#### REVIEW



# Clusterin: a marker and mediator of chemoresistance in colorectal cancer

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#### Abstract

Intra-tumoural heterogeneity and cancer cell plasticity in colorectal cancer (CRC) have been key challenges to effective treatment for patients. It has been suggested that a subpopulation of LGR5-expressing cancer stem cells (CSCs) is responsible for driving tumour relapse and therapy resistance in CRC. However, studies have revealed that the LGR5<sup>+ve</sup> CSC population is highly sensitive to chemotherapy. It has been hypothesised that another subset of tumour cells can phenotypically revert to a stem-like state in response to chemotherapy treatment which replenishes the LGR5<sup>+ve</sup> CSC population and maintains tumour growth. Recently, a unique stem cell population marked by enriched clusterin (CLU) expression and termed the revival stem cell (RevSC) was identified in the regenerating murine intestine. This CLU-expressing cell population is quiescent during homeostasis but has the ability to survive and regenerate other stem cells upon injury. More recently, the CLU<sup>+ve</sup> signature has been implicated in several adverse outcomes in CRC, including chemotherapy resistance and poor patient survival; however, the mechanism behind this remains undetermined. In this review, we discuss recent insights on CLU in CRC and its roles in enhancing the plasticity of cells and further consider the implications of CLU as a prospective target for therapeutic intervention.

Keywords CLU · Colorectal cancer · Regenerative stem cells · Chemotherapy · Tumour relapse

# 1 Introduction

The development of malignancy along all sections of the colon or rectum is referred to as colorectal cancer (CRC). CRC presents a severe global health burden as the third most commonly diagnosed cancer and one of the top four leading causes of cancer-associated death [1-4]. Early stage CRC is often curable through surgical interventions; however, the survival rate for patients diagnosed with metastatic disease significantly drops to as low as 10% [5, 6]. Most of the deaths that occur from this disease are

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due to cancer recurrence at secondary sites after initial treatment [7] with tumour relapse and development of chemoresistance presenting a key challenge to the effective treatment of CRC.

Cellular plasticity, where cells can transition between different cell states, is a feature of solid tumours that contributes to tumour progression and relapse [8, 9]. Cellular phenotypes are dynamic and capable of responding to environmental pressures to control cell fate. This includes entering a quiescent stage upon challenge with anti-neoplastic agents that target dividing cells. The cancer stem cell (CSC) hypothesis is one model that describes cellular plasticity within tumours whereby a small subpopulation of tumour cells with regenerative potential drives tumour growth, therapy resistance, recurrence and metastasis [10]. This is evidenced by an association between the expression of stem cell markers and an increased risk of tumour recurrence [11]. Therefore, due to their ability to self-renew and differentiate, cells that possess stem cell properties within tumours represent a prospective target for therapeutic intervention. A key feature of stem cells in the normal intestinal epithelium is the ability to drive the continual production of cells and to restore the integrity of the epithelial lining following injury. Daily turnover of cells is driven by crypt base columnar (CBC) stem cells marked by leucine-rich repeat-containing G-protein coupled receptor 5 (LGR5) [12]. Upon injury, LGR5<sup>+ve</sup> CBC stem cells are rapidly lost and replaced by  $LGR5^{-ve}$  cells [13, 14]. Key studies have revealed that the LGR5<sup>+ve</sup> stem cells within CRC tumours are also sensitive to injury through radiation and chemotherapy [15-17]. It has been suggested that the LGR5<sup>+ve</sup> CSC population is replenished after therapeutic treatment by progenitor cell types that revert into a stem-like state to drive tumour regeneration through mechanisms of cellular plasticity [17–20]. Furthermore, metastasis is primarily driven by LGR5<sup>-ve</sup> tumour cells that have greater dissemination potential, inducing secondary tumour growth at distant sites through a process of dedifferentiation into LGR5<sup>+ve</sup> tumour cells [20].

Recently, a unique revival stem cell (RevSC) population has been identified in regenerating murine epithelium by single-cell RNA sequencing [21]. The RevSC, characterised by high clusterin (CLU) expression, is a quiescent stem cell population during homeostasis [21]. However, upon tissue injury and subsequent upregulation of YAP signalling, CLU-expressing cells proliferate and restore the damaged epithelium, including LGR5<sup>+ve</sup> CBC stem cells [21]. As LGR5<sup>+ve</sup> CSCs share many of their characteristics with LGR5<sup>+ve</sup> CBC cells and most likely originate from these CBC cells during cancer initiation [22, 23], it is possible that a regenerative stem cell type similar to the CLU<sup>+ve</sup> RevSC is also present in the tumour tissue and may be either partly or entirely responsible for the repopulation of the CSC population following therapeutic treatment. High CLU expression has been associated with several adverse outcomes in CRC including poor patient prognosis, tumour metastasis and chemotherapy resistance [24-28]. Despite this, the underlying mechanisms are yet to be elucidated. In this review, we discuss recent advancements in our understanding of CLU in a cancer context and its possible roles in enhancing the plasticity of regenerative stem cells in CRC. We further consider the implications of CLU<sup>+ve</sup> regenerative stem cells as a potential therapeutic target.

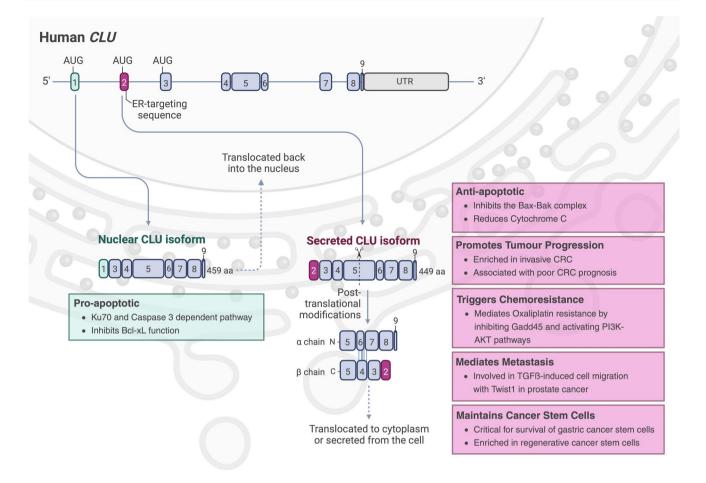
# 2 Structure and function of the clusterin protein

CLU, also referred to as apolipoprotein J (APOJ), sulphated glycoprotein 2 (SGP2), serum protein 40,40 (SP-40,40), X-ray-inducible transcript 8 (XIP8), complement lysis inhibitor (CLI) or testosterone-repressed prostate message 2 gene (TRPM-2) is a sulphated glycoprotein first discovered in 1979 in human salivary extract [29, 30]. In 1983, CLU was characterised and named due to its cell-aggregating ability [31]. CLU is expressed in various tissues and bodily fluids

including the testes [32], brain [32], liver [32], kidney and thymus [33]. In humans, the *CLU* gene is located on position p21 of chromosome 8. The gene contains nine exons [34, 35] and encodes at least two protein isoforms: the conventional 70–80 kDa secreted CLU (sCLU) and the 49 kDa nonsecreted nuclear CLU (nCLU) (Fig. 1). The sCLU is a heterodimer glycoprotein consisting of two 35–40 kDa subunits, the  $\alpha$ -chain and  $\beta$ -chain, linked by five disulphide bonds [32, 36] (Fig. 1). nCLU, on the other hand, lacks the endoplasmic reticulum (ER)-targeting sequence due to exon 2 skipping by alternative splicing, therefore is translocated back to the nucleus upon translation without cleavage or glycosylation [37] (Fig. 1). Thus, these isoforms are present in distinct subcellular locations and perform different functions.

The sCLU isoform is typically secreted into the extracellular fluid; however, it is retained in the cytosol upon cellular stress [38] (Fig. 1). sCLU was first described as stress-induced chaperone, protecting cells by clearing misfolded proteins through hydrophobic interactions [39]. It has been implicated in various physiological processes including sperm maturation [40], complement inhibition [36], lipid transport [32] and inhibition of apoptosis [41]. One study reported a decrease in cell death, cytochrome c expression and caspase-9 activation in sCLU overexpressing HT1080 fibrosarcoma cells following treatment with a chemotherapeutic agent [42]. However, conditioned media produced from sCLU overexpressing HT1080 cells had no such effect on apoptosis. Therefore, it appears that extracellular sCLU does not possess the same anti-apoptotic function as cytosolic sCLU [42]. The CLU  $\alpha$ -chain interacts with the Bcl-2-associated X (Bax) protein and prevents oligomerisation, and function of Bax [42]. Bax is required for the release of cytochrome c from the mitochondria to activate caspase-9, that in turn initiates apoptosis. The inhibition of this process by cytosolic CLU results in an anti-apoptotic function within the cell (Fig. 1).

Conversely, the nCLU isoform, which appears to be proapoptotic, lacks the hydrophobic ER-signal sequence typical of secreted proteins and instead translocates to the nuclear compartment within apoptotic cells [38, 43-45] (Fig. 1). nCLU was shown to bind to the 70 kDa protein of the Ku autoantigen (Ku70) through a Ku70-binding domain in the C-terminus [37, 46, 47]. Ku70 in turn forms a heterodimer with an 80 kDa protein (Ku80), which, in addition to DNAdependent protein kinase, stimulates DNA repair [46, 47]. While the exact mechanisms of induction of apoptosis by nCLU through Ku70 are unclear, mutations in the Ku70 binding domain which prevent nCLU from binding to Ku70 result in decreased apoptosis in MCF-7 breast cancer cells [37]. Overexpression of nCLU in MCF-7 cells results in a reduction in cell growth due to an increase in cell death [46]. More recently, it was revealed that nCLU can bind directly via its C-terminal coiled-coil domain to B-cell



**Fig. 1** Structure and function of nuclear clusterin (nCLU) and secreted clusterin (sCLU) isoforms. The *CLU* gene is composed of nine exons. Two *CLU* isoforms are generated through alternative splicing where exon 2 is skipped for nCLU. For sCLU, translation begins at the AUG start codon in exon 2, whereas nCLU initiates in exon 3. These two isoforms have very distinct properties and are localised in different cellular compartments. nCLU is retained in the nucleus and promotes apoptosis either by affecting DNA repair through Ku70 binding [37, 46, 47], or by inhibiting the pro-apoptotic

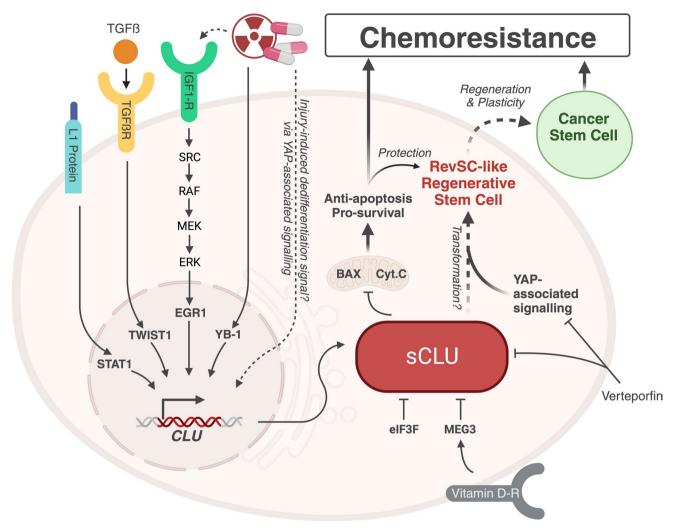
lymphoma-extra large (Bcl-xL) [48]. Bcl-xL is itself an inhibitor of apoptosis, demonstrating that nCLU can indirectly promote apoptosis [48].

# 2.1 Regulation of clusterin gene expression

CLU expression is modulated by triggers including cellular stress and is downstream of several different signal transduction pathways (Fig. 2). Transforming growth factor  $\beta$  (TGF $\beta$ ) signalling induces expression of CLU in CCL64 cells [43] via activation through AP-1-binding sites (5\*-TGAGTCA) in the minimal promoter region of *CLU* [51, 52]. In MCF7 breast cancer cells, ionizing radiation-induced activation of the insulin-like growth factor (IGF)-1 receptor and the downstream Src/Raf/

protein, Bcl-xL [48]. In contrast, sCLU translocates to the cytoplasm under stress or is secreted from the cell during homeostasis [38]. In the cytosol, sCLU inhibits oligomerisation of Bax, preventing the release of cytochrome c and apoptosis [42]. This results in tumour progression, chemotherapy resistance and promotion of metastasis. Furthermore, sCLU has been identified in cancer stem cells [49, 50], playing a critical role in aiding gastric cancer stem cell survival [50]; however its function and molecular mechanism within these cells remain to be elucidated

Mek/Erk cascade leads to *CLU* expression through early growth response 1 transactivation [53] (Fig. 2). The stressactivated transcription factor, Y-box binding protein-1 has also been reported to mediate CLU expression via direct binding to the promoter region following treatment with chemotherapeutic paclitaxel in prostate cancer cell lines [54] (Fig. 2). In CRC cell lines, the cell adhesion molecule L1 protein upregulates *CLU* levels through the binding of signal transducer and activator of transcription 1 (STAT-1) to the CLU promoter which is independent of NF- $\kappa$ B [28] (Fig. 2). In addition, histone modifications have also been linked to the regulation of expression of the CLU isoforms, with H3K4me3 and H3K9me3 enhancing nCLU expression by alternative splicing in CRC cell lines [55]. In contrast, the eukaryotic initiation factor 3 subunit f (eIF3f)



**Fig. 2** *CLU* expression can be activated by a variety of stimuli. L1CAM acts via STAT1 [28]; TGF $\beta$  activates TGF $\beta$ R and TWIST1 [43, 51, 52, 60], IGF1-R and the downstream Src/Raf/Mek/Erk cascade acts via Egr-1 to activate expression of CLU [53]. Cellular injury caused by ionising radiation or chemotherapy has also been shown to induce *CLU* expression via the YB-1 In contrast, eIF3f [56] and MEG3 (via the vitamin D receptor) [57] can inhibit CLU action

by interacting directly with sCLU. Pro-apoptotic Bax activity is inhibited by sCLU which promotes cell survival. CLU expression has more recently been associated with a unique RevSC population that is upregulated via YAP signalling following injury [21] which may define a cancer stem cell population that is resistant to chemotherapy in CRC

has been shown to negatively affect CLU expression by directly interacting with the  $\alpha$ -chain of sCLU and inhibiting its secretion [56] (Fig. 2). In addition to this, it has been found that vitamin D can act to inhibit *CLU* in CRC indirectly through a long non-coding RNA referred to as maternally expressed gene 3 (*MEG3*) [57] (Fig. 2). Finally, recent studies have revealed that intestinal cells enriched with YAP-transcriptional signatures express a high level of *CLU* [58, 59] and the activation of YAP1-dependent signalling induced ectopic CLU-expressing cells in the intestinal epithelium [21]. Conversely, treatment with verteporfin, an inhibitor of YAP-signalling, blocked CLU expression [50] (Fig. 2).

### 3 Clusterin in colorectal cancer

High CLU expression has been associated with various cancers, including CRC [61]. Moreover, the abundance, intracellular localisation and histological distribution of CLU expression have been associated with the progression of CRC [38, 62–65] (Table 1). Conversely, a recent pancancer analysis by Fu and colleagues of *CLU* expression revealed decreased expression across most cancers compared to the matched normal tissue, including CRC [66]. However, this could be attributed to the opposing functions of the CLU isoforms which as previously mentioned,

Table 1	Expression of c	clusterin (CLU)	across normal,	adenomatous and	tumour tissue	of colorectal origin

Studies	Diagnosis	TNM stage	CLU expression			Sample size
			Nucleus	Cytoplasm		
Chen et al. [64]	Normal colonic tissue	/	_	-(very weak)		2
	Hyperplastic polyps	/	_	+		3
	Tubular adenomas	/	+++	+++		1
	Villous adenomas	/	+ + +	+++		1
	Invasive adenocarcinomas	n/a	_	++		1
Pucci et al. [68]	Normal colonic tissue	/	+	_		30
	Adenoma	/	+	+		10
	Adenocarcinoma	I to II	_	+++		10
	Adenocarcinoma	III to IV	_	+ + + +		10
Xie et al. [62]	Normal colonic mucosa	/	n/a	+(100%)		76
	Adenoma	/	n/a	+++(17%)		20
	Primary carcinoma	II	n/a	+++(33%)		42
	Primary carcinoma	III-IV	n/a	+ + + (60%)		43
	Metastases	IV	n/a	+++(57%)		35
Kevans et al. [63]				Epithelium	Stroma	
	Normal colonic mucosa	/	n/a	+	+ +	202
	Colorectal cancer	Π	n/a	+ + +	+	202

TNM: Tumour, Nodes, Metastases; n/a: not available

play very different roles in the cell. For example, elevated expression of sCLU has been reported in invasive colorectal adenocarcinomas, whereas expression in normal colonic tissues was detected only at very low levels [64]. Similar observations across different stages of colorectal tumorigenesis have also been reported, with 17% of the adenomas, 46% of the primary CRCs and 57% of the CRC metastatic lesions displaying overexpression of cytoplasmic CLU, compared to normal mucosa [62]. Whilst upregulation of CLU can occur in the early stages of premalignant adenomatous polyp formation, overexpression of CLU has been significantly correlated with advanced clinical stage [62]. High CLU expression also correlated with poor outcomes in stage II CRC within a cohort of 202 patients [63]. Additionally, sCLU was found to be abundant in the epithelium of tumour tissue, whereas in normal mucosa, sCLU is more abundant in the stroma [63]. Conversely, expression of nCLU was found to be decreased in colon cancer tissues compared to matched normal control tissues [67]. Whilst the nCLU isoform is pro-apoptotic and primarily expressed in normal colon epithelium, during tumour progression, expression of nCLU decreases with more sCLU translocated into the cytoplasm where it plays a protective role in preventing apoptosis [27, 68]. This shift in the expression of CLU isoforms is linked to increased tumour cell survival, aggressiveness and enhanced metastatic potential [69]. Therefore, these studies highlight an oncogenic role of sCLU during tumour progression and its potential as a diagnostic biomarker.

#### 3.1 Clusterin expression as a diagnostic marker

Early-stage CRC is often treatable through surgical interventions and as such, early detection through the implementation of screening programs such as the faecal occult blood test has significantly reduced the burden of disease [70]. CLU expression has shown promise as a predictive biomarker for the identification of individuals at risk of developing CRC or in the early stages of disease [64, 71–74]. The progressive increase of sCLU expression in the setting of CRC correlates with a significant increase of CLU in the serum and stool of CRC patients [27, 73]. Moreover, Mazzarelli et al. [27] identified a significant positive correlation between CLU expression in stool and more advanced stage of disease [27]. In animal studies, it was also demonstrated that CLU secreted from a colon cancer cell line (Caco-2) injected into mice was detectable in blood samples, with the increasing level of CLU correlating with the increasing dimension of the tumours [72]. A positive correlation was identified between CLU expression and tumour severity with elevated expression of CLU also associated with a decrease in disease-free survival in CRC [24, 63, 65, 75] (Fig. 1). Again, Fu et al. [66] noted conflicting results from their pan-cancer analysis regarding CLU expression and overall survival, with high CLU expression conferring a survival advantage in some cancers, and a disadvantage to others (including CRC). Therefore, this suggests that monitoring expression levels of the sCLU isoform specifically may be a useful biomarker for the detection of disease as well as

potential as a surveillance tool, in a similar approach to monitoring of circulating tumour DNA for the detection of disease relapse.

#### 3.2 Clusterin in chemoresistance and metastasis

In addition to its use as a diagnostic marker, high CLU expression is also associated with advanced tumours which are more prone to resist chemotherapy treatment and metastasis. Upregulated expression of CLU has been linked to increased chemoresistance in multiple cancer types including the breast, lung, prostate, bladder, liver, pancreatic, ovarian, cervical, melanoma and osteosarcoma [26, 38]. As the sCLU isoform has an anti-apoptotic function, the suppression of CLU expression may promote cell death when challenged by chemotherapy. Studies which modulate CLU expression have found that decreasing endogenous CLU expression through the means of drug [50, 53, 76], antisense oligonucleotide [77–81] or siRNA inhibition [82, 83] increases sensitivity to chemotherapeutics and reduces overall tumour burden (as reviewed in detail by Praharaj et al. [38]). In contrast, treatment with exogenous CLU results in increased resistance to chemotherapeutics [84], despite the fact that sCLU in conditioned media failing to demonstrate an anti-apoptotic effect in cell lines [42]. Combination therapy involving an antisense oligonucleotide, Custiren (OSX-011, an inhibitor of sCLU) [77, 79] with chemotherapeutics in various cancers, including prostate [85, 86], lung [87] and breast cancer [88] demonstrated improved patient survival in Phase II clinical trials. However, during Phase III synergy trials, OGX-011 combined with prednisone and cabazitaxel [89] or docetaxel [90] showed no significant improvement in overall survival in castration-resistant prostate cancer patient.

CLU expression has recently been examined in CRC patient-derived organoids (PDOs) that had been treated with the chemotherapeutic 5-FU [24]. CLU expression is not only significantly increased after 5 days of chemotherapy treatment in vitro but also correlated with an increase in PDO resistance to chemotherapy [24]. In hepatocellular carcinoma, sCLU was found to induce resistance to the chemotherapeutic oxaliplatin via activation of the phosphoinositide-3-kinase-protein kinase B (PI3K)/Akt pathway [91] (Fig. 1). Further analysis revealed that sCLU regulates PI3K/Akt pathway via downregulation of growth arrest and DNA-damage-inducible 45 alpha (Gadd45a) which itself decreases phosphorylation of Akt [92] (Fig. 1). Another study also explored the relationship between CLU expression and chemoresistance specifically in CRC by generating a SW480 CRC cell line that overexpresses intracellular sCLU. Interestingly, the sCLU overexpressing cells were more sensitive to combined chemotherapy treatment under normal and hypoxic conditions [93]. Therefore, further exploration of the role of CLU in therapy resistance in CRC is warranted, with consideration of factors including different cancer stages, mutation profiles and consensus molecular subtypes (CMSs) required.

In addition to chemoresistance, an increase in CLU expression has also been linked to metastasis. Flanagan et al. [25] generated a breast cancer cell line which overexpressed sCLU to explore the effect on treatment response. This study found that sCLU-overexpressing cells transplanted into host mice were more resistant to cytokine-induced apoptosis and were also more likely to metastasise to the lung compared to the parental cell line [25]. Moreover, upregulation of CLU expression combined with L1CAM mediated signalling, a marker of tumour cells with metastatic potential [94], within LS174T CRC tumour cells results in substantial metastasis formation within the liver and spleen after transplantation (Fig. 2) [28]. Subsequent suppression of CLU expression via shRNA significantly decreased the number and size of these metastases [28]. Similarly, silencing CLU expression via siRNA in SW480, SW620, and Caco2 CRC cell lines in vitro reduced their proliferative and migratory capabilities [95].

Furthermore, it was shown that *CLU* expression is necessary for TGF $\beta$ -induced cell migration as knockdown of both *CLU*, and its transcriptional regulator *Twist1*, significantly reduced the number of invasive prostate cancer cells [60] (Figs. 1, 2). This suggests that CLU may mediate epithelial-mesenchymal transition and thus metastasis formation through activation of the TGF $\beta$  signalling pathway. In contrast, overexpression of *MEG3* in CRC cell lines significantly inhibited cell proliferation and cell migration *in vitro* which corresponded to a reduction in tumour growth and metastasis formation in xenograft models [57].

# 4 Clusterin-expressing regenerative stem cells

Enriched CLU expression marks the injury-induced stem cell type [21]. This damage-enriched CLU<sup>+ve</sup> regenerative stem cell is highlighted for its tolerance to injury and cellular plasticity to regenerate damaged cells, and more recently has been identified in CRC (reviewed by Tape [96]). Upon irradiation or chemical-induced tissue injury, CLU<sup>+ve</sup> cells can reconstitute all cell types within the intestinal crypt, including the LGR5<sup>+ve</sup> CBC population [21]. The CLU<sup>+ve</sup> RevSC is believed to be a unique stem cell population that is quiescent during homeostasis and proliferative upon tissue injury where these cells appear to revert to a fetal phenotype, with a transcriptional profile characterised by expression of *Anxa1*, *Ly6a* (*Sca1*) and *Clu* [21, 58, 59, 97]. In addition to this, the YAP gene signature is present exclusively in the CLU<sup>+ve</sup> RevSC and not in the LGR5<sup>+ve</sup> CBC population

[21]. Knockout of YAP1 inhibits the expansion of CLU<sup>+ve</sup> RevSCs after injury, while activation of YAP1 causes premature emergence of the CLU+ve RevSCs under homeostasis confirming the dependence on YAP signalling [21]. As cytoplasmic CLU is known to play a pro-survival role in cells, this could be a possible explanation for the maintenance of these cells in response to injury. In support of this, depletion of CLU, or treatment with verteporfin, an inhibitor of CLU and YAP-signalling, in patient-derived gastric cancer tumorspheres causes apoptosis of gastric cancer stem cells and reduced tumour growth, highlighting the critical prosurvival and oncogenic role of CLU [50]. A recent functional single-cell study demonstrates that stromal TGFB1 and WNT3a produced from fibroblasts can enrich colonic RevSC via YAP signalling under low PI3K and MAPK signalling conditions [98]. This suggests that stromal signals via YAP could be potentially targeted, blocking the transition towards more drug-tolerant RevSCs in CRC. This highlights the intricate interplay of the oncogenic and stromal signalling in cell-fate plasticity during colonic oncogenesis.

A RevSC signature, that includes CLU, has been confirmed in human colorectal tumours and, similarly to what has been observed in the mouse intestine, it appears to be largely mutually exclusive with the LGR5<sup>+ve</sup> stem cell signature [49]. This RevSC signature was also found to be upregulated in serrated tumours, which constitute 15–30% of all colorectal tumours, and harbour different mutational and epigenetic signatures to conventional colorectal tumours [99]. Conversely, the LGR5<sup>+ve</sup> CSC signature was predominantly found in conventional colorectal tumours [49]. CRC can further be divided into four consensus molecular subtypes which categorise CRC tumours based on their molecular features including mutational signature, somatic copy number alterations, methylation status and proteomic profile [100]. The CLU<sup>+ve</sup> RevSC signature has been identified primarily within CMS4 tumours, which are characterised by their mesenchymal phenotype due to strong stromal infiltration and have worse overall survival when compared to the other subtypes [49, 100, 101]. The LGR5<sup>+ve</sup> CSC signature is instead overexpressed in CMS2 tumours which represent canonical activation of WNT signalling, once again highlighting the distinct expression of the two stem cell signatures [49, 100, 101].

Quiescent stem cell populations have been identified in PDOs derived from colorectal tumours [24, 102]. These cells are enriched for CLU and can re-enter the cell cycle and generate organoids when isolated through FACS [102]. This supports the notion that CRCs have considerable plasticity where a CLU<sup>+ve</sup> cells can transition to a LGR5<sup>+ve</sup> CSC phenotype or vice versa, depending on the environment and could form the basis of differential responses to treatments. This transitional signature is supported by further work on cell-state plasticity in the initiation of metastasis, whereby

tumour cells transition from a LGR5<sup>+ve</sup> CSC tumour characterised by canonical intestinal gene signatures into tumour cells expressing non-canonical gene pathways, which in turn were positively associated with metastasis and worse overall survival [103]. This transition between canonical and non-canonical states was further associated with a fetal progenitor signature which was highly expressed during the transition point and thus suggests a potential reversion to a fetal-like state prior to the acquisition of metastaticpromoting characteristics [103]. Therefore, the ability of tumour cells to adapt and shift phenotype not only affects a response to chemotherapy but may also increase the propensity for the tumour to metastasise. To address this, it is necessary to identify the key drivers of these stem cell populations and target both simultaneously to completely eradicate the tumour cells and prevent treatment resistance or disease relapse.

## 4.1 The clusterin-expressing regenerative stem cell as a potential therapeutic target

Extensive evidence has linked an increase in CLU expression with an increase in tumour severity and treatment resistance. However, the mechanism underlying increased chemoresistance in CRC associated with high CLU expression remains unclear and may be due to the anti-apoptotic effect of CLU, the plasticity of CLU<sup>+ve</sup> cells, or even the combination of both factors. It is evident that the pro-survival role of CLU indeed contributes to the maintenance of gastric CSCs in response to injury [50] and promotes CSC phenotype in hepatocellular carcinoma cells [104]. Whereas inhibition of CLU in breast CSCs has been shown to increase chemosensitivity through necrosis activation [105].

The RevSC signature, including CLU, has been implicated with an overall poor prognosis and it is primarily expressed within chemoresistant CRC tumour cells [49]. This signature is also observed immediately after ablation of the LGR5<sup>+ve</sup> CSCs in mouse models of CRC, followed by repopulation of the LGR5<sup>+ve</sup> CSC 5 days later [49]. An increase in CLU expression, as well as other RevSC markers including Anxal and Baspl, is also evident following chemotherapy treatment in genetically engineered mouse organoid models of CRC [106], and this correlates with what is observed in CRC PDOs [24]. Expression of these RevSC markers is subsequently reduced once chemotherapy is withdrawn and the organoids have recovered [106]. This demonstrates that the model of CLU<sup>+ve</sup> cells repopulating LGR5<sup>+ve</sup> CSCs after loss or damage can also be observed in vitro. Therefore, the CLU<sup>+ve</sup> regenerative stem cell is emerging as a potential therapeutic target for the prevention of chemo- and radiotherapy resistance and tumour relapse. However, the mechanisms and processes underlying this are yet to be discovered, and the role of CLU in regulating the function of regenerative stem cells is still undetermined.

Interestingly, a correlation between the RevSC and TGF $\beta$  signalling (a key regulator of *CLU* expression) has been observed by several groups, with upregulated TGF $\beta$ pathway activation in RevSC-enriched tumours. A work by Fodde et al. [107] specifically notes a correlation between increased Clu expression and TGF<sup>β</sup> signalling in mouse colorectal tumours which develop through an inflammatory pathway [107]. Additionally, treatment with TGFβ pushes mouse WT and tumour organoids towards a RevSC phenotype [49], while organoids co-cultured with mesenchymal cells pre-treated with TGF<sup>β1</sup> exhibit higher expression of RevSC genes, including Clu, compared to organoids co-cultured with vehicle pre-treated mesenchyme [108]. Another study by Sharif et al. [109] identifies a mesenchymal-derived signal (Asporin) which is induced transiently after chemotherapy treatment and drives an upregulation of Clu expression via the Tgfb receptor [109]. Subsequent inhibition of the TGF<sup>β</sup> receptor via A8301 prevents this increase in Clu expression and the organoids lose their regenerative phenotype (represented by a cystic shape and decreased budding) [109]. As TGF $\beta$  signalling is known to regulate *CLU* expression, this provides a potential avenue for CLU to regulate the function of the RevSC, particularly given its known role as an inhibitor of apoptosis.

# 5 Conclusion and future directions

The tumour stem cell population is no longer considered a static population but has instead been shown to adapt and shift phenotype in response to environmental stresses. This is particularly relevant during chemotherapy treatment, where the sensitive LGR5<sup>+ve</sup> CSC population is replenished by the residual resistant tumour cells, to reinitiate tumour formation. Hence, many therapeutics that fail to completely eradicate the whole tumour will ultimately result in relapse. The recent identification of a new regenerative stem cell population in CRC has provided a potential druggable target that may provide an avenue to improve treatment outcomes. CLU has been identified as a key marker of this regenerative stem cell, with clear associations with resistance to treatment and metastasis. This may be due to the anti-apoptotic function of CLU, or to the role of CLU in defining plasticity of cells within CRC. In particular, it remains to be determined if the CLU is important in the regenerative process (particularly as key pathways regulating the RevSC such as YAP and TGFβ have also previously been associated with regulation of *CLU* expression), or if it is simply a biomarker for this stem cell population. Regardless, the identification of the RevSC as the regenerative source of the LGR5<sup>+ve</sup> CSC population following chemotherapy treatment, and the role *CLU* has in this process, could elucidate a druggable target that may prove efficacious for the treatment of CRC.

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#### Declarations

Competing interests The authors declare no competing interests.

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