



LLT polynomials, elementary symmetric functions and melting lollipops

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Abstract

We conjecture an explicit positive combinatorial formula for the expansion of unicellular LLT polynomials in the elementary symmetric basis. This is an analogue of the Shareshian–Wachs conjecture previously studied by Panova and the author in 2018. We show that the conjecture for unicellular LLT polynomials implies a similar formula for vertical-strip LLT polynomials. We prove positivity in the elementary symmetric basis for the class of graphs called “melting lollipops” previously considered by Huh, Nam and Yoo. This is done by proving a curious relationship between a generalization of charge and orientations of unit-interval graphs. We also provide short bijective proofs of Lee’s three-term recurrences for unicellular LLT polynomials, and we show that these recurrences are enough to generate all unicellular LLT polynomials associated with abelian area sequences.

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1 Introduction

1.1 Background on LLT polynomials

LLT polynomials were introduced by Lascoux, Leclerc and Thibon in [24] and are q -deformations of products of skew Schur functions. An alternative combinatorial model for the LLT polynomials was later introduced in [16] while studying Macdonald polynomials. In their paper, LLT polynomials are indexed by a k -tuple of skew shapes. In the case each such skew shape is a single box, the LLT polynomial is said to be *unicellular LLT polynomial*. Such unicellular LLT polynomials are the main topic of this paper.

1.2 Background on chromatic symmetric functions

In [7] Carlsson and Mellit introduced a more convenient combinatorial model for unicellular LLT polynomials, indexed by (area sequences of) Dyck paths. They also highlighted an important relationship using plethysm between unicellular LLT polynomials and the chromatic quasisymmetric functions introduced by Shareshian and Wachs in [30].

The chromatic quasisymmetric functions refine the chromatic symmetric functions introduced by Stanley in [28]. The Stanley–Stembridge conjecture [27] states that such chromatic symmetric functions associated with *unit-interval graphs*, and more generally, incomparability graphs of $3 + 1$ -free posets are positive in the elementary symmetric basis, or e -positive for short. Their conjecture was refined with the introduction of an additional parameter q in [30]. The class of graphs for which this conjecture is believed to hold was later extended to the class of *circular unit-interval graphs* in [11,12] where it is conjectured that the chromatic quasisymmetric functions expanded in the e -basis have coefficients in $\mathbb{N}[q]$, see Conjecture 13. To this date, there is still not even a conjectured combinatorial formula for the e -expansion of the chromatic symmetric functions.

The idea of studying LLT polynomials in parallel with chromatic quasisymmetric functions originated in [7], although the connection is perhaps in hindsight apparent in the techniques used in [16]. We also mention an interesting paper by Haglund and Wilson [20] explores the connection between the integral-form Macdonald polynomials and the chromatic quasisymmetric functions.

1.3 Main results

In [1], we stated an analogue of the Shareshian–Wachs conjecture regarding e -positivity of unicellular LLT polynomials, $G_{\mathbf{a}}(\mathbf{x}; q + 1)$ and proved the conjecture in a few cases. We also provided many similarities between unicellular LLT polynomials and chromatic quasisymmetric functions associated with unit-interval graphs. The problem of e -positivity of unicellular LLT polynomials is the main topic of this article.

The main results are:

- We present a precise conjectured combinatorial formula for the e-expansion of $G_{\mathbf{a}}(\mathbf{x}; q + 1)$. Our conjecture states that the unicellular LLT polynomial $G_{\mathbf{a}}(\mathbf{x}; q)$ is given as

$$G_{\mathbf{a}}(\mathbf{x}; q + 1) := \sum_{\theta \in O(\mathbf{a})} q^{\text{asc}(\theta)} e_{\bar{\pi}(\theta)}(\mathbf{x}). \tag{1}$$

where $O(\mathbf{a})$ is the set of orientations of the unit-interval graph with area sequence \mathbf{a} , and $\bar{\pi}(\theta)$ is an explicit partition-valued statistic on such orientation. This formula can be extended to vertical-strip LLT polynomials and has been verified on the computer for all unit-interval graphs up to 10 vertices. This formula is surprising, as there is still no analogous conjectured formula for chromatic symmetric functions. A possible application of (1) is to find a positive combinatorial formula for the Schur-expansion of $G_{\mathbf{a}}(\mathbf{x}; q)$.

- We prove in Corollary 32 that the conjectured formula (1) implies a generalized formula for the so-called *vertical-strip LLT polynomials*. Furthermore, we prove that (1) holds for the family of complete graphs and line graphs.
- Analogous recursions for the unicellular LLT polynomials are given by Lee in [23]. We give short bijective proofs of these recurrences and show that all graphs associated with abelian Hessenberg varieties can be computed recursively via Lee’s recurrences, starting from unicellular LLT polynomials associated with the complete graphs.
- In Sect. 5, we prove that the transformed Hall–Littlewood polynomials $H_{\lambda}(\mathbf{x}; q + 1)$ are positive in the complete homogeneous basis. This implies that a corresponding family of vertical-strip LLT polynomials are e-positive. Note that vertical-strip LLT polynomials appear in diagonal harmonics, see for example [5, Section 4] and [4,17]. Consequently, (1) provides support for some of the conjectures regarding e-positivity in these references. We note that the authors of a recent preprint [14] also independently found the conjecture in (1). The e-positivity part of the conjecture has since been proved by M. D’Adderio in [8]. We remark that e-positivity is very rare in reality, see [26] for details.
- In Sect. 6, we prove a curious identity between a generalization of charge, denoted $\text{wt}_{\mathbf{a}}(T)$, and the set of orientations, $O(\mathbf{a})$, of a unit-interval graph $\Gamma_{\mathbf{a}}$. It states that

$$\sum_{\lambda \vdash n} \sum_{T \in \text{SYT}(\lambda)} (q + 1)^{\text{wt}_{\mathbf{a}}(T)} s_{\lambda}(\mathbf{x}) = \sum_{\theta \in O(\mathbf{a})} q^{\text{asc}(\theta)} e_{\sigma(\theta)}(\mathbf{x}), \tag{2}$$

where $\text{asc}(\cdot)$ and $\sigma(\cdot)$ are certain combinatorial statistics on orientations. This version of charge was considered in [19] in order to prove Schur positivity for unicellular LLT polynomials in the melting lollipop graph case.

As a consequence, we get an explicit positive e-expansion the case of *melting lollipop graphs* which has previously been considered in [19]. The corresponding family of chromatic quasisymmetric functions was considered in [10] where they were proved to be e-positive. Note, however, that the statistic $\bar{\pi}(\theta)$ in (1) and $\sigma(\theta)$ in (2) are different.

The paper is organized as follows. We first introduce the family of unicellular- and vertical-strip LLT polynomials and some of their basic properties. In Sect. 3, we prove several recursive identities for such LLT polynomials. In particular, we show that the recursions by Lee [23] can be used to construct unicellular LLT polynomials indexed by any abelian area sequence.

Some vertical-strip LLT polynomials are closely related to the transformed Hall–Littlewood polynomials. In Sect. 5, we show that the transformed Hall–Littlewood polynomials $H_\lambda(\mathbf{x}; q + 1)$ are h -positive, which gives further support for the main conjecture.

In Sect. 6, we study the relationship between a type of generalized cocharge introduced in [19] and e -positivity. This provides a proof that unicellular LLT polynomials given by melting lollipop graphs are e -positive.

Finally in Sect. 7, we describe a possible approach to prove (1) by a comparison in the power-sum symmetric basis.

2 Preliminaries

We use the same notation and terminology as in [1]. The reader is assumed to have a basic background on symmetric functions and related combinatorial objects, see [25,29]. All Young diagrams and tableaux are presented in the English convention.

2.1 Dyck paths and unit-interval graphs

An *area sequence* is an integer vector $\mathbf{a} = (a_1, \dots, a_n)$ which satisfies

- $0 \leq a_i \leq i - 1$ for $1 \leq i \leq n$ and
- $a_{i+1} \leq a_i + 1$ for $1 \leq i < n$.

The number of such area sequences of size n is given by the Catalan numbers. Note that [19] uses a reversed indexing of entries in area sequences.

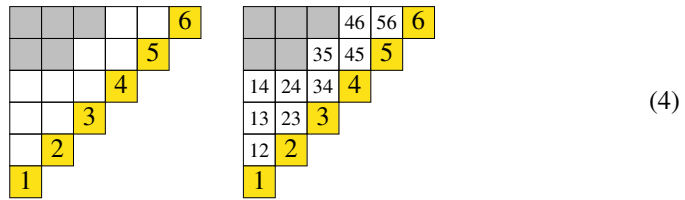
Definition 1 For every area sequence of length n , we define a *unit-interval graph* $\Gamma_{\mathbf{a}}$ with vertex set $[n]$ and the directed edges

$$(i - a_i) \rightarrow i, \quad (i - a_i + 1) \rightarrow i, \quad (i - a_i + 2) \rightarrow i, \dots, (i - 1) \rightarrow i \quad (3)$$

for all $i = 1, \dots, n$. We say that (u, v) with $u < v$ is an *outer corner* of $\Gamma_{\mathbf{a}}$ if (u, v) is not an edge of $\Gamma_{\mathbf{a}}$, and either

- $u + 1 = v$ or
- $(u + 1, v)$ and $(u, v - 1)$ are edges of $\Gamma_{\mathbf{a}}$.

Example 2 We can illustrate area sequences and their corresponding unit-interval graphs as *Dyck diagrams*, as is done in [1,15]. For example, $(0, 1, 2, 3, 2, 2)$ corresponds to the diagram



where the area sequence specify the number of white squares in each row, bottom to top. The squares on the main diagonal are the vertices of $\Gamma_{\mathbf{a}}$, and each white square corresponds to a directed edge of $\Gamma_{\mathbf{a}}$. In the second figure we see this correspondence where edge (i, j) is marked as ij . The outer corners of $\Gamma_{\mathbf{a}}$ are $(2, 5)$ and $(3, 6)$.

Caution We do not really distinguish the terms *area sequence*, *Dyck diagram* and *unit-interval graph*, as they all relate to the same objects. What term is used depends on context and what features we wish to emphasize.

Let $\Gamma_{\mathbf{a}}$ be an unit-interval graph with n vertices. We let \mathbf{a}^T denote the area sequence of $\Gamma_{\mathbf{a}}$ where all edges have been reversed, and every vertex $j \in [n]$ has been relabeled with $n + 1 - j$. This operation corresponds to simply transposing the Dyck diagram.

Lemma 3 (See [1]) *The entries in an area sequence \mathbf{a} is a rearrangement of the entries in \mathbf{a}^T .*

Most results in this paper concern a few special classes of area sequences.

Definition 4 An area sequence of length n is called *rectangular* if either $\mathbf{a} = (0, 1, 2, \dots, n - 1)$ or there is some $k \in [n]$ such that

$$a_i = i - 1 \text{ for } i = 1, 2, \dots, k \text{ and } a_j = j - k - 1 \text{ for } j = k + 1, k + 2, \dots, n.$$

This condition is equivalent with all non-edges forming a $k \times (n - k)$ -rectangle in the Dyck diagram. Furthermore, an area sequence \mathbf{a}' is called *abelian* whenever $a'_i \geq a_i$ for some rectangular sequence \mathbf{a} . For example, the area sequence in (4) is abelian.

The terminology is motivated by [21], where abelian area sequences are associated with abelian Hessenberg varieties.

We will also consider the following families of area sequences:

- The complete graphs, $(0, 1, 2, \dots, n - 1)$.
- The line graphs $(0, 1, 1, \dots, 1)$.
- Lollipop graphs, where

$$a_i = \begin{cases} i - 1 & \text{for } i = 1, \dots, m \\ 1 & \text{for } i = m + 1, \dots, m + n \end{cases}$$

for some $m, n \geq 1$.

- Melting complete graph,

$$a_i = \begin{cases} i - 1 & \text{for } i = 1, 2, \dots, n - 1 \\ n - k - 1 & \text{for } i = n \end{cases}$$

where $0 \leq k \leq n - 1$.

- Melting lollipop graphs, defined as

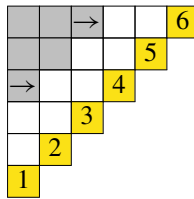
$$a_i = \begin{cases} i - 1 & \text{for } i = 1, \dots, m - 1 \\ m - 1 - k & \text{for } i = m \\ 1 & \text{for } i = m + 1, \dots, m + n \end{cases}$$

for $m, n \geq 1$ and $0 \leq k \leq m - 1$.

2.2 Vertical-strip diagrams

A vertical-strip diagram is a Dyck diagram where some of the outer corners have been marked with \rightarrow . We call such an outer corner a *strict edge*. These markings correspond to some extra oriented edges of $\Gamma_{\mathbf{a}}$. We use the notation $\Gamma_{\mathbf{a},\mathbf{s}}$ to denote a directed graph with some additional strict edges \mathbf{s} and refer to the graph $\Gamma_{\mathbf{a},\mathbf{s}}$ as a vertical-strip diagram as well.

Example 5 Below is an example of a vertical-strip diagram.



The edges (1, 4) and (3, 6) are strict of $\Gamma_{\mathbf{a},\mathbf{s}}$, and the directed edges of $\Gamma_{\mathbf{a}}$ (which are also edges of $\Gamma_{\mathbf{a},\mathbf{s}}$) are

$$\{(1, 2), (1, 3), (2, 3), (2, 4), (3, 4), (3, 5), (4, 5), (4, 6), (5, 6)\}.$$

Note that this is another example of a diagram with an abelian area sequence.

2.3 Vertical-strip LLT polynomials

Let $\Gamma_{\mathbf{a},\mathbf{s}}$ be a vertical-strip diagram. A *valid coloring* $\kappa : V(\Gamma_{\mathbf{a},\mathbf{s}}) \rightarrow \mathbb{N}$ is a vertex coloring of $\Gamma_{\mathbf{a},\mathbf{s}}$ such that $\kappa(u) < \kappa(v)$ whenever (u, v) is a strict edge in \mathbf{s} . Given a coloring κ , an *ascent* of κ is a (directed) edge (u, v) in $\Gamma_{\mathbf{a},\mathbf{s}}$ such that $\kappa(u) < \kappa(v)$. Note that strict edges do not count as ascents. Let $\text{asc}(\kappa)$ denote the number of ascents of κ .

Definition 6 Let $\Gamma_{\mathbf{a},\mathbf{s}}$ be a vertical-strip diagram. The *vertical-strip LLT polynomial* $G_{\mathbf{a},\mathbf{s}}(\mathbf{x}; q)$ is defined as

$$G_{\mathbf{a},\mathbf{s}}(\mathbf{x}; q) := \sum_{\kappa: V(\Gamma_{\mathbf{a},\mathbf{s}}) \rightarrow \mathbb{N}} \mathbf{x}^\kappa q^{\text{asc}(\kappa)} \tag{5}$$

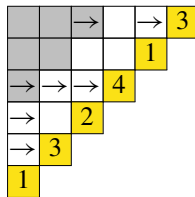
where the sum is over valid colorings of $\Gamma_{\mathbf{a},\mathbf{s}}$. Whenever $\mathbf{s} = \emptyset$, we simply write $G_{\mathbf{a}}(\mathbf{x}; q)$ and refer to this as a *unicellular LLT polynomial*.

As an example, here is $G_{0012}(\mathbf{x}; q)$ expanded in the Schur basis:

$$G_{0012}(\mathbf{x}; q) = q^3 s_{1111} + (q + q^2 + q^3) s_{211} + (q + q^2) s_{22} + (1 + q + q^2) s_{31} + s_4.$$

The polynomials $G_{\mathbf{a},\mathbf{s}}(\mathbf{x}; q)$ are known to be symmetric, and correspond to classical LLT polynomials indexed by k -tuples of skew shapes as in [16]. In fact, the unicellular LLT polynomials correspond to the case when all shapes in the k -tuple are single cells, and the vertical-strip case corresponds to k -tuples of single columns. This correspondence is proved in [1] and is also done implicitly in [7]. There is a close connection with the ζ map used by Haglund and Loehr, see [15,18].

Example 7 In the following vertical-strip diagram, we illustrate a valid coloring κ where we have written $\kappa(i)$ on vertex i . That is, $\kappa(1) = 1, \kappa(2) = 3, \kappa(3) = 2$, etc.



The strict edges and edges contributing to $\text{asc}(\kappa)$ have been marked with \rightarrow . Hence, κ contributes with $q^5 x_1^2 x_2^2 x_3^2 x_4$ to the sum in (5).

2.4 A conjectured formula

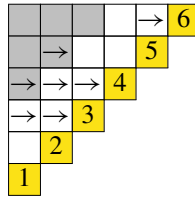
Definition 8 Let \mathbf{a} be an area sequence of length n and \mathbf{s} be some strict edges of $\Gamma_{\mathbf{a}}$. Let $O(\mathbf{a}, \mathbf{s})$ denote the set of orientations of the graph $\Gamma_{\mathbf{a}}$ (seen as an undirected graph) together with the extra directed edges in \mathbf{s} . Thus, the cardinality of $O(\mathbf{a}, \mathbf{s})$ is simply $2^{a_1 + \dots + a_n}$. If $\mathbf{s} = \emptyset$, we simply write $O(\mathbf{a})$ for the set of orientations of $\Gamma_{\mathbf{a}}$. Given $\theta \in O(\mathbf{a}, \mathbf{s})$, an edge (u, v) is an *ascending edge* in θ if it is oriented in the same manner as in $\Gamma_{\mathbf{a}}$. Let $\text{asc}(\theta)$ denote the number of ascending edges in θ . *Note that edges in \mathbf{s} are not considered to be ascending!*

We now define the *highest reachable vertex*, $\text{hrv}_{\theta}(u)$ for $u \in [n]$ as the maximal v such that there is a path from u to v in θ using only strict and ascending edges. Note that $\text{hrv}_{\theta}(u) \geq u$ for all u . The orientation θ defines a *set partition* $\pi(\theta)$ of the vertices of $\Gamma_{\mathbf{a}}$, where two vertices are in the same part if and only if they have the same highest reachable vertex. Let $\bar{\pi}(\theta)$ denote the *partition* given by the sizes of the sets in $\pi(\theta)$.

Let \mathbf{a} be an area sequence and \mathbf{s} be some strict edges of $\Gamma_{\mathbf{a}}$. Define the symmetric function $\hat{G}_{\mathbf{a},\mathbf{s}}(\mathbf{x}; q)$ via the relation

$$\hat{G}_{\mathbf{a},\mathbf{s}}(\mathbf{x}; q + 1) := \sum_{\theta \in O(\mathbf{a},\mathbf{s})} q^{\text{asc}(\theta)} e_{\bar{\pi}(\theta)}(\mathbf{x}). \tag{6}$$

Example 9 Below, we illustrate an orientation $\theta \in O(\mathbf{a}, \mathbf{s})$, where $\mathbf{a} = (0, 1, 2, 2, 2, 2)$ and $\mathbf{s} = \{(1, 4), (2, 5)\}$. As before, strict edges and edges contributing to $\text{asc}(\theta)$ are marked with \rightarrow .



We have that $\text{hrv}_\theta(2) = \text{hrv}_\theta(5) = \text{hrv}_\theta(6) = 6$ and $\text{hrv}_\theta(1) = \text{hrv}_\theta(3) = \text{hrv}_\theta(4) = 4$. Thus $\pi(\theta) = \{652, 431\}$ and the orientation θ contributes with $q^5 e_{33}(\mathbf{x})$ in (6). The full polynomial $\hat{G}_{\mathbf{a},\mathbf{s}}(\mathbf{x}; q + 1)$ is

$$\begin{aligned} &(4q^3 + 20q^4 + 41q^5 + 44q^6 + 26q^7 + 8q^8 + q^9)e_6 \\ &+ (2q^2 + 7q^3 + 9q^4 + 5q^5 + q^6)e_{33} + (2q^2 + 9q^3 + 16q^4 \\ &+ 14q^5 + 6q^6 + q^7)e_{42} + (4q^2 + 22q^3 + 48q^4 + 53q^5 + 31q^6 + 9q^7 + q^8)e_{51} \\ &+ (4q + 14q^2 + 18q^3 + 10q^4 + 2q^5)e_{321} + (q + 8q^2 + 20q^3 + 22q^4 + 11q^5 \\ &+ 2q^6)e_{411} + (1 + 3q + 3q^2 + q^3)e_{2211} + (q + 3q^2 + 3q^3 + q^4)e_{3111} \end{aligned}$$

Conjecture 10 (Main conjecture) *For any vertical-strip LLT polynomial $G_{\mathbf{a},\mathbf{s}}(\mathbf{x}; q)$, we have that $G_{\mathbf{a},\mathbf{s}}(\mathbf{x}; q) = \hat{G}_{\mathbf{a},\mathbf{s}}(\mathbf{x}; q)$.*

Note that this conjecture implies that $G_{\mathbf{a},\mathbf{s}}(\mathbf{x}; q + 1)$ is e-positive, with the expansion given as a sum over all orientations of $\Gamma_{\mathbf{a}}$. Such a conjecture was first stated in [1] but without a precise definition of $\overline{\pi}(\theta)$. Conjecture 10 is a natural analogue of the Shareshian–Wachs conjecture, [30,31], and therefore is also closely related to the Stanley–Stembridge conjecture [27,28]. There is also a natural generalization of Eq. (6) that predicts the e-expansion of the LLT polynomials indexed by circular area sequences considered in [1].

2.5 Properties of LLT polynomials

We use standard notation and let ω be the involution on symmetric functions that sends the complete homogeneous symmetric function h_λ to the elementary symmetric function e_λ or, equivalently, sends s_λ to $s_{\lambda'}$.

Proposition 11 (See [1]) *For any area sequence \mathbf{a} of length n ,*

$$\omega G_{\mathbf{a}}(\mathbf{x}; q) = q^{a_1+a_2+\dots+a_n} G_{\mathbf{a}^T}(\mathbf{x}; 1/q) \tag{7}$$

where \mathbf{a}^T denotes the transpose of the Dyck diagram.

In [1], we gave a proof that $\omega G_{\mathbf{a},s}(\mathbf{x}; q + 1)$ is positive in the power-sum basis. It also follows from a much more general result given in [2]. Note that if $f(\mathbf{x})$ is e-positive, then $\omega f(\mathbf{x})$ is positive in the power-sum basis. Later in Proposition 49, the power-sum expansion of $\omega G_{\mathbf{a},s}(\mathbf{x}; q + 1)$ is stated explicitly.

The following lemma connects the LLT polynomials with the chromatic quasisymmetric functions $X_{\mathbf{a}}(\mathbf{x}; q)$ introduced in [30]. The function $X_{\mathbf{a}}(\mathbf{x}; q)$ is defined exactly as $G_{\mathbf{a}}(\mathbf{x}; q)$, but the sum in Eq. (5) is taken only over *proper* colorings of $\Gamma_{\mathbf{a}}$, so that no monochromatic edges are allowed.

Lemma 12 (Adaptation of [7, Prop. 3.5]. See also [16, Sec. 5.1]) *Let \mathbf{a} be a Dyck diagram of length n . Then*

$$(q - 1)^{-n} G_{\mathbf{a}}[\mathbf{x}(q - 1); q] = X_{\mathbf{a}}(\mathbf{x}; q), \tag{8}$$

where the bracket denotes a substitution using plethysm.

From this formula, together with Conjecture 10, we have a novel conjectured formula for the chromatic quasisymmetric functions:

$$X_{\mathbf{a}}(\mathbf{x}; q) = \sum_{\theta \in O(\mathbf{a})} (q - 1)^{\text{asc}(\theta)} \frac{e_{\pi(\theta)}[\mathbf{x}(q - 1)]}{(q - 1)^n}. \tag{9}$$

Perhaps it is possible to do some sign-reversing involution together with plethysm manipulations to obtain the e-expansion of $X_{\mathbf{a}}(\mathbf{x}; q)$ and thus find a candidate formula for the Shareshian–Wachs conjecture.

Conjecture 13 (Shareshian–Wachs [30,31]) *There is some partition-valued statistic ρ on acyclic orientations of $\Gamma_{\mathbf{a}}$, such that*

$$X_{\mathbf{a}}(\mathbf{x}; q) = \sum_{\theta \in AO(\mathbf{a})} q^{\text{asc}(\theta)} e_{\rho(\theta)}(\mathbf{x}).$$

Here $AO(\mathbf{a})$ denotes the set of acyclic orientations of $\Gamma_{\mathbf{a}}$.

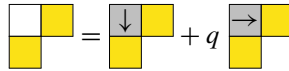
Note that the original Stanley–Stembridge conjecture is closely related to the $q = 1$ case, which was stated for the incomparability graphs of 3 + 1-avoiding posets.

Problem 14 Prove that the family $\hat{G}_{\mathbf{a}}(\mathbf{x}; q)$ defined in (6) fulfills the involution identity (7).

3 Recursive properties of LLT polynomials

We shall now cover several recursive relations for the vertical-strip LLT polynomials. Our proofs are bijective and directly use the combinatorial definition as a weighted sum over vertex colorings. We illustrate these bijections with Dyck diagrams where only the relevant vertices and edges are shown.

The reader thus is encouraged to interpret a diagram as a weighted sum over colorings, where decorations of the diagrams indicate restrictions of the colorings, or how the colorings contribute to $\text{asc}(\cdot)$. For example, given an edge ϵ of $\Gamma_{\mathbf{a},s}$, there are two possible cases. Either ϵ contributes to the number of ascents, or it does not. We can illustrate this simply as



where the white box is the edge ϵ and \downarrow indicates an edge that cannot be an ascent. Note that the vertices shown do not need to have consecutive labels—the intermediate vertices (and edges) are simply not shown. Shaded boxes are not edges of $\Gamma_{\mathbf{a}}$ and therefore do not contribute to ascents of the coloring. To conclude, the class of diagrams considered here may be described as follows:

- The white boxes are determined by some area sequence \mathbf{a} , so that each white box is an edge in $\Gamma_{\mathbf{a}}$.
- Every edge (box) is either white or shaded.
- Only white boxes contribute to the ascent statistic.
- A box (white or shaded) may contain an arrow, $a \rightarrow$ or \downarrow , imposing a strict or weak inequality requirement, respectively, on the colorings. In particular, a *white* box containing a \rightarrow is thus a sum over colorings where this particular edge must be an ascent.

Note that this is a slightly broader class of diagrams than the class of vertical-strip diagrams, as the additional arrows impose more restrictions on the colorings.

The following recursive relationship allows us to express vertical-strip LLT polynomials as linear combinations of unicellular LLT polynomials. Later in Proposition 31, we prove that the polynomials in Eq. (6) satisfy the same recursion. We use the notation $\mathbf{a} \cup \{\epsilon\}$ to describe the area sequence of the unit-interval graph where the edge ϵ has been added to the edges of $\Gamma_{\mathbf{a}}$. The notation $s \cup \{\epsilon\}$ for strict edges is interpreted in a similar manner.

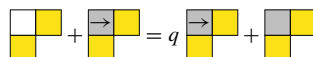
Proposition 15 *If $\Gamma_{\mathbf{a},s}$ is a vertical-strip diagram, and ϵ is a non-strict outer corner of $\Gamma_{\mathbf{a},s}$, then*

$$G_{\mathbf{a} \cup \{\epsilon\},s}(\mathbf{x}; q + 1) = G_{\mathbf{a},s}(\mathbf{x}; q + 1) + qG_{\mathbf{a},s \cup \{\epsilon\}}(\mathbf{x}; q + 1). \tag{10}$$

Proof By shifting the variable q , the identity can be restated as

$$G_{\mathbf{a} \cup \{\epsilon\},s}(\mathbf{x}; q) + G_{\mathbf{a},s \cup \{\epsilon\}}(\mathbf{x}; q) = qG_{\mathbf{a},s \cup \{\epsilon\}}(\mathbf{x}; q) + G_{\mathbf{a},s}(\mathbf{x}; q), \tag{11}$$

which in (as sum over colorings) diagram form can be expressed as follows. The two vertices shown are the vertices of ϵ .



The first and last diagram can be expanded into subcases,

$$\left(\begin{array}{|c|c|} \hline \downarrow & \square \\ \hline \square & \square \\ \hline \end{array} + q \begin{array}{|c|c|} \hline \rightarrow & \square \\ \hline \square & \square \\ \hline \end{array} \right) + \begin{array}{|c|c|} \hline \rightarrow & \square \\ \hline \square & \square \\ \hline \end{array} = q \begin{array}{|c|c|} \hline \rightarrow & \square \\ \hline \square & \square \\ \hline \end{array} + \left(\begin{array}{|c|c|} \hline \downarrow & \square \\ \hline \square & \square \\ \hline \end{array} + \begin{array}{|c|c|} \hline \rightarrow & \square \\ \hline \square & \square \\ \hline \end{array} \right)$$

and here it is evident that both sides agree. □

The above recursion seems to relate to certain recursions on Catalan symmetric functions, see [6, Prop. 5.6]. Catalan symmetric functions are very similar in nature to LLT polynomials.

3.1 Lee’s recursion

In Proposition 18, we prove a recursion on certain LLT polynomials. We then show that this relation is equivalent to Lee’s recursion, given in [23, Thm 3.4].

Definition 16 Let \mathbf{a} be an area sequence of length $n \geq 3$. An edge $(i, j) \in E(\Gamma_{\mathbf{a}})$, $3 \leq j \leq n$, is said to be *admissible* if the following four conditions hold:

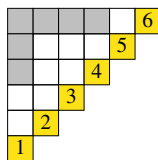
- $i = j - a_j$
- $j = n$ or $a_j \geq a_{j+1} + 1$
- $a_j \geq 2$,
- $a_i + 1 = a_{i+1}$.

The last condition is automatically satisfied if the first three are true and \mathbf{a} is abelian. Note that if (i, j) is admissible, then for all $k < i$ or $k > i + 1$ we have

$$(k, i) \in E(\Gamma_{\mathbf{a}}) \Leftrightarrow (k, i + 1) \in E(\Gamma_{\mathbf{a}}) \quad \text{and} \quad (i, k) \in E(\Gamma_{\mathbf{a}}) \Leftrightarrow (i + 1, k) \in E(\Gamma_{\mathbf{a}}). \tag{12}$$

These properties are crucial in later proofs.

Example 17 For the following diagram \mathbf{a} , the edge $(2, 5)$ is admissible.



Let \mathbf{e}_j denote the j th unit vector.

Proposition 18 Suppose (i, j) is an admissible edge of the area sequence \mathbf{a} , set $\mathbf{a}^1 := \mathbf{a} - \mathbf{e}_j$ and $\mathbf{a}^2 := \mathbf{a} - 2\mathbf{e}_j$ and $\mathbf{s}^1 := \{(i, j)\}$, $\mathbf{s}^2 := \{(i + 1, j)\}$. Then

$$G_{\mathbf{a}^1, \mathbf{s}^1}(\mathbf{x}; q) = q G_{\mathbf{a}^2, \mathbf{s}^2}(\mathbf{x}; q). \tag{13}$$

Proof We use the diagram-type proof as before, now only showing the vertices $i, i + 1$ and j . The identity we wish to show is then presented as

$$\begin{array}{|c|c|c|} \hline \rightarrow & \square & \square \\ \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array} = q \begin{array}{|c|c|c|} \hline \rightarrow & \square & \square \\ \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array}.$$

Proof We see that the left-hand side of (16) can be rewritten in diagram form using Eq. (10):

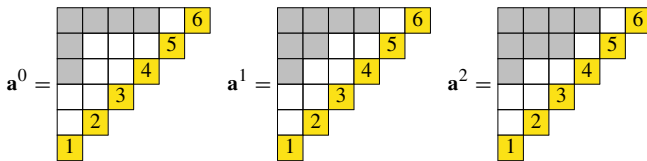
$$\text{LHS} = \begin{array}{|c|c|c|} \hline \square & \square & \blacksquare \\ \hline \square & \blacksquare & \square \\ \hline \blacksquare & & \square \\ \hline \end{array} - \begin{array}{|c|c|c|} \hline \square & \square & \blacksquare \\ \hline \square & \blacksquare & \square \\ \hline \blacksquare & & \square \\ \hline \end{array} = (q-1) \begin{array}{|c|c|c|} \hline \square & \square & \blacksquare \\ \hline \square & \blacksquare & \square \\ \hline \blacksquare & & \square \\ \hline \end{array}$$

The right-hand side is treated in a similar manner:

$$\text{RHS} = q \left(\begin{array}{|c|c|c|} \hline \square & \square & \blacksquare \\ \hline \square & \blacksquare & \square \\ \hline \blacksquare & & \square \\ \hline \end{array} - \begin{array}{|c|c|c|} \hline \square & \square & \blacksquare \\ \hline \square & \blacksquare & \square \\ \hline \blacksquare & & \square \\ \hline \end{array} \right) = q(q-1) \begin{array}{|c|c|c|} \hline \square & \square & \blacksquare \\ \hline \square & \blacksquare & \square \\ \hline \blacksquare & & \square \\ \hline \end{array}$$

The identity in (13) now implies that LHS = RHS. □

Example 21 As an illustration of Corollary 20, we have $(i, j) = (2, 5)$ and the following three Dyck diagrams.



3.2 The dual Lee recursion

There is a “dual” version of Corollary 20, obtained by applying ω to both sides of (16), and then apply the relation in (7). We shall now state this in more detail.

Definition 22 Let \mathbf{a} be an area sequence of length $n \geq 3$. An edge (i, j) is said to be *dual-admissible* if the edge $(n + 1 - j, n + 1 - i)$ is admissible for \mathbf{a}^T .

We can then formulate the dual versions of Proposition 18 and Corollary 20.

Proposition 23 (The dual Lee recursion) *Let \mathbf{a} be an area sequence for which (i, j) is dual-admissible and let $\mathbf{a}^0 := \mathbf{a}$, $\mathbf{a}^1 := \mathbf{a} - \mathbf{e}_j$ and $\mathbf{a}^2 := \mathbf{a} - \mathbf{e}_j - \mathbf{e}_{j-1}$. Then*

$$G_{\mathbf{a}^1, s^1}(\mathbf{x}; q) = qG_{\mathbf{a}^2, s^2}(\mathbf{x}; q) \tag{17}$$

and

$$G_{\mathbf{a}^0}(\mathbf{x}; q) - G_{\mathbf{a}^1}(\mathbf{x}; q) = q(G_{\mathbf{a}^1}(\mathbf{x}; q) - G_{\mathbf{a}^2}(\mathbf{x}; q)) \tag{18}$$

where $s^1 := \{(i, j)\}$ and $s^2 := \{(i, j - 1)\}$.

Proof sketch We can either prove these identities by applying ω as outlined above, or bijectively using diagrams. We leave out the details. □

Example 24 Proposition 18 applies in the following generic situation. Here, the edge (x, z) is an admissible edge. The crucial condition in (12) states that the area of the rows with vertices x and y in the diagram differs by exactly one.

$$(\mathbf{a}^1, \mathbf{s}^1) = \quad (\mathbf{a}^2, \mathbf{s}^2) = \quad (19)$$

Similarly, the dual recursion in Eq. (17) applies in the following situation, where (x, z) is a dual-admissible edge:

$$(\mathbf{a}^1, \mathbf{s}^1) = \quad (\mathbf{a}^2, \mathbf{s}^2) = \quad (20)$$

3.3 Recursion in the complete graph case

We end this section by recalling a recursion for LLT polynomials in the complete graph case.

Proposition 25 ([1, Prop.5.8]) *Let $G_{K_n}(\mathbf{x}; q)$ denote the LLT polynomial for the complete graph, where the area sequence is $(0, 1, 2, \dots, n - 1)$. Then*

$$G_{K_n}(\mathbf{x}; q) = \sum_{i=0}^{n-1} G_{K_i}(\mathbf{x}; q) e_{n-i}(\mathbf{x}) \prod_{k=i+1}^{n-1} [q^k - 1], \quad G_{K_0}(\mathbf{x}; q) = 1. \quad (21)$$

Lemma 26 *If \mathbf{a} is rectangular and the non-edges form a $k \times (n - k)$ -rectangle in the Dyck diagram, then $G_{\mathbf{a}}(\mathbf{x}; q) = G_{K_k}(\mathbf{x}; q)G_{K_{n-k}}(\mathbf{x}; q)$.*

Proof The unit-interval graph $\Gamma_{\mathbf{a}}$ is a disjoint union of two smaller complete graphs, so this now follows immediately from the definition of unicellular LLT polynomials. \square

For the remaining of this section, it will be more convenient to use the notation in [23], and index unicellular LLT polynomials of degree n with partitions λ that fit inside the staircase $(n - 1, n - 2, \dots, 2, 1, 0)$. We fix n and let the area sequence \mathbf{a} correspond to the partition λ where $\lambda_i = n - i - a_{n+1-i}$. Hence, λ is exactly the shape

of the (shaded) non-edges in the Dyck diagram. By definition, λ is abelian if it fits inside some $k \times (n - k)$ -rectangle.

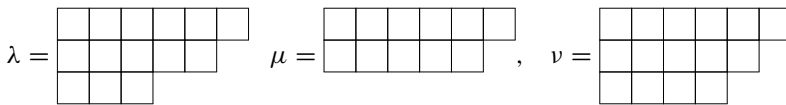
Lemma 27 (Follows from [19, Thm. 3.4]) *Let λ be abelian with $\ell \geq 2$ parts such that $\lambda_\ell < \lambda_{\ell-1}$. Let*

$$\mu = (\lambda_1, \lambda_2, \dots, \lambda_{\ell-1}) \quad \text{and} \quad \nu = (\lambda_1, \lambda_2, \dots, \lambda_{\ell-1}, \lambda_\ell + 1).$$

Then there are rational functions $c(q)$ and $d(q)$ such that $G_\lambda(\mathbf{x}; q) = c(q)G_\mu(\mathbf{x}; q) + d(q)G_\nu(\mathbf{x}; q)$.

Proof Use Corollary 20 repeatedly on row ℓ of μ . □

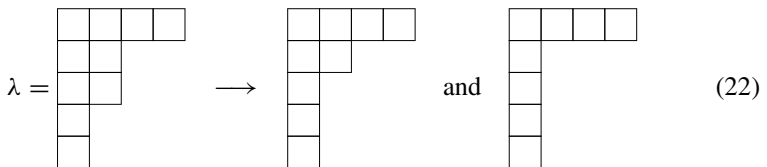
Example 28 To illustrate Lemma 27, we have the following three partitions:



Proposition 29 *Every $G_\lambda(\mathbf{x}; q)$, where λ is abelian, can be expressed recursively via Lee’s recurrences, as a linear combination of some $G_{\mu^j}(\mathbf{x}; q)$ where the μ^j are rectangular.*

Proof Let λ be abelian partition with exactly ℓ parts, so that it fits in a $\ell \times (n - \ell)$ -rectangle. We shall do a proof by induction over λ , and in particular its number of parts.

- (1) **Case $\lambda = \emptyset$.** This is rectangular by definition.
- (2) **Case $\lambda = (n - 1)$.** This is rectangular.
- (3) **Case $\ell = 1$.** Use Lemma 27 to reduce to Case (1) and Case (2).
- (4) **Case $\ell > 1$ and $\lambda_i \leq \ell - i$ for some $i \in [\ell]$.** The conditions imply that it is possible to remove a 2×1 or a 1×2 -domino from λ and obtain a new partition. Hence we can use Lee’s recursion to express $G_\lambda(\mathbf{x}; q)$ using polynomials indexed by two smaller partitions. For example, this case applies in the following situation:



- (5) **Case $\ell > 1$ and $\lambda_i > \ell - i$ for all $i \in [\ell]$.** Three things can happen here, and it is easy to see that this list is exhaustive.

- λ is rectangular and we are done.
- We can *add* a 2×1 or 1×2 -domino to λ without increasing ℓ and still obtain a partition. Similar to Case (4), we can therefore reduce to cases where $|\lambda|$ has *increased* by 1 and 2.

- Lemma 27 can be applied, thus reducing λ to a case where ℓ has strictly been decreased, and a case where λ has increased by one box.

Notice that Case (4) reduces *only* back to Case (4), or a case where ℓ is decreased, and the same goes for Case (5). There are therefore no circular dependencies among these cases and the induction is valid. \square

4 Recursions for the conjectured formula

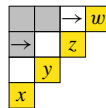
In this section, we prove that $\hat{G}(\mathbf{x}; q)$ also fulfills the recursion in Proposition 15. We use similar bijective technique as in Sect. 3, but *diagrams now represent weighted sums over orientations* as in Eq. (6). Note that we now also consider the shifted polynomial $\hat{G}_{\mathbf{a},s}(\mathbf{x}; q + 1)$.

Each diagram now represents a weighted sum over orientations, where the weight of a single orientation θ is $q^{\text{asc}(\theta)} e_{\pi(\theta)}$. The class of diagrams we now consider is as follows.

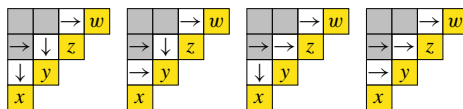
- The white boxes are determined by some area sequence.
- Every edge (box) is either white or shaded.
- Only white boxes contribute to the ascent statistic.
- A box (white or shaded) may contain an arrow, a \rightarrow or \downarrow , imposing a restriction on the orientations being summed over. In particular, a *white* box containing a \rightarrow is thus a sum over orientations where this particular edge must be an ascent.

Hence, each diagram represents a sum over 2^W orientations, where W is the number of white boxes not containing any arrow.

Example 30 Suppose the following diagram illustrates the entire graph. The diagram represents the weighted sum over all orientations of the non-specified edges (x, y) and (y, z) . The edge (x, z) is strict, and (z, w) is forced to be ascending. Remember that each ascending edge contributes with a q -factor.



There are four orientations in total,



which according to (6) give the sum $qe_{31} + q^2e_{31} + q^2e_4 + q^3e_4$.

In the diagrams below, only relevant vertices of the graphs are included.

Proposition 31 *If $\Gamma_{\mathbf{a},s}$ is a vertical-strip graph, with ϵ being a non-strict outer corner, then*

$$\hat{G}_{\mathbf{a}U\{\epsilon\},s}(\mathbf{x}; q + 1) = q\hat{G}_{\mathbf{a},sU\{\epsilon\}}(\mathbf{x}; q + 1) + \hat{G}_{\mathbf{a},s}(\mathbf{x}; q + 1). \tag{23}$$

Proof In diagram form, this amounts to showing that orientations of the diagram in the left-hand side can be put in q -weight-preserving bijection with the disjoint sets of orientations in the right-hand side, while also preserving the $\pi(\cdot)$ -statistic. Thus we wish to prove¹ that

$$\begin{array}{|c|c|} \hline & y \\ \hline x & \\ \hline \end{array} = q \begin{array}{|c|c|} \hline \rightarrow & y \\ \hline x & \\ \hline \end{array} + \begin{array}{|c|c|} \hline & y \\ \hline x & \\ \hline \end{array}.$$

Consider an orientation in the left-hand side. There are two cases to consider:

- The edge (x, y) is ascending. We map the orientation to an orientation of the first diagram in the right-hand side, by preserving the orientation of all other edges.
- The edge (x, y) is non-ascending. We map this to the second diagram, by preserving the orientation of all other edges.

In both cases above, note that both the q -weight and $\pi(\cdot)$ are preserved under this map. □

Corollary 32 *If Conjecture 10 holds in the unit-interval case, it holds in the vertical-strip case.*

Proof We can use Propositions 31 and 15 to recursively remove all strict edges. Since both families satisfy the same recursion, we have that the unicellular case of Conjecture 10 implies the vertical-strip case. □

4.1 The complete graph recursion and line graphs

Analogous to Proposition 25, we have a recursion for the corresponding $\hat{G}_{K_n}(\mathbf{x}; q)$, where we again consider the complete graph case. Here, $\binom{[n]}{k}$ denotes the set of k -subsets of $\{1, \dots, n\}$.

Lemma 33 *The polynomial $\hat{G}_{K_n}(\mathbf{x}; q)$ satisfies $\hat{G}_{K_0}(\mathbf{x}; q) := 1$ and $\hat{G}_{K_n}(\mathbf{x}; q + 1)$ is equal to*

$$\sum_{i=0}^{n-1} \hat{G}_{K_i}(\mathbf{x}; q + 1) e_{n-i}(\mathbf{x}) \left(\sum_{S \in \binom{[n-1]}{n-1-i}} \prod_{j=1}^{n-1-i} (q + 1)^{s_j - j} [(q + 1)^j - 1] \right). \tag{24}$$

Proof We first give an argument for the recursion in (24). Given an orientation θ of K_i , we can construct a new orientation θ' of K_n by inserting a new part of size $n - i$ in the vertex partition where vertex n is a member. Choose an i -subset in $[n - 1]$ and let θ define the orientation of the edges in θ' on these vertices.

The remaining set $S = \{s_1, \dots, s_{n-i-1}\}$ of $(n - i - 1)$ vertices will be in the new part. Let us index them such that $n > s_1 > s_2 > \dots > s_{n-i-1} \geq 1$. We wish to extend θ such that the following holds:

¹ The edge (x, y) in the rightmost diagram is gray, so this edge is not present in the orientations. We can think of this as non-ascending.

- For all pairs of vertices, t, s_j with $t \notin S$ and $s_j \in S$, (i) the edge (t, s_j) is not ascending, and (ii) the edge (s_j, t) may or may not be ascending.
- Each $s_j \in S$ has at least one ascending edge to one of the vertices in the set $\{n, s_1, \dots, s_{j-1}\}$.

The first condition ensures that the highest reachable vertex for vertices not in S remains the same in θ' . The last condition ensures that all vertices in S has n as the highest reachable vertex in θ' . It then follows that for such a subset S , there are

$$\prod_{j=1}^{n-1-i} (q + 1)^{n-s_j-j} [(q + 1)^j - 1]$$

asc(\cdot)-weighted ways of choosing such subsets of ascending edges in θ' . Hence,

$$\sum_{S \in \binom{[n-1]}{n-1-i}} \prod_{j=1}^{n-1-i} (q + 1)^{n-s_j-j} [(q + 1)^j - 1]$$

is the asc(\cdot)-weighted count of the number of orientations of K_n , where the part of the vertex partition containing n has exactly $n - i$ members. Finally, by sending each s_i to $n - s_i$, which is an involution on $\binom{[n-1]}{n-1-i}$, we get the desired formula. \square

We shall now prove that $\hat{G}_{K_n}(\mathbf{x}; q) = G_{K_n}(\mathbf{x}; q)$. By using Lemma 33 and Proposition 25, this follows from the following lemma.

Lemma 34 *For all n and $1 \leq i \leq n - 1$, we have that*

$$\prod_{k=i+1}^{n-1} [q^k - 1] = \sum_{S \in \binom{[n-1]}{n-i-1}} \prod_{j=1}^{n-1-i} q^{s_j-j} [q^j - 1].$$

Proof We first apply the substitution $i := (n - i - 1)$. This gives

$$\prod_{k=n-i}^{n-1} [q^k - 1] = \sum_{S \in \binom{[n-1]}{i}} \prod_{j=1}^i q^{s_j-j} [q^j - 1].$$

After dividing both sides by $\prod_{j=1}^i [q^j - 1]$, we recognize the left-hand side as the q -binomial coefficient $\begin{bmatrix} n-1 \\ i \end{bmatrix}_q$ and we arrive (after setting $n := n + 1$) at the fairly standard identity

$$\begin{bmatrix} n \\ i \end{bmatrix}_q = \sum_{S \in \binom{[n]}{i}} \prod_{j=1}^i q^{s_j-j}, \tag{25}$$

which can be found in [22, Eq. (6.5)]. \square

The case of line graphs follows immediately from [1, Prop. 5.18].

Proposition 35 *Let $\mathbf{a} = (0, 1, 1, \dots, 1)$ be a line graph. Then $\hat{G}_{\mathbf{a}}(\mathbf{x}; q) = G_{\mathbf{a}}(\mathbf{x}; q)$.*

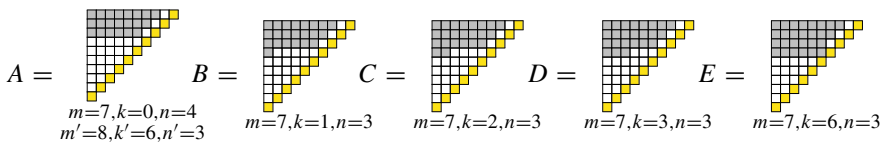
4.2 On Lee’s recursion for orientations

We would also like to prove that the $\hat{G}(\mathbf{x}; q)$ fulfill Lee’s recursions. However, this is a surprisingly challenging and we are unable to show this at the present time. A proof that Lee’s recursions hold for $\hat{G}(\mathbf{x}; q)$ would imply that $G_{\mathbf{a}}(\mathbf{x}; q) = \hat{G}_{\mathbf{a}}(\mathbf{x}; q)$ at least for all abelian area sequences \mathbf{a} . Computer experiment with $n \leq 7$ confirms that the polynomials $\hat{G}_{\mathbf{a}}(\mathbf{x}; q)$ indeed do satisfy these recurrences.

The class of melting lollipop graphs can be constructed recursively from the complete graphs and the line graphs by just using the recursion in Corollary 20. This is in fact done in [19], so we simply sketch a proof of this statement. Recall that a melting lollipop graph \mathbf{a} is given by

$$a_i = \begin{cases} i - 1 & \text{for } i = 1, \dots, m - 1 \\ m - 1 - k & \text{for } i = m \\ 1 & \text{for } i = m + 1, \dots, m + n \end{cases}$$

for some $m, n \geq 1$ and $0 \leq k \leq m - 1$. Melting lollipop graphs for various parameters are shown below.



We can use the recursion in Corollary 20 repeatedly to express LLT polynomials by adding one and removing one shaded box in row m . For example, C can be expressed in terms of B and D . Similarly, B can be expressed in terms of A and C , and we get a system of linear equations expressing B, C and D in terms of only A and E .

When $k = m - 1$ (as for E above), the graph $\Gamma_{\mathbf{a}}$ is a disjoint union of a complete graph and a line graph, which is a base case. Furthermore, when $k = 0$, (as for A above) we obtain a melting lollipop graph with the new parameters $m' = m + 1, k' = m - 2$ and $n' = n - 1$, which are dealt with by induction over n .

5 The Hall–Littlewood case

In [16], the modified Macdonald polynomials $\tilde{H}_{\lambda}(\mathbf{x}; q, t)$ are expressed as a positive sum of certain LLT polynomials. The modified Macdonald polynomials specialize to modified Hall–Littlewood polynomials at $q = 0$, which in turn are closely related to the transformed Hall–Littlewood polynomials.

Definition 36 (See [9,32] for a background) Let λ be a partition. The *transformed Hall–Littlewood polynomials* are defined as

$$H_\mu(\mathbf{x}; q) = \sum_{\lambda} K_{\lambda\mu}(q) s_\lambda(\mathbf{x})$$

where $K_{\lambda\mu}(q)$ are the Kostka–Foulkes polynomials.

The H_λ is sometimes denoted Q'_λ and is the adjoint basis to the Hall–Littlewood P polynomials for the standard Hall scalar product, see [9]. A more convenient definition of the transformed Hall–Littlewood polynomials is the following. For $\lambda \vdash n$ we have

$$H_\lambda(\mathbf{x}; q) = \prod_{1 \leq i < j \leq n} \frac{1 - R_{ij}}{1 - qR_{ij}} h_\lambda(\mathbf{x}) \tag{26}$$

where R_{ij} are *raising operators* acting on the partitions (or compositions) indexing the complete homogeneous symmetric functions as

$$R_{ij} h_{(\lambda_1, \dots, \lambda_n)}(\mathbf{x}) = h_{(\lambda_1, \dots, \lambda_i + 1, \dots, \lambda_j - 1, \dots, \lambda_n)}(\mathbf{x}).$$

Note that $s_\lambda(\mathbf{x}) = H_\lambda(\mathbf{x}; 0)$, and (26) gives $s_\lambda(\mathbf{x}) = \prod_{i < j} (1 - R_{ij}) h_\lambda(\mathbf{x})$ which is just the Jacobi–Trudi identity for Schur functions in disguise. Furthermore, note that (26) immediately implies that

$$H_\lambda(\mathbf{x}; q) = h_\lambda(\mathbf{x}) + \sum_{\mu \triangleright \lambda} c_\mu(q) h_\mu(\mathbf{x}), \quad c_\mu(q) \in \mathbb{Z}[q] \tag{27}$$

where \triangleright denotes the dominance order, since the raising operators R_{ij} can only make partitions larger in dominance order.

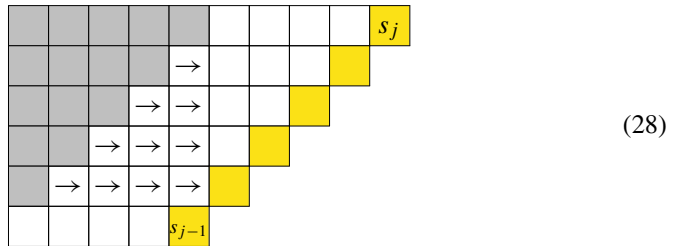
We now connect the transformed Hall–Littlewood polynomials with certain vertical-strip LLT polynomials.

Definition 37 Given a partition $\mu \vdash n$, let s_i be defined as $s_i := \mu_1 + \dots + \mu_i$, with $s_0 := 1$. From μ , we construct a vertical-strip diagram Γ_μ on n vertices with the following edges:

- (a) For each $j = 1, \dots, \ell(\mu)$, let the vertices $\{s_{j-1}, \dots, s_j\}$ constitute a complete subgraph of Γ_μ ,
- (b) For each $j = 2, \dots, \ell(\mu)$, we also have the $\binom{\mu_j}{2}$ edges

$$\{s_{j-1} - i \rightarrow s_{j-1} + k + 1 \text{ whenever } 0 \leq i, k \text{ and } i + k \leq \mu_j - 1\}.$$

Thus, for each $j \geq 2$ in item (b), we obtain a triangular shape of boxes with edges, as marked in (28), where $\mu_j = 5$.



Furthermore, all outer corners are taken as strict edges, see Example 39. As before, let $O(\Gamma_\mu)$ denote the set of orientations of the edges of Γ_μ .

Proposition 38 *Let μ be a partition and let Γ_μ be the vertical-strip diagram constructed from μ and let $G_\mu(\mathbf{x}; q)$ be the corresponding LLT polynomial. Then*

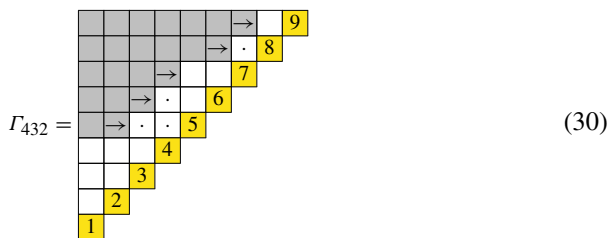
$$\omega G_\mu(\mathbf{x}; q) = q^{\sum_{i \geq 2} \binom{\mu_i}{2}} H_{\mu'}(\mathbf{x}; q). \tag{29}$$

Brief proof sketch We use [15, A.59] which states that for any partition λ , the coefficient of $t^{n(\lambda)}$ in the modified Macdonald polynomial $\tilde{H}_\lambda(\mathbf{x}; q, t)$ is almost a transformed Hall–Littlewood polynomial:

$$[t^{n(\lambda)}] \tilde{H}_\lambda(\mathbf{x}; q, t) = \omega H_{\lambda'}(\mathbf{x}; q).$$

The $\tilde{H}_\lambda(\mathbf{x}; q, t)$ is a sum over certain LLT polynomials and in particular, the coefficient of $t^{n(\lambda)}$ is a single vertical-strip LLT polynomial, multiplied with q^{-A} , where A is the sum of arm lengths in the diagram λ . Unraveling the definitions in [15, A.14], we arrive at the identity² in (29). □

Example 39 The Hall–Littlewood polynomial $H_{3321}(\mathbf{x}; q)$ is related to the vertical-strip diagram Γ_{432} in (29).



The edges marked with a dot are the edges in item (b). There are $\sum_{i \geq 2} \binom{\mu_i}{2}$ such edges. Notice that the vertex partition of this orientation is $\{974, 863, 52, 1\}$. Furthermore, it is fairly straightforward to see that for *any* orientation θ of Γ_μ , we must have that the partition $\bar{\pi}(\theta)$ dominates μ' .

² It was pointed out by the referee that (29) also follows directly from [15, Thm. 6.8].

We can now easily give some strong support for Conjecture 10.

Corollary 40 *For any partition μ , the vertical-strip LLT polynomial $G_\mu(\mathbf{x}; q + 1)$ is e-positive.*

Proof Using (29), it suffices to prove that $H_{\lambda'}(\mathbf{x}; q + 1)$ is h-positive. From (26), we have that

$$H_{\mu'}(\mathbf{x}; q + 1) = \prod_{i < j} \frac{1 - R_{ij}}{1 - (q + 1)R_{ij}} h_{\mu'}(\mathbf{x}) \tag{31}$$

$$= \prod_{i < j} (1 - R_{ij})(1 + (q + 1)R_{ij} + (q + 1)^2 R_{ij}^2 + \dots) h_{\mu'}(\mathbf{x}) \tag{32}$$

$$= \prod_{i < j} (1 + qR_{ij} + q(q + 1)R_{ij}^2 + q(q^2 + 1)R_{ij}^3 + \dots) h_{\mu'}(\mathbf{x}) \tag{33}$$

$$= \prod_{i < j} \left(1 + \sum_{t \geq 1} q(1 + q)^{t-1} R_{ij}^t \right) h_{\mu'}(\mathbf{x}). \tag{34}$$

This proves positivity. □

Problem 41 Find a bijective proof that $\hat{G}_\mu(\mathbf{x}; q + 1)$ is equal to $G_\mu(\mathbf{x}; q + 1)$, by interpreting each term in Eq. (34), and combine with (29).

It is tempting to believe that summing over the orientations of Γ_μ in Definition 37 where all edges in condition (b) are oriented in a non-descending manner would give exactly $\omega H_{\mu'}(\mathbf{x}; q + 1)$. However, this fails for $\mu = 222$.

6 Generalized cocharge and e-positivity

In [19], the authors consider a certain classes of unicellular LLT polynomials that can be expressed in a particularly nice way. These are polynomials indexed by complete graphs, line graphs and a few other families. In this section, we prove that the corresponding LLT polynomials are positive in the elementary basis. In fact, we do this by giving a rather surprising relationship between a type of cocharge and orientations.

For a semi-standard Young tableau T , the reading word is formed by reading the boxes of λ row by row from bottom to top, and from left to right within each row. The *descent set* of a standard Young tableau T is defined as

$$\text{Des}(T) := \{i \in [n - 1] : i + 1 \text{ appear before } i \text{ in the reading word}\}.$$

Given a Dyck diagram \mathbf{a} , we define the *weight* as

$$\text{wt}_{\mathbf{a}}(T) = \sum_{i \in \text{Des}(T)} a_{n+1-i}. \tag{35}$$

The weight here is also known as *cocharge* whenever \mathbf{a} is the complete graph $(0, 1, 2, \dots, n - 1)$, see for example [15]. If we let T' denote the transposed tableau, then for any T and \mathbf{a} , we have

$$\text{Des}(T') = [n - 1] \setminus \text{Des}(T) \quad \text{and} \quad \text{wt}_{\mathbf{a}}(T') = (a_1 + \dots + a_n) - \text{wt}_{\mathbf{a}}(T).$$

It will be convenient to define

$$\tilde{\text{wt}}_{\mathbf{a}}(T) := \text{wt}_{\mathbf{a}}(T') = \sum_{i \notin \text{Des}(T)} a_{n+1-i}. \tag{36}$$

Example 42 Let $\mathbf{a} = (0, 1, 2, 3, 3, 2, 2, 3)$ and

$$T = \begin{array}{|c|c|c|} \hline 1 & 3 & 4 \\ \hline 2 & 6 & 8 \\ \hline 5 & & \\ \hline 7 & & \\ \hline \end{array}$$

The reading word of T is 75268134, $\text{Des}(T) = \{1, 4, 6\}$ so $\text{wt}_{\mathbf{a}}(T) = a_8 + a_5 + a_3 = 7$ and $\tilde{\text{wt}}_{\mathbf{a}}(T) = 9$.

Definition 43 Given an area sequence \mathbf{a} of length n , we define the polynomial

$$\tilde{\mathbf{G}}_{\mathbf{a}}(\mathbf{x}; q) := \sum_{\lambda \vdash n} \sum_{T \in \text{SYT}(\lambda)} q^{\text{wt}_{\mathbf{a}}(T)} s_{\lambda}(\mathbf{x}). \tag{37}$$

From this definition, it follows that

$$\omega \tilde{\mathbf{G}}_{\mathbf{a}}(\mathbf{x}; q) = \sum_{\lambda \vdash n} \sum_{T \in \text{SYT}(\lambda)} q^{\tilde{\text{wt}}_{\mathbf{a}}(T)} s_{\lambda}(\mathbf{x}). \tag{38}$$

The following proposition is a collection of results in [19].

Proposition 44 *We have that $\tilde{\mathbf{G}}_{\mathbf{a}}(\mathbf{x}; q) = \mathbf{G}_{\mathbf{a}}(\mathbf{x}; q)$ for the the families of graphs listed in Sect. 2.1: the complete graphs, line graphs, lollipop graphs, melting complete graphs and melting lollipop graphs.*

Given a composition γ , let

$$D(\gamma) := \{\gamma_1, \gamma_1 + \gamma_2, \dots, \gamma_1 + \gamma_2 + \dots + \gamma_{\ell}\}.$$

Lemma 45 *Let $\lambda \vdash n$ and let γ be a composition of n with ℓ parts. Then the standardization map*

$$\text{std} : \{S \in \text{SSYT}(\lambda, \gamma)\} \rightarrow \{T \in \text{SYT}(\lambda) : \text{Des}(T) \subseteq D(\gamma)\}$$

is a bijection.

Proof This is straightforward from the definition of standardization and descents, see for example [15, p. 5]. □

We shall now introduce a different statistic on orientations. Given $\theta \in O(\Gamma_a)$, we say that a vertex v is a *bottom* of θ if there is no $u < v$ such that (u, v) is ascending in θ . Let s_1, \dots, s_k be the bottoms ordered decreasingly and let $s_0 := n + 1$. By definition, vertex 1 is always a bottom. Let $\sigma(\theta)$ be defined as the composition of n with the parts given by $\{s_{i-1} - s_i : i = 1, \dots, k\}$ and note that $D(\sigma(\theta)) = \{n + 1 - s_i : i = 1, 2, \dots, k - 1\}$.

Example 46 The orientation θ in (39) has vertices 1, 3 and 6 as bottoms. Furthermore, $\sigma(\theta) = (1, 3, 2)$ and $D(\sigma(\theta)) = \{1, 4\}$.



Note that $\bar{\pi}(\theta) = (5, 1)$ so σ and $\bar{\pi}$ are indeed very different.

The following theorem was proved for the complete graph and the line graph in [1]. We can now generalize it to all unit-interval graphs.

Theorem 47 *Let \mathbf{a} be an area sequence of length n . Then*

$$\tilde{G}_{\mathbf{a}}(\mathbf{x}; q + 1) = \sum_{\theta \in O(\Gamma_{\mathbf{a}})} q^{\text{asc}(\theta)} e_{\sigma(\theta)}(\mathbf{x}). \tag{40}$$

Proof We apply ω on both sides of Eq. (40), so it suffices to prove that

$$\omega \tilde{G}_{\mathbf{a}}(\mathbf{x}; q + 1) = \sum_{\theta \in O(\Gamma_{\mathbf{a}})} q^{\text{asc}(\theta)} h_{\sigma(\theta)}(\mathbf{x}). \tag{41}$$

Recall, in, e.g., [25], the standard expansion

$$h_{\nu}(\mathbf{x}) = \sum_{\lambda} K_{\lambda, \nu} s_{\lambda}(\mathbf{x}), \tag{42}$$

where $K_{\lambda, \nu} = |\text{SSYT}(\lambda, \nu)|$ are the Kostka coefficients. Thus, comparing both sides of (41) in the Schur basis, it suffices to show that for every partition λ ,

$$\sum_{T \in \text{SYT}(\lambda)} (1 + q)^{\tilde{\text{wt}}_{\mathbf{a}}(T)} = \sum_{\theta \in O(\Gamma_{\mathbf{a}})} q^{\text{asc}(\theta)} K_{\lambda, \sigma(\theta)}.$$

Using Lemma 45 in the right-hand side and unraveling the definition in the left-hand side, it is enough to prove that

$$\sum_{T \in \text{SYT}(\lambda)} \prod_{i \notin \text{Des}(T)} (1 + q)^{a_{n+1-i}} = \sum_{T \in \text{SYT}(\lambda)} \sum_{\substack{\theta \in O(\Gamma_{\mathbf{a}}) \\ \text{Des}(T) \subseteq D(\sigma(\theta))}} q^{\text{asc}(\theta)}.$$

It then suffices to prove that for a fixed $T \in \text{SYT}(\lambda)$ we have

$$\prod_{i \notin \text{Des}(T)} (1 + q)^{a_{n+1-i}} = \sum_{\substack{\theta \in O(\Gamma_{\mathbf{a}}) \\ \text{Des}(T) \subseteq D(\sigma(\theta))}} q^{\text{asc}(\theta)}. \tag{43}$$

Both sides may now be interpreted as a weighted sum over all orientations of $\Gamma_{\mathbf{a}}$ where no ascending edges end in $\{i : n + 1 - i \in \text{Des}(T)\}$. □

Corollary 48 *All families of unicellular LLT polynomials $G_{\mathbf{a}}(\mathbf{x}; q + 1)$ indexed by complete graphs, line graphs, lollipop graphs and melting lollipop graphs are e-positive.*

Notice that the formula in (40) is different from the conjectured formula in Conjecture 10, since $\bar{\pi}(\theta)$ and $\sigma(\theta)$ are different. This is not surprising as $G_{\mathbf{a}}(\mathbf{x}; q)$ and $\tilde{G}_{\mathbf{a}}(\mathbf{x}; q)$ are not equal for general \mathbf{a} . However, it is rather remarkable that Conjecture 10 implies that (40) and Eq. (6) agree whenever $G_{\mathbf{a}}(\mathbf{x}; q) = \tilde{G}_{\mathbf{a}}(\mathbf{x}; q)$.

7 A possible approach to settle the main conjecture

In [1] and later in [2] (with a different approach) we gave formulas for the power-sum expansion of all vertical-strip LLT polynomials. The unicellular case is a straightforward consequence of Lemma 12 (see [1,20]) together with the power-sum expansion formula for the chromatic symmetric functions. We note that the formula in the chromatic case was first conjectured by Shareshian–Wachs and later proved by Athanasiadis [3].

It is straightforward to expand (6) in the power-sum basis, so to settle Conjecture 10, it suffices to show that $\omega G_{\mathbf{a}}(\mathbf{x}; q + 1) = \omega \hat{G}_{\mathbf{a}}(\mathbf{x}; q + 1)$ for all \mathbf{a} by comparing coefficients of p_{λ}/z_{λ} . We shall now introduce the necessary terminology from [2] to state Conjecture 10 in this form.

For any subset $S \subseteq E(\Gamma_{\mathbf{a}})$, let $P(S)$ denote the poset given by the transitive closure of the edges in S . Given a poset P on n elements, let $\mathcal{O}(P)$ be the set of order-preserving surjections $f : P \rightarrow [k]$ for some k . The *type* of a surjection f is defined as

$$\alpha(f) := (|f^{-1}(1)|, |f^{-1}(2)|, \dots, |f^{-1}(k)|),$$

and this is a composition of n with k parts. Let $\mathcal{O}_{\alpha}(P) \subseteq \mathcal{O}(P)$ be the set of surjections of type α . Finally, let $\mathcal{O}_{\alpha}^*(P) \subseteq \mathcal{O}_{\alpha}(P)$ be the set of surjections $f \in \mathcal{O}_{\alpha}(P)$ such that for each $j \in [k]$, $f^{-1}(j)$ is a subposet of P with a unique minimal element.

Proposition 49 (See [2, Thm. 5.6, Thm. 7.10]) *The power-sum expansion of $\omega G_{\mathbf{a}}(\mathbf{x}; q + 1)$ is given as*

$$\omega G_{\mathbf{a}}(\mathbf{x}; q + 1) = \sum_{\theta \in O(\mathbf{a})} q^{\text{asc}(\theta)} \sum_{\lambda \vdash n} \frac{p_{\lambda}(\mathbf{x})}{z_{\lambda}} |\mathcal{O}_{\lambda}^*(P(\theta))| \tag{44}$$

where $P(\theta)$ is the poset on $[n]$ and edges given by the transitive closure of the ascending edges in θ .

The family of functions $\hat{G}_{\mathbf{a}}(\mathbf{x}; q + 1)$ has a similar expansion in terms of the power-sum symmetric functions.

Lemma 50 *The power-sum expansion of $\omega\hat{G}_{\mathbf{a}}(\mathbf{x}; q + 1)$ is given as*

$$\omega\hat{G}_{\mathbf{a}}(\mathbf{x}; q + 1) = \sum_{\theta \in O(\mathbf{a})} q^{\text{asc}(\theta)} \sum_{\lambda \vdash n} \frac{p_{\lambda}(\mathbf{x})}{z_{\lambda}} |\mathcal{O}_{\lambda}^*(B(\theta))| \quad (45)$$

where $B(\theta)$ is the poset consisting of a disjoint union of chains with lengths given by $\bar{\pi}(\theta)$.

Proof This follows easily from the definition of $\hat{G}_{\mathbf{a}}(\mathbf{x}; q + 1)$ and the expansion of the elementary symmetric functions into power-sum symmetric functions, see [13] and [2, Section 7]. \square

Conjecture 51 (Equivalent with Conjecture 10) *For any area sequence \mathbf{a} of length n and partition $\lambda \vdash n$,*

$$\sum_{\theta \in O(\mathbf{a})} q^{\text{asc}(\theta)} |\mathcal{O}_{\lambda}^*(P(\theta))| = \sum_{\theta \in O(\mathbf{a})} q^{\text{asc}(\theta)} |\mathcal{O}_{\lambda}^*(B(\theta))|.$$

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