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Italian Validation of Family Adaptability and Cohesion Scale (FACES IV) Short Version for Adolescents: SAD_FACES

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Abstract

This study validated the Italian short version of FACES-IV (Family Adaptability and Cohesion Scale) for adolescents, namely SAD_FACES. The scale assessed adolescents' perceptions of their families' adaptive and maladaptive functioning along the six dimensions of cohesion, flexibility, disengagement, enmeshment, rigidity and chaos as defined by the Circumplex Model of Marital and Family Systems. SAD_FACES was administrated to a sample of secondary school students (Age = 14–16 years; N = 446). The Family Communication Scale (FCS), measuring positive communication skills used in the family system, was also administered to assess external validity. ESEM was performed and evaluation of model fit was based on values of the comparative fit index (CFI), the Tucker-Lewis Index (TLI), and the root mean square error of approximation (RMSEA). The scores that were computed as the sum of the intended items and latent traits were both considered for each dimension. Results showed that SAD_FACES (24 items) has the same structure and internal consistency of the Italian version of FACES IV validated with adolescent samples. Implications for the validity and usage of a short scale for the assessment of adolescents' perception of family functioning and their wellbeing are discussed. Future research should validate SAD_FACES with different age cohorts of adolescents and belonging to different cultural contexts as well as consider clinical samples of adolescents. The agility of SAD_FACES could facilitate investigations with clinical samples of adolescents. Future research is needed in this area.

Keywords FACES IV short form · Adolescents · FACES IV cross-cultural validation · Family functioning · Italy

Highlights

- FACES IV short version was cross-culturally validated in a sample of Italian adolescents.
- SAD_FACES assessed adolescents' perception of family functioning on a 24-items scale.
- SAD FACES cross-cultural validation had the same structure and internal consistency of FACES IV.
- SAD_FACES could complement instruments with multiple scales as well as adolescents' clinical assessments.

The last version of the Family Adaptability and Cohesion Scale (FACES IV) was developed within the framework of the *Circumplex Model of Marital and Family Systems* with the aim to assess family adaptive and maladaptive functioning through a multi-dimensional approach (Olson 2011; Olson and Gorall 2006; Olson et al. 1979, 1989). The

Circumplex Model of Marital and Family Systems (Olson et al. 1989, 1979; Olson and Gorall 2003) has paved the way for the study of family functioning, namely the complex relational patterns that regulate everyday interactions among family members (Minuchin 1974). Cohesion and flexibility are the central dimensions of the model, plus communication as a facilitating dimension, which encompasses positive communication skills used in the family system (Barnes and Olson 1985).

Cohesion refers to the emotional closeness among family members; *flexibility* concerns the style of leadership and its expression in terms of roles, relationship rules and negotiations. Both cohesion and flexibility develop along a continuum with intermediate and extreme (low and high)



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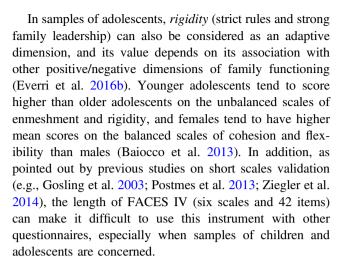
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levels. A low level of cohesion has been defined as *disen-gagement*, namely absent emotional bond; while a high level of cohesion has been defined as *enmeshment*, namely excessive emotional bond. A low level of flexibility has been defined as *rigidity*, namely strict family power, leadership, and rules; while a high level of flexibility has been defined as *chaos*, namely lax family power, leadership, and rules. Intermediate levels of cohesion and flexibility have been defined as balanced/moderate emotional closeness and balanced/moderate leadership.

FACES IV subscales were developed to tap the full continuum (high, intermediate, low levels) of cohesion and flexibility from the Circumplex Model. Six scales were developed, for a total of 42 items, with two balanced scales tapping intermediate levels of cohesion and flexibility and four unbalanced scales designed to tap, respectively low and high levels of cohesion (disengagement and enmeshment) and flexibility (chaos and rigidity). An additional scale on family communication assesses families' communication skills (Barnes and Olson 1985). Families showing intermediate/moderate levels of cohesion and flexibility were found to be well-functioning families (balanced families). Differently, families having either high or low levels of cohesion and flexibility, assessed through the unbalanced scales of FACES IV, have been found to be dysfunctional families (unbalanced families) (Everri et al. 2016b; Loriedo et al. 2013; Olson and Gorall 2006; Rivero et al. 2010). Additionally, research showed that communication is positively correlated with moderate cohesion and flexibility and negatively correlated with disengagement, enmeshment, rigidity, and chaos (Olson 2011).

In its initial version (Olson et al. 1985), FACES IV was validated and applied in samples of adults and young adults only (older than 18 years) to measure normative family functioning. However, its applicability in clinical contexts revealed to be useful for clients' initial assessment and integration with other diagnostic instruments (Loriedo et al. 2013; Olson 2011). A more recent strand of research has validated FACES IV with samples of adolescents (Baiocco et al. 2013; Everri et al. 2015, 2016a, 2016b). These studies demonstrated that FACES IV can provide further insights to the understanding of parent-child relationship as well as to the measurement of adolescents' wellbeing through the identification of risk factors during developmental transitions, such as adolescence (Everri et al. 2014; Larson et al. 1996). In fact, family functioning has been found to be connected with different aspects of adolescents' physical and psychological wellbeing as well as with school attainment (e.g., Berge et al. 2013; Shek 1997; Suldo and Huebner 2004). Consistently, balanced families can be considered as adaptive contexts for adolescents' positive development. However, when non-adult samples are concerned, additional aspects need to be taken into consideration.



Building upon research that have validated FACES IV in samples of adolescents (e.g., Baiocco et al. 2013; Everri et al. 2015, 2016a, 2016b; Henry et al. 2008), this study intended to provide a shorter and more agile version of the instrument to assess adolescents' perceptions of their family functioning. In so doing, we aimed to provide a tool for research with samples of adolescents as well as to further advance the literature on FACES IV validation in different age groups. Therefore, this study proposed and validated a short version of the FACES for adolescents, namely SAD FACES.

Following the validity approach (i.e, Grimm and Widaman 2012), we were concerned with both internal and external validity of the scale. For internal validity, we were primarily interested in the analysis of the dimensionality and reliability of the scale (given that items have been largely used in past research, we considered content validity as already established). Thus, we analysed the factor structure of the items and their reliability. For external validity, we focused on concurrent validity by investigating correlations of FACES dimensions with family communication. We expected that family communication would be positively correlated with balanced dimensions (intermediate cohesion and flexibility) and negatively correlated with unbalanced dimensions (disengagement, enmeshment, rigidity, and chaos). With explorative purposes, we investigated gender differences in mean scores of FACES IV dimensions.

Method

Participants

The study sample consisted of 453 adolescents. Seven participants were not included because their answers to all items of the questionnaire or more than 80% of them were missing. The final sample comprised 446 students (age: M = 14.37; SD = 0.75; gender: 105, 23.5% males; 339,



76% female, 2 missing) attending the first 2 years of high school in a region of Northern Italy.

The majority of adolescents were born in Italy (404, 90.6%), lived in two married parent households (344, 78%) and had siblings (342, 76.7%). The adolescents' families belonged to the upper-middle class, their parents had a junior high school diploma (mother: 16.4%, father: 27.9%), a high school diploma (mother: 56.9%; father: 50.6%), a master or postgraduate degree (mother: 26.6%, 14 missing; father 21.2%, 27 missing).

Procedure

Questionnaires were administered in the classrooms over 1 hour in the presence of the teacher in charge and the researcher. Written consents for adolescents' participation in the study were obtained by parents via a signed letter before starting the data collection. Participation was voluntary and anonymous, and participants were encouraged to answer individually and as truthfully as possible.

Measures

Item Selection

In order to select items to be retained in the short version of the FACES IV (SAD FACES), we used data from 277 adolescents to whom the Italian version (Baiocco et al. 2013) of the original FACES IV (Olson 2011) was administered for an unrelated research project. FACES IV contains 42 items assessed on a 5-point Likert scale that measures six dimensions: Two balanced subscales assess adaptive family functioning (i.e., intermediate levels of cohesion and flexibility), and four unbalanced subscales assess the highest and lowest extremes of the cohesion and flexibility continuum (respectively, enmeshment and disengagement, chaos and rigidity). As a reminder, disengagement refers to very low levels of cohesion and corresponds to the lowest extreme of the cohesion continuum; while enmeshment refers to very high levels of cohesion and corresponds to the highest extreme. Both represents maladaptive forms of cohesion and are considered unbalanced dimensions. Balanced cohesion, namely the adaptive form of cohesion, corresponds to an intermediate level between disengagement and enmeshment. The same principle applies for the dimension of flexibility. Thus, the dimensions of cohesion and flexibility do not represent simply the sum or the differences between different factors; rather, they represent the balanced score adjusted for the differences between unbalanced factors. Internal consistency in this study was similar to internal consistency of the Italian validation study (see Baiocco et al. 2013): balanced cohesion ($\alpha = 0.75$), balanced flexibility ($\alpha = 0.70$), enmeshment ($\alpha = 0.57$), disengagement ($\alpha = 0.77$), chaos ($\alpha = 0.57$), and rigidity ($\alpha = 0.73$).

We aimed to retain four items for each FACES dimension. Given that FACES has been widely used and proved to be valid and reliable, we assumed that all items equally contributed to content and face-value validities of the scale. Thus, we selected items based on their psychometric properties. We used Rasch analysis in order to detect items that better measured the intended latent trait, given that Rasch analysis aims to "maximize the homogeneity of the trait and to allow greater reduction of redundancy at no sacrifice of measurement information by decreasing items and/or scoring levels to yield a more valid and simple measure" (Granger 2007, p. 1122). More specifically, we retained the four items that, for each dimension, contributed more to the measurement of the dimension having the better index of infit and outfit—two diagnostic indexes assessing the extent to which items are near or far from expected value—and being well suited with the mono-dimensionality of each dimension.

Questionnaire

Socio-demographic data

This section comprised questions aimed at collecting information about: adolescents' age, gender, household composition (number of family components), family structure (e.g., cohabiting/married parents, separated parents, step-parents), and parents' educational qualification and profession.

Family functioning

Adolescents completed the short version of Family Adaptability and Cohesion Evaluation Scale for adolescents (SAD_FACES). SAD_FACES contained 24 items that assess the six dimensions of the Olson's model on a 5-point Likert scale (1 = not at all; 5 = definitely).

Family communication

To assess the concurrent validity of SAD_FACES, participants were also asked to complete the Family Communication Scale (FCS), which Barnes and Olson (1985) considered as a facilitating dimension for a positive family functioning. The FCS consists of 10 items measured on a five-point Likert scale where 1 indicates 'strongly disagree' and 5 'strongly agree' (Olson and Gorall 2006). We used the Italian version adapted by Baiocco and colleagues (Baiocco et al. 2013). Internal consistency was very good (Cronbach's $\alpha = 0.90$).

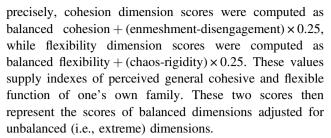


Data Analytic Plan

First, we checked for missing values on FACES and family communication items. Only 99 (0.65%) missing values appeared on the database. Albeit some items had more missing values than other items, visual inspection suggested that no missing patterns were detectable. We tested for possible correlation of missing data with sex and age of participants and, accordingly, we found differences neither between genders ($\chi^2(1) = 0.05$, p = 0.824) nor with respect to age (logistic regression b = -0.07, SE = 0.17, Z = -0.39, p = 0.696). Thus, we assumed that missing values were at random and multiply imputed them with predictive mean matching procedure that is suitable also for categorical and non-normal distributed data. Multiple imputation was performed with mice package (van Buuren and Groothuis-Oudshoorn 2011) and R software (R Core Team 2019).

To assess the dimensionality aspect of internal validity, the expected six-dimension structure was evaluated with exploratory structural equation modelling (ESEM; Asparouhov and Muthén 2009; Marsh et al. 2014). ESEM was performed using the weighted least square mean- and variance-adjusted (WLSMV) estimator that allows taking in account the ordinal nature of items and provides robust parameter estimates and standard errors. In the tested model, items loaded on their intended factors, whereas cross-loadings were estimated with oblique target rotation procedure (e.g., Tóth-Király et al. 2017). According to Comrey and Lee (2013), we considered factor loadings of 0.71, 0.63, 0.55, 0.45 and 0.32 as excellent, very good, good, fair and poor respectively. Evaluation of model fit was based on values of the comparative fit index (CFI), the Tucker-Lewis Index (TLI), and the root mean square error of approximation (RMSEA) considering both adequate and good thresholds (e.g., Marsh 2007; Perry et al. 2015). Specifically, values greater than 0.90 and 0.95 were considered respectively adequate and excellent for both CFI and TLI, while values smaller than 0.08 and 0.06 indicated adequate and excellent RMSEA. We reported also chisquared test, although its value can be inflated with large sample size, and the chi-squared/degree of freedom ratio that should be lower than 3. Structural equation modelling was performed with Mplus 8.1 (Muthén and Muthén 1998–2017).

Again considering internal validity, reliability of dimensions was assessed with Cronbach's alpha and zero-order correlations among dimensions were analyzed using Pearson's r. Moreover, for each dimension, we considered both the scores that were computed as the sum of the intended items and latent trait. Furthermore, following Olson et al.'s (2006) procedure, the sum scores were transformed in percentiles and then used to compute the score of both cohesion and flexibility dimensions. More



In order to consider external validity, we analysed zeroorder correlations between dimensions of the FACES and family communication with Person's product-moment correlation coefficient. Lastly, we explored gender differences on the FACES dimensions with multivariate analysis of variance. This was done with the exploratory purpose to observe whether gender would affect scores of FACES.

Power and sample size concerns

Rule of thumb suggests that an adequate sample size require more than 200 participants and/or a ratio of N to the number of variables in the model > 10. In our case, both conditions were satisfied (N = 446 and 24 items). However, given that there is not an agreement on sample size calculation for explorative and confirmatory factor analysis (e.g., Myers et al. 2011), we ran a Monte Carlo simulation using estimates from previous analysis as starting values. It is worth noting that Monte Carlo simulation for rotated solution risks tend to be incompatible with the notion of simplicity (Muthen and Muthen 1998-2017, see also Asparouhov and Muthén 2009). Thus, we ran Monte Carlo simulation (1000 replications) on a model in which latent dimensions were measured by the four intended items only. Results indicated that the smallest estimate that had a probability to be detected with 80% of power was 0.20. This seems to confirm that our sample size is large enough to supply adequate power.

Results

Internal Validity: Testing the Factor Structure of the FACES

ESEM results showed that the expected 6-dimension structure fitted the data well ($\chi^2(147) = 192.75$, $\chi^2/df = 1.31$, p = 0.007, CFI = 0.991, TLI = 0.984, RMSEA = 0.026, p = 0.999, 90%CI[0.015–0.036]). Table 1 shows standardized estimates for all items on all dimensions. As one can see, a clear six-factor structure emerged although some unexpected loadings appeared. In particular, one balanced cohesion item had poor fit on the balanced cohesion factor and a fair loading on balanced flexibility factor. Similarly, also one item from enmeshment dimension had



Table 1 ESEM standardized estimates for all items on all dimensions of FACES IV

	Cohesion	Flexibility	Disengagement	Enmeshment	Rigidity	Chaos
F1CO	0.51**	0.16**	-0.18**	-0.03	0.13**	0.06
F7CO	0.50**	0.12*	-0.25**	0.18**	0.01	-0.08
F20CO	0.41**	0.13**	-0.19**	0.23**	0.09*	-0.14**
F13CO	0.20**	0.46**	-0.14*	0.16**	-0.04	-0.14*
F24FL	-0.06	0.67**	-0.16**	0.05	0.11**	0.06
F22FL	0.11	0.67**	0.16**	0.05	0.04	-0.08
F8FL	0.14*	0.54**	-0.16**	-0.02	-0.06	0.09
F2FL	0.36**	0.32**	-0.01	0.02	0.10*	-0.07
F14DI	-0.04	0.11	0.86**	0.00	0.01	0.03
F3DI	-0.19**	-0.07	0.63**	0.06	-0.02	-0.02
F9DI	-0.25**	0.00	0.57**	0.06	0.03	0.21**
F18DI	0.12	-0.25**	0.45**	-0.01	0.03	0.18*
F21EN	0.06	-0.03	-0.04	0.72**	-0.01	-0.10
F15EN	-0.14*	-0.01	0.08	0.63**	-0.03	0.24**
F4EN	0.08	-0.04	0.14*	0.53**	-0.01	-0.12
F10EN	0.39**	-0.01	-0.05	0.21**	0.13**	0.16*
F5RI	-0.18**	-0.15**	-0.14**	-0.01	0.96**	0.05
F11RI	0.02	-0.08	-0.01	0.02	0.75**	0.16**
F23RI	-0.01	0.26**	0.10	-0.09	0.71**	-0.20**
F16RI	0.19**	0.04	0.22**	0.08	0.60**	-0.08
F6CH	-0.09	0.06	-0.01	0.01	0.04	0.66**
F12CH	-0.12	0.07	0.05	0.13*	-0.04	0.54**
F17CH	0.18**	-0.06	0.22**	-0.04	-0.05	0.58**
F19CH	-0.02	0.05	0.07	-0.01	-0.05	0.44**

p < 0.05; p < 0.01, N = 446

poor loadings. Additionally, one item of the balanced flexibility factor had fair loadings on both balanced flexibility and balanced cohesion factors. Albeit these unexpected loadings, we believe that the factor structure of the scale can be substantially confirmed.

Internal Validity: Analysis of the Dimensions of the FACES

For each latent dimension, score was computed as both sum of intended items and ESEM latent trait scores. Table 2 shows zero-order correlations, reliability indexes and descriptive statistics of the six dimensions of FACES (both sum and factor scores) and family communication. As one can see, scores that were computed as the sum of items were strongly correlated with latent trait scores (*rs* ranged from 0.89 to 0.98) suggesting that the four intended items supply most of the information that is supplied by all items on each dimension. Reliability of dimensions (when computed as sum of items) was satisfactory except for enmeshment and chaos. Reliabilities were not improved substantially by the exclusion of any item. In addition, correlations between dimensions were similar for sum and factor scores.

Additionally, the majority of correlations among the considered dimensions were in the expected direction. Cohesion and flexibility (balanced dimensions) were strongly and positively correlated, confirming concordance of functioning of balanced families. Cohesion was also negatively correlated with disengagement (lowest extreme of the cohesion continuum) and positively correlated with enmeshment (highest extreme of the cohesion continuum). The extreme unbalanced cohesion scales of disengagement and enmeshment were not significantly correlated. Similarly, balanced flexibility was negatively correlated with chaos (highest extreme of the flexibility continuum) and positively correlated with rigidity (lowest extreme of the flexibility continuum). Also in this case, unbalanced flexibility scales were not significantly correlated, confirming the independence and value of unbalanced extremes of both cohesion and flexibility (Olson 2011).

External Validity: Communication and Gender Differences

As expected, communication was positively correlated with balanced cohesion and balanced flexibility and negatively correlated with chaos and disengagement. Unexpectedly,



Table 2 Zero-order correlations, reliability indexes and descriptive statistics of FACES IV dimensions (both sum and factor scores) and family communication

		1	2	3	4	5 (9	7	8	6	10	11	12	13	14	15
Sum	Sum scores															
	Cohesion bal.	0.78		0.67** -0.55**	0.38**	0.28** -0.35**	-0.35**	0.91**	0.74**	-0.66**	0.51**	0.34**	-0.50**	0.97	0.56**	**9L'0
2	Flexibility bal.		0.71	-0.41**	0.30**	0.28**	-0.25**	0.63**	0.95	-0.47**	0.43**	0.32**	-0.41**	0.67	0.93**	0.71**
3	Disengagement			0.75	-0.05	-0.07	0.44**	-0.47**	-0.45**	0.92**	80.0-	-0.11*	0.55**	-0.59**	-0.31**	-0.52**
4	Enmeshment				0.52	0.16**	0.04	0.41**	0.32**	-0.07	0.92**	0.17**	0.02	0.50	0.30**	0.33**
2	Rigidity					0.79	-0.07	0.32**	0.27**	-0.10*	0.15**	0.98**	-0.10*	0.28*	0.02	0.22**
9	Chaos						0.57	-0.25**	-0.30**	0.47**	0.02	-0.09	**68.0	-0.34**	-0.03	-0.32**
ESE	ESEM factor scores															
7	Cohesion bal.								0.64**	-0.57**	0.45**	0.35**	-0.39**	0.90**	0.53**	0.70
∞	Flexibility bal.								_	-0.51**	0.47**	0.30**	-0.50**	0.73**	0.86**	0.74**
6	Disengagement										-0.12*	-0.16**	**09.0	-0.70**	-0.36**	-0.57**
10	Enmeshment											0.17**	-0.04	0.59**	0.43**	0.42**
11	Rigidity											/	-0.11*	0.33**	90.0	0.26**
12	Chaos												_	-0.49**	-0.21**	-0.50**
Dim	Dimension score															
13	13 Cohesion														0.56**	0.76**
4	Flexibility															0.62**
15	Communication															0.90
	M	14.13 13.14	13.14	86.9	8.52	10.59	7.39	-0.01	-0.01	0.04	0.02	0.02	0.03	52.58	53.80	3.44
	SD	3.90	3.80	3.32	3.06	3.90	3.05	0.83	98.0	0.83	0.80	0.89	0.80	36.24	26.99	0.94

Cronbach's alpha for raw scores and communication on the diagonal. N=446

p < 0.05; **p < 0.01



communication was positively correlated with the unbalanced scales of enmeshment and rigidity. It is worth noting, however, that communication was positively and significantly correlated with cohesion and flexibility dimensions (that are adjusted for unbalanced scores) and this suggests that, regardless of the degree of unbalance, moderately cohesive and flexible families are characterized by positive communication.

Lastly, we tested whether boys and girls differed in their scores on the six dimensions of FACES IV with multivariate analysis of variance. No significant multivariate effect appeared, Wilks' $\lambda = 0.976$, F(6,437) = 1.782, p = 0.101. Univariate results indicated that boys and girls differed only on the rigidity dimension (F(1,442) = 4.61, p = 0.032, $\eta^2 = 0.01$) with boys scoring higher (M = 2.83, SD = 0.86) than girls (M = 2.56, SD = 1.01). Similar results were obtained when considering factor scores.

Discussion and Conclusions

The present study intended to validate the Italian short version of FACES IV, an instrument used to assess family adaptive and maladaptive functioning. For this purpose, a sample of middle adolescents (14–16 years) was considered building upon recent studies that demonstrated the usefulness of FACES IV to assess youngsters' perceptions of their families' adaptive and maladaptive functioning (e.g., Everri et al. 2016a; Baiocco et al. 2013).

The analysis showed that the factor structure and dimensions reliability of the SAD FACES confirmed FACES IV structure validated with samples of Italian adolescents. In addition, the majority of correlations among the considered dimensions were similar to those found in previous studies with adolescent samples using FACES IV. Enmeshment and rigidity, instead, showed positive correlations with balanced cohesion and flexibility. However, the positive correlation between balanced cohesion and enmeshment is consistent with previous studies that found both significant (Loriedo et al. 2013) and non significant but positive correlations (e.g., Baiocco et al. 2013; Graça Pereira and Teixeira 2013) between these two dimensions. As for rigidity, previous studies found that according to adolescents rigidity can be considered as a positive dimension of family functioning (Everri et al. 2015, 2016a, 2016b). This was confirmed by the observed positive correlation of rigidity with balanced flexibility and family communication. Therefore, the short version of FACES IV (SAD_FACES) allows for the measurement of family functioning, especially emotional closeness and leadership and rules, relying on a limited number of items (24 items versus 42 items). This can bring several advantages to researchers willing to carry out research studies with samples of adolescents.

First, the agile structure of SAD_FACES can allow researchers to develop instruments which can include different scales avoiding 'side effects', such as the lack of the adolescents' attention when compiling long questionnaires, thereby putting less efforts in providing appropriate responses or skipping answers. Second, SAD_FACES can be a useful complement to investigations assessing the association of family functioning with other variables concerned with adolescents' wellbeing (e.g., Berge et al. 2013; Shek 1997; Suldo and Huebner 2004). Third, the short version of FACES IV allows for a more agile assessment of adolescents' individual wellbeing in relation to contextual dimensions that define adaptive or maladaptive family functioning.

Limitations and Future Research

Despite the strengths highlighted above, this validation study has some limitations, starting from the focus on a sample of middle adolescents belonging to a specific sociocultural context such as Italy. Future research should look at the validation of FACES IV across different age cohorts of adolescents, for instance 11–13 years and 17–19 years, and belonging to different cultural contexts. This could shed light on the observed association among the dimensions of the circumplex model. Moreover, further evidence is needed on the usage of FACES with clinical samples of adolescents; the agility of SAD_FACES, as stressed above, could facilitate investigations with this cohort.

Compliance with Ethical Standards

Conflict of Interest The authors declare that they have no conflict of interest.

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