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Stay-at-Home: The Impact of the COVID-19 Lockdown on Household Functioning and ART Adherence for People Living with HIV in Three Sub-districts of Cape Town, South Africa

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Abstract

In March 2020, the South African government imposed a lockdown to control COVID-19 transmission. Lockdown may affect people living with HIV's (PLWH) antiretroviral therapy (ART) adherence. Data from a cluster randomised control trial was collected from 152 PLWH in Cape Town sub-districts from October 2019–March 2020 when the lockdown halted collection. Subsequently, 83 PLWH were followed-up in June–July 2020. Random effects models were used to analyse: (1) changes between baseline and follow-up and (2) correlates of adherence during lockdown. At follow-up, there was an increase in the odds of being below the poverty line and the odds of experiencing violence decreased. Measures for well-being, household functioning, stigma and HIV competency improved. Violence, depression, food insecurity, and stigma were associated with poorer ART adherence; higher well-being scores were associated with better adherence. During lockdown, governments need to ensure financial support, access to (mental) health services, and services for those experiencing violence. *Clinical Trial Number*: Pan African Clinical Trial Registry, PACTR201906476052236. Registered on 24 June 2019.

Keywords COVID-19 · HIV · ART · Adherence · Lockdown

Resumen

En marzo de 2020, el gobierno sudafricano impuso un confinamiento generalizado para controlar la transmisión del COVID-19. Estas medidas pueden afectar la adherencia a la terapia antirretroviral de las personas con VIH. A partir de un ensayo aleatorio grupal se recopilan datos de 152 personas con VIH en los sub-distritos de Ciudad del Cabo entre octubre de 2019 y marzo de 2020 cuando el confinamiento detuvo la recopilación. Posteriormente, se realizó un seguimiento de 83 pacientes entre junio y julio de 2020. Se utilizaron modelos de efectos aleatorios para analizar: 1) los cambios en las variables entre la línea de base y de seguimiento; 2) covariables de adhesión al tratamiento. En el seguimiento se observa que aumentaron las probabilidades de estar por debajo del umbral de pobreza y disminuyeron las probabilidades de sufrir violencia. Las medidas de bienestar, funcionamiento del hogar, estigma e idoneidad en materia de VIH mejoraron entre la línea base y el seguimiento. Por otro lado, la violencia, la depresión, la inseguridad alimentaria y el estigma se asocian a una menor

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adherencia al tratamiento antirretroviral, mientras la mejora del bienestar se asocia a una mayor adherencia al tratamiento. De tal manera, se observa que durante situaciones de emergencia que requieran de confinamientos es recomendable que los gobiernos garanticen apoyo financiero, atención a la salud física y mental de manera continua, así como servicios especializados hacia quienes sufren violencia.

Palabras clave COVID-19 · VIH · adhesión · tratamiento antiretroviral · confinamiento

Abbreviations

ART	Anti-retroviral therapy
CHW	Community health worker
DHS	Demographic and health survey
PLWH	People living with HIV
CI	Confidence interval
HIV	Human immunodeficiency virus
LMIC	Low and middle-income countries
NIDS-CRAM	National Income Dynamics Study-Coro-
	navirus Rapid Mobile Survey
WHO	World Health Organisation

Introduction

Following the emergence of the novel coronavirus SARS-COV-2 in December 2019 in Wuhan, China, governments and health agencies throughout the world began preparations as the virus spread [1, 2]. The impact was anticipated to fall most heavily on vulnerable groups in under-resourced countries [3, 4]: fragile health systems, populations living in poverty in densely-populated areas, and a lack of essential infrastructure such as water and sanitation create unique difficulties for the implementation of physical distancing regulations [5]. Governments were faced with the dilemma of protecting their populations from COVID-19, whilst recognizing that lockdown measures may also exacerbate health inequalities and negatively impact health and wellbeing—and may not be effective in the poorest settings [4, 6]. Measures such as lockdown in low and middle-income countries (LMICs) are therefore contentious [4, 6, 7].

Following confirmation of the first case of COVID-19 in South Africa on 5th March 2020 [8], President Cyril Ramaphosa declared a national state of emergency on 15th March [9]. This was shortly followed by a national lockdown on 27th March [10], known as one of the strictest in the world. The lockdown included a stay-at-home order except for exercise within 5 km of one's home, with visitors and gatherings banned and travel on public transport only permitted at 25% capacity for essential workers or essential reasons, as well as a ban on alcohol sales [11, 12]. The lockdown remained until 1st May when South Africa moved to level four of a five-level system; travel (for work or education) was once again permitted and certain venues were allowed to reopen with outdoor seating [10]. Health care facilities, a designated essential service, remained open throughout the lockdown [13].

The health implications of COVID-19 coinfection for people living with HIV (PLWH) were not well understood in early 2020. There was therefore alarm about a virus that was known to have adverse outcomes for those with comorbidities [14, 15]. In South Africa, HIV prevalence was estimated at 14% in 2017 among the general population of all ages [16] and over half the population still lives in poverty [17]. A study conducted from March to June 2020 using data from the Western Cape furthermore indicated an association between HIV and COVID-19 mortality (aHR 2.14; 95%CI 1.70–2.70) [18].

A key concern was the potential impact of lockdown regulations on PLWH in terms of adherence to antiretroviral therapy (ART). Adherence to ART is crucial in optimising health outcomes for PLWH [19-22], and stay-at-home orders potentially impact ART adherence through interlinked individual, social and structural factors. Lockdown may impact ART adherence through structural factors by creating and exacerbating inequalities in access to health care, as well as by impacting food supply chains and generating economic insecurity [23–26]. ART adherence may be affected by the impact of lockdown on social (household) factors such as household functioning, economic and food insecurity; there were furthermore concerns regarding a potential increase in intimate partner violence (IPV) [4, 27-29]. At the individual level, concerns were raised regarding the lockdown's impact on ART adherence as a result of deteriorating mental health [30].

Public health approaches to ART adherence increasingly recognize the role that the immediate environment, and especially the household, play in creating health-enabling environments [31–36]. Masquillier et al. posit that when household members enact behaviours supporting HIV prevention, discussion and disclosure, this 'household HIV competency' has positive effects on ART adherence for PLWH [32]. As the lockdown confined PLWH almost completely within the household setting, specific attention to this context is therefore warranted. HIV is still highly stigmatized, which for example may mean that ART is challenging to adhere to when household members are confined together and medication cannot be taken in secret [30].

To inform policy, it is imperative to understand the impact of lockdown measures on PLWH and ART adherence. First, this study aimed to investigate changes in the individual, social and structural factors affecting ART adherence between the pre- and post-level-five lockdown: particularly whether lockdown had impacted mental health, IPV, household functioning, household income, food security and access to health care. Second, the study investigated correlates of ART adherence during the level-five (strictest) lockdown, in order to ascertain whether ART could be impacted by any changes in these factors during the lockdown. Principal hypotheses were: (1) that lockdown would negatively affect mental health, IPV, household functioning and income, food security and access to health care, and (2) that these factors would be associated with respondents' selfassessed ART adherence during the lockdown.

Methods

Study Design and Ethics

This study used baseline and follow-up data from participants in the Sinako trial. Sinako ('we can' in isiXhosa) is a cluster-randomised controlled trial on a household ART adherence intervention for PLWH, based in sub-districts of the Cape Metro area, South Africa. Community health workers (CHWs), who deliver the intervention, are linked to health facilities: twelve facilities were therefore randomly selected, resulting in six facilities per trial arm. The trial protocol is detailed elsewhere [31].

A standard ART adherence support service is delivered in both trial arms, with the addition of the Sinako intervention in the intervention arm. The intervention consists of seven hour-long sessions with trial participants and, if the participant agrees, a household member. The household was defined as including all those people who 'eat from the same pot' for at least four nights per week over the last month [31]. The intervention sessions cover a variety of topics from adherence planning to developing household HIV competency. By March 2020, patients enrolled in Sinako had received between one and four sessions of the intervention, focusing on HIV knowledge and adherence planning, with the majority having received two sessions: the full intervention had not been received by any patient. This article does not, therefore, specifically focus on the impact of the intervention as it was interrupted at an early stage by the lockdown.

Baseline data was collected between 8th October 2019 and 13th March 2020: fieldworkers interviewed 152 PLWH from 11/12 clinics (56 patients in the control arm, 96 patients in the intervention arm) in English, Afrikaans and isiXhosa before the team halted data collection due to the lockdown. To capture the impact of the lockdown on ART adherence, a follow-up questionnaire was developed. Trained SINAKO field workers attempted to contact all 152 patients by telephone: 69 participants either did not answer the phone or the call could not connect. Between 17th June 2020 and 30th July 2020, 83 patients were re-interviewed. This period fell during South Africa's level three of lockdown restrictions which allowed visitors from one other household, as well as travel for work or education, although other restrictions on movement were still in place. Participants were given prior warning preceding questions on IPV; they were also able to refuse to answer any questions. The fieldworkers were prepared with a list of local organisations and services in the event of being asked for help.

The study was approved by the ethics committee of the University of the Western Cape (BM19/4/6, June 2019) and the ethical committee for the Social Sciences and Humanities of the University of Antwerp (SHW_17_64, September 2018). The City of Cape Town and the Western Cape Department of Health granted permission for all facilities by December 2019. Ethical approval was updated for the follow-up interviews (SHW_17_64 (wijziging), BM19/4/6, August 2020). All participants provided written informed consent at baseline in their chosen language, and oral informed consent during the follow-up telephone questionnaire. Participants were informed that they would receive a shopping voucher following participation in baseline and endline questionnaires.

Measures

Both baseline and follow-up questionnaires consisted of items to capture socio-economic status (e.g. education level, household income, household expenditure), self-rated ART adherence (0–10 scale), and demographics such as gender and age. An upper-bound poverty line variable was calculated in line with South Africa's 2020 poverty line estimate of 1227 ZAR per person per month (US\$83.60) [37], based on number of people in the household. Household members' age was not accounted for as this information was not available at follow-up. Education was reduced to two categories from five in order to reduce data sparsity whilst retaining thematic relevance.

The baseline survey included one question related to food security ('*Does your household ever eat less than it should because there is not enough money for food?*') and the follow-up questionnaire included 17 items. Questions on food security were adapted from the South African National Health and Nutrition Examination Survey 2012 [38], including five items on access to food with yes/no responses, and 11 items discussing frequency of e.g. limiting portion sizes, with a 5-point Likert scale response option ranging from 'every day' to 'never'. One item asked whether food insecurity had occured during or after the level-five lockdown. Questions on intimate partner violence were adapted from the World Health Organisation's (WHO) multi-country study on women's health and domestic violence [39], which has been widely used in many different contexts and settings. Questions focused on four domains of violence: control, emotional violence, physical violence and sexual violence. The original response options were adapted to ask about (other) household members as well as a current or recent partner: 'No[one]', 'Yes – my current or recent partner in the household', 'Yes – (an)other household member(s)', 'Yes – my current or recent partner outside the household'.

Questions on household stigma were drawn from the 8-item "People Living With HIV Stigma Index" [40]: all eight were used at baseline and three at follow-up, focusing on gossip, blame and insults in the household. Response options included a five-point Likert scale ranging from 'completely agree' to 'completely disagree'. Household functioning was assessed using questions from the validated Brief Family Relationship Scale [41], which uses a fourpoint Likert scale with response options ranging between 'strongly disagree' and 'strongly agree'. Items that were negatively worded were reverse coded so that higher scores represented more positive household functioning. Each question in this scale was analyzed individually given the difficulties of multilevel structural equation modelling with small sample sizes.

Household HIV competency was assessed using 16 questions developed by an author (C. Masquillier) based on qualitative research [32, 33]; five of these questions were used in both the baseline and follow-up questionnaires. Response options included a six-point Likert scale ranging from 'completely disagree' to 'completely agree': one of the five items was reverse coded so that higher scores reflected positive HIV household competency. Questions were once again analysed separately.

Additional questions were developed by the research team for the follow-up questionnaire to ask questions directly related to the lockdown, e.g., 'Would you say that during lockdown [level] 5, you were more depressed?' and 'since I have disclosed my HIV status during lockdown [level] 5, a household member has become more violent towards me'. Response options included five-point Likert scales ranging from 'completely agree' to 'completely disagree'.

Analytical Strategy

Analysis was undertaken using Stata v.16.0. Descriptive analysis expressed demographic and adherence characteristics as percentages or as mean and median values. Differences between those who participated at baseline and at follow-up were examined using Stata's chi² test/t-test adjusted for clustering within ART clinics [42]. Differences between control and intervention group were also examined using adjusted chi²/t-tests (Tables 1, 2). Table VI in the supplementary materials details additional analysis on differences across study arms for those lost to follow-up: there was no statistical evidence of a difference for any of the indicators.

Differences between baseline and follow-up responses were examined using random effects mixed models: time point was included as a fixed effect, while participant ID and ART clinic (health facility) were included as random effects to account for within-person correlation and the unit of randomisation [43]. Correlates of self-reported adherence score at follow-up were analysed using random effects mixed models with ART clinic included as a random effect, and baseline adherence score as a predictor [43].

Following the recommendations of Elff et al. for analyzing multilevel models with few clusters [44], restricted maximum likelihood estimation with a Kenward-Roger correction was used for continuous outcomes to minimize small sample bias [45]. Mixed effects logistic regression with Gauss-Hermite adaptive quadrature was used for binary outcomes. Model validity was assessed using likelihood ratio tests and/or AIC/BIC criterion. Crude and adjusted estimates were calculated: covariates for adjustment were selected based on a priori interest (age, gender, education, household income, intervention group) [46]. Given concerns regarding data sparsity, additional predictors were not added simultaneously to the adjusted model. Sensitivity analysis examined results without adjusting for clustering, as well as using the three-level model for correlates of adherence and the two-level model for changes between baseline and follow-up. Conclusions were not changed based on sensitivity analysis.

The interaction between time point and intervention for ART adherence was examined in order to assess whether this variable should be included in the model. Given that there was weak evidence of an interaction effect between time point and intervention group (the average adherence score increased during the COVID-19 lockdown for participants in almost all of the intervention districts whilst it decreased for those in the control groups), this variable was included in the model: results of the analysis on the impact of the intervention on ART adherence are included in Supplementary Materials (Supplementary material Table III, Table IV, Table V and Supplementary Fig. 1).

Results

Sample Characteristics

One clinic had not registered any participants: data from 11/12 clinics was therefore included. Of the 152 PLWH enrolled at baseline, the average age was 31 years (median

Table 1 Socio-demographic indicators at baseline and follow-up

	Baseline			Follow-up			Assoc.
	(%)			(%)			with follow- up**
	Control	Intervention	Total $n = 152$	Control	Intervention	Total n=83	$x^{2/t}$ & p-value
	n=56	n=96	x ^{2/} t & p-value**	n=32	n=51	x ^{2/} t & p-value**	
Age (median)			0.43, p=0.68			0.43 p=0.67	
	28.5 (IQR 10.5, min-max 18-60)	29 (IQR 11, min–max 19–58)	29 (IQR 11, min–max 18–60)	32 (IQR 10.5, min-max 18-60)	31 (IQR 13, min-max 19-58)	31 (IQR 11, min–max 18–60)	- 1.58 p=0.13
Gender			0.60, p = 0.44			0.76, p=0.39	
Female	39 (69.64)	73 (76.04)	112 (73.68)	21 (65.63)	38 (74.51)	59 (71.08)	0.64,
Male	17 (30.36)	23 (23.96)	40 (26.32)	11 (34.38)	13 (25.49)	24 (28.92)	p = 0.43
No. of house- hold members			1.19, p=0.26			0.24, p=0.82 p=0.61	
(median)	3 (IQR 3, min- max 0-12)	3 (IQR 3, min- max 0-8)	3 (IQR 3, min- max 0-12)	2 (IQR 3.5, min-max 1-12)	3 (IQR 3, min- max 0-8)	3 (IQR 3, min- max 0-12)	- 1.04
							p = 0.31
Language			0.24, p=0.89			0.70, p=0.71	
Afrikaans	8 (14.29)	10 (10.42)	18 (11.84)	2 (6.25)	8 (15.69)	10 (12.05)	0.008, p = 0.10
English	18 (32.14)	26 (27.08)	44 (28.95)	11 (34.38)	13 (25.49)	24 (28.92)	
Xhosa	30 (53.57)	60 (62.50)	90 (59.21)	19 (59.38)	30 (58.82)	49 (59.04)	
Education			1.05, p = 0.90			0.68, p = 0.88	8.46, p = 0.08
None	0	1 (1.04)	1 (0.66)	0	0	0	-
Primary	14 (25)	15 (15.63)	29 (19.08)	8 (25)	10 (19.61)	18 (21.69)	Binary: 4.74, p=0.03
Secondary	27 (48.21)	46 (47.92)	73 (48.03)	14 (43.75)	18 (35.29)	32 (38.55)	-
Matric	14 (25)	31 (32.29)	45 (29.61)	9 (28.13)	22 (43.14)	31 (37.35)	
Diploma	1 (1.79)	3 (3.13)	4 (2.63)	1 (3.13)	1 (1.96)	2 (2.41)	
Well-being (median)			- 1.59, p=0.15			-0.80, p=0.45	1.17, p=0.26
Scale of 0–100	90 (IQR 30, min–max 5–100)	99.5 (IQR 20, min-max 50- 100)	97 (IQR 20, min–max 5–100)	96.5 (IQR 20, min-max 30-100)	100 (IQR 10, min–max 60–100)	100 (IQR 15, min–max 30–100)	
Household income (ZAR			(n=126) 1.21, p=0.55			(n=76) 2.19, p=0.23	1.60, p=0.45
per month) 0–2000	11 (22.92)	23 (29.49)	34 (26.98)	15 (48.39)	26 (57.78)	41 (53.95)	
2000-5000	20 (41.67)	23 (29.49) 34 (43.59)	54 (20.98) 54 (42.86)	13 (48.39) 13 (41.94)	20 (37.78) 11 (24.44)	41 (<i>33.93</i>) 24 (<i>31.58</i>)	
2000–3000 5000–50 k	20 (41.67) 17 (35.42)	34 (43.39) 21 (26.92)	34 (42.86) 38 (30.16)	13 (41.94) 3 (9.68)	8 (17.78)	24 (31.38) 11 (14.47)	

**Chi2/t-test adjusted for clustering within ART clinic

28.5 years), with 112 women (74%) and 40 men (26%) (Table 1). The follow-up questionnaire was completed by 83 participants, of whom 59 were women (71%) and 24 were men (29%), with an average age of 33 years (median 31). There was no evidence of an association between socio-demographic variables and whether a respondent participated in the follow-up questionnaire, except for education level (Tables 1, 2). At baseline, 103 participants had

either no education, primary education or some secondary education (68%), and 49 had obtained either their secondary matriculation, a degree or a diploma (32%): for those who completed the follow-up questionnaire, these categories comprised 50 participants (60%) and 33 participants (40%) respectively. The odds of participating in the followup for those with higher levels of education were twice as high compared to those with lower levels of education (OR 2.22, z = 2.13, p = 0.03).

	Baseline (%)		Follow-up (%)		Assoc. with follow-up**
	Control	Interven- tion	Total	Control	Interven- tion	Total	$x^{2/t}$ & p-value
	n=56	n=96	n=152	n=32	n=51	n=83	
			$x^{2/t}$ & p-value			$x^{2/t}$ & p-value	
ART clinic			(*)				
1	0	17 (17.71)	17	0	10 (19.61)	10	
2	23 (41.07)	0	23	15 (46.88)	0	15	
3	6 (10.71)	0	6	1 (3.13)	0	1	
4	0	28 (29.17)	28	0	12 (23.53)	12	10.03
5	0	11 (11.46)	11	0	6 (11.76)	6	p=
6	8 (14.29)	0	8	3 (9.38)	0	3	0.44***
7	10 (17.86)	0	10	8 (25)	0	8	
8	0	27 (28.13)	27	0	16 (31.37)	16	
9	0	4 (4.17)	4	0	2 (3.92)	2	
10	0	9 (9.38)	9	0	5 (9.80)	5	
11	9 (16.07)	0	9	5 (15.63)	0	5	
Water			10.35, p=0.07			3.85, p = 0.80	
Pipe, meter	19 (33.93)	43 (44.79)	62 (40.79)	8 (25)	24 (47.06)	32 (38.55)	
Pipe, prepay	4 (7.14)	2 (2.08)	6 (3.95)	0	1 (1.96)	1 (1.20)	5.03, p = 0.41
Piped, yard	11 (19.64)	28 (29.17)	39 (25.66)	10 (31.25)	13 (25.49)	23 (27.71)	
Public, free	20 (35.71)	20 (20.83)	40 (26.32)	12 (37.50)	10 (19.61)	22 (26.51)	
Public, paid	2 (3.57)	0	2 (1.32)	0	1 (1.96)	1 (1.20)	
Rainwater	0	0	0	0	1	1 (1.20)	
Bottled	0	0	0	0	0	0	
Water tanks	_	_	0	2 (6.25)	0	2 (2.41)	
Other	0	0	3 (1.97)	0	1 (1.96)	1 (1.20)	
Electricity			4.07, p = 0.54			4.60, p = 0.47	4.05, p = 0.54
Home meter	11 (19.64)	23 (23.96)	34 (22.37)	5 (15.63)	11 (21.57)	16 (19.28)	
Home prepay	28 (50)	54 (56.25)	82 (53.95)	16 (50)	32 (62.75)	48 (57.83)	
Other (free)	8 (14.29)	14 (14.58	22 (14.47)	6 (18.75)	5 (9.80)	11 (13.25)	
Other (pay)	3 (5.36)	0	3 (1.97)	3 (9.38)	0	3 (3.61)	
Illegal conn	2 (3.57)	5 (5.21)	7 (4.61)	1 (3.13)	3 (5.88)	4 (4.82)	
No source	2 (3.37) 4 (7.14)	0	4 (2.63)	1 (3.13)	0	1 (1.20)	
HH eats less than shoul		~	()	- (0.10)	•	- (
No	~		0.07, p=0.80	_	_	_	3.04, p = 0.08
Yes	21 (37.50)	38 (39.58)	59 (38.82)				210 I, P=0.00
100	35 (62.50)	58 (60.42)	93 (61.18)				

Table 2	Socio-demographic	indicators at	baseline and	follow-up
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*ART clinic was the unit of randomisation

**Chi²/t-test adjusted for clustering within ART clinic

***Chi2 test

Differences Between Baseline and Follow-Up

This study first examined whether there were changes in the individual, household and structural factors that may affect

ART adherence between baseline and follow-up. There was no evidence of a difference in average adherence scores between baseline and follow-up, holding other variables constant (0.049, z = 0.20, p = 0.84, Table 3).

Table 3 Individual and household factors: differences between baseline and follow-up

Variable		Baseline (n=152) (%)	Follow-Up (n=83) (%)	Odds Ratio / Esti- mate*, baseline vs follow-up	Adjusted Odds Ratio / Estimate**
Well-being, income and	d poverty line			z & p-value	z & p-value
Adherence	Self-rated scale 0–10	mean 9.31 (std dev 1.52)	mean 9.24 (std dev 1.64)	Coef:- 0.04, (SE 0.21), - 0.21, p=0.84	Coef: 0.049, (SE 0.24), 0.20, p=0.84
Well-being	Scale 0–100	mean 85.59, med. 97 (IQR 20, min–max 5–100)	mean 91.81 med.100 (IQR 15, min–max 30–100)	Coef: 6.94, (SE 2.06), 3.37, p=0.001	Coef: 9.50, (SE 2.29), 4.16, p < 0.001
Household Income	0-2000	34 (26.98)	41 (53.95)	OR 0.21, (SE 0.08),	aOR 0.21, (SE 0.08),
(ZAR per month)	2000-5000	54 (42.86)	24 (31.58)	- 3.92, p<0.001	- 4.01, p<0.001
	5000–50 k	38 (30.16)	11 (14.47)		-
	Total	126 (100)	76 (100)		
Upper bound poverty	Above line	45 (35.71)	16 (21.05)	OR 2.40, (SE 0.96),	aOR 2.41, (SE 0.97),
line	Below line	81 (64.29)	60 (78.95)	2.17, p=0.03	2.19, p=0.03
	Total	126 (100)	76 (100)		
Household stigma: bec	ause of my HIV status			(Binary variable)	
I am being gossiped	Comp. disagree	26 (18.44)	34 (40.96)	OR: 0.54, (SE 0.29),	aOR: 0.43, (SE 0.25),
about in my house-	Disagree	100 (70.92)	44 (53.01)	- 1.15, p=0.25	-1.46, p=0.15
hold	Neither a/d	7 (4.96)	1 (1.20)		
	Agree	5 (3.55)	3 (3.61)		
	Comp. agree	3 (2.13)	1 (1.20)		
I feel blamed by my	Comp. disagree	27 (19.15)	30 (36.14)	OR: 0.25, (SE 0.16),	aOR: 0.15, (SE 0.12),
household members	Disagree	96 (68.09)	50 (60.24)	-2.15, p=0.03	-2.33, p=0.02
	Neither a/d	6 (4.26)	1 (1.20)		
	Agree	11 (7.80)	1 (1.20)		
	Comp. agree	1 (0.71)	1 (1.20)		
I am verbally insulted	Comp. disagree	40 (28.37)	39 (46.99)	OR: 0.61, (SE 0.42),	aOR: 0.57, (SE 0.44),
and harassed by my	Disagree	93 (65.96)	41 (49.40)	-0.71, p=0.48	-0.73, p=0.47
household members	Neither a/d	6 (4.26)	1 (1.20)		
	Agree	2 (1.42)	1 (1.20)		
	Comp. agree	0 (0)	1 (1.20)		
Household HIV compe	tency: (with) my househ	old members			
I share HIV worries	0 Agree	112 (73.68)	70 (84.34)	OR 0.42, (SE 0.19)),	aOR: 0.43, (SE 0.24),
	1 Disagree	40 (26.32)	13 (15.66)	-1.91, p=0.06	-1.53, p=0.13
Help remember medi-	0 Agree	115 (75.66)	70 (84.34)	OR 0.51, (SE 0.22),	aOR: 0.49, (SE 0.22),
cation	1 Disagree	37 (24.34)	13 (15.66)	-1.54, p=0.12	-1.54, p=0.12
Give emotional sup-	0 Agree	119 (78.29)	72 (86.75)	OR 0.48, (SE 0.25),	aOR: 0.44, (SE 0.29),
port	1 Disagree	33 (21.71)	11 (13.25)	- 1.41, p=0.16	- 1.27, p=0.21
I can talk openly	0 Agree	105 (69.08)	61 (73.49)	OR 0.68, (SE 0.26),	aOR: 0.91, (SE 0.39),
	1 Disagree	47 (30.92)	22 (26.51)	-1.00, p=0.32	-0.21, p=0.83
I can solve difficulties	0 Agree	121 (79.61)	65 (78.31)	OR 1.08, (SE 0.36),	aOR: 1.50, (SE 0.57),
	1 Disagree	31 (20.39)	18 (21.69)	0.23, p=0.82	1.07, p=0.28

*Three-level mixed effects model, time as a fixed effect and ART clinic and participant as random effects

**Model adjusted for age, gender, education, household income (except when included as a dependent variable), intervention group

Structural Factors: Health Care Access and Food Insecurity

A minority of participants reported issues with accessing

health care to support their ART adherence while a majority reported feeling worried about access: at follow-up, 13 (15.66%) respondents stated that because of the lockdown, they had missed an appointment at the clinic for their HIV treatment or care (Supplementary material, Table II). 47 (56.63%) respondents worried that they would run out of their HIV medication, and 11 (13.25%) stated that they had in fact run out of medication. The majority of respondents (58, 69.88%) stated that they missed the CHW's support.

The majority of participants also reported issues obtaining food during the lockdown (Supplementary material, Table I). 50 (60%) reported having problems accessing food, 43 of whom stated this was due to economic difficulties. 30 respondents (60%) stated that they had problems accessing food because the shops were difficult to get to during the lockdown.

Social (Household) Factors: Household Food Insecurity, Economic Insecurity, Stigma, Violence, and Household Functioning

53 (64%) respondents reported eating less than normal. Almost 22% [18] often restricted the consumption of adults in order for children to eat, and 40% (33 respondents) limited portion sizes at mealtimes. The odds of reducing portion sizes were lower for those with a household income of over 5,000 ZAR a month as compared to lower categories of household income (aOR: 0.37, z = -2.73, p = 0.006).

The analysis indicated that the economic situation of households had deteriorated during the lockdown. Between baseline and follow-up, the odds of being in the top household income category decreased (aOR 0.21, z = -4.01, p < 0.001) and participants had 2.41 times greater odds of being below the poverty line (z=2.19, p=0.03) after adjusting for socio-demographic variables (Table 3).

The analysis indicated some improvements in indicators relating to household environments after the period of the lockdown. There was a decrease in the odds of experiencing household HIV stigma between baseline and follow-up for those feeling blamed by their household members because of their HIV status (aOR: 0.15, -2.33, p=0.02) (Table 3). There was similarly evidence of a decrease in reporting any instance of violence (emotional, physical, sexual) between baseline and follow-up (aOR: 0.08, z = -2.2, p = 0.024) (Table 4). All participants who reported violence at follow-up had stated at baseline that the perpetrator was a current

Table 4 Violence at baseline and follow-up

	Response categories	Baseline (%)	Follow-u	ıp (%)		ntio/coefficient*, e vs follow-up ralue	Adjust coeffic z & p-	
Insulted you/ made you feel bad	No	132 (86.84)	79 (95.1	8)		31, (SE 0.19), 0, p=0.06		0.27, (SE 0.21), 73, p=0.08
	Yes	20 (13.16)	4 (4.82)			1		· 1
Scared or intimidated	No	143 (94.08)	80 (96.3)	9))7, (SE 0.33),		0.50, (SE 0.63),
you	Yes	9 (5.92)	3 (3.61)		- 1.0	9, p=0.27	- 0.3	56, p=0.58
Slapped or threw some-	No	137 (90.13)	83 (100.	00)	-		_	
thing at you	Yes	15 (9.87)	0 (0.00)					
Forced you to have sex	No	148 (97.37)	83 (100.	00)	-		_	
	Yes	4 (2.63)	0 (0.00)					
Had sex as afraid if	No	148 (97.37)	82 (98.8	0)	OR: 0.4	45, (SE 0.51),	aOR: ().64 (SE 0.82),
refused	Yes	4 (2.63)	1 (1.21)		- 0.7	1, p=0.48	- 0.3	35, p=0.73
Any instance of violence	None	118 (77.63)	77 (92.7	7)	OR: 0.1	4, (SE 0.10),	aOR: ().08 (SE 0.09),
	Violence	34 (22.37)	6 (7.23)		- 2.7	0, p=0.007	- 2.2	2, p=0.024
Violence: During lockdown, were you [] (by a household member):	Response categories	Frequencies ((%)	Violence: Dur down, were yo	0	Response categ	ories	Frequencies (%)
Insulted more or less	Less	0 (0.00)		Had sex that y	ou didn't	Less than before	e	0 (0.00)
than before lock-	Same	1 (25.00)		want more of	less	About the same		0 (0.00)
down?	More	3 (75.00)		than before?		More than before	re	1 (100.00)
Scared or intimidated	Less	1 (33.33)		Since I disclos	ed HIV	Strongly disagre	ee	41 (49.40)
more or less than	Same	1 (33.33)		during lockd		Disagree		35 (42.17)
before?	More	1 (33.33)		a hhm** is n	nore	Agree		1 (1.20)
				violent		Strongly agree		1 (1.20)
						Have not disclo	sed	5 (6.02)

*Three-level mixed effects model, time as a fixed effect and ART clinic and participant as random effects

**Hhm refers to household member

Table 5	Household	functioning at	baseline and	follow-up: '	"in our household"

Variable		Baseline (n=152) (%)	Follow-up (n=83) (%)	Odds ratio, z & p-value, 95% CI**	Adjusted OR, z & p-value ***
We spend time together at home	Agree	120 (78.95)	77 (92.77)	OR: 0.21, - 2.59, p=0.010	aOR: 0.32, - 1.92, p=0.06
	Disagree	32 (21.05)	6 (7.23)	(95% CI 0.06–0.68)	(95% CI 0.10-1.03)
We work hard at what we do	Agree	128 (84.21)	79 (95.18)	OR 0.25, - 2.28, p=0.023	aOR: 0.42, -1.43, p=0.15
	Disagree	24 (15.79)	4 (4.82)	(95% CI 0.08–0.82)	(95% CI 0.12-1.38)
There is a feeling of togetherness	Agree	123 (80.92)	79 (95.18)	OR: 0.20, - 2.64, p=0.008	aOR: 0.29, - 2.10, p=0.04
	Disagree	29 (19.08)	4 (4.82)	(95% CI 0.06–0.66)	(95% CI 0.09-0.92)
We get along well w. each other	Agree	127 (83.55)	80 (96.39)	OR 0.009, -2.10, p=0.04	aOR: 0.003, - 1.46, p=0.14
	Disagree	25 (16.45)	3 (3.61)	(95% CI 0.002-0.73)	(95% CI 0.0002-7.35)
We do things for each other	Agree	121 (79.61)	69 (83.13)	OR 0.79, -0.65, p=0.51	aOR: 0.96, -0.11, p=0.92
	Disagree	31 (20.39)	14 (16.87)	(95% CI 0.40-1.59)	(95% CI 0.43-2.11)
We work out our problems	Agree	135 (88.82)	80 (96.39)	OR 0.14, -1.89, p=0.06	aOR: 0.19, -1.51, p=0.13
	Disagree	17 (11.18)	3 (3.61)	(95% CI 0.02-1.08)	(95% CI 0.02-1.63)
We can talk openly	Agree	114 (75.00)	70 (84.34)	OR 0.39, -1.92, p=0.06	aOR: 0.53, -1.25, p=0.21
	Disagree	38 (25.00)	13 (15.66)	(95% CI 0.15-1.02)	(95% CI 0.20-1.43)
We tell each other personal prob-	Agree	119 (78.29)	73 (87.95)	OR 0.43, -1.88, p=0.06	aOR: 0.59, -1.18, p=0.24
lems	Disagree	33 (21.71)	10 (12.05)	(95% CI 0.18-1.04)	(95% CI 0.25-1.41)
We begin discussions easily	Agree	121 (79.61)	74 (89.16)	OR 0.39, - 1.95, p=0.05	aOR: 0.54, -1.18, p=0.24
	Disagree	31 (20.39)	9 (10.84)	(95% CI 0.15–1.01)	(95% CI 0.19–1.50)
We are careful about what we say	Agree	121 (79.61)	75 (90.36)	OR 0.42, -2.07, p=0.04	aOR: 0.50, -1.49, p=0.14
	Disagree	31 (20.39)	8 (9.64)	(95% CI 0.18–0.95)	(95% CI 0.20–1.24)
We argue a lot	Agree	63 (41.45)	29 (34.94)	OR 1.38, 1.05, p=0.29	aOR: 1.54, 1.26, p=0.29
	Disagree	89 (58.55)	54 (65.06)	(95% CI 0.76-2.53)	(95% CI 0.79-3.01)
We are mad at each other a lot	Agree	42 (27.63)	19 (22.89)	OR 1.24, 0.52, p=0.61	aOR: 1.42, 0.76, p=0.45
	Disagree	110 (72.37)	64 (77.11)	(95% CI 0.55-2.80)	(95% CI 0.58-3.48)
We lose our tempers a lot	Agree	40 (26.32)	14 (16.87)	OR 1.85, 1.56, p=0.12	aOR: 2.53, 1.95, p=0.05
	Disagree	112 (73.68)	69 (83.13)	(95% CI 0.86–3.99)	(95% CI 1.00-6.42)
We often put each other down	Agree	29 (19.08)	11 (13.25)	OR 2.14, 1.31, p=0.19	aOR: 1.95, 1.06, p=0.29
-	Disagree	123 (80.92)	72 (86.75)	(95% CI 0.69–6.69)	(95% CI 0.57–6.71)
My hhms* are sometimes violent	Agree	36 (23.68)	12 (14.46)	OR 2.09, 1.75, p=0.08	aOR: 1.69, 1.17, p=0.24
-	Disagree	116 (76.32)	71 (85.54)	(95% CI 0.92–4.79)	(95% CI 0.70–4.11)
We raise voices when mad	Agree	59 (38.82)	25 (30.12)	OR 1.66, 1.47, p=0.14	aOR: 1.68, 1.37, p=0.17
	Disagree	93 (61.18)	58 (69.88)	(95% CI 0.85–3.27)	(95% CI 0.80–3.53)
Their support for HIV treatment	Worse	_	4 (4.82)	_	_
changed	The same	_	73 (87.95)		
	Improved		6 (7.23)		
Lockdown brought us closer	Agree	_	81 (97.59)	_	_
-	Disagree	_	2 (2.41)		
Lockdown caused more tension	Agree	_	17 (20.48)	_	_
	Disagree	_	66 (79.52)		

*Household members (hhms)

**Three-level mixed effects model, time as a fixed effect and ART clinic and participant as random effects

***Adjusted for age, gender, education, household income, intervention group

partner or household member living in the household—not a partner external to the household. Two respondents furthermore stated that a household member had become more violent since they had disclosed their HIV status during the lockdown (Table 4). At follow-up there was evidence of a decrease in the odds of not having a feeling of togetherness in the household (aOR: 0.29 z = -2.10, p = 0.04) (Table 5).

Individual Factors: Self-reported Well-Being and Depression

There appeared to be improvements in well-being after the period of the lockdown: there was strong evidence of an increase in the self-reported well-being score between baseline and follow-up (9.50, z = 4.16, p < 0.001) holding other variables constant (Table 3). Nevertheless, over a quarter of respondents stated they felt more depressed since the arrival of COVID-19 (29%), and since the start of the lockdown (27%) (Supplementary material, Table II).

Correlates of Self-rated ART Adherence Score During the Lockdown

The study secondly aimed to investigate correlates of ART adherence during the lockdown. There was no evidence of an association between adherence score and socio-demographic variables such as age, gender, education, or house-hold income (Table 6). There was little variation in the random intercepts for ART clinics (0.11, std error 0.24); the intra-class correlation was estimated at 0.04 (95% CI 0.0005–0.76).

Structural Factors

There was limited evidence of an association between health care access and ART adherence. PLWH who missed an appointment at the health clinic because of the lock-down reported lower adherence scores (-1.30, t=-2.69, p=0.009), which weakened after controlling for socio-demographic variables (-1.13, t=-2.04, p=0.05) (Table 7). There was evidence that PLWH who ran out of medication due to the lockdown reported lower adherence scores (-1.02, t=-1.92, p=0.06), which dropped after adjustment (-0.92, t=-1.52, p=0.13).

Social (Household) Factors

The analysis returned further evidence of the link between household environments and ART adherence. Importantly, PLWH who stated that in their household adult food consumption was often restricted in order to feed young children also reported lower adherence scores (-1.19, z = -2.33, p = 0.02), holding other variables constant (Table 7).

There was fairly strong evidence that experiencing all forms of violence was associated with lower adherence scores in bivariate and multivariate analysis (Table 7) (e.g. *any reported violence*: -2.09, t=-2.55,=0.01), as well as

Table 6	Crude and adjusted socio-demo	ographic correlates of	f self-reported adherence score
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Variable*	Bivariate estimate	t & p-value	95% CI	Adjusted estimate*	t & p-value	95% CI
Baseline adherence	0.09	0.86, p=0.39	- 0.11 to 0.28	0.08	0.77, p=0.44	- 0.13 to 0.30
Age (centered at the mean)	0.03	1.46, p=0.15	- 0.01 to 0.07	0.04	1.67, p=0.10	- 0.007 to 0.08
Gender						
Female	(base)					
Male	- 0.01	-0.02, p=0.98	- 0.82 to 0.80	- 0.11	-0.23, p=0.82	- 1.04 to 0.82
Education category						
None-/some secondary	(base)					
Matric/degree/diploma	0.34	0.85, p = 0.40	- 0.45 to 1.12	0.34	0.78, p=0.44	- 0.54 to 1.22
Household income						
0-2000 rand a month	(base)					
2000-5000 rand pcm	0.19	0.43, p=0.67	- 0.70 to 1.09	0.13	0.29, p=0.78	- 0.80 to 1.07
5000-50000 rand pcm	0.45	0.74, p=0.46	- 0.75 to 1.65	0.5	0.79, p=0.43	- 0.77 to 1.77
Intervention						
Control	(base)			(base)		
Intervention	0.43	1.01, p=0.35	- 0.62 to 1.48	0.47	0.95, p=0.38	- 0.73 to 1.68
Cons	-			7.89	< 0.001	5.75 to 10.04
Random effects					SE	
ART clinic: var (cons)			0.11	-	0.24	0.001 to 8.14
Var (residual)			2.86	ő	0.51	2.01 to 4.06

*Adjusted for: baseline adherence score, age, education, household income, intervention group

Violence Insulted/made to feel bad Yes		t & p-vatue	93% CI	Adjusted estimate*	t & p-value	95% CI	
had Yes							
Yes							
	- 1.84	-2.21, p=0.03	- 3.49 to - 0.18	- 2.59	-2.51, p=0.02	- 4.64 to - 0.53	
Scared/threatened							
Yes	- 2.34	- 2.44, p=0.017	- 4.24 to - 0.44	- 2.46	-2.36, p=0.02	- 4.55 to - 0.38	
Violence due to lock- down disclosure							
Yes	- 5.44	- 5.14, p<0.001	- 7.55 to - 3.34	- 5.33	- 4.74, p < 0.001	- 7.57 to - 3.08	
Any reported violence		,			ſ		
Yes	- 1.54	-2.23, p=0.03	-2.91 to -0.17	- 2.09	-2.55, p=0.013	-3.72 to -0.45	
Stigma							
Insults in the house- hold	- 2.33	- 2.45, p=0.02	– 4.23 to – 0.44	- 2.44	-2.34, p=0.02	- 4.52 to - 0.36	
Gossip in the house- hold	- 1.55	- 2.05, p=0.04	- 3.05 to - 0.05	- 1.78	-2.15, p=0.04	– 3.44 to – 0.13	
Blame in the house- hold	- 2.68	– 2.86, p=0.005	- 4.55 to - 0.82	- 4.06	-2.86, p=0.002	– 6.53 to – 1.59	
Household functioning.	Household functioning: in our household, we	:					
Work out our prob-							
lems							
Disagree	- 3.03	-3.26, p=0.002	-4.87 to -1.18	- 3.02	- 3.01, p=0.004	-5.02 to -1.02	
Put each other down							
Disagree	1.44	2.74, p=0.008	– 0.40 to 2.49	1.17	1.92, p = 0.06	– 0.05 to 2.38	
Raise voices when mad							
Disagree	0.88	2.22, p=0.02	0.09 to 1.67	0.9	1.96, p = 0.05	0.02 to 1.78	
Household HIV competency	tency						
No emotional support from hh members	- 0.94	− 1.79, p=0.08	– 1.99 to 0.11	- 1.01	− 1.82, p=0.07	– 2.13 to 0.10	
HIV support from household members change during lockdown?							
	1.16	2.23, p=0.03	– 0.12 to 2.19	1.34	1.83, p = 0.07	– 0.12 to 2.81	
Food security							
Restricted adults'							
food for small chil- dren to eat							
Often							

Variable*	Crude estimate	t & p-value	95% CI	Adjusted estimate* t & p-value	t & p-value	95% CI
	- 1.17	– 2.67, p=0.008	– 2.09 to – 0.26	- 1.19	-2.33, p=0.02	- 2.19 to - 0.19
<i>Health care access</i> Missed an appoint- ment because of	- 1.30	- 2.69, p=0.009	- 2.27 to - 0.34	- 1.13	-2.04, p=0.05	- 2.23 to - 0.02
lockdown Ran out of ART dur-	- 1.02	- 1.92, p=0.06	- 2.08 to 0.04	- 0.92	-1.52, p=0.13	– 2.13 to 0.29
Ing lockdown Well-being (stdized) 0.43	0.43	2.38, p = 0.02	– 0.07 to 0.79	0.41	1.88, p=0.06	– 0.03 to 0.84
Depression: felt more Yes	Depression: felt more depressed since lockdown Yes – 1.0007	<i>о</i> wn - 2.46, p=0.02	- 1.81 to - 0.19	- 1.17	-2.47, p=0.02	- 2.12 to - 0.22
*Adinsted for age ge	nder household income	*Adiusted for age gender household income education category control/intervention group with ART clinic as a random effect	ntrol/intervention oronn	with ART clinic as a ran	dom effect	

Table 7 (continued)

violence experienced due to disclosure of HIV status during the lockdown (-5.33, t=-4.74, p<0.001). Experiencing stigma (insults, blame and gossip in the household) was negatively associated with adherence scores: those experiencing blame averaged 4.06 adherence points fewer than those who did not (t=-2.86, p=0.002) (Table 7).

PLWH whose household members who did not work together to work out problems reported lower adherence scores (-3.02, t=-3.01, p=0.004), and reported higher adherence scores when household members did not raise their voices when angry (0.90, t=1.96, p=0.05) (Table 7). There was weak evidence that participants reported higher adherence scores when they stated that household members did not put each other down (1.17, t=1.92, p=0.06) (Table 7).

Individual Factors: Self-reported Well-Being and Depression

Those reporting feeling more depressed during the lockdown also reported lower adherence scores (-1.17, t = -2.47, p=0.02), holding all other variables constant (Table 7). The pathways between depression and ART adherence were, however, complex. Those describing depression also recounted worse well-being (-7.43, p=0.031). Higher well-being scores were associated with better adherence scores (0.43, t=2.38, p=0.02) although this association weakened when controlling for socio-demographic variables (0.39, t=1.81, p=0.08) (Table 7). Participants also had lower odds of reporting depression with indicators of improved house-hold functioning (*household members don't raise voices when angry*: aOR: 0.27, z=-2.04, p=0.04), which was also associated with better adherence scores.

Discussion

This study examined data from PLWH in Cape Town, focusing on two objectives: first, assessing differences (e.g., in household income) between baseline and follow-up in individual, social (household) and structural factors that affect ART adherence; second, examining the correlates of ART adherence at follow-up. It was hypothesized that lockdown would negatively impact well-being, household functioning, food security and access to care, and that these would be associated with ART adherence at follow-up. The results indicated that the impact of lockdown is unequal, dependent on a complex web of individual, social and structural factors.

While almost a third of participants reported feeling more depressed during the lockdown, there was also surprising evidence of an improvement in individual well-being, in contrast to studies in Uganda and New Zealand [29, 47]. Better mental health was, however, linked to more supportive household environments. Whilst an increase in household tension was predicted in South Africa [48], this study found evidence of some improvement at follow-up in indicators for household functioning, household HIV competency and household HIV stigma-in contrast to the initial hypothesis. Similarly, a study from Spain also found evidence of relational improvement after the lockdown, although this was dependent on parental status [49]. A large body of research demonstrates the importance of connections and social support for well-being [50-52]; social support and close relationships with household members can generate resilience and offset stressors [32, 33, 53]. Households with better functioning and supportive environments were therefore perhaps more resilient to the multiple stressors created by the COVID-19 lockdown: the lockdown may have created a focus and/or space for feelings of togetherness and thereby strengthened relationships in these households [49], while the mental health of patients with less supportive households suffered. It is therefore unsurprising that interlinked individual and household factors were associated with ART adherence, and that the impact of the lockdown on PLWH differentiated accordingly, with improvements for some and deterioration for others. Further qualitative research would be helpful in illuminating changes brought about in households as a result of the lockdown.

The association between IPV and adherence exemplifies the link between household environments and individual health behaviour. Reported IPV during the lockdown was strongly associated with worse adherence to ART. Joska et al. (2020) underlined that engaging with HIV services during the lockdown may even increase risk of violence in the home for PLWH [30]: adherence may therefore be compromised for self-protection. In contradiction to the initial hypothesis, this study found a reduction in the odds of reporting IPV during the lockdown, which is in accordance with South Africa's official crime statistics, as well as reports of hospital admissions due to assault and sexual assault [54-56] though other sources have cited increases in IPV during the lockdown in South Africa [30, 57]. Globally, a large number of studies have reported increased rates of IPV during lockdown measures [58-67], while a smaller number have reported decreases in overall IPV, assistance-seeking, or changes in types of IPV [60, 65, 68–72]. Reductions in IPV were hoped for when the alcohol sales ban in South Africa was implemented during the lockdown [12]: one study indicated that deaths from "unnatural causes" decreased dramatically during the lockdown [73] while another links a reduction in hospital admissions for assault and sexual assault directly to the alcohol ban [56]. Conversely, qualitative research has presented the ban as a stressor and therefore a cause of IPV [74], warranting further research on the link between the alcohol ban and IPV. Interestingly, participants in this study who reported violence both at baseline and at follow-up had specified at baseline that the perpetrator was their current partner or household member living in the household: reductions in violence could therefore be due to reduced contact with perpetrators who live outside the household. Levels of violence were furthermore expected to rise again once measures eased [75]. Results for this study may also, of course, be affected by under-reporting, especially if a perpetrator is in the room or building.

As lockdown resulted in a loss of employment for many in South Africa, there has been much discussion around the impact on household income, especially for the most vulnerable [4, 48, 76-81]. Between baseline and followup there was, as hypothesized, evidence of a decrease in the odds of being in a higher household income category, and an increase in the odds of falling below the poverty line, a finding in line with government reports, quantitative studies, and modelling studies in South Africa [79-84]. Although lower-income households in South Africa may have been somewhat protected from the economic shock of the pandemic due to cash transfers from the government [85], implementation and uptake has been uneven: many households have still suffered a loss of income. No evidence was found for an association between income and ART adherence, although measuring household income in a sufficient degree of granularity is difficult, particularly when interviewing only one individual per household [86]—and household income alone does not effectively represent socio-economic status [87]. Similarly, no evidence was found for an association between the education level of the respondent and ART adherence. This is in contrast to other studies in South Africa [88–90], however, assessing the relationship between ART adherence and factors such as education is notoriously complex given the multiple pathways between them [36, 91], especially in times of crisis.

One concern regarding loss of employment and informal income sources during lockdown revolved around the impact on household food security, as a result of exacerbated structural food and economic insecurity impacting the ability of households to procure enough food [29, 92, 93]. In this study, those who restricted adults' consumption so that young children could eat during lockdown reported worse adherence. Prior to school closures during the pandemic, households may have benefitted from children eating meals at school [48]: although the South African government increased the amount of the child support grants during the lockdown [94], this was calculated per-caregiver, not perchild, thereby penalizing those with larger families. Grants should therefore be raised on a per-child basis: caregiver ART adherence may depend on it.

There was limited evidence that structural factors such as healthcare access were important for ART adherence.

Whilst missing an appointment during lockdown was associated with lower adherence scores, participants also reported issues with accessing medication and missing the support offered by the CHW. Similarly, the National Income Dynamics Study, South Africa's Coronavirus Rapid Mobile Survey (NIDS-CRAM), also reported a reduction in visits for HIV care during the lockdown [95]. Although facilities were open throughout the lockdown, access to care may have been affected by a number of factors: fear of contracting COVID-19 when attending health facilities, reduced income and transport issues, as well as facility closures due to outbreaks and/or isolating staff members [13]. Access also varies by location: studies in KwaZulu Natal found no evidence of a reduction in clinic visits, although COVID-19 regulations were noticeably less strictly enforced in rural areas [13, 96]. Dorward et al. posited that an increase in ART collections prior to lockdown may have reflected stockpiling in anticipation of restricted access to health care [13]. Qualitative research should analyse patient strategies for accessing health care prior to and during the lockdown in order to inform policy for potential future outbreaks.

Limitations

This study is subject to a number of limitations. First, the number of clusters (ART clinics) is small when compared to recommendations in the literature [97, 98]: assessing variability at the district level is therefore challenging. The intra-class correlation coefficients for adherence were small (< 0.05); however, ignoring cluster design in sensitivity analyses gave lower p-values, indicating the importance of accounting for clustering. There is a pressing need for methodological studies expanding understanding of mixed effects modelling with few clusters and small sample sizes, especially with binary outcomes.

Second, of 152 baseline participants, only 83 participated in the follow-up, which could introduce selection bias. Participants had to be contacted via telephone to adhere to COVID regulations: this was challenging given the necessity of participants being able to charge batteries. Restricted maximum likelihood was therefore used with a Kenward-Roger correction in order to account for the small sample [43], and the recommendations of Dinh et al. followed for handling missing data [44]. For binary outcomes, as the h-likelihood was not available in Stata, Gauss–Hermite quadrature was chosen as the Laplacian approximation has been known to produce biased parameter estimates; even though the bias is more conspicuous in the variance components estimates.

Third, there was evidence of a difference in terms of education level between baseline and those who followed up to complete the second questionnaire: those with higher levels of education had higher odds of completing the follow-up questionnaire. One possible explanation could be that those with higher levels of education may have continued working during the lockdown [80, 85]. A report for the Coronavirus Rapid Mobile Survey undertaken in South Africa states that those with higher education levels were more likely to be in formal jobs such as managers, professionals, technicians, whereas those with lower levels of education were more likely to be in informal work, e.g. craft and trade, plant and machine operators [79]. Informal jobs were more vulnerable to being lost and suffering higher wage losses [79, 80, 99]. Those with higher levels of education may therefore have been available to participate in the follow-up questionnaire as they had resources available (phone, economic security and time). This could be evaluated with further qualitative research.

The potential impact of the loss to follow-up on this study's results, however, is important to address. Studies in South Africa have indicated an association between higher education level and decreased likelihood of perceived household and community HIV stigma [100-102]. Regarding IPV, systematic reviews of the literature have indicated that the link between education level and IPV is unclear [103, 104], although a meta-analysis of studies in sub-Saharan Africa has found a link between lower levels of education and higher prevalence of IPV [104]. Our results should therefore be read in light of the fact that there was a difference in the education level of those who responded to the follow-up questionnaire and those who were lost to follow-up; further quantitative and qualitative research is therefore necessary to gain a clearer picture of the impact of COVID-19 measures on IPV and HIV stigma within the home and the community.

Fourth, the main outcome was a self-reported adherence score, which may be subject to social desirability bias [105]. Although there is no gold standard for measuring adherence [106], additional analysis should be undertaken using other adherence measures to assess these findings. Additionally, the time periods for certain variables were not equal: for example, whereas baseline participants were asked about incidents of violence during the previous six months, follow-up participants were questioned about the lockdown period (about three months' duration), which is shorter: any decrease may therefore be due to differing time periods. Furthermore, given that this study was undertaken only three-four months after the beginning of the lockdown period, any changes may be temporary and in response to an urgent crisis situation: further research should analyse whether changes have endured. Nevertheless, this study provides a unique insight into the impact of the lockdown on ART patients.

Conclusions

Implementing lockdowns to curb COVID-19 transmission resulted in a reorganization of social and economic life in South Africa on an exceptional scale, impacting ART adherence via multiple interconnected pathways. The results of this study indicate the importance of household level factors in ART adherence during lockdown, as well as individual and structural level factors. In order to support ART adherence during lockdown, the South African government should facilitate access to food and medication, especially for households with children, as well as access to IPV support services. Qualitative research should be undertaken to clarify the pathways by which individual, social and structural factors are linked to ART adherence during lockdown. Further research should assess whether interventions that improve household functioning and household HIV competency enable PLWH to adhere to ART-even in times of crisis.

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Author Contributions LC was responsible for statistical analysis and interpretation of data, and drafting and revising the manuscript. EW, CM and LC developed the manuscript concept. LK managed the trial, oversaw data acquisition, and supervised fieldwork teams. EW, LK and CM were responsible for SINAKO study design and acquisition of funding. All authors provided critical revision of the article, and read and approved the final version of the manuscript.

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Availability of Data and Material The datasets analyzed during the current study are available from the corresponding author on reasonable request.

Code Availability The coding used during the current study is available from the corresponding author on reasonable request.

Declarations

Competing interests The authors declare no competing interests.

Ethics Approval The study was approved by the ethics committee of the University of the Western Cape (BM19/4/6, June 2019) and the ethical

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Consent to Participate Informed consent was obtained from all individual participants included in the study.

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