

Experimental Study of Egress Selection Behavior Between Stairs and Elevators During High-Rise Building Evacuation

Ning Ding, School of Criminal Investigation and Counterterror, People's Public Security University of China, Beijing 100038, People's Republic of China Hui Zhang, Tao Chen*, Institute of Public Safety Research/Department of Engineering Physics, Tsinghua University, Beijing, People's Republic of China

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Abstract. How do evacuees behave and what factors influence their egress selection behavior of stairs or an elevator during a high-rise building evacuation? These issues remain unclear given a paucity of research. In this paper, a series of experiments and questionnaires with 45 participants were carried out to examine the effects of non-irritant smoke and participants' knowledge of evacuation procedures (especially elevator evacuation). After each experiment, participants were asked to respond to a questionnaire. The results of evacuation times for the elevator versus stairs, the proportion of elevator users, elevator wait times, and redirect behaviors are analyzed and discussed. In experiment 1, 26.7% of 45 participants chose the elevator. In experiment 2, non-irritant smoke was released, and the proportion of participants who used the elevator decreased to 24.4% of 45 participants. Before experiment 3, we shared information about the evacuation (i.e., an explanation of evacuation procedures and elevator evacuation experience) with the participants, after which elevator use increased to 40% of 45 participants. As a result, we found that non-irritant smoke and knowledge of evacuations affected evacuees' behavior; however, the gap between the proportions of participants who reportedly preferred to use the elevator and who actually used the elevator was large. According to the questionnaire, the proportion of participants who wanted to use the elevator was 55.6%. Moreover, elevator overload, physical fatigue, and social bonds were observed in our experiments. In conclusion, several suggestions are given to building designers, and basic data (such as evacuation times and proportion of stairs or elevator use) offer insight for building safety engineers in designing evacuation strategies incorporating stairs and elevators.

Keywords: Egress selection behavior, Elevator evacuation, Experiments, High-rise building

1. Introduction

In a high-rise building evacuation, how will evacuees behave when they have the option of using either stairs or an elevator? In countries such as the United Kingdom, Australia, China, and the United States, fire-proof elevators are used during

^{*} Correspondence should be addressed to: Tao Chen, E-mail: chentao.a@tsinghua.edu.cn



1650

evacuations according to building codes [1–4], and the combined use of stairs and elevators can expedite high-rise building evacuations compared to evacuation by stairs alone [5, 6, 41, 42]. When designing an evacuation strategy including the use of stairs and elevators, evacuees are always assumed to be compliant [5]; it is expected they will wait for an elevator for as long as required. However, in reality, how many evacuees actually use elevators? How long will they wait, and under what conditions? What factors influence their decisions and behaviors? [39, 41] Answering these questions will prove helpful in improving evacuation strategies incorporating stairs and elevators.

Many factors [6, 7, 28] may influence evacuees' decisions and behaviors, two of which are examined in this paper (other factors will be tested in our future work): (1) non-irritant smoke; and (2) knowledge of evacuation procedures (e.g., education or explanation, training, and previous elevator evacuation experience). When people are exposed to conditions with non-irritant smoke and low visibility, their psychological response to encountering smoke (e.g. risk perception, elevated motivation/anxiety) may influence their decision-making during evacuations [10, 11]. People also become anxious and perceive risk differently after waiting [43]. Furthermore, evacuees may feel calmer if they possess more knowledge of or experience with evacuations in emergency situations, which prepares them to make decisions rather than follow others [12]. This paper aims to address the aforementioned issues through the performance of experiments.

In this paper, relevant research studies are presented in Sect. 2. Three experiments (experiment 1, 2, and 3) are detailed in Sect. 3. Forty-five undergraduate students participated in the experiments on the 10th floor of a high-rise building, and the process was recorded using surveillance cameras. In experiment 1, participants could use stairs and elevators to evacuate. To study how non-irritant smoke influences participants' decisions and behaviors, harmless non-irritant smoke was released in experiment 2. After experiment 2, we explained the experiments to the participants so they understood the situation. For example, they were told the building layout and ideal evacuation time when using elevators. Then, in experiment 3, participants evacuated the building under non-irritant smoke conditions. After each experiment, participants were asked to complete a questionnaire; survey responses revealed information about participants' psychological state that was not apparent from video records.

The results of these experiments are presented in Sect. 4. After analysis of the data, we found that non-irritant smoke has little impact on evacuees' behavior, whereas knowledge of evacuation influences evacuees' behavior and their choice to using an elevator or the stairs. Several interesting phenomena, such as the existence of leaders and followers, social bonds, crowding, and hesitation, were also observed in these experiments. Finally, the results of our experiments are compared to those of other studies [6, 7].

2. Literature Review

2.1. Combined Use of Elevators and Stairs

The conventional notion that elevators cannot be used during fire evacuations is a key issue given the need to ensure fast and effective ways to evacuate high-rise buildings [18]. In particular, the utilization of evacuation elevators has been widely recommended because it is not easy for individuals with disabilities to use stairs to evacuate [9, 29]. In several previous evacuations, elevators were used to assist in the rapid egress of high-rises [13-17]. As a result, building codes in a few countries have stated the feasibility of using evacuation elevators in high-rise evacuations [1-4]. The combined use of stairs and elevators can accelerate high-rise building evacuation compared to evacuating via stairs alone [9]. Many experiments have been carried out to study human behavior in corridors [32, 33], such as route choice behavior [34] and the generation and impact of bottlenecks [35-37]. However, little research has investigated the combined use of elevators and stairs, and those that did highlighted evacuees' behavior [5-7, 18], which can influence evacuation efficiency. The committee of the American Society for Mechanical Engineers [19] has paid particular attention to evacuee behavior [20, 21]. As a result, the design of a stair and elevator evacuation strategy [40] should consider not only the issues of designing stairs [22, 23, 31] and evacuation elevators [24, 25] but also evacuee behavior. For example, how many evacuees will actually use elevators during an evacuation? How long will they wait for an elevator to arrive [39], and under what conditions? These issues have been investigated via online surveys or questionnaires in several studies [5–7], but participants' answers only represent what they plan to do rather than how they would actually behave. As a result, experiments must be carried out to collect evacuees' behavioral data.

2.2. Factors Affecting the Choice Between Stairs and Elevators

To improve building evacuation strategies using both stairs and elevators, planners should understand not only evacuee behavior but also the factors that influence it. According to previous studies, many factors [6] affect how evacuees behave, such as their psychological state (relative to the extent of an emergency), floor levels, and knowledge of evacuation procedures (building layout, average evacuation time, evacuation elevator operation speed, etc.). As the floor level rises, the proportion of evacuees using elevators becomes larger as determined by previous studies [5–7] and our questionnaires. The psychological state of the evacuating population is also affected by the evacuation environment, such as the presence of smoke. Harmless non-irritant smoke is an effective and safe way to enhance perceived pressure, etc. When people move around in non-irritant smoke conditions where visibility is low, their increasing anxiety influences their evacuation decisions [10, 11]. Hence, this is considered a useful proxy for real smoke in an experiment. Knowledge of evacuation procedures is also an important factor because most elevators around the world are not allowed to be used during evacuations, and all building occupants are aware that elevators cannot be used in a fire event

[44]. As a result, experiments are necessary to explore the actual influence of nonirritant smoke and knowledge of evacuations on evacuees' behavior.

3. Experiments

In this section, the building layout is introduced in Sect. 3.1. The formal experimental procedure is explained in Sect. 3.2.

3.1. Building Layout

Experiments were carried out in the Liuqing building at Tsinghua University, Beijing, China. It is an office building with 11 floors (the first floor is the lobby), and participants started to evacuate from Room 1 on the 10th floor (height: 35.8 m). As shown in Fig. 1, there are two staircases and two elevators in the building, and the elevator room is connected by one of two staircases. To avoid issues with elevator scheduling, one of the two cars was shut down, and occupants on other floors were not allowed to use elevators during the experiments. The experiment participants were allowed to use only one of the elevators (other elevators were not available to prevent elevator scheduling from influencing the experiment). The elevator position and direction were shown on the elevator electronic display board, and the elevator car, elevator room, and stair structures appear in Fig. 2.



Figure 1. Building layout.



Figure 2. Car, elevator room and stair structure.

Generally, although the capacity of each car is limited to 13 persons or 1000 kg total weight, one car cannot hold more than 13 people because passengers' cumulative weight routinely exceeds 1000 kg. In the elevator, weight sensors are used to detect whether the elevator is overloaded, and an alarm will sound if it is. It took 27 s for the elevator to travel directly from the 10th floor to the 1st floor, not including wait time and the time it took for the door to open and close. Stair 1 connects with the elevator room. All the evacuees were only allowed to use these stairs so the authors could study occupants' behavior when combining elevator and stair use in the event of an evacuation (one elevator car and Stair 1). In summary, evacuees started in Room 1/Floor 10, when they arrived at the elevator room on Floor 10, they had to choose between Stair 1 and Elevator. When they reached level 1, the experiment ended.

3.2. Experimental Procedure

Forty-five undergraduate students at Tsinghua University were invited to participate in the following experiments. The participants were majoring in nuclear physics, and they were not involved in our research project in any capacity; thus, they had no prior knowledge of the building layout or any previous elevator evacuation experience. Before the experiments, each participant completed a questionnaire including his or her basic information (discussed in Sect. 4.1) and had never before used elevators during an evacuation. The participants gathered in Room 1 on the 10th floor when they started to evacuate. After being told the elevators were safe, they were also informed that only one stair and one elevator could be used in these experiments. The elevator's initial position was on the 1st floor.

We hypothesized that non-irritant smoke and knowledge of evacuations would influence evacuees' egress selection behavior. Non-irritant smoke was released into the corridor as shown in Fig. 1. The basic assumptions of the experiments were (1) the evacuation experiments approximated real evacuation conditions; (2) the elevators in the experimental building could be used as evacuation elevators; (3) in addition to the first two factors, other factors were fixed in the three experiments—notably, most of the elevator room could not be infiltrated by smoke because of the pressurized system. (If elevators are used, the building should have a pressurized system.)

To test how non-irritant smoke and knowledge of evacuations influence evacuees' behavior. In experiment 1, 2 and 3, participants were told they should evacuate after the alarm sounds. Evacuees then had to decide whether to use the stairs or the elevator during the evacuation. Experiments 2 and 3 were conducted under non-irritant smoke conditions, where harmless non-irritant smoke was released by a cold, non-irritant smoke generator near the elevator room (see Fig. 1) on the 10th floor, and the participants did not know they would encounter the smoke. Experiment 2 was used to test the influence of non-irritant smoke on evacuees' decisions to use the stairs or the elevator. In experiment 3, people were still in the non-irritant smoke condition, but 5 min before the experiment, the following was explained: (1) the building layout; (2) The time to open and close the door of the elevator (TOC for short) of the elevator was about 27 s (not including wait time), and average duration when using stairs to evacuate was about 90 s; and (3) if the elevator is overloaded, it cannot move. This was a deliberate attempt to ensure the participants' knowledge of what might be expected. After each experiment, participants had half an hour to take a rest and drink some water.

4. Results and Discussion

The questionnaire results are discussed in Sect. 4.1. The results of experiments 1, 2, and 3 are presented in Sects. 4.2, 4.3, 4.4, respectively. Some evacuation phenomena are discussed in Sect. 4.6, where the experimental results are also compared. Limitations of our experiments are discussed in Sect. 4.7.

4.1. Results of Questionnaires

The questionnaires contained four sub-sections: questionnaire 0–3. Questionnaire 0 was used to collect evacuees' basic information, such as age and gender (see Table 1). This questionnaire was collected a week before the experiments. Questionnaires 1–3 were distributed after experiments 1–3, respectively. As shown in Table 1, 38 participants were male. Participants' ages ranged from 17 to 23, and most of them were not familiar with the exits in the Liuqing building. All participants reported preferring to use elevators under normal conditions.

Table 1 indicates that most participants were unfamiliar with the building exits. They often travelled up to the 10th floor or down from the 10th floor; hence, the 10th floor was chosen as the experimental floor. Most participants did not think they could use elevators during an evacuation, and only 4.4% of them stated they would want to use an elevator in that situation, mainly because they did not perceive elevators to be safe in the event of an emergency. When we explained it would be safe to use the elevator (given that it had suitable protection), most participants thought the key advantage of using the elevator over stairs was speed. As shown in Fig. 3, the percentage of evacuees who wanted to use the elevator increased 257% from the 5th floor to the 10th floor. If participants were on the 20th floor, nearly 80% of them stated they would want to use the elevator. The participants in this survey were all young, able-bodied people, and we would expect the percentage of elderly population who would choose to use the elevator on a certain floor to be higher [12, 38]. As demonstrated in Fig. 3, the percentage of evacuees willing to use an elevator on the 10th floor increased to 55.6% from the percentages recorded on lower floors; thus, the 10th floor was crucial to follow-up test.

Questionnaires 1–3 were given after experiments 1–3, respectively. These questionnaires focused on participants' psychological state; questions are listed in Table 2, Table 3, and Table 4. From the results of the questionnaire, it was evident that most participants felt anxious during experiment 1, and 36 of the participants felt increasingly anxious when they were in non-irritant smoke conditions compared to not. Although non-irritant smoke was still generated in experiment 3, 33 of the participants did not feel as anxious as in experiment 2. More than half of the participants believed that non-irritant smoke and knowledge of evacua-

Table 1 Results of Questionnaire O

| Basic information | |
|--|------------|
| Gender | |
| Male | 84.4% (38) |
| Female | 15.6% (7) |
| Are you familiar with the exits? | |
| Yes | 20% (9) |
| No | 80% (36) |
| What do you usually use to go up to the 10th floor? | |
| Elevators | 100% (45) |
| Stairs | 0% (0) |
| Do you think it is available to use elevators during emergency evacuation? | |
| Yes | 4.4% (2) |
| No | 95.6% (43) |
| If you do not want to use elevators during evacuation | |
| What is your concern? (Multiple answers) | |
| Trapped in the elevator | 36 (22.2%) |
| Lose power while travelling | 36 (22.2%) |
| Elevator evacuation technologies are unreliability | 19 (11.7%) |
| Fire or non-irritant smoke may spread into the elevator | 18 (11.1%) |
| Too many people waiting for the elevator | 35 (21.6%) |
| I am educated that elevators cannot be used | 11 (6.8%) |
| Not safe, do not know the reason exactly | 7 (4.3%) |
| If elevators are safe during evacuation, please answer the following questions | |
| What do you think are the major advantages of using elevators? | |
| Fast | 93.3% (42) |
| Avoid the overcrowding on stairs | 6.7% (3) |
| Above which floor will you use elevators? | |
| 5 | 15.6% (7) |
| 10 | 40.0% (18) |
| 20 | 22.2% (10) |
| 30 | 8.9% (4) |
| 40 | 2.2% (1) |
| 50 and above | 11.1% (5) |
| | |

tion procedures influenced their decision to use stairs or the elevator during the experiments; results are discussed in detail in the next section. After the experiments, participants who used the stairs and reported feeling fatigued were questioned about on which floor they felt tired; these results appear in Fig. 4. Most of them felt fatigued after travelling down 7 (28 m vertically) to 8 floors (32 m vertically).

4.2. Results of Experiment 1

In experiment 1, participants could use either the stairs or elevator to evacuate. Photographs from experiment 1 are shown in Fig. 5. Figure 5a shows participants trying to enter the elevator car, and all of them started on Floor 10. In this experiment, 12 people used the elevator and 33 used the stairs. The elevator conducted two trips to pick up evacuees. During the first trip, 13 evacuees tried to enter the



Figure 3. Cumulative percentages of participants who want to use elevators.

Table 2 Results of Questionnaire 1

| Did you feel anxious in the experiment? | |
|--|--|
| Yes | 82.2% (37) |
| No | 17.8% (8) |
| Which egress do you use? | |
| Elevator | 26.7% (12) |
| Stair | 73.3% (33) |
| If you use the elevator, why? | |
| Faster | 75% (9) |
| Save physical strength | 8.3% (1) |
| Safe | 8.3% (1) |
| Follow others | 8.4% (1) |
| Others (Please write down the reasons) | 0 |
| If you use the stair, why? | |
| Safe | 42.4% (14) |
| Follow others | 15.2% (5) |
| Do not want to wait for the elevator | 12.1% (4) |
| Too many people waiting for the elevator | 15.2% (5) |
| I cannot enter in the elevator because of overload | 12.1% (4) |
| Others (Please write down the reasons) | 3% (1) (The elevator left, and |
| | I don't want to wait for another trip) |
| If you use the stair, do you feel fatigue when going downstairs? | |
| Yes | 39.4% (13) |
| No | 60.6% (20) |



Figure 4. The number of people on certain floors who felt fatigue in these experiments.

car, but only 10 of them used the elevator for evacuation because the car was overloaded when all 15 evacuees were inside. Five evacuees exited the car, none of whom tried to wait for the elevator again. As shown in Fig. 5, several participants exited the car because it was overloaded. During the second trip, only two participants used the elevator. One of them descended to the 7th floor by the stair and waited for the elevator on the 7th floor (i.e., people may wait for elevators on other floors rather than their original floor). The wait times of the participants who tried to use the elevator are shown in Table 5. The average wait time for the second trip was much longer than that for the first trip, and only two participants waited for the second trip. When the elevator door opened for the first time, many evacuees looked into the car to determine whether they could use it. In this experiment, three evacuees decided to use the stairs.

The evacuation process began when the first participant entered the elevator room on the 10th floor until the last participant arrived at the elevator room on the 1st floor; results are shown in Fig. 6. The stair evacuation and elevator evacuation times for experiment 1 were 178 s and 201 s, respectively. The cumulative number of evacuees is shown in Fig. 6, and the green and red solid lines represent the time cost by the elevator door opening and closing on the 10th floor, respectively. The time to open and close the door of the elevator (TOC for short) on the 10th floor for the first trip was 18 s because of overload; for the second trip, it was 8 s.

The elevator evacuation time for the first trip was 80 s, shorter than the evacuation time when using stairs; however, the evacuation time for the second trip was longer than that when using stairs. As a result, elevators should be used at an early stage of evacuation.

The results of the post-experiment questionnaires are shown in Table 2. Most evacuees (82.2%) felt anxious during experiment 1, and they tried to move quickly according to the videos. Twelve evacuees reported that they used the elevator,

Table 3 Results of Questionnaire 2

| Did you feel more anxious than that in the last time? | |
|--|------------|
| Yes | 75.6% (34) |
| No | 24.4% (11) |
| Did you speed up when you see the non-irritant smoke? | |
| Yes | 80% (36) |
| No | 20% (9) |
| Which egress did you use? | |
| Elevator | 24.4% (11) |
| Stair | 75.6% (34) |
| If you used the stair, did you feel fatigue when going downstairs? | |
| Yes | 38.2% (13) |
| No | 61.8% (21) |
| | |

Table 4 Results of Questionnaire 3

| Which one did you think that can influence your decision making on stairs or elevators? | |
|---|------------|
| Explanation | 73.3% (33) |
| Experience | 26.7% (12) |
| Neither of them | 0% (0) |
| Which egress did you use? | |
| Elevator | 40% (18) |
| Stair | 60% (27) |
| If you used the stair, did you feel fatigue when going downstairs? | |
| Yes | 37% (10) |
| No | 63% (17) |





which is the same as demonstrated on the video. Rapid travel was the main reason for using the elevator. Participants cited two reasons for choosing to use the stairs: (1) they thought the elevator was not safe enough, and (2) they could not enter the elevator car and did not want to wait for another trip. In this experiment, about 40% of evacuees who used the stairs reported fatigue during the evacuation.

| ID | Waiting time (s) | Used the elevator? |
|--------|------------------|--------------------|
| Trip 1 | | |
| 1 | 27 | Yes |
| 2 | 24 | Yes |
| 3 | 20 | Yes |
| 4 | 20 | Yes |
| 5 | 12 | Yes |
| 6 | 12 | Yes |
| 7 | 7 | Yes |
| 8 | 5 | Yes |
| 9 | 1 | Yes |
| 10 | 1 | Yes |
| 11 | 0 | No |
| 12 | 0 | No |
| 13 | 0 | No |
| Trip 2 | | |
| 1 | 74 | Yes |
| 2 | 70 | Yes |

Table 5 Waiting Times of Evacuees in Experiment 1

Table 6 Waiting Times of Evacuees in Experiment 2

| ID | Waiting time (s) | Use elevator? | |
|----------|------------------|---------------|--|
| Floor 10 | | | |
| 1 | 47 | Yes | |
| 2 | 47 | Yes | |
| 3 | 47 | Yes | |
| 4 | 45 | Yes | |
| 5 | 43 | Yes | |
| 6 | 37 | No | |
| 7 | 33 | Yes | |
| 8 | 28 | Yes | |
| 9 | 28 | No | |
| 10 | 26 | No | |
| 11 | 25 | No | |
| 12 | 24 | No | |
| 13 | 17 | No | |
| 14 | 8 | No | |
| 15 | 4 | No | |
| 16 | 3 | No | |
| Floor 11 | | | |
| 1 | 4 | Yes | |
| 2 | 3 | Yes | |
| 3 | 1 | Yes | |



Figure 6. Results of experiment 1.

According to the questionnaire (see Fig. 3), 55.6% of participants wanted to use the elevator on the 10th floor. In the experiment, only 26.7% of them used the elevator, and about 40% tried to wait for it. The results of the questionnaire and experiment were therefore inconsistent; that is, people appeared to make decisions according to their immediate environment and psychological state.

4.3. Results of Experiment 2

In experiment 2, harmless non-irritant smoke was released without informing the participants, and the participants were not aware that this was going to happen beforehand. Photographs from experiment 2 are shown in Fig. 7. Figure 8a shows that the first participant pressed the elevator call button. He did not want to wait for the elevator in the non-irritant smoke condition, so he chose to use the stairs (see Fig. 7b) after about 3 s. In this experiment, 11 people used the elevator and 34 people used the stairs. The elevator made one evacuation trip to pick up evacuees, and an interesting phenomenon occurred: many evacuees tried to enter the car from other floors, although we positioned one person on each floor except the



Figure 7. Photographs of experiment 2.

| ID | Waiting time (s) | Use elevator? | |
|--------|------------------|---------------|--|
| Trip 1 | | | |
| 1 | 31 | Yes | |
| 2 | 31 | Yes | |
| 3 | 31 | Yes | |
| 4 | 30 | Yes | |
| 5 | 19 | Yes | |
| 6 | 19 | Yes | |
| 7 | 15 | Yes | |
| 8 | 15 | Yes | |
| 9 | 11 | Yes | |
| 10 | 5 | Yes | |
| 11 | 5 | Yes | |
| 12 | 1 | Yes | |
| 13 | 1 | No | |
| Trip 2 | | | |
| 1 | 74 | Yes | |
| 2 | 74 | Yes | |
| 3 | 73 | Yes | |
| 4 | 72 | Yes | |
| 5 | 72 | Yes | |
| 6 | 71 | Yes | |

Table 7 Waiting Times of Evacuees in Experiment 3



Figure 8. Results of experiment 2.

10th to prevent people from using the elevator. Our staff told participants, "Please do not use the elevator on this floor." However, some participants did not follow



Figure 9. Photographs of evacuees entering the car in experiment 2.

directions, perhaps because the non-irritant smoke made them anxious. This phenomenon may happen in a real-life elevator evacuation as well. Among the evacuees who used the elevator, three of them entered the car on the 11th floor (they went up one floor using the stairs), and the rest entered the car on the 10th floor. Fourteen evacuees tried to enter the car on the 10th floor, but only nine used the elevator for evacuation. The number of waiting evacuees was similar to that in experiment 1. The wait times of the participants who used the elevator is shown in Table 6. Many participants arrived at the elevator room early, but they did not want to use the elevator because they crossed through the non-irritant smoke. After the elevator left, no one wanted to wait for the second trip. Judging from the proportion of elevator use and number of elevator trips, the non-irritant smoke influenced participants' decision to use the stairs or the elevator. In this experiment, eight evacuees chose to use the stairs.

The stair evacuation and elevator evacuation times in experiment 2 were 189 s and 174 s, respectively, as shown in Fig. 8. Although the participants were moving faster in the non-irritant smoke condition according to the questionnaire (see Table 3), in which 80% of the evacuees stated they sped up, the stair evacuation time was longer than in experiment 1. This may be because evacuees who used the stairs tried to press the hall call buttons on other floors for they wanted to wait for the elevator on these floors. Compared with the elevator evacuation time of the first trip in experiment 1, which for experiment 2 was 98 s longer because the elevator stopped at nearly every floor below the 10th floor. According to interviews after the experiments, the participants said they just wanted to try to use the elevator; thus, elevator control is crucial during elevator evacuations. The



Figure 10. Photographs of experiment 3.



Figure 11. Results of experiment 3.

cumulative number of evacuees is shown in Fig. 8. The green and red solid lines represent the time it took for the elevator door to open and close, respectively, on the 10th floor. The TOC on the 10th floor for the first trip was 20 s because of overload, and Fig. 9a shows that the evacuees entered the car.

According to the questionnaire (see Table 3), most evacuees (75.6%) felt more anxious than in experiment 1, and 80% of them tried to move faster. Eleven evacuees used the elevator, the same as shown in the videos. Among evacuees who used the stairs, 38.2% felt fatigued during experiment 2.

4.4. Results of Experiment 3

In experiment 3, harmless non-irritant smoke was also released. In this experiment, 18 people used the elevator and 27 used the stairs (see photographs in Fig. 10). The elevator traveled two trips to pick up evacuees. During the first trip, 13 evacuees tried to enter the car, 12 of whom used the elevator to evacuate. During the second trip, six participants used the elevator, and they were waiting for more than 70 s. The wait times for participants who used the elevator are shown in Table 7. The average wait time for the second trip was 40 s longer than for the first trip. In this experiment, more people used the elevator than in other experiments (45% more than in experiment 2). Four evacuees opted to use the stairs.

The stair evacuation and elevator evacuation times for experiment 3 were 165 s and 196 s, respectively. The results of experiment 3 appear in Fig. 11. The stair evacuation was faster than in the other experiments. The cumulative number of evacuees is shown in Fig. 11, and the green and red solid lines represent the times the elevator door opened and closed at the elevator room, respectively, on the 10th floor. The TOC on the 10th floor for the first trip was 17 s and 9 s for the second trip. According to the results of our experiments and questionnaires,

knowledge of an evacuation plan can influence evacuees' choice of stairs or the elevator. The maximum capacity of experiments 1, 2, and 3 was 10 persons, 11 persons, and 12 persons, respectively. The potential number of elevator users in these experiments was 20 (10×2 trips), 11 (11×1 trip), and 24 (12×2 trips), respectively.

4.5. Evacuation Phenomena

Several phenomena were observed in these experiments:

- (1) Social bonds Evacuees with social bonds tended to use the elevator or stairs together. Interestingly, two people used the elevator together in each experiment. After the experiments, we found out the people were a couple based on an after-experiment interview. The man tried to push the woman into the car when the elevator door opened, and neither wanted to get out of the car when the car was overloaded. This phenomenon is highly likely in a real elevator evacuation, especially if some evacuees are socially bonded [44, 45].
- (2) *Hesitation* Evacuees were unsure of whether to use the elevator or the stairs, and they slowed down or stopped moving when making their final decision. The space in front of the elevator was limited in the building (see Fig. 1) where we held the experiments, and evacuees blocked the pedestrian flow when they slowed down or stopped. As a result, in the event of an evacuation,



Figure 12. Proportion of waiting time on different floor levels in the references [6, 41].

| Range (second) | 1–5 | 6-15 | 16-30 | 31-45 | 46-60 | 61-75 |
|--------------------|-----|------|-------|-------|-------|-------|
| Number of evacuees | 3 | 3 | 4 | 0 | 0 | 2 |
| Cumulative number | 12 | 9 | 6 | 2 | 2 | 2 |
| Percentage (%) | 100 | 75 | 50 | 16.7 | 16.7 | 16.7 |

Table 8 Percentage of Waiting Time in Experiment 1

Table 9 Percentage of Waiting Time in Experiment 2

| Range (second) | 1–5 | 6-15 | 16-30 | 31-45 | 46-60 |
|--------------------|-----|------|-------|-------|-------|
| Number of evacuees | 5 | 1 | 6 | 4 | 3 |
| Cumulative number | 19 | 14 | 13 | 7 | 3 |
| Percentage (%) | 100 | 73.7 | 68.4 | 36.8 | 15.8 |

Table 10 Percentage of Waiting Time in Experiment 3

| Range (s) | 1-5 | 6-15 | 16-30 | 31-45 | 46-60 | 61-75 |
|--------------------|-----|------|-------|-------|-------|-------|
| Number of evacuees | 4 | 3 | 3 | 3 | 0 | 6 |
| Cumulative number | 19 | 15 | 12 | 9 | 6 | 6 |
| Percentage (%) | 100 | 78.9 | 63.2 | 47.4 | 31.6 | 31.6 |

evacuees should be picked up by elevators in a large space that is connected to exit stairs [2, 26].

- (3) *Elevator exit* Evacuees exited the car one by one when the car was overloaded. When evacuees heard the alarm signaling overload, they did not know how many should exit the car. They got out of the car one by one (except those with social bonds) until the alarm stopped.
- (4) *Fatigue* After traveling 5 (20 m vertically) to 8 (32 m vertically) floors using the stairs, some evacuees felt fatigued; this phenomenon was also reported in the evacuation of the World Trade Center on September 11th, 2001 [27].

4.6. Comparison with Other Studies

Participants' wait time tolerance on different floors in the survey by Heyes [6] and Kinsey [41] is shown in Fig. 12. In Ref. [6], 138 persons participated in the online survey. In these cases, the buildings were office buildings with 5 floors, 30 floors, and 60 floors. In Ref. [41], 468 persons participated in the online survey, and they were asked whether they wanted to use the elevators on different floors. However, the wait times in our experiments (see Tables 8, 9, and 10) were considerably different from those reported in the earlier observations. In our study, most evacuees did not want to wait for the elevator for more than 1 min based on the scenario

and environment. Thus, the results of questionnaires and online surveys do not always represent participants' true intentions as, in our case, participants were not involved in a real evacuation.

4.7. Limitations

There is a gap between experiments and real fire emergency events. The environment of our experiments was not identical to a real fire event: there was no fire and no real smoke in the air. In most buildings where elevators can be used for evacuation, elevator waiting areas are enclosed in fire-rated construction, and they are pressurized to prevent smoke ingress. In addition, most evacuation strategies would not require people to use the lift on the fire floor; rather, people would move to another floor before using an elevator to evacuate. The experiments in our paper were carried out under special circumstances where the fire ventilation system had failed. If escalators were used in the experiments, they might affect the number of evacuees using the elevator.

Participants were getting familiar with the building after each experiment since the participants were the same in the three experiments. The participants were more familiar with they cannot use the elevators during evacuation. In the experiments, they were allowed to use only one stairwell or one elevator, and there was no path finding problem. The familiarity of evacuation route cannot influence participants much, however, the familiarity of using elevators would influence them a lot. In experiment 1, they used the elevator for the first time. In experiment 2, smoke was released, and they were stressful to evacuate. Then they were told about the knowledge of elevator evacuation and how long will the elevator arrive at the tenth floor. In experiment 3, they did experiment 2 again. From experiment 2 to 3, both their evacuation experience and the knowledge may influence their behaviors. The purpose of giving them knowledge is to let them get more experience of evacuation. In experiment 2, participants used the elevator outside of Floor 10. Although this uncertain phenomenon is a part of the experiment results, it is a loss of experimental control in experiment 2 to some extent. This limit affected the comparison among the experiments. As a result, we only compared the proportion of population who used the elevator.

The participants in our experiments were all Chinese students and well-educated; the type of participants and Chinese culture may have influenced the experimental results. A few participants carried bags during the evacuation, which may have had a minor impact on the elevator's load. Age and gender were not considered in this paper, nor were people with mobility impairments. Furthermore, we identified a gap between the results of our questionnaires and those of our experiments; it is difficult to capture what participants were truly thinking during the evacuation. Finally, the sample size was small: the conclusions of this paper are based on three experiments with 45 participants, and more data is needed in future studies on this topic.

5. Conclusion

Experiments were carried out to study evacuees' behavior around the combined use of an elevator and stairs during a high-rise building evacuation. Non-irritant smoke and knowledge of evacuation procedures were found to influence evacuees' behavior and choice of using the elevator or stairs. In experiment 1, 26.7% of 45 participants chose the elevator. In experiment 2, non-irritant smoke was released, and the proportion of participants who used the elevator decreased to 24.4% of 45 participants. Before experiment 3, participants were provided some information about the evacuation procedure (i.e., education or explanation about the evacuation and elevator evacuation experience), after which the proportion of elevator use increased to 40%. As a result, we found that non-irritant smoke influenced evacuees' behavior, and knowledge of evacuations in particular affected evacuees' behavior and choice to use the elevator or stairs. In result, when designing stair and elevator evacuation plans in a high-rise building, occupants' prior knowledge should be considered. Finally, several suggestions are given to building designers, and basic data (such as evacuation time and proportion of people using the stairs or elevator) can provide insight for building safety engineers into evacuation strategies that include stairs and elevators.

These experiments also substantiate conclusions from various studies: evacuation elevators should be used on certain floors (such as refuge floors) instead of on every floor [8, 9]. For example, evacuees tried to use the elevator on nearly every floor in experiment 2, which delayed the elevator evacuation time and disturbed pedestrian flow in the stairway. Additionally, we noted a gap between the results of questionnaires (or online surveys) and the results of experiments (or evacuation events); that is, how people actually behave is more important than how they intend to behave. In our future work, more factors should be tested experimentally, such as social influence [30] or social bonds, floor levels, and immediate evacuation guidance.

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