# **EPIDEMIOLOGY**



# Adherence of long-term breast cancer survivors to follow-up care guidelines: a study based on real-world data from the SURBCAN cohort

Paula Santiá<sup>1,2</sup> · Anna Jansana<sup>1,3,4</sup> · Isabel del Cura<sup>4,5,6</sup> · Maria Padilla-Ruiz<sup>4,7</sup> · Laia Domingo<sup>1,4</sup> · Javier Louro<sup>1,4</sup> · Mercè Comas<sup>1,4</sup> · Teresa Sanz<sup>4,5</sup> · Talita Duarte-Salles<sup>8</sup> · Maximino Redondo<sup>7</sup> · Berta Ibañez<sup>4,9</sup> · Alexandra Prados-Torres<sup>4,10</sup> · Xavier Castells<sup>1,4,11,12</sup> · Maria Sala<sup>1,4,12</sup> · SURBCAN Group

Received: 24 January 2022 / Accepted: 2 March 2022 / Published online: 15 March 2022 © The Author(s) 2022

### **Abstract**

**Purpose** To identify adherence to follow-up recommendations in long-term breast cancer survivors (LTBCS) of the SURB-CAN cohort and to identify its determinants, using real-world data.

**Methods** We conducted a retrospective study using electronic health records from 2012 to 2016 of women diagnosed with incident breast cancer in Spain between 2000 and 2006 and surviving at least 5 years. Adherence to basic follow-up recommendations, adherence according to risk of recurrence, and overall adherence were calculated based on attendance at medical appointments and imaging surveillance, by year of survivorship. Logistic regression models were fitted to depict the association between adherence and its determinants.

Results A total of 2079 LTBCS were followed up for a median of 4.97 years. Of them, 23.6% had survived ≥ 10 years at baseline. We estimated that 79.5% of LTBCS were overall adherent to at least one visit and one imaging test. Adherence to recommendations decreased over time and no differences were found according to recurrence risk. Determinants of better overall adherence were diagnosis in middle age (50–69 years old), living in a more-deprived area, having fewer years of survival, receiving primary treatment, and being alive at the end of follow-up.

**Conclusion** We identified women apparently not complying with surveillance visits and tests. Special attention should be paid to the youngest and eldest women at diagnosis and to those with longer survival.

Keywords Guideline adherence · Long-term survivors · Cancer survivors · Breast neoplasms · Aftercare · Mammography

# Introduction

Breast cancer is the most frequent cancer in women worldwide. Improvements in diagnosis, treatment, access to health care systems, and screening programs have greatly enhanced the likelihood of surviving the disease [1]. Current data show that up to 85.5% of women with breast cancer will survive 5 years and up to 70%, 10 years after diagnosis [2, 3]. Therefore, the population of long-term breast cancer survivors (LTBCS), defined as women with survival for 5 years or more, is increasing [4]. Although the risks of recurrence and adverse effects are higher in the first few years after

diagnosis [5, 6], second breast cancer events can occur at any time and LTBCS continue to experience health problems and disruptions to social life decades after diagnosis. The main concerns include skin disorders, lymphedema, cardiotoxicity, cognitive impairment, bone and musculoskeletal health, pain and neuropathy, premature menopause and infertility, distress, depression, and anxiety, fatigue, sexual health, return to work, and daily activities [7, 8].

The guidelines of the American Society of Clinical Oncology, the European Society of Medical Oncology, and the Spanish Society of Medical Oncology (SEOM) recommend that follow-up include active surveillance of recurrence and new primary tumors, treatment of complications and late adverse effects, management of comorbidities, general preventive care for other conditions as in the rest of the population, and health promotion. All guidelines recommend an annual consultation

Maria Sala msalaserra@parcdesalutmar.cat

Extended author information available on the last page of the article



and mammography for active surveillance [7–11]. Since follow-up by primary care physicians has proven to be safe, efficient, satisfactory, and cost effective [12–14], in Spain, aftercare is shared between primary and hospital care. The SEOM suggests that the frequency and site of appointments among LTBCS should depend on their risk of recurrence. For women considered at low and intermediate risk, one primary care consultation a year is recommended. For those at high recurrence risk, recommendations include consultations every 6 months, shared between primary and hospital care, from the fifth through the 10th year of survival, and once a year in primary care thereafter [11].

There is no established threshold to define adequate adherence and it is usually defined following investigators' criteria. Adherence to surveillance imaging tests and visits has been assessed separately. Evidence so far indicates that LTBCS visit a physician at least once a year, although not necessarily in primary care; furthermore, they are not screened as recommended, whether because they underuse or overuse recommended tests [15]. Describing the adherence to follow-up recommendations and its determinants is the first step to identify LTBCS with potentially unmet or inadequately addressed needs [8, 16].

In this study, we aimed to (1) estimate adherence to follow-up recommendations in LTBCS in the Spanish SURB-CAN cohort, in general and according to recurrence risk and (2) to identify the factors associated with adherence using real-world data.

# **Methods**

# Setting

The Spanish National Health System (NHS) provides universal coverage and is mainly financed by tax revenue. The system is decentralized and consists of three organizational levels: (1) Central, The Ministry of Health; (2) autonomous communities (AC); and (3) administrative health areas, smallest territorial areas within each AC responsible for the management of the health services offered. Residents are insured under two categories according to their affiliation to the Social Security System: active, for workers contributing to Social Security, and pensioners, for those receiving benefits due to retirement, permanent disability, widow/orphan-hood, or old age. Also, around 16% of the population buys voluntary private health insurance and becomes double covered [17]. Private insurance is unrelated to the public system and care derived from it is not included in public health services databases.



This observational retrospective study was conducted among the Breast Cancer Survival (SURBCAN) Cohort (ClinicalTrial.gov reference number NCT03846999). Briefly, this cohort includes information on 19,416 women. Of these, 6512 are LTBCS diagnosed with incident cancer between January 1, 2000 and December 31, 2006 in the NHS from five Spanish regions (Andalusia, Aragon, Catalonia, Madrid, and Navarre), aged 18 or older at diagnosis, and who were alive at the beginning of the follow-up period (from January 1, 2012 to December 31, 2016). The remaining 12,904 are women without breast cancer matched two-to-one by age and administrative health area to LTBCS. To be included, women in each group were required to have at least one contact with primary care during follow-up. Information was retrieved during 2018. More information on the SURBCAN cohort is detailed elsewhere [18].

Study participants included LTBCS from three regions of the SURBCAN cohort (Andalusia, Catalonia, and Madrid) that had available information on tumor characteristics and treatment. All participants had survived for between 5 and 12 years at the beginning of follow-up and could be followed up for a maximum of 5 years. Endpoints of the follow-up period were completion of 5 years, death, or being lost to follow-up. We excluded women with less than 6 months of follow-up for any year of survival (n = 22).

The study protocol was reviewed and approved by the Ethics Committees of each participating institution. Informed consent was not required.

### Independent variables

Variables were drawn from patients' routine contacts with primary care and specialized care through electronic health records and from hospital tumor registries.

Socio-demographic variables included country of origin (Spain or other), health coverage (active or pensioner), Medea index (quintiles), and vital status at the end of follow-up (alive or exitus). The Medea index is a deprivation index constructed to measure socioeconomic inequalities [19]. The index is categorized in quintiles and refers to area of residence, with the first quintile being the least-deprived area and the fifth being the most deprived. This information was available at the level of census section for sub-cohorts from Catalonia and Madrid and was missing for Andalusia.

Clinical variables included age at diagnosis (then conflated into  $< 40, 40-49, 50-59, 60-69, \ge 70$  years old),



years of survival at baseline [calculated from date of diagnosis and then grouped in two categories (5–9 years and ≥ 10 years)], tumor behavior at diagnosis (in situ or invasive), treatment received [surgery (yes/no), chemotherapy (yes/no), radiotherapy (yes/no), hormone therapy (yes/no)], and Charlson Comorbidity Index at baseline  $(0, 1, 2, and \ge 3)$ . Charlson Comorbidity Index was calculated using the original diagnoses captured by International Classification of Diseases (ICD 9th and 10th edition) or the International Classification of Primary Care (CIAP2), although, excluding breast cancer diagnosis from the algorithm. Risk of recurrence is established by the SEOM according to tumor behavior, tumor receptors, size, invasion, and response to treatment, as well as the genetic platform profile. In the absence of this information for most participants, risk of recurrence was established according to the treatment received: (a) women at low risk, defined as those treated with surgery and radiotherapy only and (b) women at high risk, defined as those treated with chemotherapy either alone or in combination with surgery and/or radiotherapy.

Health services use variables included annual contact rate per women, during the follow-up period. Rates were calculated for primary care (including consultations to any primary care professional and any exam), specialized care (including consultations to any specialized professional and any exam), cancer-related visits (including consultations to primary care physician, gynecologist, medical oncologist, and radio-oncologist), complementary exams (including laboratory, imaging, and other diagnostic tests), imaging tests [including ultrasound, radiology (plain or contrast), magnetic resonance imaging, computed tomography, other imaging test], and mammograms. Type of imaging test was not available for Andalusia; therefore, annual mammogram rate was not calculated for women from the region.

### **Outcome variables**

The main study variable was adherence to follow-up recommendations. Three types of variables for adherence were created: annual adherence to basic recommendations, annual adherence by risk of recurrence, and overall adherence. Each type was defined twice according to the available information: (1) considering any imaging test (available for the whole cohort, n = 2079) and (2) considering mammograms only, when information on type of imaging test was available (n = 1758).

Annual adherence to basic recommendations was assessed as follows: women with no visits to a cancer-related physician or with no images per year of survival were considered to have received less than recommended care. If a woman had at least one visit and at least one imaging test or one mammogram, she was considered adherent. If two or more images were

mammograms, the woman was considered to have received more than recommended care.

Following SEOM recommendations [11], women at low recurrence risk were considered adherent following the above criteria only if the consultation was performed in primary care. Women at high recurrence risk were considered adherent in year 6th through 10th if they had at least one consultation every 6 months, either in primary or hospital care, and one or more imaging test or one mammogram per year. In year 11th through 17th, women were considered adherent if they had at least one consultation in primary care and one or more imaging tests or one mammogram per year.

Women who adhered to the basic recommendations for more than half their follow-up period were considered overall adherent. When analyzing type of image, we considered women with more than recommended mammograms as adherent.

# **Statistical analysis**

We performed a descriptive univariate analysis of the cohort using frequencies and percentages for categorical variables, mean, and standard deviation for continuous normally distributed variables and median and inter-quartile range for continuous not normally distributed variables. Health services use is described as annual contact rates per woman. The total number of contacts to each service was used as the numerator and the total number of women-year throughout the study period as the denominator. Percentage of women adherent to basic recommendations and adherent to recommendations according to risk of recurrence is described by survival year (6th through 17th) and trend in adherence was assessed using the  $\chi^2$  test for trend. Also, percentage of adherent women on comparison of groups at low and high recurrence risk was assessed yearly using  $\chi^2$  test. A descriptive analysis of overall adherence through total follow-up was performed, followed by bivariate analysis between overall adherence and women's socio-demographic and clinical characteristics, using the chisquare test to assess differences. Logistic regression models were fitted to assess the association between overall adherence and health coverage, Medea index, Comorbidity Index, age at diagnosis, baseline survival, treatment received, and vital status by end of follow-up. Results are presented as adjusted odds ratios (aOR) with its 95% confidence intervals (CI). Statistical tests were two-sided and the significance level was set at p < 0.05. All analyses were performed using the statistical software IBM SPSS Statistics 25.



Table 1 Participants and health services use

	N	%
Participants	2079	100
Country of origin <sup>a</sup>		
Spain	1597	94.78
Other	88	5.22
Health coverage <sup>b</sup>		
Active	550	27.38
Pensioner	1459	72.62
Medea index <sup>c</sup>		
1st quintile	193	11.92
2nd quintile	223	13.77
3rd quintile	308	19.02
4th quintile	521	32.18
5th quintile	374	23.10
Comorbidity index <sup>d</sup>		
0	1428	69.73
1	244	11.91
2	178	8.69
≥3	198	9.67
Age at diagnosis (years)		
Mean (SD)	57	(12.09
Baseline survival (years)		
5 to 9	1589	76.43
10 to 12	490	23.57
Stage at diagnosis <sup>e</sup>		
In situ	274	13.30
Invasive	1786	86.70
Treatment received		
Surgery <sup>f</sup>	1980	95.56
Radiotherapy <sup>g</sup>	1415	68.13
Surgery and radiotherapy only	641	30.92
Chemotherapy <sup>h</sup>	1050	50.53
Hormone therapy <sup>i</sup>	831	39.99
Health services use		
N (rate per woman-year)		
Contacts with primary care	141,704	(14.43
Contacts with specialized care	56,814	(5.79)
Cancer-related visits	103,765	(10.57
Primary care physician	82,560	(8.41)
Gynecologist	6044	(0.62)
Oncologist <sup>j</sup>	15,161	(1.54)
Complementary exams	38,488	(3.92)
Images	23,067	(2.35)
Mammograms <sup>k</sup>	6090	(0.73)
Final vital status <sup>1</sup>	0070	(0.73)
Alive	1796	86.93
Exitus	270	13.1
Years of follow-up	210	13.1
Median (IQR)	4.97	(0.13)
Total	9819.26	(0.13)
10(8)	9019.20	

SD standard deviation, IQR inter-quartile range

Missing values: a394, b70, c460, d31, e19, f7, g2, h1, i1, l13

<sup>&</sup>lt;sup>d</sup>Adapted from Charlson Comorbidity Index, without breast cancer diagnosis



Table 1 (continued)

### Results

# **Population characteristics**

Study participants are described in Table 1. A total of 2079 LTBCS were included. Mean age at diagnosis was 57 years (SD 12.1) and 23.6% of them had survived 10 or more years at the beginning of the study. In all, 55.3% resided in the most-deprived areas and 69.7% of participants had no comorbidity additional to breast cancer. Most (86.7%) were diagnosed with invasive breast cancer. The annual contact rate per woman was 14.4 with primary care and 5.8 with hospital care services. The annual rate of cancer-related visits was 10.6 per woman, most of them being with primary care physicians. Most (86.9%) of the participants survived to the end of the study. Participants were followed up for a median of 4.97 years.

# **Annual adherence to basic recommendations**

When we included all imaging studies, 49.6% (n = 1032) of LTBCS were adherent and 3.32% (n = 69) consistently

Table 2 Annual guideline adherence to basic recommendations

Year of survival	Imaging test and consultation adherence		Mammogram and consultation adherence			
	Total	Adherent	Total	Adherent	More than recommended	
	N	N (%)	N	N (%)	N (%)	
6th	194	157 (80.93)	167	94 (56.29)	5 (2.99)	
7th	560	457 (81.61)	475	294 (61.89)	36 (7.58)	
8th	854	707 (82.79)	721	441 (61.17)	55 (7.63)	
9th	1132	920 (81.27)	958	578 (60.33)	67 (6.99)	
10th	1399	1127 (80.56)	1190	692 (58.15)	82 (6.89)	
11th	1410	1084 (76.88)	1195	670 (56.07)	69 (5.77)	
12th	1313	998 (76.01)	1114	576 (51.71)	63 (5.66)	
13th	1135	856 (75.42)	971	486 (50.05)	56 (5.77)	
14th	837	601 (71.80)	713	323 (45.30)	43 (6.03)	
15th	556	404 (72.66)	472	210 (44.49)	22 (4.66)	
16th	326	229 (70.25)	286	126 (44.06)	9 (3.15)	
17th	91	62 (68.13)	80	22 (27.50)	< 5	
Total follow- up	2079	1032 (49.64)	1758	315 (17.92)	-	

<sup>&</sup>lt;sup>j</sup>Includes medical and radio-oncologists

<sup>&</sup>lt;sup>k</sup>Woman-years of follow-up for mammography rate: 8348.20

received less than recommended care each year of follow-up. The remaining 64.4% switched between adherence and nonadherence during the study period (Table 2). When we analyzed mammograms only, 17.9% (n=315) of women were adherent to basic recommendations each year. When we included women with more than one mammogram in some years of their follow-up, the percentage of adherent women increased to 26.6% (n = 468). A total of 19.2% (n = 337) received less than recommended care in each year of followup and the rest showed irregular adherence over the study period. Assessed annually, the percentage of women adherent to basic recommendations decreased over time. When we analyzed all imaging tests, adherence ranged from 82.8% in the 8th year to 68.1% in the 17th year ( $\chi^2$  test for trend, p < 0.001); when considering mammography, adherence ranged from 61.9% in the 7th year to 27.5% in the 17th year  $(\chi^2 \text{ test for trend}, p < 0.001)$ . The percentage of women with more than one mammogram per year never exceeded 10%.

# Annual adherence according to recurrence risk

Figure 1 shows annual adherence by risk of recurrence. In both study groups, the percentage of adherent women decreased over time ( $\chi^2$  test for trend, p < 0.001). No differences were found in the percentage of adherent women on comparison of groups at low and high recurrence risk in any year ( $\chi^2$  test, p > 0.05).

### **Overall adherence**

We estimated that 79.5% of LTBCS were adherent for more than half of the follow-up period to at least one visit and one imaging test. This percentage decreased to 63.3% if only mammograms were included as imaging tests (Table 3). On bivariate analysis, lower adherence was found in women aged 70 years or older, pensioners, those with a higher comorbidity burden, those surviving 10 or more years from

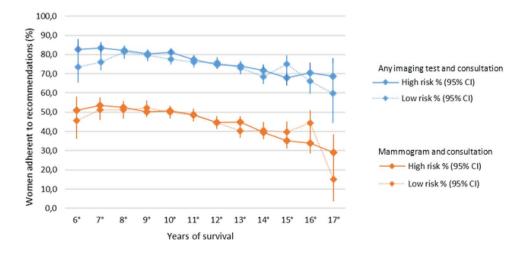
diagnosis, and those who had not survived to the end of study period (exitus).

When all imaging tests were analyzed, adherence was highest among the youngest women (85.7%), while if mammograms only were analyzed, adherence was highest among women aged 50–59 years (71.3%). Analysis by treatment showed that women receiving surgery, radiotherapy and chemotherapy were more adherent than those who did not received these treatments. However, women receiving hormone therapy were less adherent than those not receiving it, when we considered all imaging tests and were more adherent when we considered mammograms alone. Regarding the Medea index, women living in less-deprived areas showed the lowest adherence (59.1% and 57.4%, respectively).

The results of the multivariate logistic regression models are shown in Table 4. When all imaging tests were considered, women aged  $\geq 70$  years and those who died during follow-up had the lowest odds of being adherent (compared with women aged < 40 years, aOR 0.39, 95% CI 0.21–0.73; compared with surviving women, aOR 0.55, 95% CI 0.40–0.75, respectively). Those receiving radiotherapy and chemotherapy showed higher odds of being adherent (compared with those not receiving these treatments, aOR 1.39, 95% CI 1.09–1.78 and 1.33, 95% CI 1.05–1.70, respectively). Receiving hormone therapy lowered the odds of being adherent by 31% (aOR 0.69, 95% CI 0.54–0.87).

When mammograms were considered, women aged 50 to 69 at diagnosis and those living in deprived areas were more adherent (compared with women aged < 40 years, aOR 2.45, 95% CI 1.45–4.15 for women aged 50 to 59 years, and 2.07, 95% CI 1.19–3.61 for those aged 60 to 69 years; compared with 1st quintile areas, aOR 1.91, 95% CI 1.30–2.81, for those living in 4th quintile areas, and aOR 1.66, 95% CI 1.11–2.49 for those living in 5th quintile areas). In contrast, those who died during follow-up had the lowest odds of being adherent (aOR 0.18, 95% CI 0.13–0.27). Women who had survived 10 or more years from diagnosis at the

Fig. 1 Annual guideline adherence according to risk of recurrence





**Table 3** Participant characteristics according to overall adherence

	Imaging tests and consultation adherence			Mammography and consultation adherence		
	Non-adherent	Adherent	p-value	Non-adherent	Adherent	<i>p</i> -value
	N	N (%)		N	N (%)	
Total	426	1653 (79.5)		646	1112 (63.3)	
Country of origin <sup>b</sup>						
Spain	307	1290 (80.8)	0.112	496	825 (62.5)	0.964
Other	23	65 (73.9)		16	27 (62.8)	
Health coverage <sup>c</sup>						
Active	89	461 (83.8)	0.008	148	338 (69.5)	0.001
Pensioner	314	1145 (78.5)		494	762 (60.7)	
Medea index <sup>d</sup>						
1st quintile	NA			79	114 (59.1)	0.001
2nd quintile	NA			95	128 (57.4)	
3rd quintile	NA			121	187 (60.7)	
4th quintile	NA			154	367 (70.4)	
5th quintile	NA			119	255 (68.2)	
Comorbidity index <sup>e</sup>					, ,	
0	272	1156 (81.0)	0.056	399	791 (66.5)	< 0.001
≥1	141	479 (77.3)		235	308 (56.7)	
Age at diagnosis (years)		. ,			. ,	
<40	19	114 (85.7)	< 0.001	47	65 (58.0)	< 0.001
40–49	82	389 (82.6)		129	266 (67.3)	
50-59	98	487 (83.2)		137	340 (71.3)	
60–69	95	431 (81.9)		153	300 (66.2)	
≥70	132	232 (63.7)		180	141 (43.9)	
Baseline survival (years)		` ′			. ,	
5–9	311	1278 (80.4)	0.062	470	876 (65.1)	0.004
≥10	115	375 (76.5)		176	236 (57.3)	
Stage at diagnosisf		, ,			, ,	
In situ	55	219 (79.9)	0.906	90	155 (63.3)	0.957
Invasive	364	1422 (79.6)		548	951 (63.4)	
Treatment		, ,			, ,	
Surgery <sup>g</sup>						
No	25	67 (72.8)	0.095	51	34 (40.0)	< 0.001
Yes	396	1584 (80.0)		595	1078 (64.4)	
Radiotherapy <sup>h</sup>		, ,			, ,	
No	164	498 (75.2)	0.001	273	289 (51.4)	< 0.001
Yes	261	1154 (81.6)		373	823 (66.8)	
Chemotherapyi		. ,			. ,	
No	244	784 (76.3)	< 0.001	344	514 (59.9)	0.004
Yes	181	869 (82.8)		302	598 (66.4)	
Hormone therapy <sup>j</sup>		. ,			. ,	
No	235	1012 (81.2)	0.026	443	705 (61.4)	0.028
Yes	190	641 (77.1)	-	203	407 (66.7)	-
Final vital status <sup>k</sup>		(,			()	
Alive	327	1469 (81.8)	< 0.001	477	1043 (68.6)	< 0.001
Exitus	95	175 (64.8)		162	63 (28.0)	

Medea index was not available for women from Andalusia. In bold, statistically significant p-values for  $\alpha$ =0.05, calculated using  $\chi^2$  test. % Row percentages

NA not applicable

Missing values:  ${}^{b}$ Imaging test (I) = 394, Mammogram (M) = 394,  ${}^{c}$ I = 70, M = 16,  ${}^{d}$ M = 139,  ${}^{e}$ I = 31, M = 25,  ${}^{f}$ I = 19, M = 14,  ${}^{g}$ I = 7,  ${}^{h}$ I = 2,  ${}^{i}$ I = 1,  ${}^{i}$ I = 13, M = 13



<sup>&</sup>lt;sup>a</sup>321 women from Andalusia excluded for lack of information

**Table 4** Determinants of overall adherence in logistic regression analysis

	Imaging tests and consultation adherence			Mammography and consultation adherence <sup>a</sup>		
	Adjusted OR <sup>b</sup>	(95% CI)	<i>p</i> -value	Adjusted OR <sup>c</sup>	(95% CI)	<i>p</i> -value
Health coverage						
Active	1			1		
Pensioner	0.98	(0.7-1.38)	0.929	0.78	(0.55-1.10)	0.159
Medea index						
1st quintile	NA			1		
2nd quintile	NA			0.83	(0.54-1.27)	0.388
3rd quintile	NA			1.10	(0.73-1.65)	0.648
4th quintile	NA			1.91	(1.30-2.81)	0.001
5th quintile	NA			1.66	(1.11-2.49)	0.013
Comorbidity index						
0	1			1		
≥1	1.10	(0.85-1.43)	0.475	0.85	(0.65-1.10)	0.207
Age at diagnosis (years)						
< 40	1			1		
40-49	0.87	(0.49-1.56)	0.649	1.61	(0.99-2.61)	0.055
50-59	0.95	(0.53-1.72)	0.868	2.45	(1.45-4.15)	0.001
60-69	0.85	(0.46-1.56)	0.592	2.07	(1.19-3.61)	0.010
≥70	0.39	(0.21-0.73)	0.003	1.15	(0.64-2.08)	0.644
Baseline survival (years)						
5–9	1			1		
≥10	0.81	(0,62-1.05)	0.113	0.73	(0.56-0.94)	0.017
Treatment						
Surgery						
No	1			1		
Yes	1.35	(0.8-2.26)	0.262	2.02	(1.20-3.39)	0.008
Radiotherapy						
No	1			1		
Yes	1.39	(1.09-1.78)	0.009	2.09	(1.64-2.66)	< 0.001
Chemotherapy						
No	1			1		
Yes	1.33	(1.05-1.70)	0.019	1.29	(1.02-1.63)	0.036
Hormone therapy						
No	1			1		
Yes	0.69	(0.54-0.87)	0.002	1.31	(1.02-1.69)	0.035
Final vital status						
Alive	1			1		
Exitus	0.55	(0.40-0.75)	< 0.001	0.18	(0.13-0.27)	< 0.001

Medea index was not available for women from Andalusia. In bold, statistically significant p-values for  $\alpha = 0.05$ 

NA not applicable, OR odds ratio

beginning of follow-up showed 27% lower odds of being adherent than those surviving 5–9 years (aOR 0.73, 95% CI 0.56–0.94). Women receiving any kind of treatment had higher odds of being adherent than those who did not.

# **Discussion**

Only a few studies have focused on adherence among LTBCSs, including  $\geq 10$  years survivors [20-23] or in a



<sup>&</sup>lt;sup>a</sup>321 women from Andalusia excluded for lack of information

<sup>&</sup>lt;sup>b</sup>Logistic regression N 1963, model predictive probability 80.4%, OR adjusted for all the variables shown

<sup>&</sup>lt;sup>c</sup>Logistic regression N 1572, model predictive probability 71.6%, OR adjusted for all the variables shown

European context [13, 22–25]. This study shows that a considerable percentage of women (20.5%) in the SURBCAN cohort did not attend an annual consultation or imaging test for half or more of their follow-up. Non-adherence was even higher when imaging was limited to mammograms.

This study is the first to analyze adherence with real-world data among Spanish LTBCS, and the results are in line with those of studies performed in other contexts [21–23, 26–31].

Irrespective of imaging type and recurrence risk, adherence decreased over time. This pattern has been reported by other studies [22, 27, 32, 33]. This may be explained by a decreasing concern about recurrence over the years or tailored schedules in which the estimated risk of recurrence influences the choice to follow guideline recommendations [34]. However, we found no differences in adherence according to risk of recurrence. This finding may be due to a simplified definition of risk or to low statistical power.

Age at diagnosis seems to play an important role in adherence. Compared with women under 40 years, those aged ≥ 70 years at diagnosis were less likely to be adherent when we analyzed all imaging tests, whereas women aged between 40 and 69 years were more likely to be adherent when we analyzed mammograms only. This result aligns with previous studies [21–23, 27]. A possible explanation may be that younger women undergo surveillance images other than mammograms due to denser breast tissue and that older women are less concerned about second primary tumors or recurrences. In elderly women, a possible explanation may be competing medical needs, which may not be included in comorbidity indexes, a reduction in perceived benefit from surveillance, or unclear guideline recommendations for older survivors [31, 32, 35, 36].

Prior studies have reported that women with a high comorbidity burden were less likely to receive surveillance mammograms [15, 20, 21, 23, 28], a result which we were unable to confirm. This could be because we used a static variable assessed at the beginning of the follow-up period or because we excluded breast cancer diagnosis in the Charlson Comorbidity Index. However, women not surviving to the end of the study were indeed less adherent. This may be explained by the "sick stopper effect" whereby patients who become increasingly ill forego preventive care [37, 38]. Conversely, our results could also be explained by the fact that non-adherence to guidelines may decrease survival rates [39]. However, without information on causes of death, recurrences, or metastasis we were unable to prove these hypotheses.

Similar to our findings, other studies have reported that treatment with radiation and chemotherapy was associated with higher rates of adherence [21, 23–25, 32–34]. Ruddy et al. [31] found that woman treated with chemotherapy were less likely to undergo mammography, although this

association was weaker in the fifth year of follow-up than in the first and was not assessed beyond 5 years. The association between hormone therapy and adherence is less clear [18, 26]. Our results suggest that receiving hormone therapy decreases adherence when all kinds of imaging tests were considered, but increases adherence when considering mammograms only. A possible explanation may be that LTBCS with worse prognosis do not receive hormone therapy as part of treatment. These women may follow different recommendations and receive more visits and undergo imaging tests other than mammography.

Unlike other authors [22], we found that women living in deprived areas were more likely to be adherent. Our results may be biased by consultations and imaging tests performed at private clinics, which could not be ascertained. Women living in lower-quintile areas are more likely to have double-health coverage and may be adequately followed up in the private sector and not captured in our public health services databases [17, 40].

# Strengths and limitations

In our study, we had access to complete longitudinal data on health services use for up to 5 years, for a large population of insured LTBCS in Spain. Other strengths include a mixed age population and a novel focus on long-term survival using real-world data. Although we consider regional diversity a strength of this study and all three AC work within the framework of the Spanish National Health Service, each region has a different health service organization and management model. Therefore, the availability of data differs in each sub-cohort. Electronic health records from primary care and hospital databases provide detailed information on demographics, breast cancer characteristics, treatment, consultations, and comorbidities, reducing memory bias and allowing chronic processes to be analyzed from a multicausal approach. This study provides valuable information to improve current practices and survivors' adherence to follow-up recommendations.

This study also has some limitations. Adherence could be overestimated. Because we could not ascertain the reasons for consultations and test prescriptions or symptoms at the time of the test, we could have included visits unrelated to breast cancer follow-up and diagnostic exams. While relevant, no data were available on the type and site of surgery, recurrence, metastasis, or menopausal status. In addition, it is difficult to ascertain time intervals, because some women may receive two mammograms in a year (at the beginning and at the end) and therefore none the following year. We intended to minimize misclassification with overall adherence analysis. Most of our population was Spanish (94.4%) with no other comorbidities (69.7%) at baseline. Estimates may be lower in populations with less access to medical



services and those with more comorbid conditions [32, 37]. Data were drawn from the electronic health records from the Spanish National Health Service and do not include private sector contacts. However, use of private sector for oncology processes is low in Spain [41]. The association between socioeconomic status and adherence was addressed using an ecological measure of deprivation, rather than an individual one, which may lead to bias. Moreover, the deprivation index used in this study was based on national 2001 census data.

Other aspects that might influence adherence and were not considered in this study include participants' educational level, preferences, fear and health literacy, and provider recommendations. These may act as unobserved confounders.

# Conclusion

A considerable percentage of women in contact with health services are not been properly followed up. These findings reinforce the need for active engagement of all breast cancer survivors in long-term aftercare, especially the youngest and eldest at diagnosis and those with the longest survival. Further research is needed to better understand patient and provider attitudes to survivorship care as well as guideline adequacy.

Acknowledgements This study was performed as the final work of the Master in Public Health of the University Pompeu Fabra and Autonomous University of Barcelona. The authors acknowledge the dedication and support of the SURBCAN Study Group (alphabetical order): (1) Aragon Health Sciences Institute, Aragón: Antonio Gimeno, Manuela Lanzuela, Beatriz Poblador, and Alexandra Prados. (2) Costa del Sol Hospital, University of Málaga: María del Carmen Martínez, Cristóbal Molina, María del Carmen Padilla, and Maximino Redondo. (3) EpiChron Research Group on Chronic Diseases, Fundació Institut Universitari per a la recerca a l'Atenció Primària de Salut Jordi Gol i Gurina (IDIAPJGol), Barcelona: Talita Duarte-Salles. (4) Hospital del Mar Medical Research Institute (IMIM), Barcelona: Mercè Abizanda, Xavier Castells, Mercè Comas, Laia Domingo, Anna Jansana, Javier Louro, and María Sala. (5) Primary Care Research Unit, Primary Care Management, 12 de Octubre University Hospital, Madrid: Angel Alberquilla, Isabel del Cura, Antonio Díaz, Teresa Sanz, Guillermo Pérez, Ana María Muñoz, Francisco Javier Salamanca, and Óscar Toldos. (6) Research Group on Health Services and Chronicity of the Miguel Servet Foundation, Navarra: Javier Baquedano, Rossana Burgui, Javier Gorricho, Berta Ibáñez, Conchi Moreno, and Ibai Tamayo. The authors also acknowledge the work of Gail Craige, who edited the manuscript for English language usage, grammar, spelling, and punctuation.

Author contributions MS, AJ, LD, BI, AP-T, and MR made substantial contributions to the design and conception of the study. TS and IC took responsibility for the data acquisition of the Madrid sub-cohort; MP-R for the Costa del Sol sub-cohort; and MS, AJ, JL, TD-S, MC, and LD for the Hospital del Mar sub-cohort. PS took responsibility for data analysis, interpretation, and drafting the article. MS, AJ, LD, MC, XC, BI, MR, and IC provided advice for the study design and critical interpretation of the study. All of the authors have read and approved the manuscript.

Funding The SURBCAN study received funding from the Carlos III Institute, through Projects PI16/0024 and PI19/00056, from the European Fund for Regional Development/European Social Fund (FEDER for its acronym in Spanish), and from the Research Network on Health Services in Chronic Diseases (REDISSEC for its acronym in Spanish) through Project RD16/001/013.

**Data availability** The datasets generated and/or analyzed during the current study are available from the corresponding author on reasonable request. The original cohort is available at BMJ Open, https://doi.org/10.1136/bmjopen-2020-040253.

### **Declarations**

**Conflict of interest** The authors have no relevant financial or non-financial interests to disclose. The funding institution took no part in the design, collection, analysis or interpretation of data, drafting, or decision to submit this document for publication.

**Ethical approval** Ethical approval was waived by the Local Ethics Committee of all three institutions in view of the retrospective nature of the study and all the procedures performed were part of routine care.

**Informed consent** For this type of study, formal consent is not required.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <a href="http://creativecommons.org/licenses/by/4.0/">http://creativecommons.org/licenses/by/4.0/</a>.

# References

- Carioli G, Malvezzi M, Rodriguez T et al (2017) Trends and predictions to 2020 in breast cancer mortality in Europe. Breast 36:89–95. https://doi.org/10.1016/j.breast.2017.06.003
- Allemani C, Minicozzi P, Berrino F et al (2013) Predictions of survival up to 10 years after diagnosis for European women with breast cancer in 2000–2002. Int J Cancer 132:2404–2412. https://doi.org/10.1002/ijc.27895
- Sociedad Española de Oncología Médica (SEOM) Cifras del cancer 2020. https://seom.org/seomcms/images/stories/recur sos/Cifras\_del\_cancer\_2020.pdf. Accessed 20 Jan 2021
- Deimling GT, Bowman KF, Wagner LJ (2007) Cancer survivorship and identity among long-term survivors. Cancer Investig 25:758–765. https://doi.org/10.1080/07357900600896323
- Demicheli R, Biganzoli E, Boracchi P et al (2008) Recurrence dynamics does not depend on the recurrence site. Breast Cancer Res 10:83. https://doi.org/10.1186/bcr2152
- Retsky M, Demicheli R, Hrushesky WJM (2005) Does surgery induce angiogenesis in breast cancer? Indirect evidence from relapse pattern and mammography paradox. Int J Surg 3:179– 187. https://doi.org/10.1016/j.ijsu.2005.08.002



- Cardoso F, Kyriakides S, Ohno S et al (2019) Early breast cancer: ESMO Clinical Practice Guidelines for diagnosis, treatment and follow-up. Ann Oncol 30:1194–1220. https://doi.org/10.1093/annonc/mdz173
- Runowicz CD, Leach CR, Henry NL et al (2016) American Cancer Society/American Society of Clinical Oncology breast cancer survivorship care guideline. J Clin Oncol 34:611–635. https://doi.org/10.1200/JCO.2015.64.3809
- Oeffinger KC, McCabe MS (2006) Models for delivering survivorship care. J Clin Oncol 24:5117–5124
- Sisler J, Fcfp C, Chaput G et al (2016) Follow-up after treatment for breast cancer practical guide to survivorship care for family physicians. Can Fam Physician 10:805–811
- Barnadas A, Lgara M, Córdoba O et al (2017) Recomendaciones para el seguimiento de las mujeres supervivientes de Cáncer de Mama. Soc Española Oncol medica
- Grunfeld E, Julian JA, Pond G et al (2011) Evaluating survivorship care plans: results of a randomized, clinical trial of patients with breast cancer. J Clin Oncol 29:4755–4762. https://doi.org/ 10.1200/JCO.2011.36.8373
- Baena-Cañada JM, Ramírez-Daffós P, Cortés-Carmona C et al (2013) Follow-up of long-term survivors of breast cancer in primary care versus specialist attention. Fam Pract 30:525–532. https://doi.org/10.1093/fampra/cmt030
- Grunfeld E, Levine MN, Julian JA et al (2006) Randomized trial of long-term follow-up for early-stage breast cancer: a comparison of family physician versus specialist care. J Clin Oncol 24:848– 855. https://doi.org/10.1200/JCO.2005.03.2235
- Jansana A, Posso M, Guerrero I et al (2019) Health care services use among long-term breast cancer survivors: a systematic review. J Cancer Surviv 13(3):477–493. https://doi.org/10.1007/s11764-019-00755-z
- Alba E, Cruz J, Barón F, Rodríguez X, Blasco A, Escobar Y et al (2017) Monográfico SEOM de largos supervivientes en cáncer. Sociedad Española de Oncología Médica
- Ministerio de Sanidad (2017) Consumo y Bienestar Social Portal Estadístico del SNS - Encuesta Nacional de Salud de España. https://www.mscbs.gob.es/estadEstudios/estadisticas/encuestaNacional/encuesta2017.htm. Accessed 12 Jan 2021
- Jansana A, Del Cura I, Prados-Torres A et al (2020) Use of realworld data to study health services utilisation and comorbidities in long-term breast cancer survivors (the SURBCAN study): study protocol for a longitudinal population-based cohort study. BMJ Open 10:9. https://doi.org/10.1136/bmjopen-2020-040253
- Domínguez-Berjón MF, Borrell C, Cano-Serral G et al (2008) Constructing a deprivation index based on census data in large Spanish cities (the MEDEA project). Gac Sanit 22(3):179–187. https://doi.org/10.1157/13123961
- Andersen MR, Urban N (1998) The use of mammography by survivors of breast cancer. Am J Public Health 88:1713–1715. https://doi.org/10.2105/ajph.88.11.1713
- Wirtz HS, Boudreau DM, Gralow JR et al (2014) Factors associated with long-term adherence to annual surveillance mammography among breast cancer survivors. Breast Cancer Res Treat 143:541–550. https://doi.org/10.1007/s10549-013-2816-3
- Giuliani O, Mancini S, Puliti D et al (2016) Patterns and determinants of receipt of follow-up mammography and/or clinical examination in a cohort of Italian breast cancer survivors.
   Breast Cancer Res Treat 158:543–551. https://doi.org/10.1007/s10549-016-3895-8
- Lu W, Jansen L, Schaapveld M et al (2011) Underuse of longterm routine hospital follow-up care in patients with a history of breast cancer? BMC Cancer 11:279. https://doi.org/10.1186/ 1471-2407-11-279

- Geller BM, Kerlikowske K, Carney PA et al (2003) Mammography surveillance following breast cancer. Breast Cancer Res Treat 81(2):107–115
- Grandjean I, Kwast ABG, de Vries H et al (2012) Evaluation of the adherence to follow-up care guidelines for women with breast cancer. Eur J Oncol Nurs 16:281–285. https://doi.org/10.1016/j. ejon.2011.07.004
- Onega T, Weiss J, DiFlorio R et al (2012) Evaluating surveillance breast imaging and biopsy in older breast cancer survivors. Int J Breast Cancer. https://doi.org/10.1155/2012/347646
- Ruddy KJ, Herrin J, Sangaralingham L et al (2020) Follow-up care for breast cancer survivors. J Natl Cancer Inst 112:111–113. https://doi.org/10.1093/jnci/djz203
- Snyder CF, Frick KD, Peairs KS et al (2009) Comparing care for breast cancer survivors to non-cancer controls: a five-year longitudinal study. J Gen Intern Med 24:469–474. https://doi.org/10. 1007/s11606-009-0903-2
- Chen YY, Hsieh CI, Chung KP (2019) Continuity of care, followup care, and outcomes among breast cancer survivors. Int J Environ Res Public Health 16(17):3050. https://doi.org/10.3390/ijerp h16173050
- Khan NF, Carpenter L, Watson E, Rose PW (2010) Cancer screening and preventative care among long-term cancer survivors in the United Kingdom. Br J Cancer 102:1085–1090. https://doi.org/10.1038/sj.bjc.6605609
- Ruddy KJ, Sangaralingham L, Freedman RA et al (2018) Adherence to guidelines for breast surveillance in breast cancer survivors. J Natl Compr Cancer Netw 16:526–534. https://doi.org/10.6004/jnccn.2018.7001
- Doubeni CA, Field TS, Yood MU et al (2006) Patterns and predictors of mammography utilization among breast cancer survivors. Cancer 106:2482–2488. https://doi.org/10.1002/cncr.21893
- Field TS, Doubeni C, Fox MP et al (2008) Under utilization of surveillance mammography among older breast cancer survivors. J Gen Intern Med 23:158–163. https://doi.org/10.1007/ s11606-007-0471-2
- Schapira MM, Mcauliffe TL, Nattinger AB (2000) Underutilization of mammography in older breast cancer survivors. Med Care 38(3):281–289
- Krok-Schoen JL, Naughton MJ, Noonan AM et al (2020) Perspectives of survivorship care plans among older breast cancer survivors: a pilot study. Cancer Control. https://doi.org/10.1177/ 1073274820917208
- Freedman RA, Keating NL, Pace LE et al (2017) Use of surveillance mammography among older breast cancer survivors by life expectancy. J Clin Oncol 35:3123–3130. https://doi.org/10.1200/ JCO.2016.72.1209
- Keating NL, Landrum MB, Guadagnoli E et al (2006) Factors related to underuse of surveillance mammography among breast cancer survivors. J Clin Oncol 24:85–94. https://doi.org/10.1200/ JCO.2005.02.4174
- Jackson LA, Nelson JC, Benson P et al (2006) Functional status is a confounder of the association of influenza vaccine and risk of all cause mortality in seniors. Int J Epidemiol 35:345–352
- Lu WL, Jansen L, Post WJ et al (2009) Impact on survival of early detection of isolated breast recurrences after the primary treatment for breast cancer: a meta-analysis. Breast Cancer Res Treat 114:403

  412. https://doi.org/10.1007/s10549-008-0023-4
- Fusté J, Séculi E, Brugulat P et al (2005) Public healthcare coverage versus double healthcare coverage: what are the differences? Gac Sanit 19:15–21. https://doi.org/10.1157/13071812
- Cantarero-Prieto D, Pascual-Sáez M, Gonzalez-Prieto N (2017) Effect of having private health insurance on the use of health care services: the case of Spain. BMC Health Serv Res 17(1):716. https://doi.org/10.1186/s12913-017-2667-4



**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

# **Authors and Affiliations**

Paula Santiá<sup>1,2</sup> · Anna Jansana<sup>1,3,4</sup> · Isabel del Cura<sup>4,5,6</sup> · Maria Padilla-Ruiz<sup>4,7</sup> · Laia Domingo<sup>1,4</sup> · Javier Louro<sup>1,4</sup> · Mercè Comas<sup>1,4</sup> · Teresa Sanz<sup>4,5</sup> · Talita Duarte-Salles<sup>8</sup> · Maximino Redondo<sup>7</sup> · Berta Ibañez<sup>4,9</sup> · Alexandra Prados-Torres<sup>4,10</sup> · Xavier Castells<sup>1,4,11,12</sup> · Maria Sala<sup>1,4,12</sup> · SURBCAN Group

- Department of Epidemiology and Evaluation, Hospital del Mar Medical Research Institute (IMIM), Passeig Marítim, 25-29, 08003 Barcelona, Spain
- Preventive Medicine and Public Health Teaching Unit (Hospital del Mar – Barcelona Public Health Agency – Pompeu Fabra University), Barcelona, Spain
- European Higher Education Area Doctoral Program in Methodology of Biomedical Research and Public Health in Department of Pediatrics, Obstetrics and Gynecology, Preventive Medicine and Public Health, Autonomous University of Barcelona (UAB), Bellaterra, Barcelona, Spain
- <sup>4</sup> Health Services Research on Chronic Patients Network (REDISSEC), Institute of Health Carlos III, Madrid, Spain
- Primary Care Research Unit, Madrid Health Service, Calle San Martín de Porres, 6-5ª planta, 28035 Madrid, Spain
- Department of Preventive Medicine and Public Health, Rey Juan Carlos University, Avenida de Atenas, 28922 Alcorcón, Madrid, Spain

- Research Unit, Costa del Sol Hospital, Biomedical Research Institute of Málaga (IBIMA), University of Málaga, Marbella, Spain
- Fundació Institut Universitari per a la recerca a l'Atenció Primària de Salut Jordi Gol i Gurina (IDIAPJGol), Barcelona, Spain
- Navarrabiomed, Hospital Complex of Navarra, Public University of Navarra, IdiSNA, Irunlarrea, s/n, 31008 Pamplona, Spain
- EpiChron Research Group On Chronic Diseases, Aragon Health Sciences Institute (IACS), IIS Aragon, Miguel Servet University Hospital Pl +2, Paseo Isabel la Católica 1-3, 50009 Saragossa, Spain
- Autonomous University of Barcelona (UAB), 08193 Bellaterra, Barcelona, Spain
- Research Network on Chronicity, Primary Care and Health Promotion (RICAPPS), Institute of Health Carlos III, Madrid, Spain

