



Tailoring structure, morphology and up-conversion properties of $\text{CaF}_2:\text{Yb}^{3+},\text{Er}^{3+}$ nanoparticles by the route of synthesis

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ABSTRACT

Control of morphology and spectroscopic properties during the synthesis of up-converting nanoparticles (NPs) is a great challenge. One of the most popular ways of NPs synthesis is the hydrothermal method, which is relatively simple, effective, environmentally friendly and permits easy control of synthesis parameters. For these reasons, the hydrothermal method was applied for the synthesis of $\text{CaF}_2:\text{Yb}^{3+},\text{Er}^{3+}$ NPs and optimized. The effects of synthesis conditions on the properties of the product were carefully analysed. The tests were performed to check the impact of two surfactants: sodium citrate (NaCit) and ammonium citrate (NH_4Cit), different excess of ammonium fluoride used as a precipitation agent and different volumes of solution with reactants. The type of co-reagent was found to influence the size of the obtained NPs and charge compensation, required after Yb^{3+} and Er^{3+} doping into Ca^{2+} sites. Depending on the synthesis conditions, the formation of Yb^{3+} clusters and alterations in the Yb^{3+} site symmetry were detected. The excitation and emission spectra revealed the importance of the presence of the Na^+ ions on the energy transfer mechanism and the resulting emission intensity. The presented results show that applying stirring during the synthesis or changing the type of anti-agglomeration agent has a great influence on the luminescence intensity and colour as well as maximum of excitation when Yb^{3+} ions are used. Analysis of the excitation spectra and Yb^{3+} emission decays showed the complex structure of $\text{CaF}_2:\text{Yb}^{3+},\text{Er}^{3+}$ NPs, with Yb^{3+} ions in two different environments within the volume of NPs with different site symmetries. The samples prepared in the presence of Na^+ ions were characterized by long Yb^{3+} emission rise times, revealing energy migration between Yb^{3+} at different symmetries and, at the same time, improved the overall luminescence intensity of NPs.

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Introduction

Up-converting nanoparticles (UCNPs) have been intensively investigated in last years because of their unusual properties allowing, in general, for conversion of near-infrared light (NIR) to higher energetic, visible and even ultraviolet [1–5]. This phenomenon can be observed for materials containing lanthanide ions (Ln^{3+}) because of the possibility of electronic transitions within their 4f subshell. Because of the properties of the 4f shell, sharp emission spectra, long luminescence lifetimes and massive Stokes shifts can be observed [6–8]. Nanomaterials containing Ln^{3+} ions are very attractive in many fields of science and industry. Their small size and the possibility of conversion of NIR light make them excellent for many applications, e.g. optical materials, displays, sensors, biological markers, drug delivery systems and many others [9–12].

Considering UCNPs for different applications, particularly in the biomedical field, the ability to control their morphology and spectroscopic properties is essential. These issues are still a challenge [13]. The simplest solution is to adjust the type and conditions of the synthesis route. So far a few main synthesis methods have been proposed, e.g. thermal decomposition, solvo(hydro)thermal synthesis or coprecipitation with polyols [14–16]. The most common and useful method is the thermal decomposition of precursors, which leads to highly uniform nanoparticles of specific shapes and sizes [15, 17–19]. However, in the hydrothermal synthesis, it is also possible to control the conditions so that to obtain particles of desired properties. The hydrothermal synthesis is usually conducted in water, under high pressure and temperature, in a special type of autoclave [15, 20, 21]. Moreover, the process, as well as equipment needed, is quite simple [22–24].

During hydrothermal synthesis, there are many variables like pressure, temperature, synthesis time, the volume of solution or stirring that can significantly influence the morphology and spectroscopic properties of UCNPs. Great importance in synthesis route has also the addition of hydrophilic compounds like sodium citrate, ethylenediaminetetraacetic acid (EDTA), cetyltrimethylammonium bromide (CTAB), as well as polymers, e.g. polyethylene glycol (PEG), polyethylenimine (PEI) and other [14, 16, 25]. These additives not only promote

the formation of particles of certain size and shape but also improve the dispersion of UCNPs in water and stabilize the colloids. Additionally, surfactants may affect the spectroscopic properties of NPs.

Calcium fluoride, CaF_2 , is one of the best hosts for Ln^{3+} ions, thanks to its stability, low phonon energy, fluorite structure and very good compatibility with Ln^{3+} ions [26–28]. Moreover, CaF_2 is a material with high rigidity, low refractive index and is optically transparent in a range from mid-infrared to UV [29]. As a host compound, CaF_2 can be used in lasers [30], for bioimaging (high biocompatibility with living cell, non-toxic material) [31], biomedical sensors [32] and other applications. Also, this material can be easily obtained by a variety of methods such as sol-gel, solvo(hydro)thermal methods, polyol-mediated, thermal decomposition of precursors or colloidal techniques [33–37]. CaF_2 is also easier to obtain by hydrothermal method as nanocrystals than NaREF_4 materials [38–40]. Furthermore, our previous research indicated that using similar to presented here, hydrothermal method, sub-microspheres can be synthesized instead of nanoparticles and by incorporation of Mn^{2+} ions into CaF_2 sub-microspheres the colour of up-conversion can be tuned [41].

In this work, CaF_2 was used as a model, allowing to track the way in which dopant ions are incorporated, which allows for a better understanding of the UC process in similar materials. Moreover, the presented results of synthesis in different volumes or with, and without stirring give insight into problems of production of Ln^{3+} -doped nanomaterials at larger scale.

Experimental section

Characterization

Powder diffractograms were recorded on a Bruker AXS D8 Advance diffractometer, with $\text{Cu K}\alpha_1$ radiation $\lambda = 1.5406 \text{ \AA}$. The reference data were taken from the International Centre for Diffraction Data (ICDD). The composition of prepared materials was analysed by energy-dispersive X-ray spectroscopy (EDS), using Quanta 250 FEG, FEI, with voltage 30 kV. Transmission electron microscopy (TEM) images were recorded on a JEOL 1400 Transmission Electron Microscope, which used an accelerating voltage of 120 kV. Fourier transform infrared spectra (FT-IR) were recorded using a JASCO 4200 FT-IR

spectrophotometer. Dynamic light scattering (DLS) and zeta potential measurements were performed by using a Malvern Zetasizer Nano ZS instrument, where the sample concentration was 0.25 mg/mL.

UV–Vis–NIR absorption spectra of powders were recorded with spectrophotometer JASCO V-770. The excitation and emission spectra of the prepared samples in the form of solid powders were measured on a Photon Technology International QuantaMaster™ 40 spectrofluorometer equipped with an Opolette 355LD UVDM tuneable laser, with a repetition rate of 20 Hz and a Hamamatsu R928 photomultiplier used as a detector. A continuous CNI multiwavelength (808, 975, 1208 and 1532 nm) 2 W CW diode laser was used as the excitation source, coupled to a 200 μm optical fibre and collimator for emission measurements and examination of relations between emission intensity and laser power. Laser beam size and power were measured by Ophir 10A-PPS sensor (CW laser) or by Coherent EnergyMax-USB J-10 MB-HE Energy Sensor (pulsed laser). As a detector, a Digital CCD Camera made by Princeton Instruments PIXIS:256E, equipped with an SP-2156 Imaging Spectrograph was applied, corrected for the instrumental response. Luminescence decay curves were recorded using a 200 MHz Tektronix MDO3022 oscilloscope, coupled to the R928 PMT and the QuantaMaster™ 40 spectrofluorometer. All spectra, i.e. excitation and emission were corrected for the instrumental response and OPO laser energy.

Synthesis of $\text{CaF}_2:20\% \text{Yb}^{3+}, 1\% \text{Er}^{3+}$ nanoparticles

In order to obtain 3.5 mmol of CaF_2 doped with 20% of Yb^{3+} and 1% of Er^{3+} , the aqueous solution of chlorides with concentration 1 M or 0.25 M was used. CaCl_2 (2.77 mmol) and YbCl_3 mixed with ErCl_3 (0.7 mmol Yb^{3+} and 0.035 mmol Er^{3+}) were added to 20 mL of 1 M aqueous solution of sodium citrate (NaCit) or 20 mL of 1 M aqueous solution of ammonium citrate (NH_4Cit). Then, 5 mL of 2.10 M (1.5 excess to the stoichiometric amount, $1.5 \times \text{NH}_4\text{F}$) or 5 mL of 4.2 M aqueous solution of NH_4F (3 times excess to the stoichiometric amount, $3 \times \text{NH}_4\text{F}$) as a source of fluoride ions was added to the solution containing CaCl_2 and LnCl_3 salts. The pH of the final solution was 7.5. The as-prepared transparent solution was transferred into 50 mL (35 mL of solution) or 100 mL (75 mL of solution) Teflon-lined vessel and

hydrothermally treated for 12 h (200 °C, 15 bar), in an externally heated autoclave. Two different Berghof autoclaves were used: DAB-2 reactor for the smaller volume sample, without a stirrer, and BR-100 for the larger volume sample, with a stirrer. When the reaction was complete, the obtained white precipitate was purified by centrifugation and rinsed several times with water and ethanol. The final product was dried under ambient conditions. Additionally, $\text{CaF}_2:20\% \text{Yb}^{3+}$, NaCit, $1.5 \times \text{NH}_4\text{F}$ in 35 mL of solution was prepared to investigate the influence of complexing agent on spectroscopic properties. Dopant concentrations were established based on literature data and earlier research, as well as synthesis conditions [25, 42].

Results and discussion

Structure and morphology

Cubic nanocrystals of CaF_2 doped with lanthanide ions (Ln^{3+}) were obtained by the hydrothermal synthesis in the presence of sodium citrate (NaCit) or ammonium citrate (NH_4Cit) as a complexing agent. The prepared samples showed a single-phase structure with $Fm\bar{3}m$ space group, for both reactor volumes used (Fig. 1).

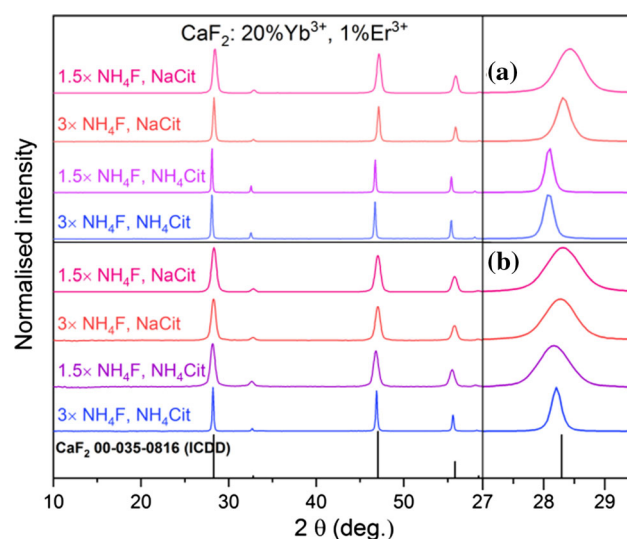


Figure 1 XRD patterns of the $\text{CaF}_2:\text{Yb}^{3+}, \text{Er}^{3+}$ samples synthesized by the hydrothermal method: **a** without stirring, in 35 mL volume, **b** with stirring, 75 mL volume. The patterns are labelled according to the scheme: an excess of NH_4F precipitating compound, a source of citric ions.

Additional information about the physical properties of prepared NPs was obtained from the cell parameter analysis (Table S1). An increase in the cell volume of all samples (163.26 to 166.65 Å³) in comparison with that of undoped CaF₂ (163.04 Å³) was observed. The larger cell volumes were interpreted as a result of electronic repulsion between F⁻ ions distributed in a cell in different positions due to local or nonlocal charge compensation as well as clusters formation [43, 44]. Additionally, differences in the cell size between the samples prepared with NaCit and NH₄Cit are presented, which confirms the occurrence of two types of charge compensation processes (1st: 2 Ca²⁺ → Ln³⁺ + Na⁺, 2nd: Ca²⁺ → Ln³⁺ + F⁻). During the synthesis with NaCit, Na⁺ ions are incorporated into the structure replacing interstitial fluorine ions because their ionic radii are similar to those of calcium ions (rNa⁺ = 1.18, rCa²⁺ = 1.12, for coordination number CN = 8). In the process of synthesis using NH₄Cit, F⁻ ions act as a charge compensator, as the size of NH₄⁺ cations is bigger (rNH₄⁺ = 1.54 Å) [45–48]. Moreover, the change of cell size is also noticeable in the XRD patterns (Fig. 1) as a slight shift of the peaks towards lower angles for the samples prepared with NH₄Cit and a shift towards higher angles for the sample with NaCit, obtained in the small volume, with 1.5 × NH₄F excess.

TEM images were used to determine the accurate size of CaF₂ nanoparticles, and the results are listed in Table 1.

NPs sizes were in the range of 17.4–46.5 nm when the small volume was used and 13.8–40.4 nm for the larger volume (Table 1 and Fig. 2). Additionally, some particle agglomerations can be observed in TEM pictures, which was also confirmed by DLS

histograms (Fig. S1 in Electronic Supplementary Information, ESI). The tendency to agglomeration is visible mainly for the samples prepared without stirring (Fig. 2a–d). Moreover, the NPs, obtained with the use of NH₄Cit for the synthesis, had irregular shapes and were of larger sizes. To investigate the incorporation of Ln³⁺ ions and the real structure of the obtained compound, EDS mapping was made and the results are presented in Table S2. The amount of Ln³⁺ dopants is lower than assumed, but similar in all samples, i.e. Yb³⁺ 11.03–14.50% and Er³⁺ 0.47–1.14%, with one exception, for the sample prepared in the presence of NH₄Cit with 3 × NH₄F and in 75 mL of solution. The EDS analysis confirmed the incorporation of Na⁺ ions into the structure at the sites occupied by Ca²⁺ and F⁻ ions when the samples were prepared in the presence of NaCit. The amount of Na⁺ ions was estimated to be between 16.50 and 28.11%. Additionally, the presence of citrate groups on NPs surface was confirmed by FT-IR measurements (Fig. S2). Prepared samples exhibited negative charge on the surface, except for the samples prepared in the presence of NH₄Cit with 3 × NH₄F in 35 mL, and NH₄Cit, 1.5 × NH₄F in 75 mL (Table S3) which had a positive charge. What is more, the NPs showed different stability in water (zeta potentials varied between |20.3| and |7.8| mV) at physiological pH for 24 h.

Summarizing, it is possible to control the CaF₂:Yb³⁺,Er³⁺ NPs morphology by selecting the appropriate concentration of NH₄F and the type of co-reagent. From the above-presented results, it is also seen that the synthesis in a reactor with stirring should be more favourable, resulting in lower agglomeration of NPs. It is possible to obtain small NPs of the size below to 20 nm even with a high

Table 1 Size of obtained NPs, calculated from TEM analysis

TEM analysis				
Volume	Stirring	Co-reagent	NH ₄ F excess	CaF ₂ :20%Yb ³⁺ ,1%Er ³⁺ Size (nm)
35 mL	No	NaCit	1.5 ×	17.4 ± 3.9
			3 ×	27.2 ± 5.8
		NH ₄ Cit	1.5 ×	35.9 ± 24.7
			3 ×	46.5 ± 11.5
75 mL	Yes	NaCit	1.5 ×	19.5 ± 7.4
			3 ×	14.4 ± 3.4
		NH ₄ Cit	1.5 ×	13.8 ± 2.4
			3 ×	40.4 ± 11.8

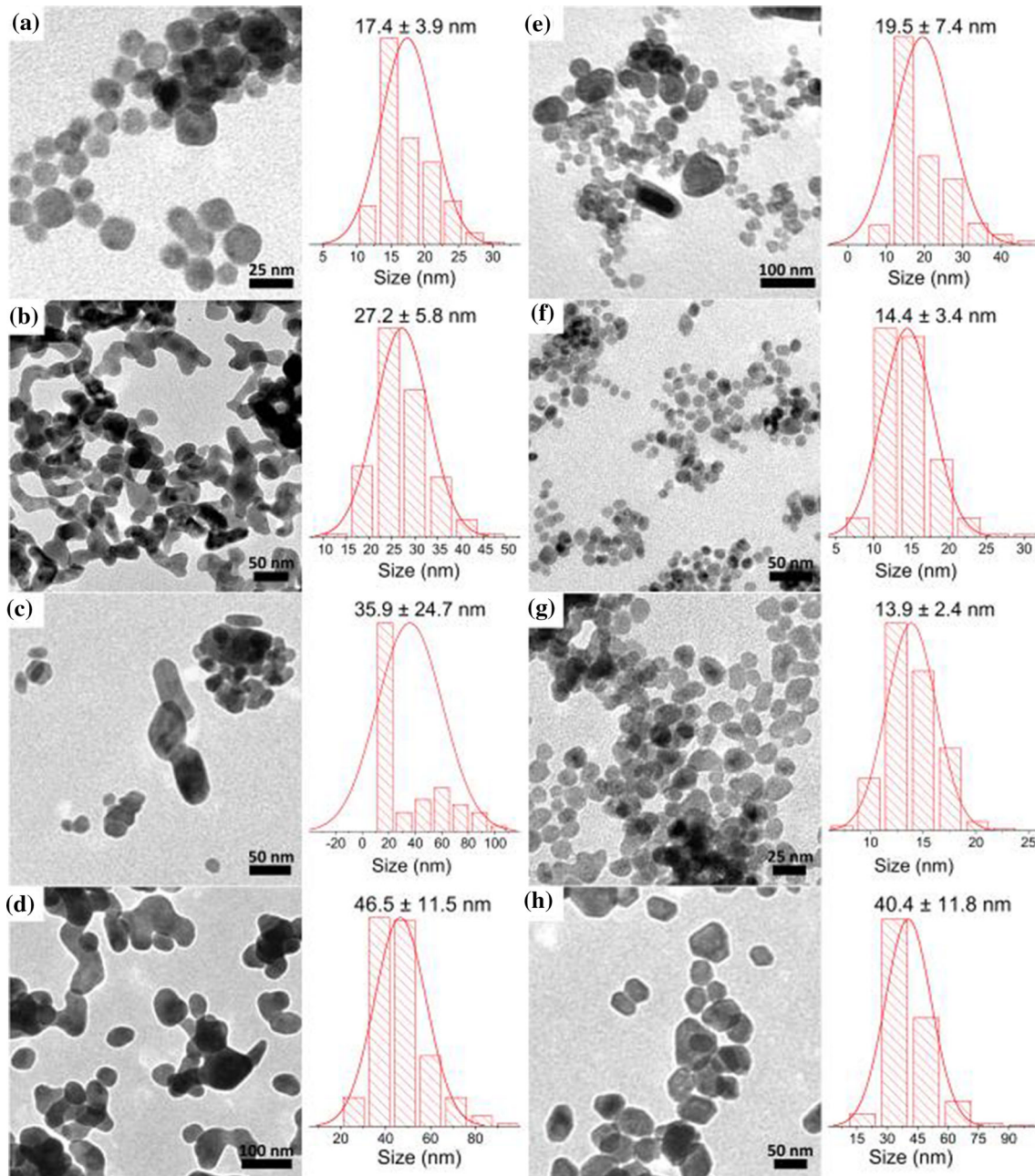


Figure 2 Nanocrystals size distribution of hydrothermally synthesized samples: **a** $\text{CaF}_2:\text{Yb}^{3+}, \text{Er}^{3+}$, NaCit, $1.5 \times \text{NH}_4\text{F}$, **b** $\text{CaF}_2:\text{Yb}^{3+}, \text{Er}^{3+}$, NaCit, $3 \times \text{NH}_4\text{F}$, **c** $\text{CaF}_2:\text{Yb}^{3+}, \text{Er}^{3+}$, NH_4Cit , $1.5 \times \text{NH}_4\text{F}$, **d** $\text{CaF}_2:\text{Yb}^{3+}, \text{Er}^{3+}$, NH_4Cit , $3 \times \text{NH}_4\text{F}$, **e**

$\text{CaF}_2:\text{Yb}^{3+}, \text{Er}^{3+}$, NaCit, $1.5 \times \text{NH}_4\text{F}$, **f** $\text{CaF}_2:\text{Yb}^{3+}, \text{Er}^{3+}$, NaCit, $3 \times \text{NH}_4\text{F}$, **g** $\text{CaF}_2:\text{Yb}^{3+}, \text{Er}^{3+}$, NH_4Cit , $1.5 \times \text{NH}_4\text{F}$, **h** $\text{CaF}_2:\text{Yb}^{3+}, \text{Er}^{3+}$, NH_4Cit , $3 \times \text{NH}_4\text{F}$, where **a–d** 35 mL, without stirring, and **e–h** 75 mL, with stirring.

content of NH_4F , which is quite essential when the synthesis is conducted in water.

Spectroscopic properties

It is well known from the literature, that only for low concentrations ($< 0.1\%$), the Ln^{3+} dopants form isolated centres. Yb^{3+} ions are sensitive to the site symmetry, and their absorption spectra reflect this feature [49]. The mentioned centres have trigonal,

tetragonal or cubic symmetry, depending on the location of charge compensating F^- ions. In the heavily doped materials, Yb^{3+} ions form clusters, mainly cubooctahedral hexamers with the six Yb^{3+} ions site in square antiprisms [44, 50]. Cluster formation is well confirmed on the basis of the broad Yb^{3+} excitation bands that do not allow drawing conclusions on the Yb^{3+} ions site symmetries as it overlaps any other possible signals. However, for the samples prepared with NaCit, in 35 mL of solution, the formation of centres with the cubic symmetry (O_h) is responsible for the presence of peaks at 966 nm ($10,352\text{ cm}^{-1}$) and 920 nm ($10,870\text{ cm}^{-1}$), which are intense and well separated (Fig. 3a). After Na^+ ions introduction into the structure as a charge compensator, $Yb^{3+}-Na^+$ ion pairs are formed. At the same time, a decrease in the number of $Yb^{3+}-Yb^{3+}$

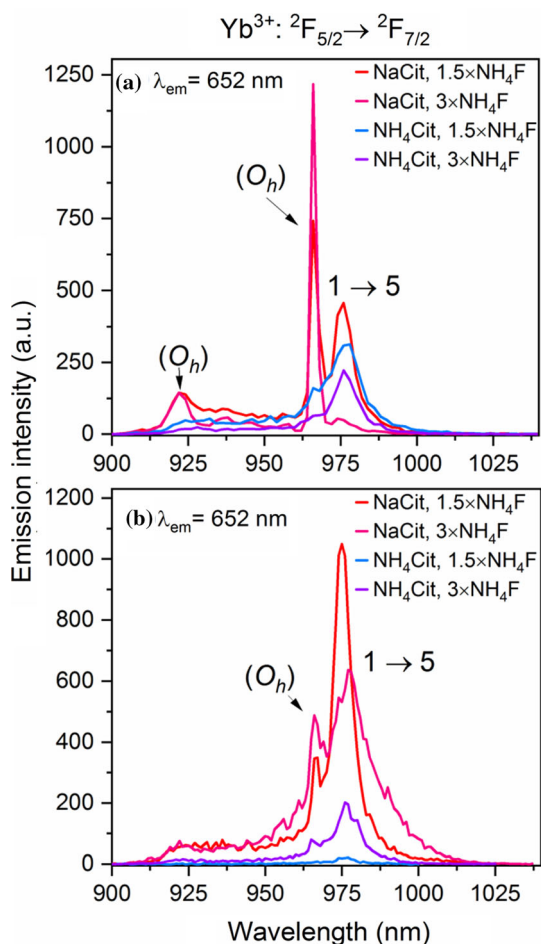


Figure 3 Excitation spectra of $CaF_2:20\%Yb^{3+},1\%Er^{3+}$ samples (900–1050 nm): **a** small volume, without stirring, **b** large volume, with stirring, excited by pulsed laser as excitation source (at $25\text{ mJ}\cdot\text{cm}^{-2}$).

pairs and formation of Yb^{2+} , which are responsible for quenching luminescence through cooperative energy transfer from Er^{3+} ions, are observed [47].

As a result, the emission of particles with incorporated Na^+ ions is more intense, which is observed in Figs. 4 and S3. What is interesting, the domination of O_h symmetry is only visible for the sample prepared with NaCit, $3 \times NH_4F$ in 35 mL solution, where more effective incorporation of Na^+ ions replacing interstitial F^- ions occurred. Furthermore, in the analogous sample but prepared in larger volume (75 mL) with stirring, a lower amount of Yb^{3+} sites with the O_h symmetry are present, despite the fact that the determined concentration of Na^+ ions was higher (28%) than for the sample described above. It is worth noting that Na^+ ions can be also present on the surface of NPs due to the bonding to citrate groups, which are incorporated into CaF_2 NPs

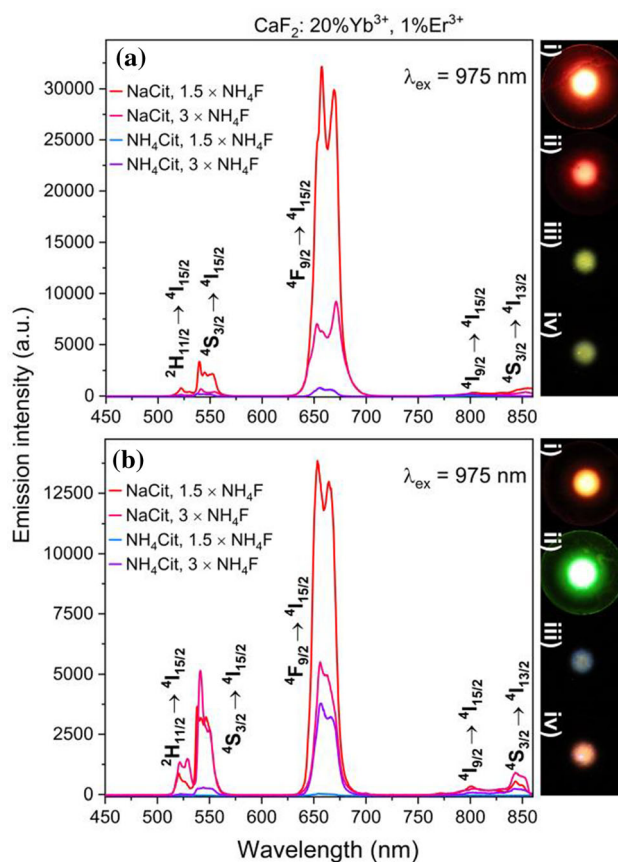


Figure 4 Luminescence (450–860 nm) spectra and emission colour of $CaF_2:20\%Yb^{3+},1\%Er^{3+}$ samples: **a** small volume, without stirring, **b** large volume, with stirring, where: (i) NaCit, $1.5 \times NH_4F$, (ii) NaCit, $3 \times NH_4F$, (iii) NH_4Cit , $1.5 \times NH_4F$, (iv) NH_4Cit , $3 \times NH_4F$, excited by laser under continuous excitation source (at $25\text{ W}\cdot\text{cm}^{-2}$).

(Fig. S2). Interestingly, the excitation peak near 966 nm can be detected in the excitation spectra of all of the synthesized samples (Fig. 3), hence confirming the presence of the O_h symmetry of Yb^{3+} ions in the prepared NPs, regardless of the used surfactant, which has been also observed by another research group [44]. Absorption spectra of samples prepared are presented in Fig. S11 showing different characteristics of the Yb^{3+} absorption peaks, hence revealing also different efficiency of energy transfer between various types of Yb^{3+} ions and Er^{3+} ions.

For prepared samples, the emission spectra were measured under continuous diode laser with $\lambda_{\text{ex}} = 975$ nm wavelength and are presented in Fig. 4. The brightest luminescence was recorded for the samples synthesized in the presence of NaCit and with $1.5 \times \text{NH}_4\text{F}$ in both volumes used, 35 mL, without stirring and 75 mL with stirring. The observed luminescence intensities confirm the influence of sodium ions on the effectiveness of emission. Additionally, samples prepared without stirring, with NaCit in 35 mL, exhibited twice time stronger emission than the best samples obtained in 75 mL solution with stirring. High luminescence can be connected with a decreased number of formed Yb^{3+} – Yb^{3+} clusters which reduced the non-radiative energy losses. Changes in the cooperative energy transfer between Yb^{3+} ions and shorter distances between Yb^{3+} and Er^{3+} ions (see Table S1) can improve energy transfer.

The synthesis procedure also influences the samples emission colour, which is illustrated in the photographs, the calculated ratios between two of the strongest bands and the chromaticity diagrams (Figs. 4, S4, S5). Interestingly, for the samples prepared without stirring and in the small volume, the domination of red band over green is strongly visible, in comparison with the luminescence of the samples obtained with stirring and in larger volume, for which the ${}^4\text{S}_{3/2} \rightarrow {}^4\text{I}_{15/2}$ and ${}^4\text{F}_{9/2} \rightarrow {}^4\text{I}_{15/2}$ intensities are similar. As a result, the samples obtained in larger volume, with stirring, showed the yellow-green colour of emission. The most significant shift to the green region was recorded for the sample prepared in 75 mL with stirring, NaCit as co-reagent and $3 \times \text{NH}_4\text{F}$, which is also noticeable in Figs. 4b (ii) and S5. Comparing the luminescence of the obtained samples prepared in the same way, it is possible to receive different emission intensity and colour, just by changing the volume and application of stirring.

More information about spectroscopic properties of synthesized CaF_2 NPs was obtained from the luminescence decays of Er^{3+} ions, measured under $\lambda_{\text{ex}} = 976$ and 966 nm wavelength with pulsed laser as the excitation source (for experimental data, see ESI, Figs. S6 and S7). Moreover, luminescence rise times as well as decays of Yb^{3+} under $\lambda_{\text{ex}} = 966/977$ nm pulsed laser excitation were also recorded to investigate the energy transfer between Yb^{3+} ions in different sites and the influence of complexing agent on their lifetimes (Fig. S8). On the basis of these measurements, luminescence lifetimes were calculated for transitions of Er^{3+} and Yb^{3+} ions (collected in Tables 2, S4 and S5).

The longest luminescence decay of Er^{3+} ions was recorded for the sample prepared in the presence of NaCit and with $3 \times \text{NH}_4\text{F}$, without stirring in 35 mL solution as well as NPs with NaCit, $1.5 \times \text{NH}_4\text{F}$, in 75 mL solution. From all Er^{3+} transitions, the decay of ${}^4\text{F}_{9/2} \rightarrow {}^4\text{I}_{15/2}$ was recorded as the longest one for all samples (16.4 μs to 206.9 μs), which may be a result of strong emission of this band and the mechanism responsible for ${}^4\text{F}_{9/2}$ energy level excitation. Importantly, for the samples with a large number of Yb^{3+} ions at O_h symmetry sites (NaCit, $1.5 \times \text{NH}_4\text{F}$ and $3 \times \text{NH}_4\text{F}$ in 35 mL of solution), longer lifetimes for Er^{3+} were calculated under 966 nm excitation than under 976 nm.

The Yb^{3+} decay time measurements did not reveal significant changes in the lifetimes of Yb^{3+} ions upon excitation with 966 or 976 nm wavelengths, even for the samples with a large number of Yb^{3+} ions at the sites of O_h symmetry. Taking into account a significant impact of site symmetry on Ln^{3+} emission lifetimes, it is expected to find longer lifetimes for the structure with sites of higher symmetry [51]. The explanation of the difference between the literature-based expectation and observations can be the domination of Yb^{3+} – Yb^{3+} clusters in the sample's structure or at least the presence of a mixture of sites of different symmetries, which makes it impossible to excite Yb^{3+} ions at the sites of a single symmetry. However, for the samples prepared in the presence of NaCit, relatively long rise times were observed, especially in comparison with those of the samples obtained with NH_4Cit . What is more, the difference was also detectable for the same samples obtained by the two synthesis routes (NaCit, $3 \times \text{NH}_4\text{F}$, 35 mL and NaCit, $3 \times \text{NH}_4\text{F}$, 35 mL, Table S5, Fig. 5). The reason for this observation is related to the energy

Table 2 Emission lifetimes calculated from the measured luminescence decay of CaF₂:Yb³⁺,Er³⁺ NPs under 976 nm laser excitation (for decays, see Fig. S6, err < 1.6 μs). The number of photons involved in the up-conversion mechanism, determined from the dependencies of luminescence intensity on laser energy for CaF₂:Yb³⁺,Er³⁺ NPs (for experimental results, see Fig. S9, err < 0.06)

Volume	Stirring	Co-reagent	NH ₄ F excess	CaF ₂ :20%Yb ³⁺ ,1%Er ³⁺	Number of photons										
					Lifetime (μs)										
35 mL	No	NaCit	1.5 ×	22.4	² H _{9/2} → ⁴ I _{15/2}	² H _{11/2} → ⁴ I _{15/2}	⁴ S _{3/2} → ⁴ I _{15/2}	⁴ F _{9/2} → ⁴ I _{15/2}	⁴ I _{9/2} → ⁴ I _{15/2}	² H _{11/2} → ⁴ I _{15/2}	⁴ S _{3/2} → ⁴ I _{15/2}	⁴ F _{9/2} → ⁴ I _{15/2}	⁴ I _{9/2} → ⁴ I _{15/2}		
					39.0	58.4	50.1	200.5	54.2	1.4	1.6	1.6	2.0	1.3	
					9.5	14.3	14.3	31.3	16.2	1.3	1.4	1.6	1.6	1.2	
	75 mL	Yes	NaCit	1.5 ×	44.1	12.1	12.3	12.6	26.7	16.6	1.0	1.1	1.2	1.0	
						25.3	26.5	24.9	179.8	32.8	1.4	1.3	1.2	2.0	1.1
						–	8.4	8.6	16.4	8.2	0.4	0.6	1.2	0.5	
		NH ₄ Cit	3 ×	–	33.3	33.2	137.5	45.3	1.3	1.4	1.6	1.3			

transfer from O_h centres of Yb³⁺ of higher energy (10 352 cm⁻¹) to Yb³⁺ cluster centres with lower energy (10 246 cm⁻¹, 5 → 5 transitions, Fig. 6a). On the basis of these measurements and calculations, it can be concluded that the values of Yb³⁺ lifetimes are independent of the dominant symmetry (the presence or absence of Na⁺ ions) as well as of the excitation wavelength. At the same time, the luminescence rise times seem to be sensitive to the site symmetry of Yb³⁺ ions. This result is an additional confirmation of Yb³⁺ ions multisite positions in NPs; there is a fraction at sites of O_h symmetry and a fraction of those in clusters. According to the Hraiech et al., when a high number of Na⁺ ions are present in the sample, the band characteristic of Yb³⁺ ions with O_h symmetry appears in the NIR emission spectra with a maximum near 1028 nm. However, when a small number of Na⁺ ions were added, or for the samples without sodium ions, only the broad electronic transitions 5 → 3 and 5 → 4 of Stark's level with a band maximum near 1030 and 1050 nm appeared in the spectra [51]. For the all obtained samples, the lifetime, as well as rise time, was measured for the emission at 1050 nm, which proves the presence of Yb³⁺ ions at the sites of O_h symmetry and clusters in all of obtained NPs.

To establish the up-conversion mechanism of the prepared NPs and investigate the effects of the synthesis methodology on it, the dependencies of the luminescence intensity on the laser power were measured. The results of the slope calculations are collected in Table 2 (measurement results, Fig. S9). The slope coefficients calculated for the samples studied took values from the range 1 to 2, which is lower than the theoretical value for energy transitions in Er³⁺ ions [25]. The highest slope coefficient was calculated for the CaF₂: Yb³⁺,Er³⁺ samples prepared with NaCit and 1.5 × NH₄F in the small volume (35 mL), without stirring and with NaCit and 3 × NH₄F with magnetic stirring and in the large volume (75 mL). Such a result can be connected with effective emission, long luminescent lifetimes and high crystallinity of these two samples (appropriate distance between Yb³⁺ and Er³⁺ ions can minimize quenching effects). The highest slope was determined for the ⁴F_{9/2} → ⁴I_{15/2} transition. This result can be explained by the relaxation from ²H_{11/2} or ⁴S_{3/2} level and the highest emission of a band corresponding to the described transition for all samples. For the few prepared nanomaterials, the slope coefficient is close

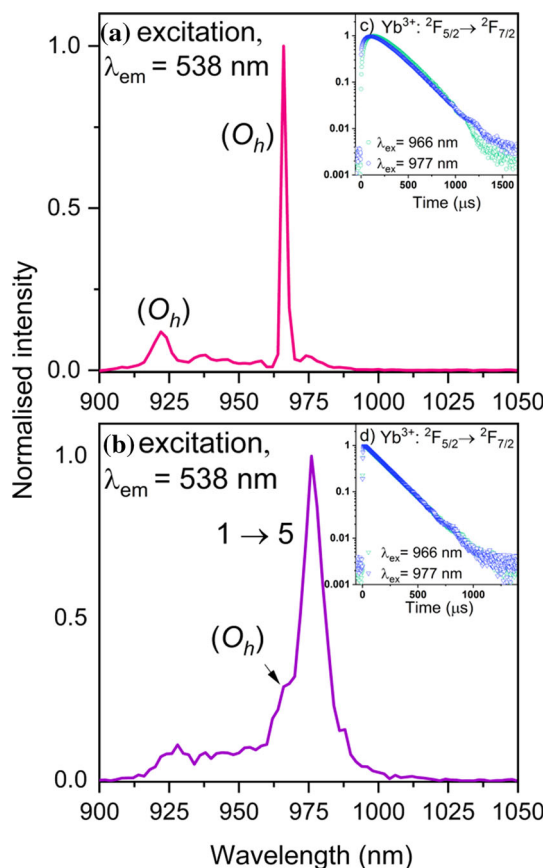
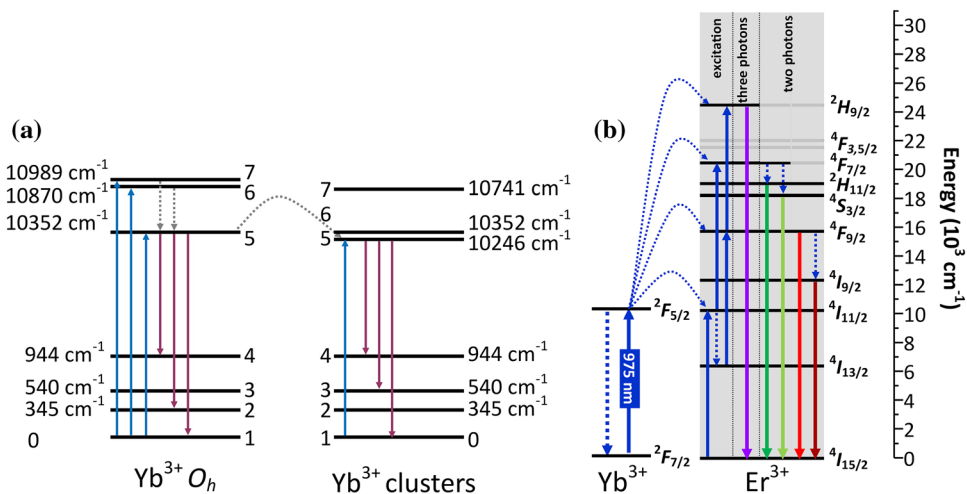


Figure 5 Excitation spectra of $\text{CaF}_2:\text{Yb}^{3+},\text{Er}^{3+}$ **a, b** and decay time of $\text{Yb}^{3+} \ ^2\text{F}_{5/2} \rightarrow \ ^2\text{F}_{7/2}$ transition **c, d** under 966/977 nm pulsed excitation source (at $15 \text{ mJ}\cdot\text{cm}^{-2}$), observed at 1050 nm, where **a, c** sample with NaCit, $3 \times \text{NH}_4\text{F}$, 35 mL solution, **b, d** sample with NH_4Cit , $3 \times \text{NH}_4\text{F}$, 35 mL solution.

to one; however, the up-conversion emission can be treated as a two-photon process [52]. There are many factors, which can influence the experimental slope

Figure 6 Scheme of the up-conversion mechanism for $\text{CaF}_2:\text{Yb}^{3+},\text{Er}^{3+}$ systems, where **a** proposed mechanism of energy transfer between Yb^{3+} ions with different symmetries, **b** up-conversion energy transfer in $\text{Yb}^{3+}-\text{Er}^{3+}$ system, under NIR excitation ($\lambda_{\text{ex}} = 975 \text{ nm}$).



like saturation effect, heating of samples or cross-relaxation process between dopants [53, 54]. Furthermore, quite often the saturation effect which is attributed to the competition between linear decay and up-conversion depletion of the intermediate state when excitation density is high takes place [19, 55, 56].

On the basis of the number of photons established for a population of each excited level, a UC mechanism-energy transfer up-conversion (ETU) can be proposed (Figs. 6 and S10). In the first step, Yb^{3+} ion absorbs a photon and excitation of $\ ^2\text{F}_{5/2}$ from $\ ^2\text{F}_{7/2}$ is observed. For the Yb^{3+} ions at O_h symmetry sites present in the structure, absorption from the ground state to 5, 6 and 7 Stark's sublevel is observed, from which the energy can be transferred to $\text{Yb}^{3+}-\text{Yb}^{3+}$ clusters or directly to Er^{3+} ions ($\ ^4\text{I}_{15/2} \rightarrow \ ^4\text{I}_{11/2}$ transition). For the hexameric clusters, the energy is absorbed from ground state mostly to 5 Stark's sublevel and transferred to activator ions. These two possible ways of excitation of Yb^{3+} ions can occur simultaneously in one sample with mixed symmetry. The next step of the mechanism is the energy transfer from the excited $\text{Yb}^{3+}:\ ^2\text{F}_{5/2}$ to $\text{Er}^{3+}:\ ^4\text{I}_{11/2}$ and the absorption of the second photon, to populate $\ ^4\text{F}_{7/2}$, from which relaxation to $\ ^2\text{H}_{11/2}$, $\ ^4\text{S}_{3/2}$ occurs. Two-photon emission is also observed from $\ ^4\text{F}_{9/2}$ and $\ ^4\text{I}_{9/2}$ to $\ ^4\text{I}_{15/2}$.

Conclusions

Up-converting nanoparticles based on CaF_2 matrix doped with lanthanide ions (Yb^{3+} and Er^{3+}) were synthesized by the hydrothermal method. The

influence of such factors as the type of co-reagent, excess of fluoride ions, volume and stirring on the morphology and spectroscopic properties of the nanoparticles was investigated. The results provided the evidence illustrating the importance of the synthesis procedure because of its effect on emission intensity, colour and excitation mechanism.

The main factor influencing NPs morphology was the excess of NH_4F ; with the higher concentration of F^- ions in the solution, the obtained NPs were bigger. It can be related to a more effective precipitation process. The effect of size of NPs as a result of NH_4F excess used in the synthesis on the spectroscopic properties was also investigated. Moreover, in both synthesis route, the samples prepared with NaCit and $1.5 \times \text{NH}_4\text{F}$ were characterized by the most intense emission. Additionally, the presence of Na^+ ions changes the symmetry of Yb^{3+} ions, which was visible for the products prepared in 35 mL of the solution without stirring whose luminescence was almost twice higher than that of the other NPs. Moreover, luminescence lifetimes of Er^{3+} and the rise times of Yb^{3+} ions depended on the surfactant used for the synthesis and show that NaCit is more favourable.

Summarizing, we have established the ideal hydrothermal conditions to obtain small NPs with bright up-conversion luminescence under 975 nm (see comparison with $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$ in Fig. S12), which are: synthesis in the presence of NaCit as an anti-agglomeration agent, suppression of NPs growth and $1.5 \times \text{NH}_4\text{F}$ precipitating agent and 12 h time of synthesis. Avoiding stirring during the reaction and small reaction volume, 35 mL, resulted in the highest intensity of luminescence from all prepared samples. However, increasing reaction volume to 75 mL and the introduction of stirring during synthesis also brought products with satisfactory luminescence intensity and NPs sizes.

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Author contributions

DP contributed to conceptualization, investigation, writing—original draft and visualization. TG contributed to conceptualization, resources, writing—review & editing, visualization and supervision.

Compliance with ethical standards

Conflict of interest There are no conflicts to declare.

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