.

In line with our explanation to the PPI change during SBT, Tanios et al. had reported augmentation of the cardiac output during SBT in all patients which is more evident in patients with successful weaning [12]. Frazier et al. had reported that the cardiac output increased significantly during the SBT in patients with successful weaning; whilst, no change was reported in the cardiac output in patients with failed weaning [11].

SBT is a stressful event which is used to evaluate the patient's ability to maintain breathing without ventilator support. Switching the patient from assisted to spontaneous ventilation is usually associated with increased oxygen demands [5], which might not be matched with a proportional increase in the oxygen delivery. During the SBT, blood flow is diverted towards respiratory muscles; this impairs the oxygen delivery to other tissues [6, 7]. Subsequently, various indices for peripheral tissue perfusion were reported to be impaired in patients with failed extubation trials such as serum lactate [8], central venous oxygen saturation [9], thenar oxygen saturation, [15], mottling score, and knee oxygen saturation [16]. Most of these indices are either invasive or expensive. PPI is characterized by being non-invasive, real time, and simple variable [10] which is easily measured through a special pulse oximeter probe.

PPI is characterized by high skewness and variability in its baseline readings [10]. Thus, comparison of PPI values is usually performed through PPI ratio, which represents the change of PPI before and after the intervention. We evaluated the change of PPI in our patients during the SBT by comparing serial PPI ratio in each time point. Using PPI ratio was previously reported by our group in evaluating the change in PPI after various interventions [17, 18].

The difference in PPI values between patients with successful weaning and patients with failed weaning was demonstrated through the change in its values during the SBT, and not in the baseline values. This indicates that assessment of the resting PPI before undergoing the SBT would not identify the high-risk patients.

Discontinuation of mechanical ventilation is an important challenging decision in intensive care medicine. Failure of weaning and re-intubation is associated with poor patient outcomes [2]. Thus, proper evaluation of patient readiness for weaning would improve patient outcomes and avoid unwarranted re-intubation. Using PPI for predicting weaning outcome could be a complementary tool that improve the decision of patient extubation and avoid the risks of re-intubation. We demonstrated that PPI starts to differentiate between patients with successful weaning and patients with failed weaning 15 min after the beginning of the SBT. This finding would allow early identification of the weaning outcome which would alert the intensivist to abort the SBT to avoid unwarranted deterioration of the vital signs in some patients.

Our study had some limitations: (1) It is a single center study. (2) Due to the nature of our surgical ICU, all participants in our study are either postoperative emergency patients or trauma patients. (3) We could not perform subgroup analysis for cardiac patients because our sample size was not designed for this objective. We recommend future studies that evaluate PPI changes during weaning of special subgroups of patients such as medical patients and cardiac patients. Future studies would also confirm the cut-off values for predicting weaning failure and combine the PPI with other traditional indices such as RSBI and P/F ratio.

In conclusion, the change in PPI during SBT is an independent predictor for re-intubation. PPI could be a useful tool for monitoring patients during SBT. Patients with successful weaning showed higher augmentation of the PPI during the SBT compared to patients with failed weaning. Failure of augmenting PPI by 41% at the end of SBT could predict re-intubation with NPV of 95%.

Funding This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

Data availability The data that support the findings of this study are available from Cairo university hospitals; however, they are not publicly available. Data are however available from the authors upon reasonable request after permission of Cairo university.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest with this work.

Ethical approval Our paper was approved by the Research Ethics Committee, Faculty of medicine, Cairo University (N-159-2018), on 16 March 2019.

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