**ORIGINAL RESEARCH** 



# **Everettian Mechanics with Hyperfinitely Many Worlds**

Jeffrey Barrett<sup>1,2</sup> · Isaac Goldbring<sup>1,2</sup>

Received: 5 July 2021 / Accepted: 17 June 2022 / Published online: 10 July 2022 © The Author(s) 2022

### Abstract

The present paper shows how one might model Everettian quantum mechanics using hyperfinitely many worlds. A hyperfinite model allows one to consider idealized measurements of observables with continuous-valued spectra where different outcomes are associated with possibly infinitesimal probabilities. One can also prove hyperfinite formulations of Everett's limiting relative-frequency and randomness properties, theorems he considered central to his formulation of quantum mechanics. Finally, this model provides an intuitive framework in which to consider nocollapse formulations of quantum mechanics more generally.

### 1 Everettian quantum mechanics and many worlds

Hugh Everett III (1956, 1957) presented pure wave mechanics as a solution to the quantum measurement problem encountered by the standard collapse theory.<sup>1</sup> He characterized pure wave mechanics as the standard von Neumann–Dirac formulation of quantum mechanics but without any collapse of the quantum-mechanical state on measurement or any other time. All physical systems always evolve in a deterministic, linear way described by the standard quantum dynamics.

Jeffrey Barrett

 j.barrett@uci.edu
 https://faculty.sites.uci.edu/jeffreybarrett/

 Isaac Goldbring

 isaac@math.uci.edu
 http://www.math.uci.edu/isaac

<sup>1</sup> Department of Logic and Philosophy of Science, University of California, Irvine, 765 Social Sciences Tower, Irvine, CA 92697-5000, USA

<sup>&</sup>lt;sup>1</sup> The standard collapse theory was described by von Neumann (1955). See Barrett (2020, 42–53 and 105–117) for a discussion of the standard collapse formulation of quantum mechanics and the measurement problem and Barrett (2018) for an overview of Everett's project.

<sup>&</sup>lt;sup>2</sup> Department of Mathematics, University of California, Irvine, 340 Rowland Hall (Bldg.# 400), Irvine, CA 92697-3875, USA

On Everett's interpretation of the quantum-mechanical state, the linear dynamics describes the universe as constantly splitting into branches or worlds corresponding to different measurement outcomes. How many worlds there are and the state of each world depends on how one understands the global state. Everett's description of the principle of the relativity of states allows for *arbitrary* decompositions of the state, and he explicitly allowed for decompositions in terms of eigenstates of observables with continuous-valued spectra. His discussion of relative states in the context of von Neumann's account of a position measurement, for example, involves a superposition of a continuous number of branches, in each of which the object system has a different determinate position (1957, 180–182). For this reason, he was careful to allow for information measures and probability distributions over sets of unrestricted cardinality in his theory (1956, 86, 89–92). In brief, on Everett's own presentation there is a potentially uncountably infinite number of worlds depending on which decomposition of the state one considers.

While Everett almost always referred to branches rather than worlds (and the term "world" never appears in his written work), he explicitly endorsed there being an *uncountable number of worlds* in a recorded discussion with colleagues in 1962. It happened as follows. Everett was invited to describe his formulation of quantum mechanics at a conference at Xavier University that had been convened to discuss the quantum measurement problem. After he had briefly described how the theory worked, the physicist Boris Podolsky said, "It looks like we would have a non-denumerable infinity of worlds." To which Everett responded, "Yes."<sup>2</sup>

In contrast, Bryce Dewitt, perhaps Everett's most energetic and effective proponent, held that since the correlations produced by measurement-like interactions are always only approximate for observables with continuous spectra, there must be at most a denumerable number of worlds.<sup>3</sup> Indeed, DeWitt repeatedly suggested that the cardinally was large but finite, famously reporting that there were  $10^{100+}$  constantly splitting worlds.<sup>4</sup>

In the context of deocoherence formulations of Everettian quantum mechanics, the question of the number of worlds is more subtle. As a particularly salient example, David Wallace has argued that since decoherence, the physical phenomena that tends to prevent interference between branches, comes in degrees, there is no simple matter of fact about how many worlds there are at a time. Rather, how one individuates worlds on a decohering-worlds account depends on one's level of description and practical interests.<sup>5</sup> In order to prove the representation theorems that he wants

<sup>&</sup>lt;sup>2</sup> Wendell Furry, one of the participants at the conference, replied that "I can think of various alternative Furrys doing things, but I cannot think of a non-denumerable [infinity] of alternative Furrys." For a transcript of Everett's description of his theory and the discussion that followed see (Barrett and Byrne, 2012, 270–279). See Barrett (2011b) for a discussion of Everett's understanding of branches and worlds.

 $<sup>^3</sup>$  See (DeWitt, 1971, 210–211) in DeWitt (1973) for his account of the measurement of observables with continuous spectra.

<sup>&</sup>lt;sup>4</sup> See DeWitt (1970, 33) and (DeWitt, 1971, 179) for such claims and (Becker, 2018, 257–258) for a description of the sort of intuitions (like being able to talk of the proportion of worlds with a particular property) that might lead one to favor finitely many worlds over infinitely many worlds.

<sup>&</sup>lt;sup>5</sup> See (Wallace, 2012, 99–102) for a brief introduction to the idea.

It is often convenient to suppose that there is a well-defined finite number of worlds. This allows one to do things like consider the proportion of worlds with a particular property or to consider unbiased probabilities over worlds, something that cannot be done on the standard approach for even countably infinite many worlds.<sup>7</sup> The hyperfinite model we develop here allows one to recover the intuitive features of finitely many worlds even in the context of non-denumerably many worlds.

Our aim here is not to provide a once-and-for-all account of worlds for Everettian quantum mechanics. Rather, it is to suggest a way of reconstructing Everett's original picture of how branches work and a way of thinking of non-denumerably many worlds and probability distributions over such worlds when it is convenient to do so. Specifically, a hyperfinite model allows one to consider idealized measurements of observables with continuous-valued spectra and it provides an intuitive set of tools for studying non-denumerable collections of worlds. One can consider the proportion of worlds with a particular property or consider unbiased probabilities over worlds in a perfectly natural way. It also allows one to provide elegant nonstandard reformulations of the two limiting properties that Everett took to be central to his formulation of quantum mechanics. And it provides an intuitive context that works very much like a finite-dimensional Hilbert space for representing no-collapse formulations of quantum mechanics more generally.

#### 2 Worlds and probabilities

It will be helpful to start with a simple example of how pure wave mechanics describes an ideal measurement interaction where there are finitely many (here, two) possible measurement outcomes. Suppose that an observer F measures the x-spin of a spin-1/2 system S that begins in the state

$$\alpha|\uparrow_x\rangle_S + \beta|\downarrow_x\rangle_S. \tag{1}$$

Let the state | "ready" $\rangle_F$  represent the state where *F* is ready to observe the *x*-spin of *S* and record the result in her notebook. The initial state of the composite system of *F* and *S* then is

$$|\text{``ready''}\rangle_F(\alpha|\uparrow_x\rangle_S + \beta|\downarrow_x\rangle_S).$$
(2)

 $<sup>^{6}</sup>$  See (Wallace, 2012, 227) and his discussion of decision theory in Appendix B.

<sup>&</sup>lt;sup>7</sup> The discussion in Sebens and Carroll (2015) illustrates the intuitive appeal of considering finitely many worlds. Many of the same intuitions are satisfied in the hyperfinite model we discuss here.

Since  $|\text{"ready"}_F|\uparrow_x\rangle_S$  would evolve to  $|\text{"}\uparrow_x"\rangle_F|\uparrow_x\rangle_S$  and  $|\text{"ready"}_F|\downarrow_x\rangle_S$  would evolve to  $|\text{"}\downarrow_x"\rangle_F|\downarrow_x\rangle_S$  on ideal measurements, the initial state above would evolve to the final post-measurement state

$$\alpha |``\uparrow_x"\rangle_F |\uparrow_x\rangle_S + \beta |``\downarrow_x"\rangle_F |\downarrow_x\rangle_S \tag{3}$$

by the linearity of the standard quantum dynamics.

Note that there is no collapse of the quantum mechanical state here. Hence one avoids the problem of having to say how and when such a random event might occur. But while dropping the collapse dynamics from the standard collapse theory allows one to provide a manifestly consistent account of an idealized measurement interaction, it also immediately leads to two new problems. One involves how one explains determinate records, the other how one understands probabilities.

Since the final post-measurement state is not one where *F* has any determinate measurement record at all on the standard eigenvalue-eigenstate rule for interpreting quantum-mechanical states, we need a new way to interpret states.<sup>8</sup> On the many-worlds interpretation of pure wave mechanics, easily the most popular way of understanding Everett's theory, one understands each term of the final state above as corresponding to a physical world, one for each possible result. Inasmuch as the post-measurement state describes one observer with the result " $\uparrow_x$ " and another observer with the result " $\downarrow_x$ ", this interpretation of the final state immediately solves the determinate-record problem.

While the standard collapse formulation of quantum mechanics encounters the quantum measurement problem and is hence ultimately unsatisfactory, it predicts precisely the right forward-looking probabilities for simple experiments like this one. Here it predicts that *F* should expect to get the result " $\uparrow_x$ " with probability  $|\alpha|^2$  and the result " $\downarrow_x$ " with probability  $|\beta|^2$ . That it predicts the right forward-looking probabilities for such experiments is what makes the standard collapse theory one of the most successful physical theories ever. These are the empirical predictions that one would like to recapture in any satisfactory formulation of quantum mechanics. To address the probability problem, then, one needs to provide some way of understanding the standard quantum probabilities in pure wave mechanics, a deterministic theory where every physically possible measurement outcome is in fact fully realized as a world characterized by a branch of the quantum mechanical state.

Self-location probabilities, probabilities that represent one's epistemic uncertainty of finding oneself with a particular measurement result, arguably provide the most promising way to understand probabilities in a many-worlds theory.<sup>9</sup> But how one understands such probabilities depends on how one understands worlds and how one understands self-location uncertainty in those worlds.

<sup>&</sup>lt;sup>8</sup> See Barrett (2020, 42–46) for a description of the standard interpretation of states.

<sup>&</sup>lt;sup>9</sup> See Vaidman (2012, 2014) for introductions to this approach. And Sebens and Carroll (2015) for an example of a recent application of self-location probabilities.

We will briefly consider two approaches to self-location probabilities then consider how Everett himself thought of quantum probabilities. The hyperfinite framework works for each approach and is particularly well-suited to Everett's picture.<sup>10</sup>

Suppose that *F*'s premeasurement world splits into one copy for each possible measurement result when *F* makes her measurement. On the face of it, she should expect (with probability 1) to find one future copy of herself in a world where she gets the result " $\uparrow_x$ ", and she should expect (also with probability 1) to find another future copy of herself in a world where she gets the result " $\downarrow_x$ ". But these are entirely the wrong quantum probabilities.

Inasmuch as each of the future copies of F have equal claim to being F on a splitting-worlds view, a single premeasurement observer cannot make straightforward sense of standard forward-looking quantum probabilities as subjective degrees of belief regarding which world she will inhabit after she makes her measurement. That said, after she performs her measurement and subsequently inhabits a world where there is now a single determinate record, she can make sense of quantum probabilities as synchronic self-location probabilities.

Suppose *F* makes her measurement and suppose that her initial world has split into one with a copy of *F* and her measuring device that records the result " $\uparrow_x$ " and another with a copy of *F* and her measuring device records the result " $\downarrow_x$ ". Consider one of the copies of *F*. Suppose that she has not yet looked at the result that the measuring device in her world has recorded. *F* can now ask herself the perfectly coherent synchronic question of what her degree of belief should be that the recorded result in the world she inhabits right now is " $\uparrow_x$ " and what her degree of belief should be that the recorded result in her world is " $\downarrow_x$ ".

We will suppose that one has a formulation of the theory that stipulates that one should assign a subjective degree of belief equal to the norm-squared of the amplitude associated with one's post-measurement world conditional on what one knows of one's premeasurement world. In the present case, since we are supposing that *F* begins ready to perform the described measurement, one wants one's full theory to stipulate  $|\alpha|^2$  as the probability of getting " $\uparrow_x$ " and  $|\beta|^2$  the probability of getting " $\downarrow_x$ ". Here one is getting the standard quantum probabilities as synchronic self-location probabilities rather than forward-looking probabilities regarding her outcome. What *F* can say before performing her measurement is that each of her future selves will have post-measurement synchronic self-location probabilities given by the norm squared of the amplitude associated with each world. But the theory needs to tell one to assign these probabilities. This is why there must be auxiliary theoretical assumptions concerning self-location probabilities that go beyond the unitary dynamics.<sup>11</sup>

<sup>&</sup>lt;sup>10</sup> See Greaves (2006), Wallace (2012), Barrett (1999, 2020) for further discussion of some of the problems one faces making sense of probability in Everett and options for addressing them.

<sup>&</sup>lt;sup>11</sup> See (Barrett, 2020, 162–174) for a discussion of what such auxiliary assumptions might look like. As a concrete example, Wallace (2010) provides a set of ten auxiliary assumptions that together arguably entail the standard quantum probabilities.

One can get something that is closer to standard forward-looking quantum probabilities by adopting a many-worlds theory where the worlds do not split.<sup>12</sup> Suppose that there is one world for every possible history or thread through the branching structure generated by a series of subsequent measurements. On this picture, there are two copies of *F* both before and after the measurement interaction on the simple example above. One copy will get the result " $\uparrow_x$ " and the other will get the result " $\downarrow_x$ ". Here one can take quantum probabilities to be degrees of belief concerning which history or thread one inhabits. Even before performing her measurement, *F* can coherently assign the standard forward-looking quantum probabilities to the outcome as they are just the synchronic self-location probabilities for her current inhabiting each of the two worlds.<sup>13</sup> But again, one's theory would need auxiliary theoretical assumptions that entail that one assign these probabilities.

While there is more to say about how one might individuate worlds and understand self-location probabilities in even the simple case with two possible measurement results, this is enough to see how one might apply the framework that follows. But as we are especially interested in capturing Everett's approach, it will also be helpful to have a sense of how he thought of quantum probabilities.<sup>14</sup>

Everett believed that he could deduce the standard quantum predictions from pure wave mechanics. His strategy was not to deduce the standard quantum probabilities as a measure over alternative branches. Rather, he wanted to derive the standard quantum statistics for sequences of measurement records within a *typical* branch in the norm-squared amplitude sense of typical. His idea was that it will thus *appear* that the measurement outcomes were determined by the standard quantum probabilities to an observer in a typical world. And *that* was sufficient for the theory to be empirically adequate.<sup>15</sup> The two limiting properties that we discuss later are reformations of Everett's typicality deductions in the nonstandard framework.

The hyperfinite model that we develop here, then, provides a framework for understanding worlds, probabilities, and typicalities in the context of observables with a continuous spectra. There are other approaches one might consider. One is to allow a continuum of worlds and to consider a probability distribution over these worlds analogous to how probability distributions over a continuum of possible positions a single particle might occupy are treated in collapse theories of

<sup>&</sup>lt;sup>12</sup> A related approach is one where observers do not split. On this view, there are multiple, but physically identical, observers before the measurement who are uncertain about which post-measurement observer represents their future self. See Albert and Loewer (1988), Albert (1992), Saunders and Wallace (2008), Saunders et al. (2010), and Wallace (2012) for discussions of some of the interpretational options available.

<sup>&</sup>lt;sup>13</sup> See the discussions of many-threads theories in Barrett (1999, 2020, 184–187).

<sup>&</sup>lt;sup>14</sup> One connection between Everett's approach and self-location probabilities is as follows. Everett showed that the records of a typical relative observer will exhibit the standard quantum statistics in the limit. Given the standard way of assigning self-location probabilities, then, a relative observer should expect with probability 1 to find herself to be typical in Everett's sense.

<sup>&</sup>lt;sup>15</sup> More specifically, Everett took a theory to be empirically faithful, and hence to adequately explain experience, if and only if there was a homomorphism between its model and the world as experienced. His relative-state formulation of pure wave mechanics is empirically faithful because an observer's actual experience can be found as a relative sequence of records associated with a *typical* relative observer in the norm-squared sense of typical. See Barrett (2011a, b, 2015, 2020, 143–174) for critical discussions of Everett's approach.

quantum mechanics. Another alternative would be to fix some level of approximation and to consider finitely or countably many worlds corresponding to the different possibilities of measuring the observable up to that fixed level of approximation. Our approach is along the lines of the latter method except that our approximations yield infinitesimal error and hence do not rely on an arbitrary, nonphysical choice of precision in characterizing the worlds. The model involves a hyperfinite number of worlds, each associated with a possibly infinitesimal probability. We do not take this model to provide a canonical way to address the representational problem, but we do take it to be a significant virtue that it shares many of the intuitive features of finitely many worlds. And it meshes especially well with Everett's approach.

#### 3 A brief introduction to nonstandard methods

The task at hand requires a few basic tools. The following prerequisites concern the nonstandard methods we will use to characterize hyperfinitely many worlds.<sup>16</sup>

The basic tenet of nonstandard analysis is to extend every set X under consideration to a **nonstandard extension** \*X which satisfies the following two properties: (1) \*X has the same (first-order) logical properties as X (this fact is often called the **transfer principle**), and (2) \*X contains new "ideal" elements not present in the original set X.<sup>17</sup> For example, the field of **hyperreals** \* $\mathbb{R}$  is a field extending the field  $\mathbb{R}$  that shares the same logical properties as the reals  $\mathbb{R}$  (such as, for example, being an ordered field) while containing new **infinitesimal** and **infinite elements**. Every finite (that is, noninfinitesimal) element *r* of \* $\mathbb{R}$  is infinitely close to a unique standard real number, called the **standard part** of *r*, denoted st(*r*). In the sequel, we let fin(\* $\mathbb{R}$ ) denote the set of finite elements of \* $\mathbb{R}$ . In general, for *r*, *s*  $\in$  \* $\mathbb{R}$ , we write *r*  $\approx$  *s* if *r* and *s* are infinitely close to each other.

Since functions are certain kinds of sets, they also have nonstandard extensions. Specifically, a function  $f : X \to Y$ , identified with its graph, will be extended to a subset of  $*X \times *Y$ . It is easy to check that this nonstandard extension is itself the graph of a function, which we abusively denote  $f : *X \to *Y$  (as it is readily verified that it extends the original function f).

An important distinction between subsets of a nonstandard extension \*X is the **internal** versus **external** distinction. Given any set *X*, we are entitled to consider the nonstandard extension  $*\mathcal{P}(X)$  of the powerset  $\mathcal{P}(X)$  of *X*. Under a natural identification, we may view elements of  $*\mathcal{P}(X)$  as actual subsets of \*X; the sets thus obtained are referred to as the internal subsets of \*X. By the transfer principle, internal subsets of \*X have the same logical properties as ordinary subsets of *X*. For example, internal subsets of  $*\mathbb{R}$  that are bounded above have a supremum. This fact need not hold for external (that is, non-internal) subsets of  $*\mathbb{R}$ , such as the set of infinitesimal elements. Subsets of \*X of the form \*Y, where *Y* is a subset of *X*, are internal subsets

<sup>&</sup>lt;sup>16</sup> See Goldblatt (1998) for a standard introductory text and Goldbring and Walsh (2019) for a description of potential applications. For a text on nonstandard methods written for physicists see Albeverio et al. (1986).

<sup>&</sup>lt;sup>17</sup> The "number" of these new ideal elements is controlled by the *saturation level* of the nonstandard extension.

of \*X, but not every internal subset of \*X is of this form. The **internal definition principle** states that a set defined using internal parameters in a first-order way is once again internal. Consequently, finite sets are always internal.

A function  $f : A \to B$  is called internal if its graph  $\Gamma(f) \subseteq A \times B$  is an internal set; in this case, both the domain and range of *f* are internal sets by the internal definition principle.

Using the internal definition principle, given  $N \in \mathbb{N}$ , the set of elements of  $\mathbb{N}$  between 1 and N is an internal subset of  $\mathbb{N}$ , suggestively denoted  $\{1, \ldots, N\}$  (although it is not in fact finite if N is infinite). If a (necessarily internal) set *E* is in internal bijection with the internal set  $\{1, \ldots, N\}$  for some  $N \in \mathbb{N}$ , then *E* is called **hyperfinite**. Such an N is automatically unique and is called the **internal cardinal-ity** of *E*, suggestively denoted |E|. Finite sets are hyperfinite sets share many of the intuitive properties of finite sets. That said, if *E* is hyperfinite but infinite, then its *actual* cardinality is at least the continuum and can be even larger depending on the saturation properties of the nonstandard model. Such will be the set of hyperfinitely many worlds corresponding to an observable with a continuous spectrum. By the transfer princple, an internal subset of a hyperfinite set is itself hyperfinite. By considering the nonstandard extension of the summation function, one can make sense of hyperfinite sums  $\sum_{x \in E} f(x)$ , where *E* is a hyperfinite set and *f* is some internal function defined on *E*.

The Loeb measure construction will be used extensively throughout this paper. Suppose that *E* is an internal set and  $\mu$  is an internal finitely additive probability measure defined on some internal algebra of internal subsets of *E*. Note that  $\mu$  takes values in \*[0, 1]. It can be shown that the associated (genuine) finitely additive measure  $F \mapsto \operatorname{st}(\mu(F))$  (defined on the same algebra as  $\mu$ ) can be extended to a genuine (that is,  $\sigma$ -additive) probability measure  $\mu_L$  on the  $\sigma$ -algebra generated by the original internal algebra. This measure is called the **Loeb measure** associated to  $\mu$ . An example of such an internal finitely additive probability measure  $\mu$  is the hyperfinite counting measure associated to a hyperfinite set: if *E* is hyperfinite and *F* is an internal subset of *E*, then  $\mu(F) := \frac{|F|}{|E|}$ .

Internal Hilbert spaces play a central role in the present hyperfinite model. An internal Hilbert space consists of an internal set **H** equipped with an internal addition +:  $\mathbf{H} \times \mathbf{H} \to \mathbf{H}$ , an internal scalar multiplication  $\cdot$ :  ${}^{*}\mathbb{C} \times \mathbf{H} \to \mathbf{H}$ , and an internal inner product  $\langle \cdot | \cdot \rangle$ :  $\mathbf{H} \times \mathbf{H} \to {}^{*}\mathbb{C}$  satisfying the usual Hilbert space axioms.<sup>18</sup> For  $|\phi\rangle, |\psi\rangle \in \mathbf{H}$ , we write  $|\phi\rangle \approx |\psi\rangle$  if  $||\phi\rangle - |\psi\rangle|| \approx 0$ . By the transfer principle, every internal Hilbert space **H** possesses an internal orthonormal basis. If this internal orthonormal basis is actually hyperfinite, then we say that the internal Hilbert space **H** is **hyperfinite-dimensional**. In this case, if  $(|\psi_i\rangle)_{i\in I}$  is a (hyperfinite) orthonormal basis for **H**, then every element  $|\phi\rangle \in \mathbf{H}$  can be uniquely expressed as a hyperfinite sum  $|\phi\rangle = \sum_{i\in I} \alpha_i |\psi_i\rangle$ , where each  $\alpha_i \in {}^{*}\mathbb{C}$ .

<sup>&</sup>lt;sup>18</sup> Except that completeness gets replaced by internal completeness.

# 4 Continuous-valued observables and the hyperfinite model

#### 4.1 Hyperfinite-dimensional state spaces

Everettian quantum mechanics for finite-dimensional state spaces as in the spin example above extends naturally to the hyperfinite-dimensional setting. Fix a hyperfinite-dimensional Hilbert space **H** and fix an observable *B* on **H**, which is an internally self-adjoint operator on **H**. The observable *B* is what determines what worlds there are at a time. Depending on one's formulation of the theory, it might be a determinate-pointer observable or determinate-record observable, or it might be selected by decoherence interactions or other dynamical considerations. Once specified, there is an internal orthonormal basis  $(|\psi_i\rangle)_{i\in I}$  of eigenvectors of *B* with corresponding eigenvalues  $(\lambda_i)_{i\in I}$ , where each  $\lambda_i \in {}^*\mathbb{R}$ . These eigenvalues correspond to the determine measurement outcomes when measuring the observable *B*. The set *W* of worlds thus coincides with the set  $\{|\psi_i\rangle : i \in I\}$  of eigenstates of *B*. An arbitrary state is simply a unit vector  $|\phi\rangle \in \mathbf{H}$  and can be decomposed as a hyperfinite sum  $|\phi\rangle = \sum_{i\in I} \alpha_i |\psi_i\rangle$  with each  $\alpha_i \in {}^*\mathbb{C}$ . In this state, the probability of finding oneself in the world corresponding to  $|\psi_i\rangle$  is the hyperreal number  $|\alpha_i|^2 \in {}^*\mathbb{R}$ , which may be infinitesimal.<sup>19</sup>

The linear dynamics for the hyperfinite setting is analogous to the finite setting. Specifically, if one fixes an internally self-adjoint operator *T* on **H**, the Hamiltonian for the system, then one can consider the unitary time evolution  $V_t$  of the system, which is an internal one-parameter family of internal unitary operators on **H** given by  $V_t |\phi\rangle = e^{-itT} |\phi\rangle$ .<sup>20</sup> Note that these operators are defined for all  $t \in {}^*\mathbb{R}$ .

#### 4.2 Hyperfinite-dimensional models for standard state spaces

We now consider how one might model the standard situation of an observable with continuous spectrum using a hyperfinite-dimensional state space as described in the previous subsection.<sup>21</sup> Before doing so, we need some preliminaries.

**Definition 1** Fix a Hilbert space  $\mathcal{H}$  with dense subspace  $\mathcal{M}$ . We say that a hyperfinite-dimensional subspace **H** of  $^*\mathcal{H}$  is **adapted to**  $\mathcal{M}$  if  $\mathcal{M} \subseteq \mathbf{H} \subseteq ^*\mathcal{M}$ .

<sup>&</sup>lt;sup>19</sup> Such probabilities merely satisfy "hypercountable" additivity instead of the usual countable additivity. <sup>20</sup> There are a number of equivalent ways of interpreting the exponentiated operator here. For example, one can view matrix exponentiation as a function  $\bigcup_{n \in \mathbb{N}} M_n(\mathbb{C}) \to \bigcup_{n \in \mathbb{N}} M_n(\mathbb{C})$  and then the above exponentiated operator is given by the nonstandard extension of this function.

<sup>&</sup>lt;sup>21</sup> See Raab (2004) for a preliminary description of this example in the context of the standard collapse theory.

The following is a routine application of saturation:

**Lemma 2** For any dense subspace  $\mathcal{M}$  of a Hilbert space  $\mathcal{H}$ , there is a hyperfinitedimensional subspace of \* $\mathcal{H}$  adapted to  $\mathcal{M}^{22}$ .

Suppose now that **H** is adapted to  $\mathcal{M}$ . Set  $E_{\mathbf{H}} : {}^*\mathcal{H} \to \mathbf{H}$  to be the internal orthogonal projection map. A routine "overflow" argument yields the following:

Lemma 3 For all  $|\phi\rangle \in \mathcal{H}, E_{\mathbf{H}}|\phi\rangle \approx |\phi\rangle$ .<sup>23</sup>

Suppose further that *T* is an unbounded operator on  $\mathcal{H}$  whose domain D(T) contains  $\mathcal{M}$ . Note that *T* extends to a map  $T : {}^*D(T) \to {}^*\mathcal{H}$ . Since  $\mathbf{H} \subseteq {}^*\mathcal{M} \subseteq {}^*D(T)$ , we can consider the restriction of *T* to  $\mathbf{H}$ , namely  $T \upharpoonright \mathbf{H} : \mathbf{H} \to {}^*\mathcal{H}$ . In order to obtain an operator on  $\mathbf{H}$ , we must compose this latter map with the projection  $E_{\mathbf{H}}$ . Summarizing, we define the **natural extension**  $T_{\mathbf{H}}$  to be the internal operator on  $\mathbf{H}$  given by  $T_{\mathbf{H}} | \phi \rangle := (E_{\mathbf{H}} \circ T) | \phi \rangle$ . By the previous lemma, we have that  $T_{\mathbf{H}} | \phi \rangle \approx T | \phi \rangle$  for all  $| \phi \rangle \in \mathcal{M}$ . The next lemma is easy to prove:

**Lemma 4** If T as above is symmetric, then so is  $T_{\mathbf{H}}$  (whence it is internally self-adjoint).

Crucial for us is the following (see Proposition 2 in Raab, 2004):

**Proposition 5** For each  $\lambda \in \sigma(T)$ , there is  $\lambda' \in \sigma(T_{\mathbf{H}})$  (that is, an internal eigenvalue of  $T_{\mathbf{H}}$ ) such that  $\lambda \approx \lambda'$ .

We now apply these preliminaries to the task at hand. Fix a Hilbert space  $\mathcal{H}$  (not necessarily separable), which is to represent the state space of our physical system. Moreover, we fix an observable A, which is an unbounded self-adjoint operator on  $\mathcal{H}$  with domain D(A), and the Hamiltonian H of our system, which is also an unbounded self-adjoint operator on  $\mathcal{H}$  with domain D(H). Suppose further that  $\mathcal{M}$  is a dense subspace of  $\mathcal{H}$  that is contained in  $D(A) \cap D(H)$ .<sup>24</sup> Fix a hyperfinite-dimensional subspace **H** of \* $\mathcal{H}$  adapted to  $\mathcal{M}$  and consider the natural extensions  $A_{\mathbf{H}}$  and  $H_{\mathbf{H}}$  of A and H respectively.

One can connect the Everettian interpretation of the hyperfinite-dimensional system  $(\mathbf{H}, A_{\mathbf{H}}, H_{\mathbf{H}})$  to an Everettian interpretation of the standard system  $(\mathcal{H}, A, H)$  in

<sup>&</sup>lt;sup>22</sup> The uninitated reader may view this result as a more elaborate version of the statement that there exist positive infinitesimal real numbers. The latter statement follows from an appropriate saturation assumption together with the fact that given any finite collection of positive real numbers, there is a positive real number smaller than all of them. Here, given any finite subset of  $\mathcal{M}$ , there is a finite-dimensional subspace of  $\mathcal{M}$  containing all of them.

<sup>&</sup>lt;sup>23</sup> The basic idea here is that the internal set of all  $n \in \mathbb{N}$  for which there exists  $|\psi\rangle \in \mathbf{H}$  such that  $|||\phi\rangle - |\psi\rangle|| < \frac{1}{n}$  contains the external set  $\mathbb{N}$  (as **H** contains the dense subspace  $\mathcal{M}$  of  $\mathcal{H}$ ). Consequently, there must exist an infinite N in this set, whence the lemma follows.

<sup>&</sup>lt;sup>24</sup> This already presupposes that  $D(A) \cap D(H)$  is a dense subspace of  $\mathcal{H}$ ; we will add a further restriction in a moment.

a natural way. Proposition 5 already suggests that how this might work. Indeed, for each  $\lambda \in \sigma(A)$ , a potential measurement result for the observable *A*, there is an eigenvalue (that is, a determinate measurement) for the internal observable  $A_{\rm H}$  that is infinitely close to  $\lambda$ , which we may interpret as an approximation to measuring  $\lambda$  with infinite precision. But there are further conditions we would like to hold, namely:

- (1) For standard states, the standard and internal unitary time evolutions should be infinitely close to one another.
- (2) The probabilistic interpretations afforded by the hyperfinite model should agree (up to an infinitesimal difference) with the usual quantum mechanical probabilities associated to the standard system.

Before formulating a precise definition from these two conditions, we will say a bit more about each.

Regarding (1), for each  $t \in \mathbb{R}$ , set  $U_t$  to be the unitary operator on  $\mathcal{H}$  given by  $U_t := e^{-itH} \cdot 2^5$  Also, for  $t \in *\mathbb{R}$ , we can consider the internal unitary operator  $V_t$  on **H** given by  $V_t := e^{-itH_{\rm H}} \cdot 2^6$  A precise formulation of condition (1) above would be that  $U_t |\phi\rangle \approx V_t |\phi\rangle$  for all  $|\phi\rangle \in D(H)$  and all  $t \in \mathbb{R}$ , that is, for a state in the domain of the Hamiltonian H (for which  $U_t$  does really solve the time-dependent Schrödinger equation with initial state  $|\phi\rangle$ ), the standard and internal unitary evolutions of the state remain infinitely close for all (standard) time.

In connection with the previous paragraph, we say that an element  $|\phi\rangle \in {}^*\mathcal{H}$  is **nearstandard**, and write  $|\phi\rangle \in ns({}^*\mathcal{H})$ , if there is  $|\psi\rangle \in \mathcal{H}$  such that  $|\phi\rangle \approx |\psi\rangle$ . In this case, we set st $|\phi\rangle$  to denote this (necessarily unique) element of  $\mathcal{H}$ .

We now consider item (2). For each  $|\phi\rangle \in \mathcal{H}$  and each Borel subset  $E \subseteq \mathbb{R}$ , set  $\mu^{A,|\phi\rangle}(E)$  to be the probability that a measurement of the observable A in the state  $|\phi\rangle$  yields a result in the set E. Formally,  $\mu^{A,|\phi\rangle}(E) = \langle \phi | P_E | \phi \rangle$ , where  $P_E$  is the projection-valued measure associated with the observable A applied to the Borel set E. On the other hand, for  $|\phi\rangle \in \mathbf{H}$  and internal  $F \subseteq {}^*\mathbb{R}$ , set  $\mu^{A_{\mathbf{H}},|\phi\rangle}(F)$  to be the internal probability that a measurement of the observable  $A_{\mathbf{H}}$  in the state  $|\phi\rangle$  yields a result in the internal set F. By transfer, this is calculated by the hyperfinite sum  $\mu^{A_{\mathbf{H}},|\phi\rangle}(F) = \sum \{|\alpha_i|^2 : \lambda_i \in F\}$ , where  $|\phi\rangle = \sum_i \alpha_i |\psi_i\rangle$  is the expansion of  $|\phi\rangle$  in terms of the eigenbasis  $\{|\psi_i\rangle : i \in I\}$  fixed for  $A_{\mathbf{H}}$ . Note that  $\mu^{A_{\mathbf{H}},|\phi\rangle}$  is indeed an internal finitely additive probability measure defined on the internal subsets of  ${}^*\mathbb{R}$ .

Given our discussion of item (1) above and the fact that a measurement of  $A_{\mathbf{H}}$  that belongs to st<sup>-1</sup>(*E*) should be viewed as approximating (with infinite precision) a measurement of *A* landing in *E*, a first guess as to what (2) might require is that, for each  $|\phi\rangle \in \mathrm{ns}(^*\mathcal{H})$  and Borel subset  $E \subseteq \mathbb{R}$ , we have  $\mu^{A,\mathrm{st}|\phi\rangle}(E) \approx \mu^{A_{\mathbf{H}}|\phi\rangle}(\mathrm{st}^{-1}(E))$ . The issue with this is that st<sup>-1</sup>(*E*) is not generally an internal subset of \* $\mathbb{R}$ . However, writing  $E_n$  for the set of elements of \* $\mathbb{R}$  within distance  $\frac{1}{n}$  of \**E* and noting that each  $E_n$  is internal, we see that st<sup>-1</sup>(*E*) =  $\bigcap_{n \in \mathbb{N}^{>0}} E_n$  is Loeb measurable. Thus, a

<sup>&</sup>lt;sup>25</sup> Of course now the exponentiated operator is defined using the spectral theorem.

<sup>&</sup>lt;sup>26</sup> One might be tempted to simply consider the natural extension  $(U_t)_{\mathbf{H}}$  of  $U_t$ , but in general this need not be unitary.

correct formalization of (2) above would ask that  $\mu^{A,\text{st}|\phi\rangle}(E) = \mu_L^{A_{\mathbf{H}},|\phi\rangle}(\text{st}^{-1}(E))$  for all  $|\phi\rangle \in \text{ns}(^*\mathcal{H})$  and all Borel subsets  $E \subseteq \mathbb{R}$ .

We summarize this discussion with a definition:

**Definition 6** The hyperfinite-dimensional system  $(\mathbf{H}, A_{\mathbf{H}}, H_{\mathbf{H}})$  is a **faithful model** of the standard system  $(\mathcal{H}, A, H)$  if the following two conditions hold:

- (1) For each  $|\phi\rangle \in D(H)$  and each  $t \in \mathbb{R}$ , we have  $U_t |\phi\rangle \approx V_t |\phi\rangle$ .
- (2) For each  $|\phi\rangle \in ns(^*\mathcal{H})$  and each Borel subset  $E \subseteq \mathbb{R}$ , we have

$$\mu^{A,\mathrm{st}|\phi\rangle}(E) = \mu_L^{A_{\mathrm{H}},|\phi\rangle}(\mathrm{st}^{-1}(E)).$$

Note that in a faithful model, by setting  $E := \sigma(A)$ , we have that, for any state  $|\phi\rangle \in \operatorname{ns}({}^{*}\mathcal{H})$ , with  $\mu_{L}^{A_{\mathrm{H}},|\phi\rangle}$ -probability 1, a measurement result of  $A_{\mathrm{H}}$  in the state  $|\phi\rangle$  will yield a definite measurement that is infinitely close to an element of  $\sigma(A)$ .

In order to obtain a faithful model, a further technical assumption on  $\mathcal{M}$  must be made. This technical assumption does indeed hold in many cases of interest, as we will discuss below.

We set

$$\operatorname{fin}(\mathbf{H}) := \{ |\phi\rangle \in \mathbf{H} : |||\phi\rangle|| \in \operatorname{fin}({}^{*}\mathbb{R}) \}.$$

For  $|\phi\rangle \in \text{fin}(\mathbf{H})$ , we note that  $|\phi\rangle \mapsto \text{st} |||\phi\rangle||$  is a semi-norm on fin(**H**). We also set mon(**H**) := { $|\phi\rangle \in \text{fin}(\mathbf{H})$  :  $|||\phi\rangle|| \approx 0$ } and °**H** := fin(**H**)/mon(**H**), which is then a normed space under the norm induced by the above seminorm on fin(**H**). If  $|\phi\rangle \in \text{fin}(\mathbf{H})$ , we denote its class in °**H** by ° $|\phi\rangle$ . For  $|\phi\rangle$ ,  $|\psi\rangle \in \text{fin}(\mathbf{H})$ , note that  $\langle \phi | \psi \rangle \in \text{fin}(^{\circ}\mathbb{C})$  and thus the internal inner product on **H** descends to an inner product on °**H** defined by  $\langle {}^{\circ}\phi | {}^{\circ}\psi \rangle$  := st $\langle \phi | \psi \rangle$ . It follows from saturation that °**H** is actually a Hilbert space, called the **nonstandard hull** of **H**. Moreover, since  $\mathcal{M}$  is dense in  $\mathcal{H}$  and  $E_{\mathbf{H}} | \phi \rangle \approx | \phi \rangle$  for all  $| \phi \rangle \in \mathcal{H}$ , it follows that the map  $| \phi \rangle \mapsto {}^{\circ} | \phi \rangle : \mathcal{H} \to {}^{\circ}\mathbf{H}$ is an embedding of Hilbert spaces; in what follows, one identifies  $\mathcal{H}$  as a subspace of °**H** via this embedding.

Suppose now that *B* is an internally self-adjoint operator on **H**. In Raab (2004), the **nonstandard hull** of *B* is defined to be a certain self-adjoint operator  ${}^{\circ}B$  on  ${}^{\circ}\mathbf{H}$  whose precise definition is given in terms of spectral theory. In the easy case that *B* is finitely bounded, that is, when the internal operator norm ||B|| of *B* is a finite element of  ${}^{*}\mathbb{R}$  (which rarely happens in quantum mechanics), we have that  $B(fin(\mathbf{H})) \subseteq fin(\mathbf{H})$ , whence one can define  ${}^{\circ}B^{\circ}|\phi\rangle := {}^{\circ}(B|\phi\rangle)$ . (This definition does not even require *B* to be self-adjoint.)

Given a self-adjoint operator A on  $\mathcal{H}$  and an internally self-adjoint operator B on  $\mathbf{H}$ , we say that B is a **hull extension** of A if  $D(A) \subseteq D(^{\circ}B)$  and  $A|\phi\rangle = ^{\circ}B|\phi\rangle$  for all  $|\phi\rangle \in D(A)$ .<sup>27</sup> The reason for being interested in hull extensions is given by the

 $<sup>^{27}</sup>$  Raab calls such a *B* a nonstandard extension of *A*, but we find this nomenclature confusing.

following result, which follows from results in Raab (2004) and with further details presented in Goldbring (2021):

**Theorem 7** Suppose that  $A_{\mathbf{H}}$  and  $H_{\mathbf{H}}$  are hull extensions of A and H respectively. Then the hyperfinite-dimensional system  $(\mathbf{H}, A_{\mathbf{H}}, H_{\mathbf{H}})$  is a faithful model of the standard system  $(\mathcal{H}, A, H)$ .

Raab (2004) also provides a condition for when the natural extension is a hull extension. Recall that a **core** of an unbounded self-adjoint operator A is a dense subspace  $\mathcal{M}$  of its domain on which  $A \upharpoonright \mathcal{M}$  is essentially self-adjoint.

**Theorem 8** Suppose that A is a self-adjoint operator on  $\mathcal{H}$  and that  $\mathcal{M}$  is a core of A. Then for any hyperfinite-dimensional subspace  $\mathbf{H}$  of  $^*\mathcal{H}$  that is adapted to  $\mathcal{M}$ , the natural extension  $A_{\mathbf{H}}$  is a hull extension of A.

Summarizing the relationship between the standard and nonstandard models, we have:

**Theorem 9** Suppose that  $(\mathcal{H}, A, H)$  is a standard system for which the observable A and the Hamiltonian H share a common core  $\mathcal{M}$ . Then for any hyperfinite-dimensional subspace **H** of \* $\mathcal{H}$  adapted to  $\mathcal{M}$ , the hyperfinite-dimensional system  $(\mathbf{H}, A_{\mathbf{H}}, H_{\mathbf{H}})$  is a faithful model of  $(\mathcal{H}, A, H)$ .

There are many instances in which the common core assumption is satisfied. For example, whenever the Hamiltonian *H* is a "small perturbation" of the observable *A*, then the common core assumption holds. Relevant theorems along these lines are *Wüst's theorem* and the *Kato-Rellich theorem*.<sup>28</sup> We will study particular instances of these results when we study the motion of a particle in  $\mathbb{R}^n$  in Sect. 6.

The hyperfinite model arguably comes with surplus expressive power. In addition to the sort of states that one would want to be able to represent, the representation allows for nonstandard states with curious properties. There are, for example, states  $|\phi\rangle \in \mathbf{H}$  such that  $\mu_L^{A_{\mathbf{H}},|\phi\rangle}(\mathrm{st}^{-1}(\sigma(A)) < 1$ . These are states for which there is a positive probability of getting a measurement result  $\lambda \in \sigma(A_{\mathbf{H}})$  that may not belong to  $\mathrm{st}^{-1}(\sigma(A))$ . Such a  $\lambda$  may be a finite hyperreal, in which case one would have a nonzero probability of measuring a value that is infinitely close to a real value which itself could never have been a result of measuring A.<sup>29</sup> On the other hand, such a  $\lambda$  may be infinite. In this case the corresponding eigenstate  $|\psi\rangle$  would correspond to an infinite expectation value for the observable. There is nothing inherently wrong with allowing for such a possibility when it makes good physical sense. But sometimes it doesn't.<sup>30</sup>

<sup>&</sup>lt;sup>28</sup> See Chapter X of Reed and Simon (1975) for more details.

<sup>&</sup>lt;sup>29</sup> If  $\sigma(A) = \mathbb{R}$ , as in the case of position or momentum, this possibility cannot arise.

<sup>&</sup>lt;sup>30</sup> Such phenomena have been discussed in other nonstandard treatments of quantum mechanics. See, for example, Benic et al. (2019).

That said, there is little reason to worry about excessive expressive power of the hyperfinite model in the context of a no-collapse formulation like Everettian quantum mechanics. Here the nearstandard vector representing a nearstandard global state always evolves infinitely close to the usual deterministic unitary evolution and hence stays nearstandard. Consequently, states that make good physical sense continue to make good physical sense under the dynamics. Further, what worlds there are and what their states are at each time is fully determined by the linear decomposition of nearstandard state in terms of the eigenstates of one's specified observable.<sup>31</sup>

## 5 Nonstandard formulations of Everett's limiting properties

Everett considered the statistical limiting properties of pure wave mechanics to be centrally important to his interpretation of the theory. He discussed this point at the conference on the foundations of quantum mechanics at Xavier University. While the participants were most interested in talking about branching worlds, Everett wanted to talk about his relative frequency and randomness results. He began an extended monologue on the topic:

I'd like to make one final remark here. Imagine a very large series of experiments made by an observer. With each observation, the state of the observer splits into a number of states, one for each possible outcome, and correlated to the outcome. Thus the state of the observer is a constantly branching tree, each element of which describes a particular history of observations. Now, I would like to assert that, for a "typical" branch, the frequency of results will be precisely what is predicted by ordinary quantum mechanics. (Barrett and Byrne, 2012, 274–275)

To make this claim, Everett explained, one needs a measure over branches. He chose the norm-squared coefficient measure on the grounds that it had a number of salient formal properties. He took his most significant achievement to be in showing that, in this measure, measure one of the branches in the determinate-record basis will exhibit the standard quantum statistics in the limit as an infinite number of measurements are made.

Everett had briefly sketched his argument for his *relative frequency* property in the long version of his Ph.D. thesis (1956, 126–127) and in the much shorter version of his thesis that he defended and was subsequently published as a standalone research paper (1957, 190–194). He had also, yet more briefly, sketched an argument for a *randomness* property (1956, 127–128). These two properties together say that measure one of the branches will exhibit random sequences of results exhibiting the usual quantum statistics in the limit as an infinite number of measurements

<sup>&</sup>lt;sup>31</sup> Compare this with Raab's (2004) formulation of the standard collapse theory using nonstandard methods where the dynamics may require collapses to nonstandard eigenstates where there is no clear physical interpretation.

are made.<sup>32</sup> Hence, Everett concluded, "all predictions of the usual theory will appear to be valid to the observer in almost all observer states" (1957, 194). This is what it meant to him for his formulation of pure wave mechanics to be empirically adequate.<sup>33</sup>

To see how this works in the context of the hyperfinite model, fix an infinite element *K* of \*N and let  $\mathbf{H} := \bigotimes_{i=1}^{K} {}^{*}\mathbb{C}^{2}$  denote the hyperfinite-dimensional space that is the internal tensor product of *K* copies of { $^{*}\mathbb{C}^{2}$ . This space allows one to represent the composite system of the measuring device and the first *K* object systems after *K* sequential measurements of *x*-spin. Suppose that each particle is prepared in state (1) above. We consider the element  $|\psi\rangle := \sum_{x \in 2^{K}} \alpha^{i_x} \beta^{K-i_x} |x\rangle$  of **H**; here,  $i_x$  denotes the internal cardinality of the set of those  $j = 1, \ldots, K$  such that x(j) = 1 (which represents *x*-spin up). Set  $\lambda_x := \frac{i_x}{K}$  for the relative frequency of achieving *x*-spin up according to the branch *x*. We let  $B : \mathbf{H} \to \mathbf{H}$  denote the internal self-adjoint operator defined by  $B|x\rangle := \lambda_x |x\rangle$ . Note that *B* is internally bounded.

Let  $\mu^{|\psi\rangle}$  denote the internal scalar-valued spectral measure corresponding to  $|\psi\rangle$ , that is, for any internally Borel subset  $E \subseteq {}^*\mathbb{R}$ ,  $\mu^{|\psi\rangle}(E) := \sum_{\lambda_x \in E} |\alpha^{i_x} \beta^{K-i_x}|^2$ . We let  $\mu_L^{|\psi\rangle}$  denote the corresponding Loeb measure. We also let  ${}^\circ B : {}^\circ H \to {}^\circ H$  denote the corresponding nonstandard hull map (as defined in the previous section) with scalarvalued spectral measure  $\nu^{\circ}|\psi\rangle$ .

The following theorem is the nonstandard reformulation of Everett's relative frequency theorem:<sup>34</sup>

**Theorem 10** Using the above notation, we have  $\mu_L^{|\psi\rangle}(\{x \in 2^K : \lambda_x \approx \alpha^2\}) = 1$ , whence  $v^{\circ |\psi\rangle}(\{\alpha^2\}) = 1$ . Consequently,  $\circ |\psi\rangle$  is an eigenvector of  $\circ B$  with eigenvalue  $\alpha^2$ .

The argument for the nonstandard interpretation of Everett's randomness theorem is relatively straightforward. Fix infinite  $K \in {}^*\mathbb{N}$  and consider the "restriction map"  $f : 2^K \to 2^{\mathbb{N}}$  which restricts a hyperfinite sequence of 0's and 1's to its initial segment indexed by standard natural numbers. Consider  $2^K$  equipped with the Loeb measure  $\mu_L$  associated with the internal product measure on  $2^K$  while we consider  $2^{\mathbb{N}}$  with its usual Lebesgue measure (that is, infinite product measure) m. It is not hard to verify that the pushforward of  $\mu_L$  along f is precisely m. Consequently, if we repeat our measurement K times as above, then the total measure, as determined by  $\mu_L$ , that our sequence x belongs to  $f^{-1}(E)$  for any Lebesgue null subset E of  $2^{\mathbb{N}}$  is 0. In particular, if E is the set of nonrandom sequences, with respect to any standard criterion for what it means for such a sequence to be nonrandom, then E will be

<sup>&</sup>lt;sup>32</sup> See Hartle (1968) for an early discussion of the relative frequency property, and and Farhi et al. (1989) for a proof. See Barrett (1999) for a discussion of the experimental setup and both the relative-frequency and randomness properties and a discussion of why such arguments are insufficient alone to entail standard quantum probabilities.

<sup>&</sup>lt;sup>33</sup> See Barrett (2011a, 2015) for discussions of how Everett thought of empirical adequacy.

 $<sup>^{34}</sup>$  This theorem follows the same line of argument as the theorem for the standard case. See (Barrett, 1999, 100–104).

countable and thus be Lebesgue null. Hence almost all sequences in measure  $\mu_L$  will satisfy any standard criterion for being random.<sup>35</sup>

As a simple concrete example of the relative frequency and randomness properties, consider an observer who repeats an x-spin measurement on an  $\omega$  sequence of particles each prepared in state (1) above. The two basic limiting properties in the context of the hyperfinite model entail that measure one of the branches, in measure  $\mu_I$ , will exhibit the standard quantum relative frequencies with  $|\alpha|^2$  proportion of the results being " $\uparrow_x$ " and  $|\beta|^2$  proportion of the results being " $\downarrow_x$ " and with no computationally specifiable pattern. Since measure one of the worlds will exhibit the standard quantum statistics with randomly distributed results, the standard quantum predictions will hold in a typical world (in the sense of typical given by measure  $\mu_L$ ).

We now prove a continuous spectrum version of Everett's relative frequency theorem.

Suppose that the hyperfinite-dimensional system  $(\mathbf{H}, A_{\mathbf{H}}, H_{\mathbf{H}})$  is a faithful model of  $(\mathcal{H}, A, H)$ . We adopt the same notation as in the previous section. Fix  $|\phi\rangle \in ns(^*\mathcal{H})$ and infinite  $K \in \mathbb{N}$ . Let  $\zeta^{K,|\phi\rangle}$  denote the internal measure on  $I^K$  obtained by taking the internal product measure of the measure  $\mu^{A_{\rm H},|\phi\rangle}$ . (This is the internal notion of typicality here.) Also, let  $\eta_L^K$  denote the Loeb measure on [1, K] coming from counting measure as described in Sect. 3. For each  $y \in I^K$  and Borel set  $F \subseteq \mathbb{R}$ , let  $X_{F,v} := \{j \in [1, K] : \lambda_{v(i)} \in st^{-1}(F)\}$ . Note that each  $X_{F,v}$  is a Loeb measurable subset of [1, K].

**Theorem 11** Fix  $|\phi\rangle \in ns(^*\mathcal{H})$ . Then for sufficiently large infinite  $K \in {}^*\mathbb{N}$ , we have the following: for every Borel set  $F \subseteq \mathbb{R}$ , we have that  $\eta_L^K(X_{F,K,y}) = \mu^{A,\operatorname{st}|\phi\rangle}(F)$  for  $\zeta_L^{K,|\phi\rangle}$ -almost all  $y \in I^K$ .

**Proof** Fix infinitesimal  $\epsilon > 0$ . For  $K \in \mathbb{N}$ ,  $y \in I^K$ , and internally Borel  $E \subseteq \mathbb{R}$ , set

$$X_{E,K,v} := \{ j \in [1, K] : \lambda_{v(j)} \in E \}.$$

Note that each  $X_{E,K,y}$  is internal. Furthermore, let  $Z_K$  consist of those  $y \in I^K$  such that  $|\frac{|X_{E,K,y}|}{K} - \mu^{A_{\mathbf{H}},|\phi\rangle}(E)| < \epsilon$  for all internally Borel sets  $E \subseteq {}^*\mathbb{R}$ . Then by transferring the classical Everett limiting theorem, there is  $K_0 \in {}^*\mathbb{N}$  such that, for all  $K \ge K_0$ , we have that  $\zeta^{K,|\phi\rangle}(Z_K) > 1 - \epsilon$ . For each Borel set  $F \subseteq \mathbb{R}$  and each  $m \in {}^*\mathbb{N}$  (finite or infinite), let  $F_m := \{\lambda \in {}^*\mathbb{R} : \operatorname{dist}(\lambda, {}^*F) < \frac{1}{m}\}$ . Since  $X_{F,K,y} = \bigcap_{m=1}^{\infty} X_{F_m,K,y}$ , we have that  $\eta_L^K(X_{F,K,y}) \approx \eta^K(X_{F_M,K,y})$  for any infinite  $M \in \mathbb{N}$ . If  $y \in Z_K$ , then  $\eta^{K}(X_{F_{M},K,y}) \approx \frac{|X_{F_{M},K,y}|}{K} \approx \mu^{A_{\mathbf{H}},|\phi\rangle}(F_{M}). \text{ Since } \mathrm{st}^{-1}(F) = \bigcap_{m=1}^{\infty} F_{m}, \text{ we have that}$  $\mu^{A, \text{st}|\phi\rangle}(F) = \mu_L^{A_{\text{H}}, |\phi\rangle}(\text{st}^{-1}(F)) \approx \mu^{A_{\text{H}}, |\phi\rangle}(F_M).$ Putting this all together, we have that  $\eta_L^K(X_{F,K,y}) = \mu^{A, \text{st}|\phi\rangle}(F)$  for all  $y \in Z_K$ . Since

 $\zeta_{I}^{K,|\phi\rangle}(Z_{K}) = 1$ , we may conclude. 

<sup>&</sup>lt;sup>35</sup> See (Barrett, 1999, 104-105) for this theorem in the standard context and Barrett and Huttegger (2019) for an extended discussion of quantum randomness.

In the statement of the theorem, how large *K* needs to be chosen may depend on the state  $|\phi\rangle \in \operatorname{ns}(^*\mathcal{H})$ , that is, using the notation from the proof, the value of  $K_0$ may depend on  $|\phi\rangle$ . Setting  $K_{|\phi\rangle}$  for this value of  $K_0$  dependent on  $|\phi\rangle$ , it is readily verified that  $K_{|\phi\rangle} = K_{\operatorname{st}|\phi\rangle}$ . Consequently, if the nonstandard extension is sufficiently saturated, then by choosing  $K_0 \in {}^*\mathbb{N}$  greater than  $K_{|\phi\rangle}$  for all  $|\phi\rangle \in \mathcal{H}$ , we see that the conclusion of the theorem holds for any  $K \ge K_0$ , *independent of the choice of*  $|\phi\rangle \in \operatorname{ns}({}^*\mathcal{H})$ .

In the next section, we will see an illustration of the limiting property just proven in the case of an observable with continuous spectrum.

#### 6 An example: motion of a particle in $\mathbb{R}^n$

In this section, we show how the hyperfinite representation works for a particular concrete example, namely for the motion of a single particle in  $\mathbb{R}^n$ . In this case,  $\mathcal{H} := L^2(\mathbb{R}^n)$  and our observable A under consideration will be  $X_j$ , the operator which multiplies a function by the variable  $x_j$ , for some j = 1, ..., n. This operator corresponds to observing the *j*<sup>th</sup>-coordinate of the position of the particle. The Hamiltonian for our system is given by  $H := -\frac{\hbar}{2m}\Delta + V(\mathbf{X})$ , where  $\Delta$  is the *n*-dimensional Laplacian,  $\mathbf{X} = (X_1, ..., X_n)$  is the vector consisting of the various position operators, and  $V : \mathbb{R}^n \to \mathbb{R}$  is some function (whence  $V(\mathbf{X})$  represents the potential energy).

We briefly discuss some natural conditions on which *A* and *H* satisfy the common core assumption. A theorem of Kato (which follows from the aforementioned Kato-Rellich Theorem) states that if  $n \leq 3$  and  $V : \mathbb{R}^n \to \mathbb{R}$  belongs to  $L^2(\mathbb{R}^n) + L^{\infty}(\mathbb{R}^n)$ , then any core for  $\Delta$  is a core for the Hamiltonian *H*. A more general version of Kato's theorem holds for dimension  $n \geq 4$  if the assumption  $V \in L^2(\mathbb{R}^n) + L^{\infty}(\mathbb{R}^n)$ is replaced with  $V \in L^p(\mathbb{R}^n) + L^{\infty}(\mathbb{R}^n)$  for some  $p > \frac{n}{2}$ . Since  $C_c^{\infty}(\mathbb{R}^n)$ , the space of smooth functions on  $\mathbb{R}^n$  with compact support, is a core for  $\Delta$  as well as any  $X_j$ , we thus have many natural potential functions for which our common core assumption holds.<sup>36</sup>

From now on, we fix a potential function V for which A and H share a common core  $\mathcal{M}$ . We fix a hyperfinite-dimensional subspace **H** of  $*\mathcal{H}$  that is adapted to  $\mathcal{M}$ . Suppose that  $(|\psi_i\rangle)_{i\in I}$  is an internal orthonormal eigenbasis for  $A_{\mathbf{H}}$  with corresponding eigenvalues  $(\lambda_i)_{i\in I}$ . Consequently, these  $\lambda_i \in *\mathbb{R}$  represent the possible measurement outcomes when measuring  $A_{\mathbf{H}}$ . According to Proposition 5 above, for each  $\lambda \in \sigma(A) = \mathbb{R}$ , there is some  $i \in I$  such that  $\lambda \approx \lambda_i$ . That is, the measurement outcome  $\lambda_i$  for  $A_{\mathbf{H}}$  should be thought of as an approximate measurement (with infinite precision) corresponding to a measurement of the  $j^{\text{th}}$  coordinate of the particle being  $\lambda$ . Each  $|\psi_i\rangle$ , being an actual eigenvector of  $A_{\mathbf{H}}$ , is such that  $A_{\mathbf{H}}|\psi_i\rangle - \lambda_i |\psi_i\rangle$  is orthogonal to every vector in **H**. In particular, since **H** contains  $\mathcal{M}$ , which is a dense

 $<sup>^{36}</sup>$  See Chapter X of Reed and Simon (1975) for more examples of when the common core assumption holds.

subspace of  $L^2(\mathbb{R})$ , we see that  $|\psi_i\rangle$  must behave like a Dirac function with  $j^{\text{th}}$  coordinate function positioned at  $\lambda_i$ .

Fix some initial (standard) state  $|\phi\rangle \in \mathcal{H} = L^2(\mathbb{R})$  of the particle and write  $|\phi\rangle = \sum_{i \in I} \alpha_i |\psi_i\rangle$ , where each  $\alpha_i \in {}^*\mathbb{C}$  (whence  $\sum_{i \in I} |\alpha_i^2| = 1$ ). Suppose we then let the particle evolve according to the internal unitary evolution  $V_t := e^{-itH_{\rm H}}$ . Given some  $t \in {}^*\mathbb{R}$ , we may then consider the internal state of the system at time *t*, namely

$$|\phi(t)\rangle := V_t |\phi\rangle = V_t \left(\sum_{i \in I} \alpha_i |\psi_i\rangle\right) = \sum_{i \in I} \beta_i |\psi_i\rangle.$$

In the last step, we have rewritten the evolved state in terms of the eigenbasis for  $A_{\mathbf{H}}$ . Recall also that for standard time  $t \in \mathbb{R}$ , the above  $\phi(t)$  will be infinitely close to the standard evolution  $U_t |\phi\rangle$  of our original state  $|\phi\rangle$ .

Suppose an observer now makes a measurement. The relative observer whose system state is  $|\psi_i\rangle$  would get the determinate result  $\lambda_i$ . For a  $\mu_L^{A_{\rm H},|\psi(t)\rangle}$ -measure 1 set of worlds, such  $\lambda_i$  belongs to fin( $\mathbb{R}$ ) and represents an approximate measurement of the *j*<sup>th</sup> position of the particle being st( $\lambda_i$ ), where this approximate measurement has infinite precision. Moreover, by Theorem 11 above, if one repeats this measurement a sufficiently large hyperfinite number of times, the relative frequency of landing infinitely close to any particular Borel set agrees with the standard quantum statistical measure  $\mu^{A,|st\phi(t))}$  of that Borel set. Further, the particular sequence of results will pass any standard test for randomness for almost all relative sequences in the norm-squared measure. Finally, the global state  $|\phi(t)\rangle$  evolves according to the internal dynamics *V* at all times with the local states of the worlds determined by the non-standard decomposition of the state.

#### 7 Discussion

The present hyperfinite model has a number of features that Everett would have found compelling. It allows one to understand the global state as a set of branches corresponding to the eigenvalues of a continuous-valued observable. The measure associated with the set of branches behaves in a natural way akin to what one might expect from a probability measure over a finite set. Indeed, the hyperfinite-dimensional state space behaves very much like a finite-dimensional state space. This makes operations like forming linear combinations of states and calculating probabilities particularly intuitive. The model gives values infinitesimally close to the standard quantum probabilities when the probabilities are finite. It is also possible for a branch to be associated with an infinitesimal probability. Conveniently, these sum just like finite probabilities. And the hyperfinite model allows for a straightforward formulation of the standard unitary quantum dynamics.

There is a close connection between the standard and the hyperfinite models. Specifically, as we have proven here, the latter provides a faithful representation of the former. The standard model, then, guides one in understanding the physical content of the nonstandard model. While the hyperfinite model arguably has more expressive power than one needs, nearstandard states remain nearstandard under the dynamics and hence continue to make good physical sense.

In addition to providing an intuitive picture of hyperfinitely many worlds, we have shown how one might prove versions of Everett's statistical limiting properties in the hyperfinite model. The result is that the norm-squared measure of the resulting branches that will exhibit the standard random quantum statistics in the limit as one performs an unbounded sequence of measurements is one. Hence, the statistical predictions of the standard collapse formulation of quantum mechanics will appear to be valid in almost all of the hyperfinite many worlds in this measure.

In brief, the hyperfinite model provides a way to think of a set of worlds that represent a continuous spectrum of measurement outcomes and for which one can prove versions of his limiting results. In this regard, it satisfies the conditions that Everett stipulated at the Xavier conference. Finally, it provides an intuitive framework in which to consider no-collapse formulations of quantum mechanics more generally.

**Acknowledgements** We would like to thank Chip Sebens, Peter Byrne, and two anonymous reviewers for comments on earlier versions of this paper. Goldbring was partially supported by NSF Grant DMS-2054477.

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