




# Superelastic behavior of a metamagnetic Ni–Mn–Sn single crystal

P. Czaja<sup>1,\*</sup> , R. Chulist<sup>1</sup>, T. Tokarski<sup>2</sup>, T. Czepe<sup>1</sup>, Y. I. Chumlyakov<sup>3</sup>, and E. Cesari<sup>4</sup>

<sup>1</sup>Institute of Metallurgy and Materials Science, Polish Academy of Sciences, 25 Reymonta St., 30-059 Kraków, Poland

<sup>2</sup>Academic Centre of Materials and Nanotechnology, AGH University of Science and Technology, Al. Mickiewicza 30, 30-059 Kraków, Poland

<sup>3</sup>Siberian Physical-Technical Institute, Tomsk, Russia 634050

<sup>4</sup>Departament de Física, Universitat de les Illes Balears, Cra. de Valldemossa km. 7.5, 07122 Palma de Mallorca, Spain

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## ABSTRACT

A single-crystalline specimen with the composition of Ni<sub>49.5</sub>Mn<sub>38.4</sub>Sn<sub>12.2</sub> shows a 4.9% recoverable transformation strain upon compressive loading. The critical compressive stress increases with temperature at the step of 5.6 MPa/K, whereas upon cycling it decreases by 18.1 MPa/cycle. The microstructure of the specimen undergoes considerable refinement upon superplastic training; however, it is only able to sustain a limited number of cycles ( $\leq 5$ ). Martensite training, resulting in a single-variant microstructure, has a profound influence on the austenite start transformation temperature ( $\Delta T = 29$  K), resulting partially from the dissipation of the elastic strain energy. The Ni-Mn-Sn system is an interesting candidate for multiferroic applications given its mechano-magnetic properties and a huge value of the martensitic transformation entropy change ( $\sim 50$  J/kg K).

## Introduction

The specific volume and vibrational entropy changes ( $\Delta S^{\text{transf.}}$ ) accompanying the first-order, thermoelastic martensitic phase transformation (MPT) in Ni-Mn-based metamagnetic shape memory alloys have recently encouraged much interest, owing to their additional coupling with the volume-dependent spin-exchange interactions [1, 2]. The ramifications of the coupling for such systems being so that a magnetic field ( $\mu_0 H$ ) is able to suppress the MPT at a given compositional range assumed that the exerted

magneto-stress level exceeds the transformation hysteresis ( $\Delta T_{\text{hyst.}} = A_f - M_s$ ); that is,  $A_f^{\mu_0 H} < M_s$ , where the  $A_f$  and  $M_s$  denote austenite finish and martensite start characteristic temperatures, respectively [3]. The shift of the latter under magnetic field can be satisfactorily predicted according to the classical Clausius–Clapeyron relationship, introducing further means for understanding and control of such a behavior [4]. A large magneto-stress combined with a reduced  $\Delta T_{\text{hyst.}}$  provide for decremented  $\mu_0 \Delta H$ , critical to instigate a reverse MPT, when the field is applied to a weakly magnetic martensite state. This in

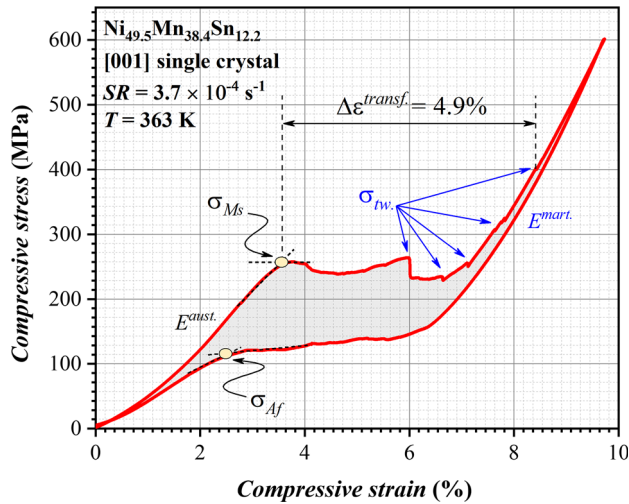
Address correspondence to E-mail: p.czaja@imim.pl

turn is of paramount significance in the view of actuation, sensing, etc., applications and can be well tuned through composition and microstructure engineering [5, 6]. Concomitantly in thermodynamic terms, the magneto-elastic coupling can produce huge magnetic entropy ( $\Delta S^{\text{mag}}$ ). increase, when a magnetic field is applied isothermally, and a decrease in temperature (cooling), when the field is applied adiabatically, leading overall to a considerable inverse magneto-caloric effect, e.g., 18 J/kg K under  $\mu_0 \cdot \Delta H = 5$  T in polycrystalline  $\text{Ni}_{0.50}\text{Mn}_{0.50-x}\text{Sn}_x$  ( $0.13 \leq x \leq 50$ ) alloys [7]. What's more the induction of a large  $\Delta S$  is not solely limited to the magnetic field, but due to the intrinsic nature of thermoelastic MPT it can be also assisted with a mechanical force offering substantial mechano-caloric response, e.g., elastocaloric [8], which is an incentive for solid-state refrigeration [9]. In fact, more recently a large elastocaloric effect (ECE) on the order of  $\Delta T_{\text{ad.}} = 4$  K at a relatively moderate  $\sim 1.3\%$  transformation strain ( $\epsilon^{\text{transf.}}$ ) has been reported upon unloading the  $\text{Ni}_{45}\text{Mn}_{44}\text{Sn}_{11}$  [10] and  $\text{Ni}_{48}\text{Mn}_{35}\text{In}_{17}$  ( $\epsilon^{\text{transf.}} \sim 1.4\%$ ) [11] polycrystalline alloys. It has been superseded shortly after by the  $\text{Ni}_{44}\text{Mn}_{41}\text{Sn}_{11}\text{Cu}_4$  alloy showing  $\Delta T_{\text{ad.}} = 8$  K at the similar  $\epsilon^{\text{transf.}}$  [12] and earlier by the single-crystalline  $\text{Ni}_{50}\text{Fe}_{19}\text{Ga}_{27}\text{Co}_4$  yielding  $\Delta T_{\text{ad.}} \sim 10$  K ( $\epsilon^{\text{transf.}} \sim 10\%$ ) [13]. A notable 4% recoverable  $\epsilon^{\text{transf.}}$  has been further found in  $\text{Mn}_{49}\text{Ni}_{39}\text{Sn}_9$  highlighting the Ni-Mn-Sn system among other polycrystalline Ni-Mn-based alloys [14], also partially thanks to a peculiar inverse ECE in  $\text{Ni}_{50}\text{Mn}_{40}\text{Sn}_{10}$  ribbons [15]. In the previous communications, the authors reported the 7.9% twinning strain upon uniaxial compression, applied in the martensite state, along the  $\langle 001 \rangle$  direction in the  $\text{Ni}_{49.5}\text{Mn}_{38.4}\text{Sn}_{12.2}$  single crystal [16]. At room temperature, the specimen presented a complex hierarchical, self-accommodated microstructure, refinable with the aid of the training process [17]. The austenite Curie temperature ( $T_C^A$ ) in the specimen was found at 311 K, whereas its  $M_s = 348$  K while the  $M_f = 305$  K, what indicates that the fraction of austenite undergoing the MPT in the paramagnetic state to the weakly magnetic/paramagnetic martensite in this alloy is around  $f_{\text{aust.}}^{\text{para.}} = (M_s - T_C^A)/(M_s - M_f) \geq 86\%$  what then likely benefits the overall  $\Delta S^{\text{transf.}}$  and thus  $\Delta T_{\text{ad.}}$ , given the opposing contributions to the  $\Delta S^{\text{transf.}}$  arising from

the vibrational and magnetic terms  $\Delta S^{\text{transf.}} = \Delta S^{\text{vib.}} (< 0) + \Delta S^{\text{mag.}} (> 0)$  [18]. The MPT between paramagnetic austenite and paramagnetic/weak magnetic martensite simultaneously attenuates the magneto-volume-related effects what may have a positive impact on the cycle life time improvement of the  $\text{Ni}_{49.5}\text{Mn}_{38.4}\text{Sn}_{12.2}$  alloy [2]. Henceforth, the present contribution investigates a pseudoelastic strain in the  $\text{Ni}_{49.5}\text{Mn}_{38.4}\text{Sn}_{12.2}$  single crystal and discusses the influence of microstructure refinement on the reduction of the critical compressive stress ( $\sigma_{\text{cr.}}^{\text{transf.}}$ ), the  $\Delta T_{\text{hyst.}}$  as well as on the evolution of characteristic MPT temperatures. Overall, the contribution offers an interesting insight into the thermo-mechanics of a Ni-Mn-Sn single crystal inasmuch as it promotes the feasibility of applying a combined thermo-magneto-mechanical stimuli for harnessing an enhanced multiferroic response.

## Experimental

The single-crystalline specimen with the nominal composition of  $\text{Ni}_{50}\text{Mn}_{37.5}\text{Sn}_{12.5}$  was grown by the Bridgman method. It was further annealed until it finally equilibrated with the  $\text{Ni}_{49.5}\text{Mn}_{38.4}\text{Sn}_{12.2}$  (at.%) composition. For more details see [16]. Two rectangular prisms with  $2.32 \times 2.58 \times 3.67$  mm and  $2.36 \times 2.53 \times 3.7$  mm dimensions were wire-cut from the master ingot, and they were found to deviate by  $9^\circ$  from the ideal  $[001]$  orientation relative to the cubic  $L2_1$  austenite phase. Mechanical testing was performed with an Instron machine at the temperature range between 343 K and 373 K and with a strain rate (SR) of  $3.7 \times 10^{-4} \text{ s}^{-1}$ . The resulting microstructure prior to testing and following straining has been inspected with a FEI-ESEM XL-30 scanning electron microscope (SEM) and with a Tecnai G2 (200 kV) transmission electron microscope (TEM). Thin foils for TEM were prepared by standard electro-polishing [16] and by focused ion beam (FIB) employing FEI-FIB Quanta 3D. Thermal effects at 10 K/min heating/cooling rate were investigated by differential scanning calorimetry (DSC) within the 173–423 K temperature range with the aid of a DSC Q1000 TA instrument.



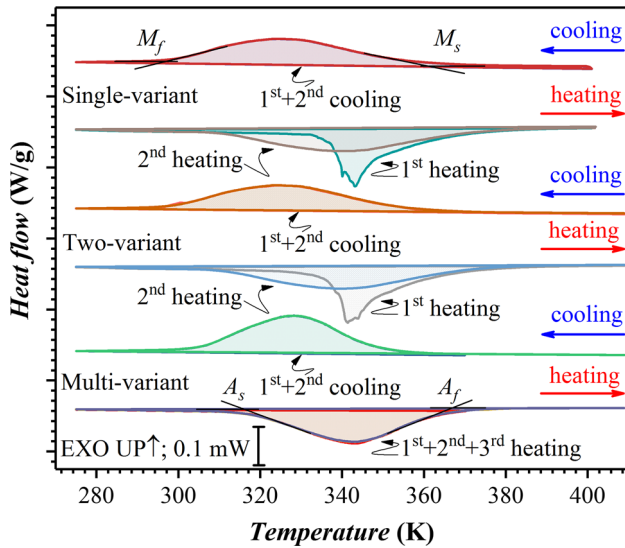
**Figure 1** Isothermal-compressive stress-compressive strain curve upon loading and unloading the Ni<sub>49.5</sub>Mn<sub>38.4</sub>Sn<sub>12.2</sub> single crystal at the strain rate  $SR = 3.7 \times 10^{-4} \text{ s}^{-1}$ .

### Results and discussion

The pseudoelastic behavior of the Ni<sub>49.5</sub>Mn<sub>38.4</sub>Sn<sub>12.2</sub> single crystal is shown in Fig. 1. The compressive test was performed at 363 K, and prior to compression the specimen was heated up to 473 K in order to ensure that at the test temperature ( $T_{\text{test}}$ )  $M_s < T_{\text{test}}$  and the specimen is completely retransformed to the austenite state. The sample was loaded until the compressive stress ( $\sigma$ ) spiked up, however below the yield stress, and then, it was released. The specimen showed a typical superelastic response (Fig. 1). Initially, with increasing stress the austenite yields elastically, before it begins to transform to martensite at the strain range where the stress levels off. The critical transformation stress ( $\sigma_{M_s}$ ), which triggers the MPT, is marked in Fig. 1, and it is estimated by the intersecting tangents method to be equal to 258 MPa. At the plateau region, the austenite continues to transform to martensite (4O-modulated structure [16]) and simultaneously the formed martensite undergoes detwinning process. A sudden drop of  $\sigma$  at circa 6% compressive strain is characteristic for the detwinning process and may be ascribed to martensite structure refinement just as observed in response to the mechanical training [16]. At about 6.7% strain following yet another, however less apparent fall in  $\sigma$  indicating again the detwinning process, the stress begins to step up marking the compression range where the martensite starts simultaneously to deform elastically. The compressive stress was elevated up to

600 MPa, and then, the specimen was gradually unloaded. Upon unloading, the specimen relaxed to the initial state going through the elastic relaxation of martensite first, which followed suite by retransformation to austenite when  $\sigma$  decreased to 124 MPa ( $\sigma_{A_i}$ ), marked in Fig. 1. The resulting transformation strain approximated from the plateau has the value of 4.9%, which approaches the 77% of the theoretical transformation strain  $\epsilon_{\text{transf.}}^{\text{theor.}} = \frac{(a_{\text{aust.}} - c_{\text{mart.}})}{a_{\text{aust.}}} \times \cos \alpha = 6.4\%$ , computed based on the lattice parameters of the martensite phase ( $c_{\text{mart.}}$ ) and austenite phase ( $a_{\text{aust.}}$ ) and the deviation angle  $\alpha$  from the ideal [001] orientation of the tested single-crystalline specimen [17, 19]. The stress hysteresis is circa 110 MPa. When concluding the experiment, there was no residual strain left when the load was removed entirely, confirming full reversibility of the specimen at the test temperature of 363 K.

In the forthcoming section, the influence of temperature on the stress-strain curves is further evaluated; however, prior to this discussion the effect of training on the critical transformation temperatures and the  $\Delta S^{\text{transf.}}$  is firstly examined. This is motivated on the one hand by the influence of the training process on the critical transformation temperatures [20] what then necessities a careful assessment of the thermal behavior of the trained Ni-Mn-Sn in order to ensure an appropriate choice of operating temperatures for strain studies. On the other hand, such an examination contributes valuable information in terms of  $\Delta S$  behavior pertinent to ECE. Henceforth, the specimen was subjected to mechanical training, which was conducted at room temperature according to the procedures described previously [16, 17]. In result, a single-variant and a two-variant single-crystalline samples were produced. Both samples were subsequently DSC scanned along with a self-accommodated, multivariant sample. The results of the DSC measurements are presented in Fig. 2. The measurement in each case was carried out by first cooling the sample to 100 K (no curves) and then recording the curves first on heating up to 473 K, then on cooling down to 100 K at which temperature the cycle was reversed. On the cooling and on the second heating runs, all three samples are found to exhibit typical exothermic and endothermic peaks referring to the forward and reverse MPTs. The peaks are typically broad, which is likely to stem from the single crystalline nature of the specimens [19].



**Figure 2** DSC curves recorded on heating and cooling for the Ni<sub>49.5</sub>Mn<sub>38.4</sub>Sn<sub>12.2</sub> single crystal in a self-accommodated, multi-variant state (bottom); a two-variant state (middle); a single-variant state (top).

Noteworthy on the first heating ramp, both endothermic peaks for the single-variant and the two-variant samples are shortened from the left side of the temperature axis as compared to the multivariant sample and to the second heating run for the same samples. The characteristic  $M_s$ ,  $M_f$ ,  $A_s$  and  $A_f$  temperatures are evaluated with the aid of the tangential method, and their values are given in Table 1, along with the peak temperatures of the forward and reverse MPTs. The values of the thermal hysteresis determined as  $\Delta T_{\text{hyst.}} = A_s - M_f$  are also provided. The table lists in addition the equilibrium temperature  $T_0$  evaluated as  $T_0 = \frac{1}{2}(M_s + A_f)$  [21]. The magnitudes of the transformation entropy changes for the

forward ( $\Delta S_{A \rightarrow M}^{\text{transf.}}$ ) and reverse ( $\Delta S_{M \rightarrow A}^{\text{transf.}}$ ) MPTs are also computed as

$$\Delta S_{A \rightarrow M}^{\text{transf.}} = \Delta H_{\text{DSC}}^{A \leftrightarrow M} / T_0, \tag{1}$$

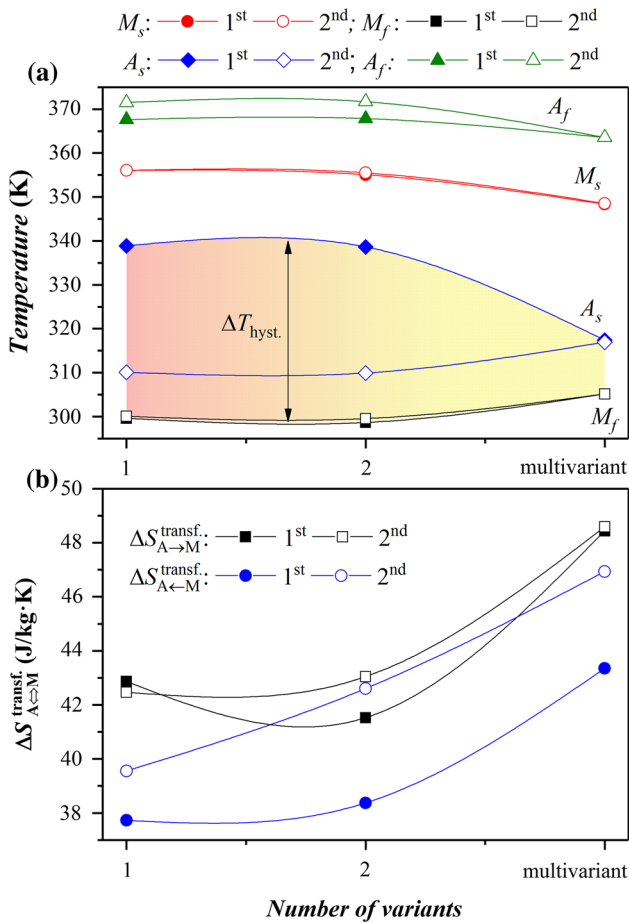
where  $\Delta H_{\text{DSC}}^{A \leftrightarrow M}$  is the latent heat measured by DSC [22] and presented in Table 1. For better illustration, the evolution of the characteristic  $M_s$ ,  $M_f$ ,  $A_s$  and  $A_f$  temperatures and the  $\Delta S_{A \rightarrow M}^{\text{transf.}}$  upon the first and second heating/cooling cycles are portrayed in Fig. 3a, b, respectively. From both Table 1 and Fig. 3a, it is noticed that the  $M_s$ ,  $M_f$  temperatures remain unscathed irrespective of the variant state of the sample. A minute increase in the  $M_s$  and a decrease in the  $M_f$  temperatures in the trained samples with respect to the untrained sample may result from the mechanical history of the former samples, whereby the training is coupled with dislocation emission and thus eases the MPT; hence, lower undercooling ( $M_s$ ) and lower overheating ( $M_f$ ) are necessary to induce the forward and reverse MPTs. On the other hand, the  $A_s$  temperatures for the single-variant and the two-variant samples increase abruptly upon the first heating cycle following strictly the compression tests. The  $A_s$  temperatures then assume lower values during the second heating experiment once the specimen has recovered the multivariant microstructure following the first heating experiment. The change in the  $A_s$  temperature between the first and second cycles, i.e. between the two-variant and/or a single-variant state and a multivariant condition is circa  $\Delta A_s = 29$  K. This effect may be understood in relation to the stress-induced stabilization of martensite [23–27], where in principle large lattice mismatch between the austenite and single-variant martensite

**Table 1** Characteristic martensite start ( $M_s$ ), martensite finish ( $M_f$ ), austenite start ( $A_s$ ) and austenite finish ( $A_f$ ) temperatures along with the peak temperatures of the forward ( $T_{pA \rightarrow M}$ ) and reverse ( $T_{pM \rightarrow A}$ ) martensitic transformations presented together with the values of the

equilibrium temperature  $T_0 = (M_s + A_f)/2$ , the transformation hysteresis  $\Delta T_{\text{hyst.}} = A_s - M_f$  and the magnitude of the transformation entropy changes upon forward ( $\Delta S_{A \rightarrow M}^{\text{transf.}}$ ) and reverse ( $\Delta S_{M \rightarrow A}^{\text{transf.}}$ ) MPTs

Specimen	Cycle	$M_s$	$M_f$	$A_s$	$A_f$	$T_{pA \rightarrow M}$ (K)	$T_{pM \rightarrow A}$	$A_s - M_f$	$T_0$	$\Delta S_{A \rightarrow M}^{\text{transf.}}$ (J/kg K)	$\Delta S_{M \rightarrow A}^{\text{transf.}}$
Multi-var.	1st	348	305	317	364	328	343	12	356	48.4	43.3
	2nd	348	305	317	364	328	343	12	356	48.6	46.9
Two-var.	1st	355	299	339	368	325	341	40	361	41.5	38.4
	2nd	355	300	310	372	324	339	10	364	43.0	42.6
Single-var.	1st	356	300	339	368	325	343	39	362	42.9	37.7
	2nd	356	300	310	372	324	341	10	364	42.5	39.6





**Figure 3** The critical  $M_s$ ,  $M_f$ ,  $A_s$  and  $A_f$  and transformation entropy changes dependencies on the variant state of the  $\text{Ni}_{49.5}\text{Mn}_{38.4}\text{Sn}_{12.2}$  single crystal.

upon reverse MPT requires a formation of twinned martensite plates at the interface region to accommodate local incompatibility between the parent and martensitic phases. As a result, thermally induced twinning stands in need of a more substantial overheating shifting the  $A_s$  to a higher temperature range. Interestingly, there is no further appreciable change in the  $A_s$  temperature between the two- and a single-variant state samples, most likely because in both cases the training has also produced a similar degree of stabilization. This strongly suggests that the coarse two-variant state cannot accommodate the elastic transformation strain, and thus, a more complex microstructure (self-accommodated-like) is needed to absorb the elastic energy along the habit plane. Similarly to the  $M_s$  and  $M_f$  temperatures, also the  $A_f$  temperature does not seem to respond to the stress-induced stabilization of martensite, which is more prone to affect the onset of the reverse

transformation. An inferior discrepancy in the  $A_f$  temperatures between the first and second cycles as well as a minute increase in the  $A_f$  temperature and a decrease in the  $A_s$  (second cycle) relative to the multivariant state can be as before linked to the mechanical history of the sample. Overall mechanical training has a profound effect on the  $A_s$  temperature during the first heating cycle, which restores the variant degeneracy. This is then reflected in an extended transformation hysteresis  $\Delta T_{\text{hyst}} = A_s - M_f = 40$  K, whereas in “normal” cases, that is without stabilization, trained and reheated specimens show slightly lower hysteresis than the original multivariant state (Table 1).

Noteworthy, the  $\Delta S^{\text{transf.}}$  for both the forward and reverse MPTs decreases with decreasing number of martensite variants, what presumably relates to the energy dissipation and a release of elastic strain energy triggered by microstructure refinement. On this occasion, the magnetic contribution to the  $\Delta S^{\text{transf.}}$  is neglected owing to the  $T_C^A < A_s$ , whose temperature separation evidently extends with training, and frequently it is this close proximity between both temperatures, which accounts for varying  $\Delta S^{\text{transf.}}$  in metamagnetic systems, where  $T_C^A \geq A_s$  [28]. In this instance, the difference in  $\Delta S^{\text{transf.}}$  between the forward and reverse transformations accounts for energy dissipation during the transformation process.

Based on the classical Clausius–Clapeyron relationship:

$$\Delta S^{\text{transf.}} = -v_0 \Delta \epsilon^{\text{transf.}} \left( \frac{dT_{\text{MPT}}}{d\sigma} \right)^{-1} \tag{2}$$

where the  $v_0$  is the specific volume,  $1.04 \times 10^{-4} \text{ m}^3/\text{kg}$  (adopting the lattice parameter of the austenite phase [19]),  $\Delta \epsilon^{\text{transf.}}$  is the martensitic transformation strain change, while the  $\frac{dT_{\text{MPT}}}{d\sigma}$  is the stress-driven shift in the MPT temperature ( $T_{\text{MPT}}$ ), the isothermal entropy change for a given  $\Delta \epsilon^{\text{transf.}}$  can be estimated and compared with the DSC results.

The  $\frac{dT_{\text{MPT}}}{d\sigma}$  is assigned the value of 0.19 K/MPa as derived from the inverse of the temperature dependence of  $\sigma_{M_s}$ , i.e.,  $\left( \frac{d\sigma_{M_s}}{dT} \right)^{-1}$ , what is discussed later on in the text. According to Fig. 1 and assuming that the  $\Delta \epsilon^{\text{transf.}} = 4.9\%$ , the entropy production amounts to  $\Delta S^{\text{transf.}} = 26.4 \text{ J/kgK}$ , which for the theoretical

$\Delta \varepsilon_{\text{theor.}}^{\text{transf.}} = 6.4\%$  can yield up to 34.3 J/kgK, exceeding  $\Delta S$  in the comparable giant elastocaloric materials, e.g., Ni-Mn-In showing  $\Delta S^{\text{transf.}} = 6.4$  J/kgK ( $\Delta \varepsilon^{\text{transf.}} = 1.1\%$ ) [11]. Within the thermodynamic framework, the total heat  $Q$  released or consumed upon the forward and reverse MPTs and measured by DSC breaks down to several inputs as outlined below [29]:

$$-Q = -\Delta H_{\text{chem.}}^{\text{aust.} \rightarrow \text{mart.}} + \Delta H_{\text{elas.}}^{\text{aust.} \rightarrow \text{mart.}} + E_{\text{fr.}} \quad (3)$$

where  $\Delta H_{\text{chem.}}^{\text{aust.} \rightarrow \text{mart.}}$  is the chemical enthalpy constituting the primary driving force for MPT remaining constant due to the diffusionless nature of MPT,  $\Delta H_{\text{elas.}}^{\text{aust.} \rightarrow \text{mart.}}$  is the elastic enthalpy associated with elastic strain accommodation opposing the progress of MPT, whereas the  $E_{\text{fr.}}$  is the frictional work resulting from the movement of habit planes, etc., which is irreversible and lost to the system upon the transformation. Given the value of  $T_0$  (Table 1), the chemical enthalpy for the forward and reverse MPTs can be estimated from the DSC measurements as [29]:

$$\Delta H_{\text{chem.}}^{\text{aust.} \rightarrow \text{mart.}}(T_0) = T_0 \int_{M_s}^{M_f} \frac{dQ_{\text{transf.}}}{T} \quad (4)$$

$$\Delta H_{\text{chem.}}^{\text{aust.} \leftarrow \text{mart.}}(T_0) = T_0 \int_{A_s}^{A_f} \frac{dQ_{\text{transf.}}}{T} \quad (5)$$

Alternatively, the chemical enthalpy can be also estimated with the aid of the Clausius–Clapeyron equation (Eq. 2) assuming  $\Delta \varepsilon_{\text{theor.}}^{\text{transf.}} = 7\%$ , for the ideal [001] orientation, i.e., when  $\alpha = 0$ , which then provides  $\Delta S_{\text{theor.}}^{\text{transf.}} = 37.7$  J/kgK, what then is multiplied by  $T_0$ , estimated for example for the multivariant sample, leads to:

$$-\Delta H_{\text{chem.}}^{\text{aust.} \rightarrow \text{mart.}} = T_0 \Delta S^{\text{transf.}} = 13.4 \text{ J/g.} \quad (6)$$

Next it is assumed that the dissipated  $E_{\text{fr.}}^{\text{aust.} \rightarrow \text{mart.}}$  is approximated by the stress–strain curve loop area (Fig. 1) according to: [30]

$$E_{\text{fr.}}^{\text{aust.} \rightarrow \text{mart.}} = \frac{\oint \sigma(\varepsilon) d\varepsilon}{\rho}, \quad (7)$$

where  $\rho$  is the alloy's density;  $\rho = 8.2 \times 10^3$  kg/m<sup>3</sup>. And hence  $E_{\text{fr.}}^{\text{aust.} \rightarrow \text{mart.}}$  for the  $\Delta \varepsilon^{\text{transf.}}$  attaining 4.9% (Fig. 1) is determined to be equal to 0.7 J/g. Extrapolating  $\Delta \varepsilon^{\text{transf.}}$  to  $\Delta \varepsilon_{\text{theor.}}^{\text{transf.}} = 7\%$ , the maximum frictional work accompanying the MPT is then estimated at 0.9 J/g. Given that the hysteresis loop area  $\oint \sigma(\varepsilon) d\varepsilon$  is calculated upon the continuous loading and unloading cycle, only half of the computed  $E_{\text{fr.}}^{\text{aust.} \rightarrow \text{mart.}}$

is taken for further evaluation of energy contribution [25]. Following from Eq. 3, it is now possible to estimate the elastic enthalpy contribution associated with the forward MPT:

$$\Delta H_{\text{elas.}}^{\text{aust.} \rightarrow \text{mart.}} = -Q_{\text{transf.}} + E_{\text{fr.}}^{\text{aust.} \rightarrow \text{mart.}} + T_0 \int_{M_s}^{M_f} \frac{dQ_{\text{transf.}}}{T}, \quad (8)$$

whereas for the reverse transformation:

$$\Delta H_{\text{elas.}}^{\text{aust.} \leftarrow \text{mart.}} = -Q_{\text{transf.}} - E_{\text{fr.}}^{\text{aust.} \rightarrow \text{mart.}} + T_0 \int_{M_s}^{M_f} \frac{dQ_{\text{transf.}}}{T}. \quad (9)$$

Here the  $\Delta H_{\text{chem.}}^{\text{aust.} \leftrightarrow \text{mart.}}$  is approximated employing Eq. 6 and hence  $\Delta H_{\text{elas.}}^{\text{aust.} \leftarrow \text{mart.}}$ :

$$\Delta H_{\text{elas.}}^{\text{aust.} \leftarrow \text{mart.}} = -Q_{\text{transf.}} \mp E_{\text{fr.}}^{\text{aust.} \rightarrow \text{mart.}} + T_0 \Delta S_{\text{C-C}}^{\text{transf.}}, \quad (10)$$

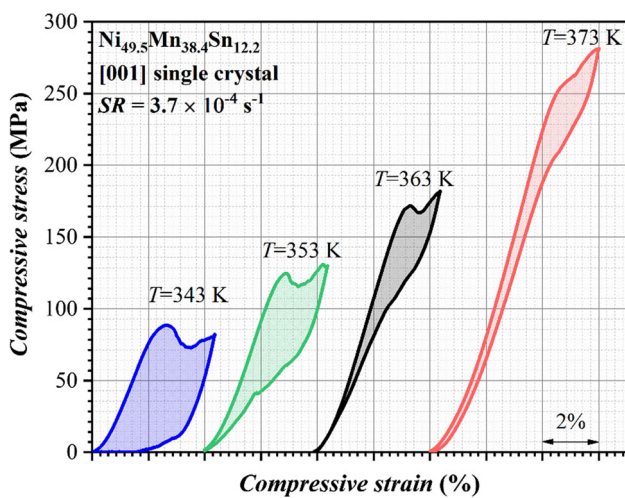
where for distinction  $\Delta S$  has been attributed with the C–C subscript to indicate that it has been computed according to Eq. 2. The values of both the chemical and elastic enthalpy contributions computed for the multivariant, two-variant and single-variant specimens are presented in Table 2. The chemical enthalpy has been estimated according to Eq. 6 (C–C) and according to Eqs. 4 and 5 (DSC). It is found that the absolute value of  $\Delta H_{\text{elas.}}$  is on the whole lower on the forward MPT rather than on the reverse transformation. Interestingly  $\Delta H_{\text{elas.}}$  on the reverse MPT for the trained two- and single-variant specimens is also found lower on the first cycle than on the second. This indicates that the training processes stimulate elastic strain energy dissipation. It then implies that the shift in the  $A_s$  temperature in the trained samples should be chiefly associated with lower surface energy entailing larger and less mobile phase interface and thus a greater energy barrier for austenite restoration [29, 31]. At this point, it is also worth reemphasizing that the currently observed stabilization effect primarily affecting the  $A_s$  temperature but having essentially no influence on the  $A_f$  temperature, which is reproducible on the first and second heating runs, somewhat differs from the more traditionally reported stabilization phenomena [23–27]. Typically the mechanical stabilization effect [23–27] refers to the shift in  $A_s$  well above the  $A_f$  of the destabilized state, unlike here. It thus corroborates that the mechanical modification of microstructure toward a two- or a single-variant state leading to a shift in the  $A_s$  temperature is linked to the release of

**Table 2** The forward ( $\Delta H_{chem.}^{aust. \rightarrow mart.}$ ) and reverse ( $\Delta H_{chem.}^{mart. \rightarrow aust.}$ ) chemical and elastic ( $\Delta H_{elas.}^{aust. \rightarrow mart.}$ ,  $\Delta H_{elas.}^{mart. \rightarrow aust.}$ ) enthalpies computed based on the Clausius–Clapeyron (C–C) relationship and DSC measurements (DSC) for the multivariant, two-variant and the single-variant Ni<sub>49.5</sub>Mn<sub>38.4</sub>Sn<sub>12.2</sub> single-crystal specimens

Energy input	Method	Cycle	Multivariant J/g	Two-variant	Single-variant
$\Delta H_{chem.}^{aust. \rightarrow mart.}$	C–C	1st	13.4	13.6	13.6
		2nd	13.4	13.7	13.7
	DSC	1st	18.8	16.6	17.1
		2nd	18.8	17.4	17.1
$\Delta H_{chem.}^{aust. \leftarrow mart.}$	DSC	1st	16.1	14.2	14.0
		2nd	17.5	16.5	15.4
$\Delta H_{chem.}^{aust. \rightarrow mart.}$	–	1st	3.4	0.9	1.4
	–	2nd	3.4	1.5	1.3
$\Delta H_{elas.}^{aust. \leftarrow mart.}$	–	1st	2.5	0.7	0.5
	–	2nd	3.8	2.3	1.2

the elastic strain energy stored in the multivariant state what then necessitates greater overheating to initiate retransformation and contributes to the shift in  $A_s$ .

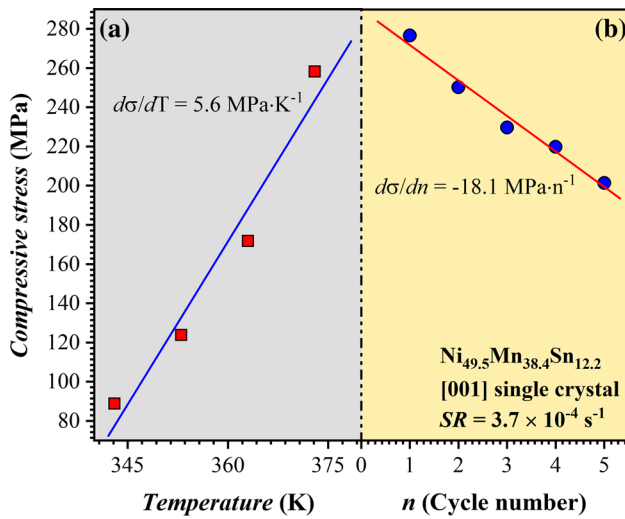
The temperature dependency of the compressive stress-compressive strain curves for the studied Ni<sub>49.5</sub>Mn<sub>38.4</sub>Sn<sub>12.2</sub> [001] single crystal was evaluated by performing the straining tests every 10 K starting from 343 K until 373 K. The results are given in Fig. 4. The maximum applied strain was limited to 4.3% for the cycles made at 343, 353 and 363 K, whereas for the cycle made at 373 K the maximum applied strain was increased to 6%. Before each cycle, the sample was heated up to 473 K and left for 10 min to equilibrate before cooling to the  $T_{test}$  at



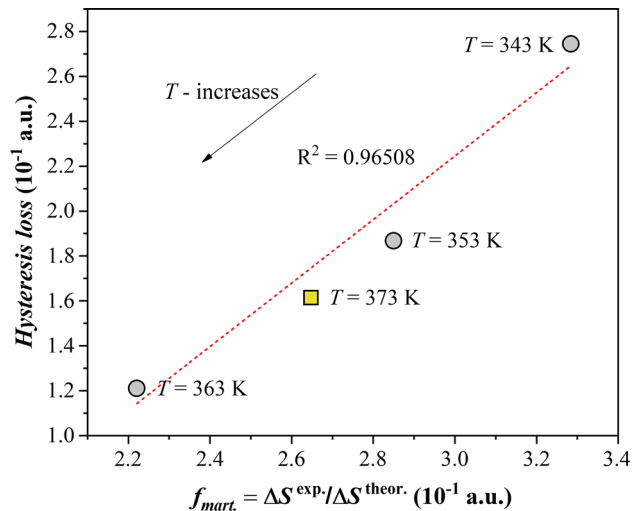
**Figure 4** Isothermal-compressive stress-strain curves measured at 343, 353, 363 and 373 K upon loading and unloading the Ni<sub>49.5</sub>Mn<sub>38.4</sub>Sn<sub>12.2</sub> single crystal at the strain rate of  $3.7 \times 10^{-4} \text{ s}^{-1}$ .

which temperature it was once again left for 10 min to stabilize.

With the exception for the sample tested at 343 K, all the other curves demonstrate a recoverable superelastic response (Fig. 4). The sample tested at 343 K fails to respond superelastically, since in this instance the test has been conducted at the  $T_{test} \leq M_s < A_f$  temperature regime, what implicates that even despite the initial overheating to 473 K after the compression the specimen remains partially in the martensite state and thus it is unable to completely retransform to austenite. Subsequent reheating to 473 K and then a careful inspection of the sample size confirmed that there was no permanent plastic deformation involved, and upon reheating a perfect shape memory effect took place. The residual strain was thus most likely associated with the detwinning of martensite. Otherwise with respect to the other test temperatures, the  $\sigma_{cr.}$  increases with increasing temperature what is ascribable to an expanding temperature gap between the  $T_{test}$  and  $M_s$  [32], and this phenomenon is well explained within the framework of the classical Clausius–Clapeyron relationship (Eq. 2). The linear dependence of the  $\sigma_{cr.}$  on temperature is portrayed in Fig. 5a, and it has the slope of  $5.6 \text{ MPa K}^{-1}$ , which is slightly below the  $\frac{d\sigma_{cr.}}{dT}$  found for the polycrystalline Mn<sub>48.7</sub>Ni<sub>42.1</sub>Sn<sub>9.2</sub> (5.8 MPa/K) [14] and exceeds the  $\frac{d\sigma_{cr.}}{dT}$  slope found for the Ni<sub>45</sub>-Mn<sub>36.5</sub>Co<sub>5</sub>In<sub>13.5</sub> [100] single crystal (2.1 MPa K<sup>-1</sup>) [33]. The stress hysteresis and hysteresis loop decreased with increasing  $T_{test}$  suggesting that with an increasing temperature and under the given strain-limited conditions less austenite transformed to martensite phase. This is well illustrated in Fig. 6 portraying hysteresis loss dependence on martensite



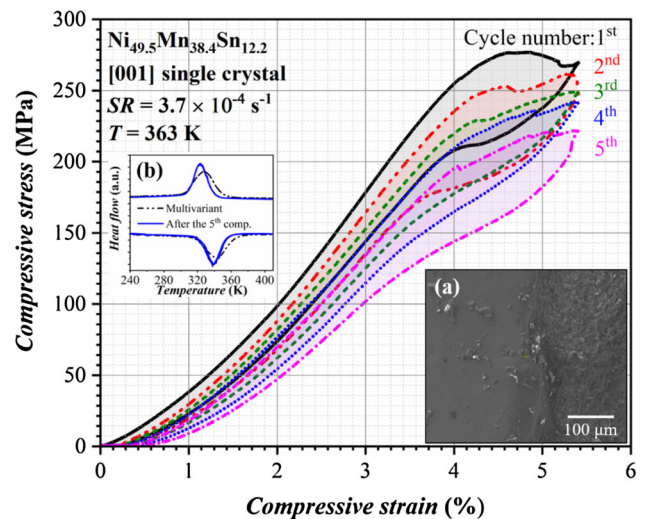
**Figure 5** Temperature-dependent (a) and cycle number-dependent (b) compressive stress for  $\text{Ni}_{49.5}\text{Mn}_{38.4}\text{Sn}_{12.2}$  single crystal.



**Figure 6** The MPT hysteresis loss determined as the loop area for the temperature-dependent compression tests versus martensite fraction ( $f_{\text{mart.}}$ ) determined as the ratio between the transformation entropy computed according to the Clausius–Clapeyron relationship for a given experimentally determined transformation strain ( $\Delta S_{\text{C-C}}^{\text{exp.}}$ ) and the theoretical  $\Delta S_{\text{C-C}}^{\text{theor.}}$  computed assuming  $\Delta \epsilon_{\text{theor.}}^{\text{transf.}} = 7\%$ . Circle denotes experimental points when the applied strain was limited to 4.3%, whereas square is associated with the test performed at 373 K when the strain was increased to 6%. See text for details.

fraction. The hysteresis loss in Fig. 6 is determined as the loop area for the temperature-dependent compression tests (Fig. 4), whereas the martensite fraction is determined as the ratio between the transformation entropy computed according to the Clausius–Clapeyron relationship for a given experimentally

determined transformation strain ( $\Delta S_{\text{C-C}}^{\text{exp.}}$ ) and the theoretical  $\Delta S_{\text{C-C}}^{\text{theor.}}$  computed assuming  $\Delta \epsilon_{\text{theor.}}^{\text{transf.}} = 7\%$ . Data points collected under test conditions when the applied strain was limited to 4.3% are presented as circles in Fig. 6, whereas the experimental point when the applied strain was increased to 6% is presented as a square. The fitting in Fig. 6 has been restricted to points determined at 343, 353 and 363 K. A parallel evolution between hysteresis loss and martensite fraction with temperature can be readily noticed from the figure, suggesting mutual correlation between these two parameters. In analogy to Fig. 4, the effect of cycling on the evolution of the compressive stress is presented in Fig. 7. The test was carried out at 363 K following preheating to 473 K and equilibration after cooling to 363 K. Afterward the cycles run continuously. From Fig. 7, it comes to light that the  $\sigma_{\text{cr.}}$  decreases with every next cycle. The slope (Fig. 5b) is determined to have the value of  $-18.1 \text{ MPa n}^{-1}$ , where  $n$  is the cycle number. The sample degraded following the fifth cycle, what made it impossible to determine the  $\frac{d\sigma_{\text{cr.}}}{dT}$  saturation point, since often following a certain number of cycles the  $\frac{d\sigma_{\text{cr.}}}{dT}$  slope is found to level off [34]. An SEM image portraying a typical brittle fracture, which was taken from the specimen after the fifth cycle test, is shown in the inset (a) in Fig. 7. Whereas the inset (b) in that figure shows the DSC scans measured for the initial multivariant state (Fig. 2) and for the



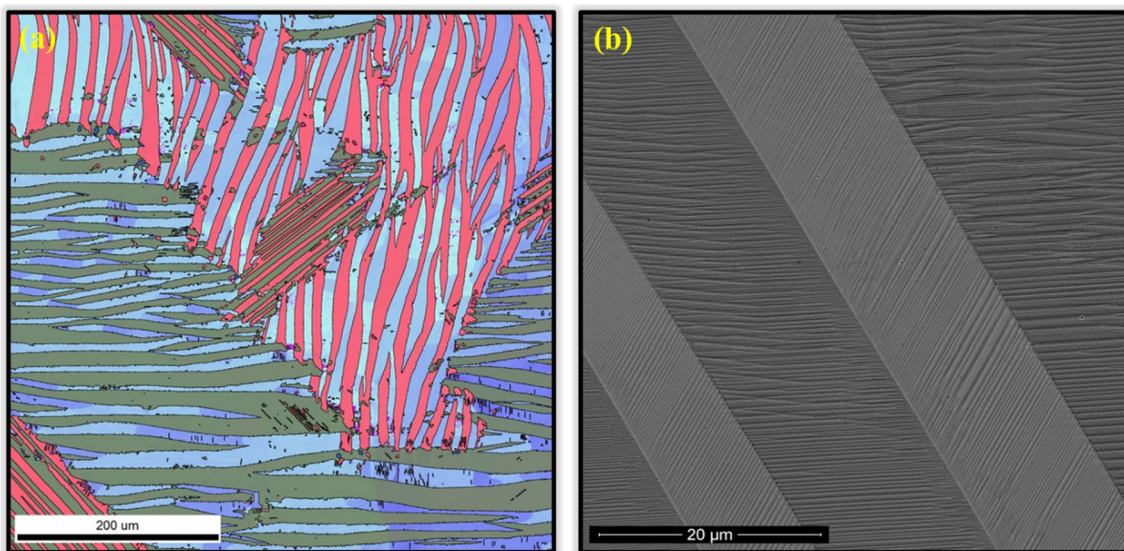
**Figure 7** Isothermal compressive stress-compressive strain curves repeated five times at 363 K upon loading and unloading the  $\text{Ni}_{49.5}\text{Mn}_{38.4}\text{Sn}_{12.2}$  single crystal at the strain rate of  $3.7 \times 10^{-4} \text{ s}^{-1}$ .



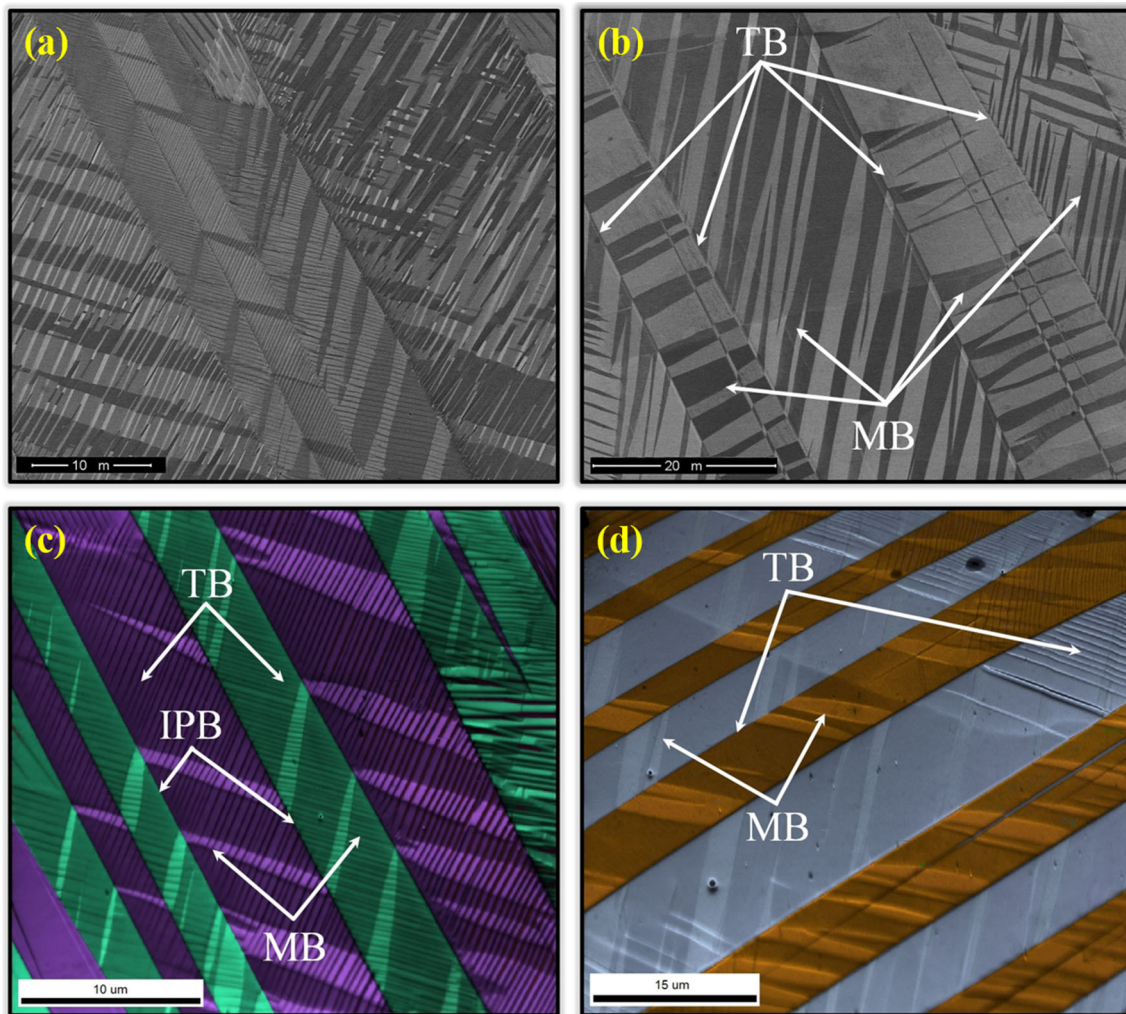
specimen after the fifth, degrading compression cycle (Fig. 7). After the fifth compression, the DSC peaks become narrower relative to the initial multivariant state, peaks were measured on two DSC cycles, and they show jerky characteristic and smaller peak area what altogether suggests discontinuities in the sample, consistent with observed fracturing. These results reiterate the critical issue, which lies in more general with ordered Heusler systems and which is their propensity to cracking and limited fatigue life. However, challenging this awkward limitation may turn out susceptible to engineering [23, 35].

The observed drop in the  $\sigma_{cr}$  with increasing cycle number is owed to the training phenomena [32], whereby the microstructure undergoes an appreciable makeover. The extent of microstructure change can be assessed based on Figs. 8 and 9 showing EBSD and BSE images taken from the  $\text{Ni}_{49.5}\text{Mn}_{38.4}\text{Sn}_{12.5}$  single crystal in a self-accommodated state (Fig. 8) and following superelastic training (Fig. 9). In a self-accommodated state, the microstructure is typically organized at several length scales and incorporates distinct sub-macro- and sub-microstructural features including twin variant colonies (TWC), interplate boundaries (IPB), twin boundaries (TB), etc., for more details see [17]. Following the superelastic training, the microstructure undergoes pronounced refinement. On the macroscale, the training results in the disappearance of TWC, whereas IPBs advance to a twin relation as visualized by distinct and straight

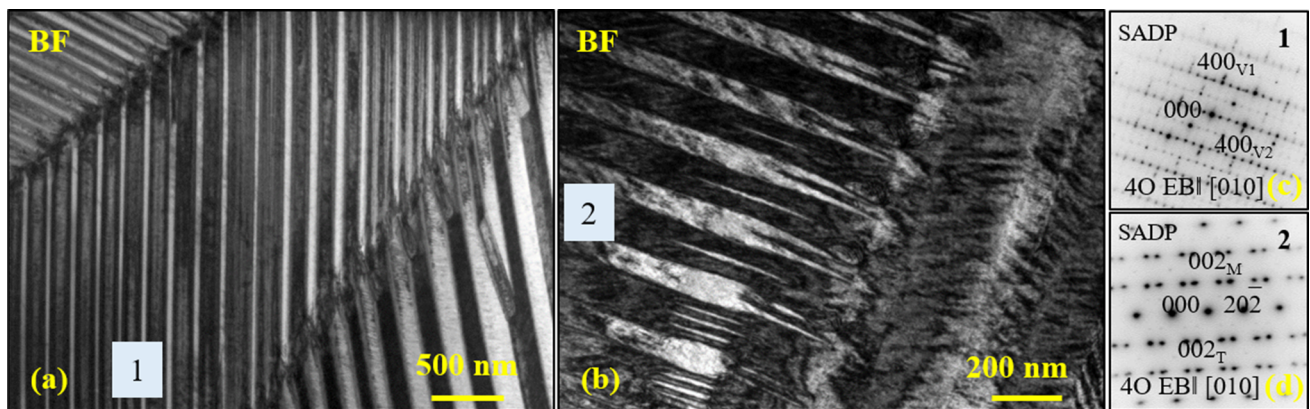
interplate interfaces. On the other hand, a much higher density of the (100) modulation boundaries (MB) [17] can be observed after superelastic cycling providing additional degree of freedom for lattice strain accommodation. They create parallel and cross-configurations showing large boundary curvatures and step-like character associated with strain accommodation (Figs. 9b–d). Comparing with the initial microstructure and that obtained after superelastic training, it can be concluded that a considerable amount of fine TBs are replaced by MBs. As a consequence of the removal of fine TBs (or partial removal, Fig. 9c), the thick IPB variants rotate toward the common  $K_1$  twinning plane. An analogous situation takes place in the case of a more conventional training process where detwinning of fine twins (shown in Fig. 8b) causes an additional lattice rotation bringing the major variants into perfect twin relation [17]. A closer inspection with a TEM demonstrates the extent of microstructure rearrangement on a sub-micrometer scale. Example bright-field (BF) TEM images are provided in Fig. 10a, b for illustration. The images were taken at room temperature from the superelastically cycled specimen after the fifth and degrading cycle. Clearly, the microstructure undergoes prominent refinement showing finer martensite plates with a more distinct and sharp interfaces relative to the self-accommodated state [17].



**Figure 8** EBSD image of a self-accommodated microstructure in the  $\text{Ni}_{49.5}\text{Mn}_{38.4}\text{Sn}_{12.2}$  single crystal (a) and the back-scattered electron (BSE) image (b) showing fine twin microstructure of chosen individual lamella observed in the EBSD map in a.



**Figure 9** BSE (a, b) and EBSD (c, d) microstructures taken from  $\text{Ni}_{49.5}\text{Mn}_{38.4}\text{Sn}_{12.2}$  single crystal following a superelastic compression test.



**Figure 10** BF images a, b together with corresponding SADPs c, d taken from the  $\text{Ni}_{49.5}\text{Mn}_{38.4}\text{Sn}_{12.2}$  single crystal after the fifth compression cycle.





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