## RESEARCH



## Clinico–pathologic factors and survival of patients with breast cancer diagnosed with de novo brain metastasis: a national cancer database analysis

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## Abstract

**Purpose** Patients with Breast Cancer (BC) with Brain Metastasis (BCBM) have poor survival outcomes. We aimed to explore the clinico–pathologic and therapeutic factors predicting the survival in patients with de novo BCBM using the National Cancer Database (NCDB).

**Patients and methods** The NCDB was queried for patients with BC between 2010 and 2020. Survival analysis with Kaplan–Meier curves and log rank tests were used to find median overall survival (OS) in months (95% CI) across the different variables. A multivariate cox regression model was computed to identify significant predictors of survival.

**Results** Out of n = 2,610,598 patients, n = 9005 (0.34%) had de novo BCBM. A trend of decreasing OS was observed with increasing age, Charlson–Deyo score (CDS), and number of extracranial metastatic sites. The highest median OS was observed in the Triple Positive and the lowest OS in the Triple Negative subgroup. Based on treatment regimen, combination of systemic therapy and local therapy achieved the highest OS. A positive trend in OS was observed in the BC subgroup analysis with targeted therapy demonstrating a survival benefit when added to systemic therapy.

The multivariate cox regression model showed that age, race, ethnicity, insurance, median income, facility type, CDS, BC subtype, metastatic location sites, and treatment combinations received were significantly associated with risk of death. Receiving only local treatment for BM without systemic therapy more than doubled the risk of death compared to combining it with systemic therapy.

**Conclusions** This analysis suggests that treatment of systemic disease is the major factor influencing survival in patients with BCBM. Moreover, targeted therapy with anti–HER2 increased survival when added to systemic therapy explaining the highest median OS noted in the Triple Positive subgroup.

Keywords Breast cancer · Brain metastasis · Immunotherapy · Survival · Prognosis · NCDB

## Introduction

Breast Cancer (BC) ranks as the most common malignancy among females worldwide with an annual incidence of 2.3 million cases [1, 2]. Specifically, BC with metastasis at

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histology, grade, tumor size, molecular subtype, patterns of metastasis, history of chemotherapy, radiotherapy, and surgery of primary cancer [8, 9]. Such studies have led to the development of prognostic scores that help in clinical decision making, such as the well-studied Graded Prognostic Assessment (GPA) scoring tool, which was developed to estimate survival in different BM patients based on the tumor of origin [10]. Some of the significant factors used in the score include age, Karnofsky Performance Status (KPS), extracranial metastases, and number of BM [2]. According to the National Comprehensive Cancer Network (NCCN) guidelines, treatment of BM includes surgery for relief of symptoms, whole brain radiotherapy (WBRT), stereotactic radiosurgery (SRS), and palliative care if applicable [11]. Additionally, BCBM require treatment based on the primary tumor characteristics including chemotherapy, hormonal, and anti-HER2 targeted therapy [12, 13]. There is a growing number of studies and clinical trials investigating newer targeted therapies for BCBM which span different classes such as EGFR receptor modulators, tyrosine kinase inhibitors, and CDK4/6 inhibitors to name a few [14-22]. Despite our growing knowledge about BCBM and the many efforts to identify prognostic and therapeutic interventions, large population-based survival studies on de novo BCBM remain lacking. Therefore, we aim to retrospectively analyze the national cancer database (NCDB) to identify factors and therapeutic interventions predicting survival of patients presenting with BCBM (Figs. 1 and 2).

## **Materials and methods**

## **Patient data**

The NCDB was queried for patients with BC with available data on de novo BM between 2010 and 2020. A total of n = 2,610,598 records of patients with BC were identified, out of whom 9005 had de novo BM. Access to this registry was achieved based on a Participant User File award granted to the principal investigator (N.Z.). The NCDB is a clinical oncology database sourced from hospital registry data collected in more than 1500 Commission on Cancer-accredited facilities (amounting to about 70% of all cancer diagnoses in the country). These data are used to analyze and track patients with malignant neoplastic diseases, their treatments, and outcomes. Variables used from the dataset included facility and patient demographics, BC-specific variables, and treatment modalities. Several variables were computed that are relevant to prognosis in this patient population. Variables for the number and location of extracranial metastatic sites (EMS) were computed by combining five individual metastatic sites: bone, liver, lung, distant lymph nodes, and other sites. A variable on BM treatment modality was computed by combining three individual modalities: Surgery, WBRT, and SRS. A variable on BC treatment modality was computed by combing three individual modalities: chemotherapy, hormonal therapy, and immunotherapy (referring to anti–HER2 therapy and other targeted therapies). Last, a variable on treatment combination was computed by combining the treatment status for BM and BC.

## **Statistical analysis**

Chi-square, fisher exact, independent t, and Mann Whitney U tests were performed to evaluate the association between each categorical variable and treatment combinations received. Kaplan-Meier analyses and log rank tests were performed on the whole dataset to compare median overall survival (OS) across age, facility type, Charlson-Deyo Score (CDS), BC subtype, number of EMS, location of EMS, BM treatment modality, BC treatment modality, and combination of both treatment modalities. Furthermore, the same analysis was conducted on the four BC subgroups to compare OS across the different treatment modalities. Finally Univariate and Multivariate Cox regression models were computed with backwards elimination of 0.1 for both to identify the significant predictors of survival in the patient cohort. The cutoff of statistical significance was set at p < 0.05. SAS version 9.4 and R 4.2.3 were used for data analysis.

## Results

## **Baseline characteristics**

Out of n = 2,610,598 patients identified with BC in the NCDB between 2010 and 2020, n = 9005 (0.34%) patients had de novo BM. Table 1 outlines the baseline characteristics of this cohort across the different treatment combinations received. Most patients with de novo BM were in the 61-70 age (30.3%) compared to the lowest proportion in the  $\leq$  50 age group (20.6%). Most patients were female (98.9%), of White race (76.6%), and non-Hispanic ethnicity (92.4%). Most patients were treated at either Comprehensive Community Cancer Programs (CCCP) (39.2%) or Academic/Research Programs (35.5%). In this database, most patients had insurance with only 7.5% of the cohort being un-insured. Most patients had a CDS of 0 (79.7%) with only 2.6% having a score of  $\geq$  3. There was a trend of increasing BCBM diagnosis during the 11-year span ranging from 7.7% in 2010 to 10.2% in 2020. Most BC cases had invasive ductal histology (64.9%), were poorly differentiated (43.4%), and  $\geq$  3 cm in size (62.8%). The BC subtype proportions in this cohort were as follows: 48% HR(+)/HER2( - ), 22.6% HR( - )/HER2( - ), 16.8%



Fig. 1 Kaplan–Meier plots of overall survival for breast cancer patients with brain metastases stratified by  $\mathbf{a}$  age,  $\mathbf{b}$  facility type,  $\mathbf{c}$  Charlson–Deyo score,  $\mathbf{d}$  breast cancer subtype,  $\mathbf{e}$  number of extracranial metastatic sites, and  $\mathbf{f}$  location of extracranial metastatic sites



Fig. 2 Kaplan–Meier plots of overall survival for breast cancer patients with brain metastases stratified by **a** brain metastases treatment modality, **b** breast cancer treatment modality, and **c** combination of both breast cancer and brain metastases treatments

HR(+)/HER2(+), and 12.6% HR(-)/HER2(+). Based on the number of EMS, 15.7%, 31.3%, 27.9%, and 25.1% of the cohort had 0, 1, 2, and  $\geq$  3 EMS, respectively. 17.7% of the patients did not receive treatment for either BC or BM, 9.5% received treatment for BM only, 33.6% received treatment for BC only, and 39.1% received treatment for both BC and BM. All variables except sex, ethnicity, facility type, year of diagnosis, and lympho-vascular invasion were significantly associated with the treatment combination received (p < 0.005).

## Median OS of the Cohort across different variables

The median OS of the 9005-patient cohort was 10.9 months (95% CI, 10.3-11.5). OS decreased significantly with increasing age, with highest OS observed in the  $\leq$  50 age group at 18.96 months (16.92–20.86) and the lowest in the > 70 age group at 4.70 months (4.07-5.29) (log rank test, p<0.0001). Patients treated at an Academic/Research Program had the highest OS amongst the different facilities at 13.63 months (12.19-15.00) (log rank test, p<0.0001). OS decreased significantly with increasing CDS, with the highest OS in the group with a score of 0 at 12.42 months (11.76–13.17) and the lowest in the group with a score of  $\geq 3$  at 2.86 months (2.17–3.78) (log rank test, p < 0.0001). Across the four BC subgroups, the HR(+)/HER2(+) group had the highest OS at 22.05 months (18.73-24.67) compared to the lowest in the HR(-)/HER2(-) at 5.62 months (5.19–6.18) (log rank test, p < 0.0001). The HR(+)/HER2(-) and HR(-)/HER2(+) subgroups had similar OS at 15.80 months (14.46–17.15) and 14.59 months (11.79–16.95), respectively. There was a trend of worsening survival with increasing number of EMS, with the 1 EMS group having the highest OS at 13.17 months (12.02–14.36) compared to the group of with  $\geq$  3 EMS with lowest OS at 7.59 months (6.70–8.84) (log rank test, p < 0.0001). Based on the location of the EMS, bone metastasis conferred the highest OS amongst all combinations at 16.53 months (14.82–18.40) with the combined Liver and Lung group having the lowest OS at 5.22 months (3.09–6.34) (log rank test, p<0.0001). Across the different local BM treatment modalities, patients without any treatment had the lowest OS at 9.26 months (8.31–10.02) compared to Surgery + WBRT group which had the highest OS at 32.33 months (23.98–40.44) (log rank test, p < 0.0001). Across the different BC treatment modalities, patients without any treatment had the lowest OS at 2.1 months (1.97-2.23) compared to the Chemotherapy + Hormonal Therapy + Immunotherapy group which had the highest OS at 42.35 months (35.48-54.14) (log rank test, p < 0.0001). Last, across the treatment combinations, the lowest OS was observed in the subgroup without any treatment at 1.77 months (1.64–1.97) followed by local treatment for BM only at 2.63 months (2.33–2.96). The subgroups that received BC treatment only and combination treatment for both brain and breast entities had similar OS at 16.92 months (16.00-18.27) and 16.30 months (15.11–17.38), respectively (log rank test, p < 0.0001). Table 2 summarizes the OS across the different variables, and Figs. 1-2 show the Kaplan-Meier curves with risk tables.

Table 1	Baseline demographics and breast cancer-relate	ed variables with group comparisons across the different treatments rece	eived
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Variable	Categories	Overall	No treatment for both	Treatment for BM only	Treatment for BC only	Treatment for both	p-value
N (%)		9005 (100)	1594 (17.7%)	859 (9.5%)	3027 (33.6%)	3525 (39.1%)	
Age (years)	≤50	1854 (20.6)	187 (11.7)	123 (14.3)	691 (22.8)	853 (24.2)	< 0.001
N (%)	51-60	2510 (27.9)	341 (21.4)	232 (27.0)	873 (28.8)	1064 (30.2)	
	61–70	2732 (30.3)	470 (29.5)	276 (32.1)	912 (30.1)	1074 (30.5)	
	≥70	1909 (21.2)	596 (37.4)	228 (26.5)	551 (18.2)	534 (15.1)	
Sex	Female	8904 (98.9)	1577 (98.9)	852 (99.2)	2993 (98.9)	3482 (98.8)	0.7821
N (%)	Male	101 (1.1)	17 (1.1)	7 (0.8)	34 (1.1)	43 (1.2)	
Race	Black	1671 (18.7)	329 (20.9)	187 (22.1)	522 (17.4)	633 (18.1)	0.0071
N (%), n=8919	Other	415 (4.7)	69 (4.4)	32 (3.8)	154 (5.1)	160 (4.6)	
	White	6833 (76.6)	1177 (74.7)	628 (74.1)	2329 (77.5)	2699 (77.3)	
Ethnicity	Hispanic	664 (7.6)	106 (6.9)	61 (7.3)	241 (8.2)	256 (7.5)	0.4304
N (%), n=8764	Non-Hispanic	8100 (92.4)	1434 (93.1)	778 (92.7)	2707 (91.8)	3181 (92.5)	
Insurance status	Medicaid	1439 (16.4)	206 (13.4)	114 (13.7)	512 (17.4)	607 (17.6)	< 0.0001
N (%), n=8768	Medicare	3332 (38.0)	800 (51.9)	400 (48.0)	1025 (34.9)	1107 (32.0)	
N (%), n=8768	Not insured	655 (7.5)	144 (9.3)	67 (8.0)	215 (7.3)	229 (6.6)	
	Private insurance/ managed care	3342 (38.1)	391 (25.4)	253 (30.3)	1183 (40.3)	1515 (43.8)	
Facility type N (%), n=8449	Academic/research program	3003 (35.5)	495 (32.1)	300 (35.8)	998 (35.8)	1210 (36.8)	0.0976
	Community cancer program	742 (8.8)	149 (9.7)	79 (9.4)	253 (9.1)	261 (8.0)	
	Comprehensive community cancer program	3315 (39.2)	643 (41.7)	323 (38.6)	1085 (38.9)	1264 (38.5)	
	Integrated network cancer program	1389 (16.4)	254 (16.5)	135 (16.1)	451 (16.2)	549 (16.7)	
Median income	<\$40,227	1758 (21.7)	337 (23.3)	179 (23.2)	581 (21.2)	661 (21.0)	0.0096
quartiles	\$4022-\$50,353	1810 (22.4)	327 (22.6)	186 (24.1)	580 (21.3)	717 (22.8)	
2012-2016	\$50,354-\$63,332	1901 (23.5)	354 (24.5)	168 (21.8)	609 (22.3)	770 (24.5)	
10(70), 11-8092	>\$63,333	2623 (32.4)	428 (29.6)	238 (30.9)	960 (35.2)	997 (31.7)	
Percent no high	< 6.3%	1675 (20.6)	265 (18.3)	138 (17.8)	602 (22.0)	670 (21.2)	0.0025
school degree	6.3%-10.8%	2204 (27.2)	382 (26.4)	188 (24.3)	751 (27.5)	883 (28.0)	
quartiles	10.9%-17.5%	2189 (27.0)	402 (27.8)	224 (28.9)	730 (26.7)	833 (26.4)	
(%), n=8112	>17.6%	2044 (25.2)	398 (27.5)	224 (28.9)	651 (23.8)	771 (24.4)	
Year of diagnosis	2010	696(7.7)	127(8.0)	72(8.4)	240(7.9)	257(7.3)	0.1797
N (%)	2011	741(8.2)	135(8.5)	80(9.3)	238(7.9)	288(8.2)	
N (%)	2012	728(8.1)	132(8.3)	73(8.5)	261(8.6)	262(7.4)	
	2013	756(8.4)	117(7.3)	74(8.6)	271(9.0)	294(8.3)	
	2014	806(9.0)	123(7.7)	71(8.3)	293(9.7)	319(9.1)	
	2015	851(9.5)	150(9.4)	77(9.0)	308(10.2)	316(9.0)	
	2016	845(9.4)	163(10.2)	60(7.0)	269(8.9)	353(10.0)	
	2017	824(9.2)	156(9.8)	71(8.3)	282(9.3)	315(8.9)	
	2018	916(10.1)	171(10.7)	88(10.2)	289(9.5)	368(10.4)	
	2019	923(10.2)	157(9.9)	96(11.2)	285(9.4)	385(10.9)	
	2020	919(10.2)	163(10.2)	97(11.2)	291(9.6)	368(10.4)	
Histology	Ductal	5844 (64.9)	851 (53.4)	518 (60.3)	2044 (67.5)	2431 (69.0)	< 0.001
N (%)	Lobular	590 (6.6)	90 (5.6)	39 (4.5)	241 (8.0)	220 (6.2)	
	Other	2571 (28.6)	653 (41.0)	302 (35.2)	742 (24.5)	874 (24.8)	

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 Table 1 (continued)

Variable	Categories	Overall	No treatment for both	Treatment for BM only	Treatment for BC only	Treatment for both	p-value
Grade	1	916(15.1)	131(14.8)	61(11.8)	383(17.4)	341(13.7)	< 0.001
N (%), n=6085	2	2525(41.5)	348(39.4)	186(36.1)	924(42.0)	1067(43.0)	
	3	2644(43.4)	405(45.8)	269(52.1)	894(40.6)	1076(43.3)	
Tumor size N	<1 cm	538 (8.2)	74 (7.2)	53 (8.8)	175 (7.8)	236 (8.8)	0.0002
(%), n=6561	1–2 cm	909 (13.9)	145 (14.0)	105 (17.4)	279 (12.3)	380 (14.2)	
	2–3 cm	989 (15.1)	148 (14.3)	116 (19.3)	360 (16.0)	365 (13.7)	
	> 3 cm	4125 (62.8)	666 (64.5)	328 (54.5)	1443 (63.9)	1688 (63.2)	
Lympho-vascular	0	1742(64.3)	237(63.5)	134(61.2)	655(66.0)	716(63.5)	0.4583
invasion N (%), n=2711	1	969(35.7)	136(36.5)	85(38.8)	337(34.0)	411(36.5)	
Charlson Deyo	0	7178 (79.7)	1196 (75.0)	637 (74.2)	2477 (81.8)	2868 (81.4)	< 0.001
score	1	1222 (13.6)	232 (14.6)	138 (16.1)	401 (13.2)	451 (12.8)	
N (%)	2	370 (4.1)	91 (5.7)	54 (6.3)	90 (3.0)	135 (3.8)	
	≥3	235 (2.6)	75 (4.7)	30 (3.5)	59 (1.9)	71 (2.0)	
Breast cancer	HR ( – )/HER2 ( – )	1708 (22.6)	319 (30.0)	285 (42.3)	422 (15.7)	682 (21.7)	< 0.001
subtype	HR ( – )/HER2 ( +)	956 (12.6)	122 (11.5)	91 (13.5)	285 (10.6)	458 (14.6)	
N (%), $n = 7563$	HR (+)/HER2 ( - )	3627 (48.0)	482 (45.3)	208 (30.9)	1514 (56.4)	1423 (45.3)	
	HR (+)/HER2 (+)	1272 (16.8)	140 (13.2)	90 (13.3)	464 (17.3)	578 (18.4)	
Number of extrac- ranial metastatic	Brain + 1 metastatic site	2808 (31.3)	476 (30.1)	241 (28.3)	1037 (34.3)	1054 (29.9)	< 0.001
sites N (%), n=8979	Brain + 2 metastatic sites	2504 (27.9)	397 (25.1)	211 (24.8)	947 (31.3)	949 (27.0)	
	Brain $+ \ge 3$ meta- static sites	2258 (25.1)	450 (28.5)	175 (20.5)	776 (25.7)	857 (24.3)	
	Only brain	1409 (15.7)	258 (16.3)	225 (26.4)	265 (8.7)	661 (18.8)	
Location of	Bone	1786 (19.8)	283 (17.9)	109 (12.8)	799 (26.4)	595 (16.9)	< 0.001
extracranial	Bone + liver	696 (7.8)	102 (6.4)	53 (6.2)	314 (10.4)	227 (6.5)	
metastatic sites $N(\theta) = 8070$	Bone + liver + lung	908 (10.1)	183 (11.6)	63 (7.4)	331 (10.9)	331 (9.4)	
N(%), II = 8979	Bone + lung	1038 (11.6)	169 (10.7)	71 (8.3)	380 (12.6)	418 (11.9)	
	Liver	184 (2.1)	30 (1.9)	18 (2.1)	61 (2.0)	75 (2.1)	
	Liver + lung	264 (2.9)	50 (3.2)	36 (4.2)	75 (2.5)	103 (2.9)	
	Lung	689 (7.7)	141 (8.9)	91 (10.7)	137 (4.5)	320 (9.1)	
	Only brain	1409 (15.7)	258 (16.3)	225 (26.4)	265 (8.8)	661 (18.8)	
	Other	2005 (22.3)	365 (23.1)	186 (21.8)	663 (21.9)	791 (22.4)	
Brain metasta-	No treatment	4620 (51.3)	1594 (100.0)	0 (0.0)	3026 (100.0)	0 (0.0)	< 0.001
sis treatment	SRS	827 (9.2)	0 (0.0)	122 (14.2)	0 (0.0)	705 (20.0)	
modality N (%), n = 9004	WBRT	2596 (28.8)	0 (0.0)	505 (58.7)	0 (0.0)	2091 (59.3)	
11-2004	Surgery	445 (4.9)	0 (0.0)	150 (17.5)	0 (0.0)	295 (8.4)	
	Surgery + SRS	311 (3.5)	0 (0.0)	53 (6.2)	0 (0.0)	258 (7.3)	
	Surgery + WBRT	205 (2.3)	0 (0.0)	29 (3.4)	0 (0.0)	176 (5.0)	

#### Table 1 (continued)

Variable	Categories	Overall	No treatment for both	Treatment for BM only	Treatment for BC only	Treatment for both	p-value
Breast cancer	No treatment	2439 (27.1)	1586 (100.0)	853 (100.0)	0 (0.0)	0 (0.0)	< 0.001
treatment modality N (%).	Immunotherapy	98 (1.1)	0 (0.0)	0 (0.0)	49 (1.6)	49 (1.4)	
n=8991	Chemotherapy	2101 (23.4)	0 (0.0)	0 (0.0)	894 (29.5)	1207 (34.2)	
	Hormonal therapy	1434 (16.0)	0 (0.0)	0 (0.0)	753 (24.9)	681 (19.3)	
	Immunother- apy + hormonal therapy	199 (2.2)	0 (0.0)	0 (0.0)	112 (3.7)	87 (2.5)	
	Chemother- apy + hormonal therapy	1390 (15.5)	0 (0.0)	0 (0.0)	695 (23.0)	695 (19.7)	
	Chemother- apy + immuno- therapy	912 (10.1)	0 (0.0)	0 (0.0)	340 (11.2)	572 (16.2)	
	Chemother- apy + hormonal therapy + immu- notherapy	418 (4.6)	0 (0.0)	0 (0.0)	184 (6.1)	234 (6.6)	

# Median OS by treatment modality across BC subtypes

Based on BM treatment modality, the Surgery + WBRT groups achieved the highest OS across three BC subgroups at 33.35 (24.48–40.87), 48.85 (10.41–), and 15.8 (6.31–21.98), in the HR(+)/HER2( - ), HR( - )/HER2(+), and HR( - )/ HER2( - ) subgroups, respectively. For the HR(+)/ HER2(+) subgroup, computing the Surgery + WBRT value was not possible, and Surgery + SRS achieve the highest OS at 42.25 (12.98–) (log rank test, p < 0.0001). Based on BC treatment modality, the Chemotherapy + Hormonal therapy + Immunotherapy groups achieved the highest OS across three BC subgroups at 55.13 (35.58-), 42.35 (36.04-55.36), and 31.34(7.82-), for the HR(+)/HER2(-), HR(+)/HER2(+), and HR(-)/HER2(+) subgroups, respectively. For the HR(-)/HER2(-) subgroup, Chemotherapy + Immunotherapy achieved the highest OS at 11.7 (9.46-16.72) (log rank test, p < 0.0001). Based on treatment combinations, receiving local and systemic treatment combined for both BM and BC achieved the highest OS at 19.02 (17.08-20.70), 28.94 (24.77-35.29), 19.42 (16.95-23.36), and 8.84 (7.85–9.79) for the HR(+)/HER2(-), HR(+)/HER2(+), HR( - )/HER2(+), and HR( - )/HER2( - ) subgroups, respectively (log rank test, p < 0.0001). Table 3 summarizes the OS across the four BC subgroups, and supplementary Figs. 3-6 show the Kaplan-Meier curves with risk tables.

## **Cox regression model**

Univariate analyses were performed on 14 explanatory variables, and significant variables were computed to a multivariate cox regression model to find hazard ratios [HR (95% CI), p-value]. On multivariate analysis, older age was associated with increased risk of death. Compared to  $\leq$  50-year age-group, the 51-60 year and  $\geq$  70-year age groups had higher risk of death [1.17(1.04–1.31), p = 0.0099)] and [1.53(1.31–1.79), p < 0.0001], respectively. Patients with races other than White had lower risk of death compared to White patients [0.78(0.63-0.96)], p = 0.0216]. Hispanic patients had lower risk of death compared to non-Hispanic patients [0.72(0.60-0.86), p = 0.0003]. Compared to patients with private insurance, those who were un-insured [1.38(1.18-1.61), p < 0.0001],on Medicaid [1.28(1.14-1.43), p<0.0001], and on Medicare [1.20(1.07-1.34), p=0.0013] had higher risks of death. Patients with a median income of < \$40,227 had higher risk of death compared to 363,333 [1.22(1.06-1.40)], p = 0.0058], while high school degree was not significantly associated with survival. Compared to academic/research program facilities, CCCP [1.15(1.05-1.26), p=0.0018],and integrated network cancer programs [1.21(1.08–1.36), p = 0.0012] had higher risks of death. Compared to patients with no comorbidities, higher CDS correlated with higher risks of death at [1.13(1.02-1.26), p=0.0249], [1.32(1.09-1.60), p=0.0041], and [1.74(1.39-2.18),p < 0.0001 for the 1, 2, and  $\geq 3$  score groups, respectively. Compared to patients diagnosed in 2018-2020, Table 2Median overall survival(OS) across age, facility type,<br/>Charlson-Deyo score, breast<br/>cancer subtype, number<br/>of extracranial metastatic<br/>sites, location of extracranial<br/>metastatic sites, brain metastasis<br/>treatment modality, breast<br/>cancer treatment modality, and<br/>treatment combinations

Age	# of Cases	Median OS	95% CI
$\leq$ 50 years	1022/1501	18.96	16.92, 20.86
51–60 years	1538/2054	12.98	11.89, 14.13
61–70 years	1651/2183	10.55	9.50, 11.83
$\geq$ 70 years	1266/1523	4.70	4.07, 5.29
Total	5477/7261		
Log-rank test p-value	<.0001		
Facility type			
Academic/research program	1755/2445	13.63	12.19, 15.00
Community cancer program	472/611	9.89	7.75, 11.37
Comprehensive community cancer program	2097/2650	9.26	8.38, 9.89
Integrated network cancer program	864/1117	9.26	8.02, 10.55
Total	5188/6823		
Log-rank test p-value	<.0001		
Charlson Deyo score			
0	4251/5775	12.42	11.76, 13.17
1	821/1014	8.08	6.77, 9.56
2	249/291	4.90	3.38, 7.06
≥3	156/181	2.86	2.17, 3.78
Total	5477/7261		
Log-rank test p-value	<.0001		
Breast cancer subtype			
HR(-)/HER2(-)	1194/1367	5.62	5.19, 6.18
HR(-)/HER2(+)	547/767	14.59	11.79, 16.95
HR(+)/HER2(-)	2132/2936	15.80	14.46, 17.15
HR(+)/HER2(+)	655/998	22.05	18.73, 24.67
Total	4528/6068		
Log-rank test p-value	<.0001		
Number of extracranial metastatic sites			
Only brain	868/1200	12.00	10.38, 13.83
Brain + 1 metastatic site	1798/2374	13.17	12.02, 14.36
Brain + 2 metastatic sites	1562/2053	10.48	9.53, 11.83
Brain $+ \ge 3$ metastatic sites	1232/1613	7.59	6.70, 8.84
Total	5460/7240		
Log-rank test p-value	<.0001		
Location of extracranial metastatic sites			
Only brain	868/1200	12.00	10.38, 13.83
Bone	1127/1529	16.53	14.82, 18.40
Liver	133/161	6.37	4.57, 13.80
Lung	470/586	8.38	7.29, 9.63
Bone + liver	467/595	10.12	7.79, 12.09
Bone + lung	712/931	11.99	10.28, 13.73
Liver + lung	200/232	5.22	3.09, 6.34
Bone + liver + lung	671/805	6.83	5.49, 8.05
Other	812/1201	9.56	8.08, 10.91
Total	5460/7240		
Log-rank test p-value	<.0001		
Brain metastasis treatment modality			
No treatment	2816/3729	9.26	8.31, 10.02
WBRT	1725/2125	10.25	9.56, 11.10
SRS	442/651	15.41	13.24, 18.53
Surgery	246/358	19.81	14.78, 25.43

#### Table 2 (continued)

Age	# of Cases	Median OS	95% CI
Surgery + SRS	165/249	20.5	16.26, 23.98
Surgery + WBRT	83/149	32.33	23.98, 40.44
Total	5477/7261		
Log-rank test p-value	<.0001		
Breast cancer treatment modality			
No treatment	1678/1955	2.10	1.97, 2.23
Immunotherapy	60/74	5.00	3.32, 8.41
Chemotherapy	1507/1817	10.50	9.86, 11.24
Hormonal therapy	967/1225	13.54	12.02, 15.11
Immunotherapy + hormonal therapy	103/154	23.69	17.74, 27.56
Chemotherapy + hormonal therapy	680/1071	26.38	24.71, 28.55
Chemotherapy + immunotherapy	345/647	27.56	24.77, 33.31
Chemotherapy + hormonal therapy + immunotherapy	133/307	42.35	35.48, 54.14
Total	5473/7250		
Log-rank test p-value	<.0001		
Treatment combination			
No treatment for both	1082/1275	1.77	1.64, 1.97
Treatment for brain metastasis only	600/691	2.63	2.33, 2.96
Treatment for breast cancer only	1734/2454	16.92	16.00, 18.27
Treatment for both	2061/2841	16.30	15.11, 17.38
Total	5477/7261		
Log-rank test p-value	<.0001		

those diagnosed earlier in 2010–2011 [1.25(1.08–1.45), p = 0.0029] and 2014–2015 [1.20(1.03–1.39), p = 0.0164] had higher risk of death. The three BC subgroups had lower risk of death compared to the triple negative group, with the HR(+)/HER2(+) group having the best outcome with the lowest risk [0.43(0.38-0.49), p < 0.0001]. The location and number of EMS was significantly correlated with survival. Compared to only brain, bone + liver + lung [2.06(1.78-2.38), p < 0.0001] had the highest risk of death, followed by liver + lung [1.97(1.59-2.44)], p < 0.0001], bone + liver [1.96(1.67–2.31), p < 0.0001], liver [1.88(1.45-2.45), p < 0.0001], other combinations [1.85(1.58-2.18), p < 0.0001], bone + lung [1.41(1.21-1.63), p < 0.0001]p < 0.0001], lung [1.31(1.12–1.53), p = 0.0009], and bone [1.31(1.15-1.49), p < 0.0001]. Compared to patients who received treatment for both breast and brain entities, patients who had no treatment for either [2.65(2.36-2.98), p < 0.0001] and treatment for BM only [2.30(2.00-2.63), p < 0.0001 were significantly more likely to die. Treatment for BC only was not statistically significant (p = 0.0920). Table 4 summarizes the results of the univariate and multivariate cox regression models<sup>a</sup>.

## Discussion

In this analysis, we identified several factors contributing to prognosis of patients presenting with de novo BCBM including age, facility type, CDS, BC subtype, number and location of EMS, and local and systemic treatment modalities. Younger age, treatment at an academic/research program, lower CDS, triple positive BC status, having only one EMS, receiving surgery and WBRT, receiving Chemotherapy + Hormonal Therapy + Immunotherapy, and receiving combined BM and BC therapies were all associated with improved OS.

This data is consistent with another retrospective analysis including n = 1366 patients with de novo BCBM patients from the Surveillance, Epidemiology, and End Results (SEER) database between 2015 and 2019, by Yaning et al. finding median OS to be 12.0 months (10.4–13.6), which is very similar to our cohort's value of 10.9 months [23]. Furthermore, the authors identified similar trends in subgroup survival, with the HR(+)/HER2(+) group having the best OS at 19.0 months (11.8–26.2) and the HR( – )/HER2( – ) having the worst OS at 7.0 months (5.4–8.6), both of which overlap with our results. Moreover, there was a similar trend in the OS of patients based on the metastatic sites with the bone only group having the longest OS (17.0 vs 16.5 months in our cohort) and all three sites (bone + liver + lung) having the lowest OS at 8.0 months (5.4–10.6) compared to

Table 3 Median overall su	ırvival (OS) for	the four b	reast cancer subgr	oups across the	e different	treatment modalit	ies					
BC subtype	HR(+)/HER	2( - )		HR(+)/HER	2(+)		HR( – )/HER	(+)		HR( – )/HER	( - )	
BM treatment modality	# of Cases	OS	95% CI	# of Cases	SO	95% CI	# of Cases	SO	95% CI	# of Cases	OS	95% CI
No treatment	1155/1615	14.88	13.17, 17.02	323/477	17.74	14.09, 22.34	237/329	11.56	7.85, 16.20	528/597	4.5	3.81, 5.03
WBRT	613/767	13.08	10.87, 14.39	240/331	18.83	14.23, 22.77	208/278	15.74	12.58, 18.43	452/498	6.08	5.36, 6.87
SRS	174/257	18.53	13.86, 22.77	48/97	39.79	28.75,	51/84	16.82	10.45, 30.65	107/134	8.5	5.49, 12.35
Surgery	109/159	25.43	19.35, 30.72	21/39	35.98	14.36, 53.26	25/34	10.02	4.80, 19.55	41/51	6.7	4.27, 11.37
Surgery + SRS	52/87	28.12	19.84, 44.09	17/33	42.25	12.98,	18/25	24.08	5.30, 59.17	43/53	7.46	4.44, 16.16
Surgery + WBRT	29/51	33.35	24.48, 40.87	6/21		29.11,	8/17	48.85	10.41,	23/34	15.8	6.31, 21.98
Total	2132/2936			655/998			547/767			1194/1367		
Log-rank test p-value	<.0001			<.0001			0.0209			< 0.0001		
BC treatment modality												
No treatment	463/565	2.69	2.43, 3.32	143/174	2.56	1.94, 3.02	130/152	1.77	1.45, 2.20	441/481	2.07	1.91, 2.30
Immunotherapy (I)	4/6	18.34	1.87,	19/21	4.17	2.14, 14.75	26/32	3.94	2.90, 6.05	3/6		1.45,
Chemotherapy (C)	340/433	12.48	10.87, 14.88	110/137	18.83	11.53,23.49	205/254	15.51	12.16, 17.77	683/792	8.51	7.66, 9.23
Hormonal therapy (H)	746/957	15.38	13.54,18.20	63/70	5.6	3.22, 9.03	5/6	0.81	0.39,	11/12	3.33	0.90, 16.46
I+H	46/73	31.54	24.51, 35.38	44/65	18	10.15, 23.36	3/4	7.36	1.35,		I	Ι
C+H	481/785	26.91	25.36, 29.14	92/134	31.57	20.96, 40.31	13/13	14.16	6.01, 24.90	17/22	10.68	6.77, 27.24
C+I	20/31	14.42	8.90, 27.07	104/209	36.37	27.50, 51.52	156/291	30.65	22.97, 35.45	39/53	11.7	9.46, 16.72
C+H+I	31/83	55.13	35.58,	77/184	42.35	36.04, 55.36	14-Sep	31.34	7.82,		I	Ι
Total	2131/2933			652/994			547/766			1194/1366		
Log-rank test p-value	<.0001			<.0001			<.0001			<.0001		
Treatment combination												
Neither BM nor BC	321/398	2.56	2.10, 3.32	85/106	2.27	1.74, 2.70	06/LL	1.51	1.15, 2.0	232/248	1.87	1.58, 2.07
Only BM	143/170	3.25	2.50, 4.57	61/72	3.15	1.87, 5.26	53/63	2.07	1.64, 3.48	209/234	2.3	2.07, 2.63
Only BC	834/1217	22.24	18.96, 24.77	238/371	24.67	21.19, 28.68	160/239	19.02	14.88, 23.16	296/349	8.57	7.33, 9.89
BM+BC	834/1151	19.02	17.08, 20.70	271/449	28.94	24.77, 35.29	257/375	19.42	16.95, 23.36	457/536	8.84	7.85, 9.79
Total	2132/2936			655/998			547/767			1194/1367		
Log-rank test p-value	<.0001			<.0001			<.0001			<.0001		

Table 4	Univariate and multivariate cox	regression models for vari	ables predicting risk of death in	the patient cohort

Cox regression model	Univariate		Multivariate	
Variable	HR (95% CI)	p-value	HR (95% CI)	p-value
Age				
< 50 years (ref)	1	_	1	_
51-60	1.25(1.16-1.36)	< 0.0001	1.17(1.04–1.31)	0.0099
61–70	1.36(1.26–1.47)	< 0.0001	1.11(0.98–1.27)	0.0935
>70	2.05(1.88-2.22)	< 0.0001	1.53(1.31-1.79)	< 0.0001
Sex	2100(1100 2122)	(0)0001		(0)0001
Female (ref.)	1	_		
Male	1 15(0 90-1 46)	0 2751		
Race	1110(0190 1110)	0.2701		
White (ref.)	1	_	1	_
Black	1 09(1 02 - 1 16)	0.0167	1 04(0.94 - 1.15)	0 4849
Other	0.76(0.66, 0.88)	0.0002	0.78(0.63, 0.96)	0.0216
Ethnicity	0.70(0.00-0.00)	0.0002	0.78(0.05-0.90)	0.0210
Non Hispanic (raf.)	1		1	
Hispania	1	- < 0.0001	1 0 72(0 60 0 86)	-
	0.03(0.38-0.73)	< 0.0001	0.72(0.00=0.80)	0.0003
Distribution (a)	1		1	
Private insurance/managed care (ref.)	1	-	1	-
Not insured	1.37(1.23–1.52)	< 0.0001	1.38(1.18–1.61)	< 0.0001
Medicaid	1.1/(1.08–1.27)	0.0002	1.28(1.14–1.43)	< 0.0001
Medicare	1.57(1.48–1.68)	< 0.0001	1.20(1.07–1.34)	0.0013
Median income quartiles (2012–2016)			_	
> \$63,333 (ref.)	1	-	1	-
\$50,354-\$63,332	1.12(1.04–1.21)	0.0022	1.10(0.98–1.23)	0.1031
\$40,227-\$50,353	1.16(1.07–1.25)	0.0002	1.11(0.98–1.26)	0.0924
<\$40,227	1.17(1.08–1.26)	< 0.0001	1.22(1.06–1.40)	0.0058
Percent no high school degree quartiles (2012–2016)				
<6.3% (ref.)	1	-	1	-
6.3%-10.8%	1.08(1.00-1.17)	0.053	1.02(0.90-1.14)	0.7999
10.9%-17.5%	1.16(1.07–1.26)	0.0004	1.05(0.92–1.20)	0.4946
>17.6%	1.05(0.97–1.14)	0.2211	0.90(0.77-1.05)	0.1804
Facility type				
Academic/research program (ref.)	1	-	1	-
Community cancer program	1.21(1.09–1.34)	0.0002	1.06(0.92–1.22)	0.3907
Comprehensive community cancer program	1.24(1.16-1.32)	< 0.0001	1.15(1.05–1.26)	0.0018
Integrated network cancer program	1.26(1.16-1.37)	< 0.0001	1.21(1.08-1.36)	0.0012
Charlson Deyo score				
0 (ref.)	1	-	1	-
1	1.26(1.17-1.36)	< 0.0001	1.13(1.02–1.26)	0.0249
2	1.65(1.45-1.88)	< 0.0001	1.32(1.09–1.60)	0.0041
≥3	1.92(1.63-2.25)	< 0.0001	1.74(1.39–2.18)	< 0.0001
Year of diagnosis				
2010	1.32(1.18-1.49)	< 0.0001		
2011	1.23(1.10-1.38)	0.0004		
2012	1.16(1.04-1.31)	0.0108		
2013	1.15(1.03-1.30)	0.0156		
2014	1.19(1.06–1.33)	0.0033		
2015	1.10(0.98-1.24)	0.0965		
2016	1.10(0.98-1.24)	0.1067		
2017	1.00(1.00-1.00)			
2018	1.13(1.01–1.27)	0.0385		
2019	1.00(1.00-1.00)			
2020 (ref.)	1	_		

Table 4 (continued)				
Cox regression model	Univariate		Multivariate	
Variable	HR (95% CI)	p-value	HR (95% CI)	p-value
Year of diagnosis (regrouped)				
2010–2011	1.20(1.10-1.30)	< 0.0001	1.25(1.08-1.45)	0.0029
2012–2013	1.09(1.00-1.18)	0.044	1.05(0.91-1.22)	0.4896
2014–2015	1.08(0.99–1.16)	0.0774	1.20(1.03-1.39)	0.0164
2016–2017	1.04(0.94–1.15)	0.5058	1.02(0.87-1.19)	0.8482
2018–2020 (ref.)	1	—	1	-
Histology				
Ductal (ref.)	1	-		
Lobular	1.03(0.92–1.14)	0.6592		
Other	1.20(1.13-1.27)	< 0.0001		
Grade				
1 (ref.)	1	_	1	
2	1.15(1.03-1.28)	0.0124	1.04(0.91-1.19)	0.547
3	1.40(1.26–1.56)	< 0.0001	1.16(1.00-1.35)	0.0551
Tumor size				
> 3 cm(ref.)	1	-		
2–3 cm	1.02(0.93-1.11)	0.73		
1–2 cm	1.02(0.93-1.12)	0.6889		
<1 cm	0.99(0.89–1.11)	0.8897		
Lympho-vascular invasion				
0 (ref.)	1	-		
1	1.01(0.92–1.11)	0.8672		
Breast cancer subtype				
HR( - )/HER2( - ) (ref.)	1	-	1	-
HR( - )/HER2( + )	0.52(0.47-0.58)	< 0.0001	0.58(0.51-0.66)	< 0.0001
HR(+)/HER2(-)	0.51(0.48-0.55)	< 0.0001	0.54(0.49-0.60)	< 0.0001
HR(+)/HER2(+)	0.41(0.37–0.45)	< 0.0001	0.43(0.38-0.49)	< 0.0001
Location of extracranial metastatic sites				
Only brain (ref.)	1	-	1	-
Bone	0.96(0.88–1.05)	0.3799	1.31(1.15–1.49)	< 0.0001
Bone + liver	1.22(1.09–1.37)	0.0005	1.96(1.67-2.31)	< 0.0001
Bone + liver + lung	1.44(1.30–1.60)	< 0.0001	2.06(1.78-2.38)	< 0.0001
Bone + lung	1.07(0.97–1.19)	0.1576	1.41(1.21–1.63)	< 0.0001
Liver	1.27(1.06–1.52)	0.0107	1.88(1.45-2.45)	< 0.0001
Liver+lung	1.73(1.48-2.02)	< 0.0001	1.97(1.59-2.44)	< 0.0001
Lung	1.34(1.20–1.50)	< 0.0001	1.31(1.12–1.53)	0.0009
Other	1.22(1.11-1.35)	< 0.0001	1.85(1.58-2.18)	< 0.0001
Treatment combination				
Treatment for both (ref.)	1	-	1	-
Treatment for breast cancer only	0.99(0.93-1.06)	0.7528	0.93(0.85-1.01)	0.092
Treatment for brain metastasis only	2.42(2.21-2.65)	< 0.0001	2.30(2.00-2.63)	< 0.0001
No treatment for both	3.14(2.91-3.38)	< 0.0001	2.65(2.36-2.98)	< 0.0001

The model included fourteen explanatory variables (age, race, ethnicity, insurance status, median household income quartile 2012–2016, percent of no high school degree, Charlson Deyo Score, histology, grade, breast cancer subtype, metastasis location sites, treatment combinations, and year of diagnosis)

<sup>a</sup>Univariate logistic regressions ran first. Sex, tumor size, and lympho-vascular invasion all not significant so not included in multivariate model. Histology (p=0.1024) was eliminated by backward elimination. Model set at 0.1 cutoff

6.8 months in our cohort. Lastly, the OS decreased with

increasing number of EMS like what was observed in our

cohort. Similar trends were also observed in another study conducted on 248 patients with de novo BCBM between 2010 and 2018 from the SEER database [24]. In our analysis, OS decreased with increasing age, number of comorbidities, and number of EMS, which is in line with previously noted studies.

Overall, Surgery + WBRT yielded the best survival benefit amongst BM treatments, and these findings were also consolidated in the BC subgroup analysis. This is in line with the findings of the GPA study by Sperduto et al. which found that Surgery + WBRT treatment achieved the highest OS amongst all other combinations in BCBM patients at 25 months [2]. On the other hand, a recent systematic review on radiation therapy for BM identified five randomized trials conducted on post–surgical radiotherapy (SRS or WBRT) and found no differences in OS in the pooled results [25]. A growing number of clinical trials are ongoing to explore the best treatment modality for the local treatment of BCBM patients [6].

Overall, Chemotherapy + Hormonal Therapy + Immunotherapy yielded the best survival benefit amongst all BC treatments, findings also observed in the BC subgroup analysis. Of note, immunotherapy consistently improved survival across all the BC subtypes when added to systemic therapy. For example, in the HR(+)/HER2(-) subgroup, adding targeted therapy more than doubled survival when added to the hormonal therapy alone group (from 15.38 to 31.54 months) and to the Chemotherapy + Hormonal therapy group (from 26.91 to 55.13 months). There is a growing number of studies and clinical trials that are investigating promising targeted and biologic therapies to target BCBM and shown survival benefits [12] which could explain the improved survival outcomes in our analysis with the addition of anti-HER2 therapy and other targeted therapies. Some of the drugs being explored include the anti HER2 targeting antibodies including: Trastuzumab [26, 27], Trastuzumab Emtansine [28, 29], Trastuzumab Deruxtecan [30], and Pertuzumab [31]; tyrosine kinase inhibitors including: Lapatinib [32–35], Neratinib [36–38], Afatinib [39], Tucatinib [40], Taselisib [41], Alpelisib [42], Buparlisib [43]; and CDK 4/6 inhibitors including: Palbociclib [44], Ribociclib [45], and Abemaciclib [46]; among other classes of targeted therapies. Unfortunately, the biologic agents used in treatment of the BCBM patient cohort are not available in the NCDB, but the trend of improved survival speaks to the rapid development of new targeted therapies that are currently under study. One example is the approval of Pembrolizumab for neoadjuvant and adjuvant treatment of patients with high-risk early-stage triple-negative BC in 2021 [47]. The study at hand is limited to 2020 and hence outcomes may improve even more for triple negative breast cancer in the coming years with more targeted therapies approved.

In the combined treatment analysis, receiving treatment for BM alone did not seem to prolong survival. Furthermore, treating BC alone achieved similar survival to treating both BC and BM. This suggests that the major therapeutic contributor to OS in de novo BCBM patients is the treatment of the underlying primary tumor rather than the BM itself. This finding is further supported by the findings of the multivariable cox regression model which integrates all the variables to identify and validate the individual survival benefits. In the model, treatment of BM alone increased the risk of death 2.3 folds compared to receiving dual treatment, which suggests that it is the BC treatment that confers any survival benefit.

## Limitations

The study at hand has several limitations by virtue of it being conducted on a retrospective database which impedes control of certain variables. Furthermore, the NCDB does not provide information about relevant prognostic indicators identified in many studies such as number and size of BM, KPS, and the type of chemotherapy and targeted therapy received. Additionally, it was not possible to delineate the extent of BM surgery, and the radiation dose and number of treatment fractions to the BM in the analysis. Last, the NCDB provides information only about de novo BM and not recurrent BM. Recurrent BM constitutes a bigger percentage of BM and remains an important factor to consider when predicting prognosis. Despite these limitations, this remains, to the best of our knowledge, the biggest cohort of de novo BCBM patients to date and provides valuable information for clinical practice.

## Conclusion

We retrospectively analyzed the biggest cohort of de novo BCBM patients exploring clinical and therapeutic factors associated with survival. Our results maintain the short survival of BCBM patients while also providing subgroup specific values that can guide clinical decision making. The BM–specific treatment that yielded the best survival outcomes was surgery combined with WBRT, and targeted therapy improved survival when added to systemic therapy across all subgroups. Further analysis showed that treating BM alone may decrease survival compared to receiving treatment for both BM and BC indicating that the primary disease is the main predictor of survival, and the BM management may serve a palliative role. Prospective studies are needed to consolidate these findings and to further highlight the role of targeted personalized therapy in improving survival of patients with BCBM.

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## Declarations

**Competing interests** The authors have no relevant financial or non-financial interests to disclose.

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