



Assessment of early and long-COVID related retinal neurodegeneration with optical coherence tomography

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Received: 7 June 2022 / Accepted: 2 December 2022 / Published online: 26 December 2022
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Abstract

Purpose The aim of this study is to investigate short-term and long-term effects of coronavirus 19 disease (COVID-19) at inner and outer retinal layers of patients recovered from COVID-19 with Spectral Domain Optical Coherence Tomography (SD-OCT) and compare these to healthy subjects.

Methods Twenty-seven patients recovered from COVID-19, and age- and gender-matched 27 healthy controls were included in this study. Macular and peripapillary retinal nerve fiber layer (RNFL), ganglion cell-inner plexiform layer (GCIPL), inner nuclear layer (INL), outer plexiform layer (OPL) and outer nuclear layer (ONL) were analyzed with SD-OCT 1 month (V_1 visit) and 12 months (V_2 visit) after negative result of reverse transcriptase-polymerase chain reaction test.

Results Macular RNFL thickness in outer ring was thinner at V_1 and V_2 visits than healthy control ($p=0.049$ and $p=0.005$). Central and inferonasal quadrants of peripapillary RNFL thicknesses were reduced at V_1 and V_2 visits compared to controls ($p=0.001$ and $p=0.024$ for V_1 visit; $p=0.001$ and

$p=0.006$ for V_2 visit). Thinning in ONL thickness in inner ring was observed at V_1 and V_2 visits than healthy subjects ($p=0.006$ and $p=0.001$).

Conclusion Subclinical localized changes in macular and peripapillary RNFL and outer nuclear layer were demonstrated in early and 12-months follow-up after COVID-19 recovery.

Keywords SARS-CoV-2 · COVID-19 · Retina · Optical Coherence Tomography · Neurodegeneration

Introduction

Caused by the novel severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), coronavirus disease 2019 (COVID-19) has led to a pandemic [1, 2], by infecting millions of people and more than six millions of death as of May 2022 [3].

Although the lungs are the main target organ, it has potential to infect other organs and ocular tissues as well [4–6]. The virus gains entry to host cell by binding to angiotensin converting enzyme-2 (ACE-2), which is widely expressed in human cells [1, 7] and can cause endothelial dysfunction associated with apoptosis, either by direct viral infection of the endothelium or by immune-mediated response [8]. Pathophysiologic mechanisms and clinical manifestations of acute infection are well documented and almost clear. Direct viral toxicity, endothelial cell damage, microvascular injury, dysregulation of the

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immune response, hypercoagulability are possible mechanisms of extrapulmonary manifestations [4].

By the second year of the pandemic, while trying to understand and manage acute infection, new terms for persisting symptoms has come into use. Long-COVID, post-COVID syndrome and chronic COVID syndrome are the new terms ascribed for ongoing persisting symptoms of COVID-19 [9–15].

Increasing numbers of studies have been reporting long-term effects of the disease, mainly subjective symptoms of the patients persisting after the acute period of severe disease [9, 10, 16], nevertheless studies after mild disease are limited [17, 18]. In this prospective cohort study, we intend to investigate evidence for long-COVID syndrome objectively by optical coherence tomography (OCT) imaging, one year after the recovery from mild COVID-19 infection.

In our previous cross-sectional case-control research study [6], we found thinning of the inner and outer retinal layers of recovered COVID-19 patients with Spectral Domain Optical Coherence Tomography (SD-OCT) that is a non-invasive imaging technique, useful for demonstrating subclinical retinal changes in systemic conditions and viral infections as well [19, 20]. The aim of this study is to investigate whether the OCT findings are reversible or persisting and supporting post-COVID syndrome in order to perform a follow-up protocol.

Methods

This study was performed after approval of the Ankara City Hospital Ethics Committee (Ankara, Turkey), with number E1-21-2040. All research procedures were carried out in accordance with the Declaration of Helsinki. Written informed consent was acquired from the participants with local institutional review board requirements. Patients between 18 and 50 years, recovered from COVID-19 who had no history of hospitalization because of PCR positive COVID-19 infection between November and December 2020, were enrolled in the study. Thickness of each retinal layer and peripapillary nerve fiber layers was obtained by SD-OCT after a short while of recovery from COVID-19 and at approximately a 12-months follow-up in retina department of Ankara City Hospital, a tertiary referral hospital, Ankara, Turkey. All patients were health care professionals

and none of them had a second PCR positivity of COVID-19 during follow-up period. Patients with any systemic or ocular diseases, history of ophthalmic surgery, any systemic or topical drug administration and more than ± 3 diopter spherical equivalent of refractive errors were excluded from the study. Age- and gender-matched patients, who were received for routine ophthalmologic examination, were recruited as healthy control subjects in the study. First visit after a shortwhile of recovery from COVID-19 was defined as V_1 visit and approximately 12 months after V_1 visit was defined as V_2 visit. All patients enrolled in the study underwent a detailed ophthalmological evaluation including best-corrected visual acuity (BCVA) using Snellen charts, anterior segment slit lamp examination, dilated fundus examination, fundus photography and optical coherence tomography images.

Optical coherence tomography images

The SD-OCT (HeidelbergEngineering, Heidelberg, Germany) images were performed by an experienced technician. Macula Map X-Y scanning protocol evaluating 6×6 mm area centered on the fovea was used for measuring thicknesses of each retinal layer. Each layers, macular ganglion cell-inner plexiform layer complex (GCIPL), macular retinal nerve fiber layer (mRNFL), inner nuclear layer (INL), outer plexiform layer (OPL), outer nuclear layer (ONL), were segmented automatically (Fig. 1a) and were measured by the caliper tool embedded in SD-OCT system and studied in three concentric rings centered on the fovea; central (1 mm), inner ring (1–3 mm) and outer ring (3–6 mm) which was determined by the Early Treatment Diabetic Retinopathy Study (ETDRS) [21].

Inner and outer rings were segmented into four quadrants (inner/outer superior, inner/outer inferior, inner/outer nasal, and inner/outer temporal) and means of four quadrants in inner and outer rings were calculated and analyzed (Fig. 1b).

Peripapillary retinal nerve fiber layer (pRNFL) thickness was obtained from a circular scan with a diameter of 3.4 mm positioning on middle of the optic disk center; automatically segmented as central, temporal, inferotemporal, superotemporal, nasal, superonasal and inferonasal quadrants (Fig. 1c). OCT images were recorded by using the ‘follow-up’ mode

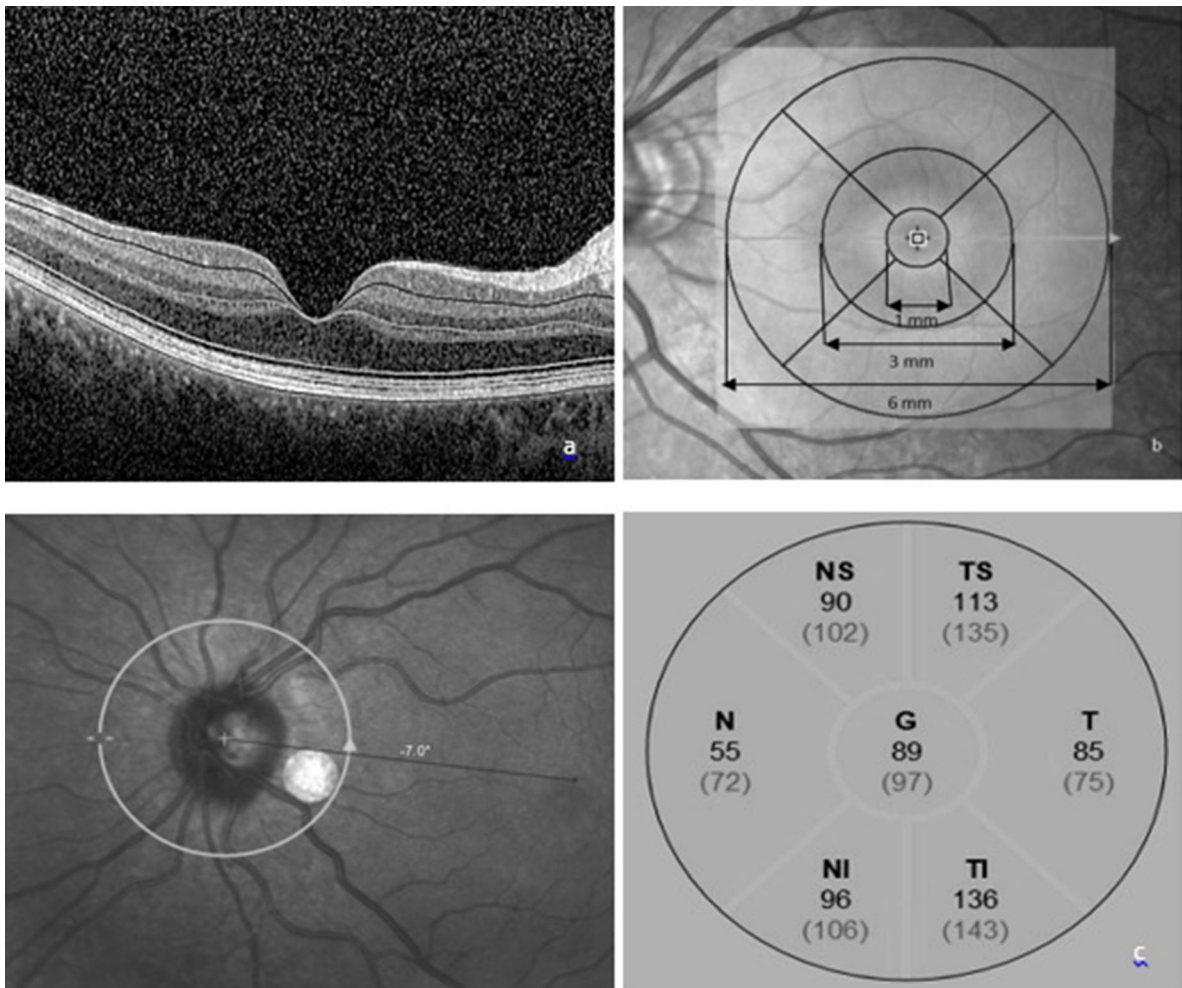


Fig. 1 **a** A cross-sectional view of macula SD-OCT scan demonstrating the macular layer segmentation included in the study. **b** ETDRS grid; the central circle is with a diameter of 1 mm. The inner ring consists of the four quadrants surround-

ing the central circle with a diameter of 1–3 mm. The outer ring consists of the four quadrants surrounding the inner ring, with a diameter of 3–6 mm. **c** Analysis of peripapillary RNFL thickness; thickness of all quadrants was included in the study.

of the eye-tracking-assisted system (Aurorescan, Heidelberg Spectralis) for V_2 visit.

Statistical analysis

The distributions of continuous variables were examined by Shapiro–Wilk’s test and normality plots. OCT measurements were summarized by mean \pm standard deviation (mean \pm SD). Categorical variables were reported as frequency (%) and comparison of categorical variables between groups were determined by using chi-squared test. Independent t test was used for comparing the means of retinal and peripapillary

thicknesses between healthy controls and both V_1 and V_2 visits. Paired t test was used for comparing V_1 and V_2 visits. All analysis and calculations were performed via IBM SPSS Statistics 22.0 (IBM Corp. Released 2012. IBM SPSS Statistics for Windows, Version 22.0. Armonk, NY: IBM Corp). A $p < 0.05$ was considered as statistically significant.

Results

Fifty-four eyes of 27 patients with 12-months follow-up after recovery from COVID-19 infection;

age- and gender-matched 54 eyes of 27 patients as control group were included in the study. The mean time between recovery from COVID-19 infection and V₁ visit was 31.18 ± 12.35 days and the mean time between V₁ visit and V₂ visit was 12.22 ± 0.42 months (min–max 12–13 month). 63% of the patients recovered from COVID-19 infection were female and mean age of the patients was 34.67 ± 7.54 years at V₁ visit and 35.67 ± 7.54 years at V₂ visit. 66.7% of the healthy subjects were female and mean age was 35.44 ± 4.97 years. There were no statistically significant differences in age and gender between patients and control subjects ($p=0.657$, $p=0.899$ and $p=0.779$) (Table 1).

Mean retinal thickness in the inner ring and outer ring was significantly reduced at V₁ visit compared to healthy subjects ($p=0.013$ and $p=0.024$). When compared V₂ visit with healthy subjects and V₁ visit, mRNFL thickness in the outer ring was reduced ($p=0.049$ and $p=0.005$). Mean mRNFL thickness in outer ring was 37.21 ± 4.60 μm in healthy subjects, 35.55 ± 4.04 μm at V₁ visit and 34.70 ± 4.47 μm at V₂ visit and was significantly decreased at V₁ visit compared to healthy subjects ($p=0.041$). Decrease in mean GCIPL thickness in inner ring was statistically significant when compared V₁ visit with control group ($p=0.015$). No differences were determined in all quadrants INL thickness between visits and healthy controls ($p > 0.05$, each comparison).

Mean OPL thickness in outer ring was thinner at V₂ visit comparing with V₁ visit ($p=0.033$), while there was no differences in OPL thickness of all other quadrants between visits and controls ($p > 0.05$, each comparison). ONL thickness in central and inner ring was thinner at V₁ visit compared to controls

($p=0.038$ and $p=0.006$); ONL thickness in inner ring was significantly thinner at V₂ visit than healthy subjects ($p=0.001$). Central and inferonasal quadrants of pRNFL thickness were reduced at V₁ and V₂ visits when compared to controls ($p=0.001$ and $p=0.024$ for V₁ visit; $p=0.001$ and $p=0.006$ for V₂ visit) (Table 2).

mRNFL thickness in outer ring and OPL thickness in outer ring varied between V₂ visit and V₁ visit. To distinguish normal aging process on thickness of retinal layers, the age adjusted linear regression analysis of the mean thickness of these layers was calculated. No differences in mRNFL thickness in outer ring and in OPL thickness in outer ring with age were determined ($p=0.088$; $R^2=0.155$ and $p=0.973$; $R^2=0.457$).

Discussion

Alterations in retinal layers of patients recovered from COVID-19 were demonstrated with this study, providing that COVID-19 infection had both temporary and persistent effects in retina in early and long-term periods. At the beginning of the pandemic, thinking to be only a respiratory system virus, making an acute infection, the agent is named as Severe Acute Respiratory Syndrome Coronavirus, letter R represents respiratory, but it is shown that it is more than a respiratory virus [4–6], and letter A represents Acute, nevertheless it seems to be a chronic infection. As COVID-19 pandemic continues and the infected population grows, reports of persistent and prolonged effects after COVID-19 are increasing, those are need to be identified and classified [12–14].

National Institute for Health and Care Excellence (NICE) published a guideline suggesting the following classification: acute COVID-19 for symptoms up to 4 weeks, ongoing symptomatic COVID for symptoms from 4 to 12 weeks, and post-COVID for symptoms continuing for more than 12 weeks. The term “long-COVID” in this guideline is distinguished as both ongoing symptomatic COVID and post-COVID syndrome [12]. Baig proposed that symptoms presenting beyond 3 weeks after the SARS-CoV-2 infection should be considered prolonged or persistent [13]. Another classification offers acute post-COVID for symptoms between weeks (W) 5 and 12; long post-COVID for ongoing symptoms between

Table 1 Comparison of age and gender in groups

	COVID-19 patients (n=27)	Healthy subjects (n=27)	<i>p</i> value
Age (years, mean \pm SD)			
V ₁ visit	34.67 ± 7.54	35.44 ± 4.97	0.657*
V ₂ visit	35.67 ± 7.54		0.899*
Gender	16/11	18/9	0.779 ^t
(Female/Male, %)	(63%/37%)	(66.7%/33.3%)	

* Independent *t* test

^t χ^2 test

Table 2 Comparison of retinal layers between patients recovered from COVID-19 infection in early period and at 12 months and healthy subjects

	V ₁ visit (n=27)	V ₂ visit (n=27)	Healthy controls (n=27)	V ₁ vs. V ₂ <i>p</i> value [†]	V ₁ vs. control <i>p</i> value [‡]	V ₂ vs. control <i>p</i> value [‡]
<i>Retina</i> (μm, mean ± SD)						
Central	270.26 ± 19.55	271.87 ± 24.71	276.30 ± 19.55	0.364	0.102	0.295
Inner ring	337.05 ± 13.83	337.04 ± 15.03	343.98 ± 14.51	0.990	0.013*	0.016
Outer ring	306.17 ± 10.42	305.49 ± 11.85	310.89 ± 11.05	0.090	0.024*	0.016
<i>mRNFL</i> (μm, mean ± SD)						
Central	12.38 ± 2.54	11.98 ± 2.43	12.61 ± 1.82	0.094	0.603	0.132
Inner ring	21.89 ± 1.84	21.70 ± 2.30	22.45 ± 2.34	0.304	0.174	0.097
Outer ring	35.55 ± 4.04	34.70 ± 4.47	37.21 ± 4.60	0.041*	0.049*	0.005*
<i>GCIPL</i> (μm, mean ± SD)						
Central	38.04 ± 10.62	37.70 ± 11.57	39.52 ± 8.92	0.733	0.434	0.364
Inner ring	90.91 ± 7.90	91.72 ± 7.97	94.16 ± 5.43	0.166	0.015*	0.067
Outer ring	69.99 ± 5.55	70.45 ± 5.27	70.84 ± 4.40	0.283	0.382	0.682
<i>INL</i> (μm, mean ± SD)						
Central	20.43 ± 6.61	20.67 ± 6.51	20.46 ± 4.84	0.687	0.754	0.837
Inner ring	40.06 ± 2.99	40.02 ± 3.40	39.57 ± 2.66	0.914	0.370	0.442
Outer ring	34.97 ± 1.88	35.07 ± 2.16	34.88 ± 1.89	0.595	0.810	0.629
<i>OPL</i> (μm, mean ± SD)						
Central	27.46 ± 7.30	25.59 ± 6.45	25.20 ± 5.16	0.102	0.067	0.730
Inner ring	32.88 ± 3.08	33.07 ± 4.05	32.05 ± 3.38	0.663	0.184	0.158
Outer ring	28.50 ± 2.08	27.97 ± 1.77	28.00 ± 2.39	0.041	0.254	0.927
<i>ONL</i> (μm, mean ± SD)						
Central	87.39 ± 11.89	88.46 ± 11.53	92.15 ± 11.69	0.472	0.038*	0.102
Inner ring	69.67 ± 7.51	68.78 ± 8.07	74.13 ± 8.81	0.182	0.006*	0.001*
Outer ring	59.02 ± 7.64	58.74 ± 7.00	61.21 ± 6.76	0.591	0.118	0.065
<i>pRNFL</i> (μm, mean ± SD)						
Central	99.07 ± 8.06	98.87 ± 9.25	106.72 ± 14.29	0.752	0.001*	0.001*
Superotemporal	133.69 ± 20.33	135.15 ± 19.49	137.72 ± 17.33	0.305	0.269	0.470
Superonasal	110.30 ± 19.99	110.78 ± 19.99	115.59 ± 19.18	0.759	0.163	0.204
Nasal	70.96 ± 14.30	71.17 ± 12.99	75.04 ± 15.76	0.869	0.162	0.167
Inferonasal	112.19 ± 16.31	110.37 ± 16.46	120.39 ± 20.58	0.132	0.024*	0.006*
Inferotemporal	149.65 ± 21.64	148.39 ± 20.47	154.15 ± 15.87	0.361	0.221	0.105
Temporal	71.91 ± 11.15	72.13 ± 12.70	75.78 ± 11.46	0.805	0.078	0.120

GCIPL ganglion cell-inner plexiform layer, *INL* inner nuclear layer, *mRNFL* macular retinal nerve fiber layer, *ONL* outer nuclear layer, *OPL* outer plexiform layer, *pRNFL* peripapillary retinal nerve fiber layer

[†]Paired *t* test

[‡]Independent *t* test

* *p* < 0.05

W12-W24; persistent post-COVID symptoms lasting more than W24 [14]. In this study, we used the term ‘Long-COVID’ in order to describe the sequela of the disease.

In our study, retinal thicknesses in inner ring and outer ring were thinner at V₁ visit than healthy

controls, but after long-term follow-up, no statistically significant differences were observed between V₂ visit and healthy controls and also no differences were obtained between visits. GCIPL thicknesses in inner and outer ring and central ONL thickness were thinner at V₁ visit than healthy subjects, but when

compared with V_2 visit, no differences were found. These results indicated the reduction in retinal thickness in inner and outer ring, GCIPL thickness in inner ring and central ONL thickness of patients recovered from COVID-19 were temporary and did not proceed in long-term follow-up. Bilbao-Malavé et al. demonstrated thinning of parafoveal RNFL and parafoveal ganglion cell layer thicknesses and thickening of foveal thickness in patients with COVID-19 bilateral pneumonia at 6-months follow-up [22]. In our study, thinning of retinal thickness in inner and outer ring was temporary, and no difference was obtained at 12-months follow-up.

mRNFL thicknesses in central, inner ring and outer ring were decreased at V_1 and V_2 visits when compared with controls and also thinner at V_2 visit than V_1 visit. Although no significant difference was detected between V_1 visit and V_2 visit, ONL thickness in inner ring was decreased at V_1 visit than controls and V_2 visit than controls. Central and inferonasal quadrants of pRNFL thicknesses were reduced in patients recovered from COVID-19 at V_1 visit and V_2 visit when compared with healthy controls. Decrease in mRNFL thickness in central, inner ring and outer ring, ONL thickness in inner ring and central and inferonasal quadrants of pRNFL thicknesses were persisted and demonstrated in both early and long-term periods. Thinning of these layers had been detected in patients recovered from mild COVID-19 infection with no hospitalization or intensive care history. Bilbao-Malavé et al. demonstrated thinning of parafoveal RNFL thicknesses in hospitalized patients with COVID-19 at 6-months follow-up [22]. Nonetheless in long-term, whether progression in thinning of retinal layers persists needs to be elucidated. This longitudinal study results seem to be favorable in understanding long-term effects of COVID-19 in retinal layers and providing evidence of long-COVID with objective OCT images.

RNFL thickness was used to evaluate axonal damage primarily for glaucoma patients whereas for neurodegenerative disorders as indicator of neural damage [23–26]. The current study indicates axonal loss determined by SD-OCT after long-COVID period. COVID-19-induced immune-mediated demyelinating disease, cerebrovascular damage and neurodegeneration were well discussed [27, 28]. Considering retina and brain have similar embryologic origin and anatomical features; RNFL thinning detected in this

study might be derived of vascular change in retinal vascular system or neurodegeneration of central nervous system or both of them.

Studies about long-COVID are mainly subjective symptomatic survey studies, emphasizing fatigue, cough, dyspnea, joint pain, chest pain, headaches, myalgia, anosmia, depression and other mental health conditions as common persisting symptoms [9–11, 15–18]. Although most long-COVID studies about survivors after both severe and mild disease demonstrate that long-term effects are independent from disease severity [17, 18], as in our study, fully recovered patients with mild symptoms had retinal thickness alterations. While taking into account aging and co-existence of further common systemic comorbidities; decrease in retinal layers thickness may be a significant burden in understanding retinal pathologies and functional deficits in long-term as emphasized by Teo et al. [29]. Consequences of mild and even asymptomatic SARS-CoV-2 infection indicate a need for systematic follow-up plan and when the large amount of infected population is considered, adapted appropriate health policies should be developed [18, 30].

Ocular signs, symptoms and features of the acute phase of the disease are almost clear, however, the persisting ocular manifestations after COVID-19 infection has not been investigated and published sufficiently yet [31]. The previous studies on quantitative analysis of retinal circulation with optical coherence tomography angiography (OCTA) demonstrated decreased vessel density which might be risk for retinal vascular complications [22, 32–34]. Abrishami et al. reported three months follow-up OCTA results on patients with no hospitalization history [32] and Bilbao-Malavé V et al. declared 6-months follow-up OCTA results on COVID-19 bilateral pneumonia patients that COVID-19 appears to result in subclinical microvascular damage, which manifests in the retinal microcirculation detected by OCTA [22] and that can result in clinically detectable features of micro-vasculopathy as a potential long-term sequelae of the disease [29]. Our previous cross-sectional case-control study demonstrated subclinical localized alterations in inner and outer retinal layers of patients recovered from COVID-19 by SD-OCT [6]. In this cohort, it was determined that alterations were persisting. Providing evidence of long-COVID with objective OCT images, our study is significant and the follow-up time was 12 months and this long

follow-up period was a superiority comparing with previous studies.

Ischemic events in different retinal capillary beds after COVID-19 infection had been demonstrated by several studies [35, 8]. In our study, patients with mild symptoms recovered from COVID-19 had decrease in central and inferonasal quadrants of pRNFL thickness; ONL thickness in inner ring and mRNFL thickness in outer ring in early and long-term follow-up visits may indicate subclinic markers of ischemic events in retinal layers.

Also, the existence of SARS-CoV-2 viral RNA in enucleated human retina has been identified and viral RNA of SARS-CoV-2 has been determined in both inner and outer retinal layers and vitreous involvement by COVID-19 has been previously reported [36–38]. These results were consistent with our study that decrease in inner and outer retinal layers thickness had been detected. Several studies denoted microvascular angiopathic complications related to COVID-19 in a wide range metabolisms and organs [39].

In OCTA studies, as a consequence of COVID-19-induced microvascular angiopathy in retina, retinal vascular changes were obtained in early and late periods after recovery. Structural retinal changes are inevitable with microvascular angiopathic process [32, 33]. Neurodegeneration and thinning in retinal layers were obviously demonstrated in Diabetes Mellitus, a microvascular angiopathic disease, without retinal findings [40–42]. When considering all, the changes in retinal layers obtained in this study with structural OCT analysis may be evaluated as the quantitative findings of COVID-19-associated angiopathy in the retina.

Sample size and recruitment criteria of patients with only mild symptoms recovered from COVID-19 were limitations of this study. Inclusion of patients with different severity of disease and comparing these values would be valuable. Our sample also was not random, and health care workers were enrolled in the study. Younger patients with no comorbidities were another limitation of this study.

As best we know, this is the first longitudinal study to follow thickness of each retinal layer in patients recovered from COVID-19. This study exhibits persistent long-term effects of COVID-19 on subclinic alterations of peripapillary and macular RNFL and ONL thicknesses. COVID-19 pandemic continues to

be a challenging process on health care systems globally. As the infected population grows and reports of persistent and prolonged multisystemic effects after COVID-19 increase, planned health programs are needed for alleviating unpreceding consequences of COVID-19. These programs can only form with objective studies. Multicentered studies with larger population and including patients with different severity of disease are further required to understand the pathogenesis of retinal alterations caused by COVID-19.

Author contributions Both authors contributed to the study conception and design. Material preparation, data collection were performed by Esra Dag Seker, analysis was performed by Inci Elif Erbahceci Timur. The first draft of the manuscript was written by Esra Dag Seker and Inci Elif Erbahceci Timur and both authors commented on previous versions of the manuscript. Both authors read and approved the final manuscript.

Funding The authors declare that no funds, grants or other support were received during the preparation of this manuscript.

Declarations

Competing interests The authors declare no competing interests.

Competing interests The authors have no relevant financial or non-financial interest to disclose.

Ethics approval This study was performed in line with the principles of Declaration of Helsinki. Approval was granted by the Ethics Committee of Ankara City Hospital (2021/ E1-21-2040).

Consent to participate Informed consent was obtained from all individual participants included in the study.

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