NANOSTRUCTURED MATERIALS

Microstructural changes upon annealing in ODS-strengthened ultrafine grained ferritic steel

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Abstract In this study, the stability of grain size and oxide nanoparticles in the ODS steel upon annealing at high temperature (650-1350 °C) has been evaluated. The ODS Fe–Cr–W–Ti–Y₂O₃ steel has been manufactured by powder metallurgy, consolidated by hot isostatic pressing and processed by hydrostatic extrusion. Such a processing brings about ultrafine grain structure reinforced with oxide nanoparticles (few nm in diameter) and results in superior mechanical properties. The stability of nano-oxides has been analyzed by small angle X-ray scattering together with transmission electron microscopy. The results obtained revealed excellent thermal stability of ultrafine grained ODS ferritic steel, which was attributed to the resistance of oxides against coarsening.

Introduction

Ultrafine grained materials are attractive for advanced structural applications due to their high strength combined with acceptable ductility [1-3]. Their high strength results

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P. Kozikowski · M. Ohnuma · K. Suresh National Institute for Materials Science, 1-2-1 Sengen, Tsukuba 305-0047, Japan from small grain sizes as described by the Hall–Petch relationship. However, the small grain size implies inherent, relatively low thermal stability [4, 5] due to high energy accumulated primarily in the form of grain boundaries. In order to preserve high mechanical strength at elevated temperatures, migration of the grain boundaries need to be restricted. One of the strategies in this respect is to pin grain boundaries with dispersed oxides. Such a strategy was successfully used in microcrystalline oxide dispersion-strengthened ODS nickel or cobalt-based alloys [6, 7] intended for aircraft engines.

In the present work, we adopt ODS strategy to stabilize ultrafine grained structure of a reduced activation ferritic (ODS RAF) steel for fusion applications. Recent results show a beneficial effect of oxide nanoparticles on the high temperature thermal, creep, and irradiation resistance [8, 9]. However, this effect is observed only if these particles are sufficiently small and well dispersed in the ferritic matrix. On the other hand, during exposure to high temperature/creep, they may undergo coarsening, which results in a deterioration of the mechanical properties. Thus, the knowledge on high temperature changes in the microstructure of such steels has a fundamental meaning to determining the operating temperature window of the future fusion power reactors.

Direct observations of nanoparticles' coarsening are challenging as they require high resolution microscopy. On the other hand, statistically meaningful data can be obtained also indirectly with small angle X-ray scattering (SAXS) which is far from being a standard technique of characterization methods. These two methods were employed here to evaluate microstructural changes upon annealing in ODS strengthened ultrafine grained ferritic steel with a special emphasis on coarsening of oxide nanoparticles and its influence on the grain size stability.

Experimental procedure

Oxide dispersion strengthened-reduced activation ferritic Fe–14Cr–2W–0.3Ti–0.3 Y_2O_3 steel was manufactured from a pre-alloyed argon-atomized Fe–14Cr–2W–0.3Ti powder. Mechanical alloying (MA) was carried out in an attritor ball mill in hydrogen atmosphere. The metal powders were MA with 0.3 % Y_2O_3 nanoparticles (size from 20 to 40 nm). The resulting mixture was degassed and HIPped at 1150 °C under the pressure of 200 MPa for 3 h.

In order to improve the fracture impact properties of as-HIPped specimens were subjected to thermomechanical treatment by a Hydrostatic Extrusion, HE, at 900 °C under a pressure of ~ 1 GPa with a reduction ratio of 4.1. Such a processing results in reduced grain size and residual porosity, bringing about improved strength and impact properties [10]. Extruded samples were annealed at temperature ranging from 650 up to 1350 °C, for 1 h in argon atmosphere. After annealing, they were cooled slowly with a furnace to ambient temperature.

Chemical composition of the ODS steel after consolidation is summarized in Table 1. It can be noted that the excess oxygen content (E_x) , calculated from equation proposed in Ref. [11] does not exceed 0.1 %.

The microstructure of 14Cr ODS specimens at different steps of the manufacturing route was characterized by means of TEM. Microhardness was measured with a Vickers diamond pyramid under a load of 0.98 N for 15 s. Chemical analyses were performed by gas chromatography-mass spectrometry method as well as LECO TC-436 and LECO IR-412 analyzers.

The SAXS measurements were performed using two systems at the National Institute for Materials Science (NIMS). For the low-q-region from 0.07 to 1 nm⁻¹, a Bruker Nano-star was used with Cr K α ($\lambda = 0.22$ nm) radiation. For the high-q-region from 0.2 to 7 nm⁻¹, Rigaku Nano-viewer was used with Mo K α ($\lambda = 0.07$ nm). In order to obtain a good transmission rate the ODS samples with a size of 200 µm were mechanically ground to 30 µm in thickness. In the analyses, it was assumed that oxide nanoparticles are Y₂Ti₂O₇ (pyrochlore), as indicated by TEM-EDS analysis reported in Ref. [12, 13]. The scattering intensity, *I*(*q*), can be described by the following equation:

$$I(q) = \Delta \rho^2 d_N \int_0^\infty N(r) \left[V(r) F(q, r) \right]^2 \mathrm{d}r \tag{1}$$

where $\Delta \rho$ is difference between scattering length density between the particles and the matrix; dn and V(r) are number density and volume of the particles, respectively. F(q,r) is the form factor of the particles scatterers and N(r)is the normalized particles size distribution function. Based on the TEM observation particles have been assumed to have spherical shape, therefore their form factor equals:

$$F(q,r) = \frac{3 \left[\sin(qr) - (qr)\cos(qr)\right]}{(qr)^3}$$
(2)

The normalized particles size distribution function with radius r, was assumed to have a log-normal distribution. Having assumed the stoichiometry of the particles, form factor and size distribution function one can extract the volume fraction and number density from the SAXS data by Eq. (1).

It should be noted that SAXS provides global quantitative data on the size distribution of the precipitates as well as their volume fraction whereas the microscopy a local information on the particles' structure and their spatial distribution.

Results

SAXS measurements

Typical SAXS profiles for ODS steel samples after different thermomechanical treatments are shown in Fig. 1. For the samples annealed up to 1250 °C, the scattering is the same. This implies no visible changes in oxide particles. For the sample annealed at 1350 °C there is a shift in the curve in the scattering vector q range of 0.2–3 nm⁻¹. This indicates diffusional changes in size (coarsening) of nano-yttria taking place upon annealing at such a temperature.

The coarsening of oxide nanoparticles at 1350 °C is confirmed by the data in Fig. 2, which presents particle size distribution and their average diameters. By curve fitting to the experimental data, a sharp and narrow (0–6 nm) size distribution of the nanoparticles was revealed overlapping with a second broad one (0–14 nm). From these, one can see that oxide particles are stable up to 1250 °C in terms of the size distribution and the mean value (diameter of 2.6 nm). It should be also noted that the increase in the average particle diameter after annealing at 1350 °C is

Table 1 Chemical composition of the RAF ODS 14Cr steel after the consolidation by HE

Element	Fe	Cr	W	Ti	Mn	С	Al	Y	0	Ν	Н	E_x^*
wt%	83.1	13.6	1.92	0.33	0.38	0.06	0.15	0.26	0.17	0.018	27.4 (ppm)	0.0998

 $*E_x = T_{Ox} - 0.27 \times Y$, where; T_{Ox} and Y is the total oxygen and yttrium content (in wt%)



Fig. 1 SAXS profile of ODS steel after HE and HE + various HT

rather moderate, from 2.6 to 2.9 nm. On the other hand, such a small change in the diameter implies a twofold decrease in the number density at a constant volume fraction (see Fig. 3).

From the data obtained in SAXS measurements, the volume fraction and number density can also be determined, as illustrated in Fig. 3. The results show that the volume fraction does not change upon annealing and stays within the experimental error margin. On the other hand, the number density, which is equal to 9.2×10^{17} cm⁻³ for HE sample, drops to 5.8×10^{17} cm⁻³ after annealing at 1350 °C.

TEM observations

TEM observations revealed the presence of very small yttria nanoparticles homogenously distributed in the matrix



(see Fig. 4). Their average diameter, measured from TEM images with a computer image analyses, was found to be equal to about 3.0 nm which is in reasonable agreement with SAXS data. Semi-quantitative analyses of the TEM images of as-HE ODS steel, exemplified by the micrograph presented in Fig. 5a, suggest that more than 65 % of the nano-oxide particles have the size smaller than 5 nm.

It can be further noted that the annealing at 1050 °C does not cause any significant changes in the size and spatial distribution of the nanoparticles (see Fig. 4b). At the highest testing temperature, however, significant coarsening is observed as shown in Fig. 4c. This is in a good accordance with results reported by Miller et al. [12] who reported that the oxide nanoparticles were extremely resistant to coarsening during isothermal aging at 1300 °C. The coarsening of the nano-particles at 1350 °C is confirmed by the histogram of the particle size distribution presented in Fig. 5b.

Discussion

Coarsening mechanism of oxide nanoparticles

The results presented clearly show good thermal stability of oxides' nanoparticles up to annealing temperature of 1250 °C and their moderate growth at higher annealing temperatures. In order to have an insight into the kinetics of coarsening the results obtained in this study should be analyzed in conjunction with the one reported in the previous work [14], obtained for a long-term annealing at 750 °C. At this temperature, the particle size changes from 2.8 \pm 0.8 to 6.8 \pm 1.6 nm with a coarsening kinetics that can be described by the formula given by Lapin et al. [15]:

$$d_p = K \cdot t^m, \tag{3}$$

where K is the material constant of the diffusion coefficient and m is the time exponent. These results, presented in the





Fig. 4 TEM images of the nano-particles after: a HE, b HE + annealing at 1050 °C and c HE + annealing at 1350 °C

log-log coordinates (Fig. 6a), provide exponent m for oxides' nanoparticles being equal to ~0.3, which indicates that boundary diffusion plays a significant role in coarsening of the nanoparticles. Thus, the activation

energy of this process can be calculated from the Arrhenius relationship, as suggested in [16]:

$$d^3 - d_0^3 = K \cdot t \cdot \exp(-Q/RT) \tag{4}$$



Fig. 5 Histogram of the nano-particles size after: a HE and b HE and annealing at 1350 °C for 1 h in argon

Fig. 6 a Time exponent calculation and **b** Arrhenius relation showing the dependence of mean nanoparticle size as a function of inverse temperature





Fig. 7 Microhardness as a function of the annealing temperature

where *R* is the gas constant, *T* is the absolute temperature, *Q* is the activation energy of process, *K* is the material constant, and d_0 and *d* are the sizes of nanoparticles at the initial and final stages of process, respectively. This equation (4) can be reformulated to: $ln(d^3 - d_0^3) =$ $ln(K \cdot t) - Q/RT$, and after plotting as $ln(d^3 - d_0^3)$ vs. 1/T the activation energy of nanoparticle coarsening can be estimated as illustrated in Fig. 6b.

Interestingly, two different slopes of the curve in Fig. 6b can be distinguished below 1250 °C and above this temperature. The activation energy for these two temperature ranges is 40 and 535 kJ/mol, respectively. This may suggest two different mechanisms of nanoparticle coarsening. At lower temperatures, the activation energy is somewhat close to the activation energy of diffusion of interstitial atoms (e.g., C, O) in iron lattice. However, there is a kinetic limit for particle growth due to the unavailability of Y atoms which movement is restricted at such low temperatures. At higher temperature, the measured activation energy of diffusion of solute substitutional atoms (e.g., Y, Ti) in iron, which is \sim 400 kJ/mol [17, 18]. As a result relatively fast, diffusion-controlled particle growth takes place.

Grain size and hardness stability

Excellent thermal stability of oxide nanoparticles imparts a very good thermal stability of the ultrafine grained ODS ferritic steel as reflected by microhardness changes



Fig. 8 TEM images of the RAF ODS steel after HIPping and HE at 900 °C: a after HE, b HE + annealing at 1050 °C, and c HE + HT annealing at 1350 °C

(Fig. 7). Up to annealing at 1250 °C, no significant changes in the microhardness of the specimens were observed. After annealing at the temperature of 1350 °C, the microhardness drops from 460 to 325 $HV_{0.1}$ (approximately by 30 %).

Representative TEM images of the ODS ferritic steel after HE and annealing at various temperatures are shown in Fig. 8. As-HE ODS sample exhibits an inhomogeneous bimodal grain structure (Fig. 8a) with smaller grains of about 250 nm in equivalent diameter. The bigger grains span up to a few microns. Post HE annealing at 1150 °C (Fig. 8b) preserves the microstructure of the ODS steel almost unaffected. However, a significant increase in the volume fraction of bigger grains (the diameter of which is of the order of a few microns) was observed after annealing at the highest temperature of 1350 °C (see Fig. 8c).

It should be emphasized that the coarsening proceeds via the bigger grains coarsening, whereas the smaller grains remain almost unaffected. This observation can be explained by the interactions of the grain boundaries in ferritic matrix with the nanoparticles present in the material [19]. Especially, Y–Ti–O nanoparticles are expected to suppress grain growth imparting a very good thermal stability of the ODS ferritic steels [11, 12].

Conclusions

The results obtained in the present study allow to draw the following conclusions:

- Oxide dispersion strengthening enables excellent thermal stability of an ultrafine grained ferritic steel. The microstructure and mechanical properties remain stable up to annealing at 1250 °C for 1 h.
- The major factor influencing the stability of ODS steel is high resistance of oxide nanoparticles upon annealing. They remain stable in terms or size and size distribution up to annealing at 1250 °C for 1 h.
- The loss of microstructural stability at higher annealing temperature is related to the coarsening of oxide nanoparticles occurring at annealing temperature higher that 1300 °C.

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