#### **SPECIAL ARTICLE**



### Comprehensive registry of esophageal cancer in Japan, 2012

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#### Preface 2012

We deeply appreciate the great contributions of many physicians in the registry of esophageal cancer cases. The Comprehensive Registry of Esophageal Cancer in Japan, 2012, was published here, despite some delay. The registry complies with the Act for the Protection of Personal Information. The encryption with a HASH function is used for anonymity in an unlinkable fashion.

We briefly summarized the Comprehensive Registry of Esophageal Cancer in Japan, 2012. Japanese Classification of Esophageal Cancer 10th [1] and UICC TNM Classification 7th [2] were used for cancer staging according to the subjected year. A total of 8003 cases were registered from 316 institutions in Japan. Tumor locations were cervical: 4.6%, upper thoracic: 12.8%, middle thoracic: 47.9%, lower thoracic: 26.1% and EG junction: 7.6%. Superficial carcinomas (Tis, T1a, T1b) were 38.2%. As for the histologic

These data were first made available on March 2019, as the Comprehensive Registry of Esophageal Cancer in Japan, 2012.

The authors were members of the Registration Committee for Esophageal Cancer, the Japan Esophageal Society, and made great contributions to the preparation of this material.

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type of biopsy specimens, squamous cell carcinoma and adenocarcinoma accounted for 89.5% and 6.0%, respectively. Regarding clinical results, the 5-year survival rates of patients treated using endoscopic resection, concurrent chemoradiotherapy, radiotherapy alone, or esophagectomy were 84.4, 32.4, 24.9, and 55.6%, respectively. The endoscopic submucosal dissection accounted for 84.9% of endoscopic resection. Esophagectomy was performed in 4722 cases. Concerning the approach used for esophagectomy, 36.0% of the cases were treated thoracoscopically. The operative mortality (within 30 days after surgery) was 0.52% and the hospital mortality was 2.35%. The 5-year survival rate of patients with pStage IV in UICC classification (including patients with supraclavicular node metastasis) was better than that of patients with pStage IVb in JES classification (not including patients with supraclavicular node metastasis).

We hope that this Comprehensive Registry of Esophageal Cancer in Japan for 2012 will help to improve all aspects of the diagnosis and treatment of esophageal cancer in Japan.

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#### Contents

- I. Clinical factors of esophageal cancer patients treated in 2012
  - 1. Institution-registered cases in 2012
- 2. Patient background
  - Table 1 Age and gender
  - Table 2 Primary treatment
  - Table 3 Tumor location
  - Table 4 Histologic types of biopsy specimens
  - Table 5 Depth of tumor invasion, cT (UICC TNM 7th)
  - Table 6 Lymph node metastasis, cN (UICC TNM 7th)
  - Table 7 Distant metastasis, cM (UICC TNM 7th)
  - Table 8 Clinical stage (UICC TNM 7th)
- II. Results of endoscopically treated patients in 2012

Table 9 Details of endoscopic treatment for curative intent

**Table 10 Complications of EMR/ESD** 

Table 11 Pathological depth of tumor invasion of EMR/ESD specimens

Figure 1 Survival of patients treated with EMR/ESD

Figure 2 Survival of patients treated with EMR/ ESD according to the pathological depth of tumor invasion, pT (UICC TNM 7th)

Figure 3 Survival of patients treated with EMR/ ESD according to the lymphatic and venous invasion

III. Results in patients treated with chemotherapy and/or radiotherapy in 2012

Table 12 Dose of irradiation (non-surgically treated cases)

**Table 13 Dose of irradiation (surgically treated cases)** 

Figure 4 Survival of patients treated with chemotherapy and/or radiotherapy

Figure 5 Survival of patients treated with definitive chemoradiotherapy according to clinical stage (UICC TNM 7th)

Figure 6 Survival of patients underwent radiotherapy alone according to clinical stage (UICC TNM 7th)

## IV. Results in patients who underwent esophagectomy in 2012

Table 14 Treatment modalities of esophagectomy

Table 15 Tumor location

Table 16 Approaches to tumor resection

Table 17 Video-assisted surgery

Table 18 Fields of lymph node dissection according

to the location of the tumor

Table 19 Reconstruction route

Table 20 Organs used for reconstruction

Table 21 Histological classification

Table 22 Depth of tumor invasion, pT (JES 10th)

Table 23 Pathological grading of lymph node metastasis, pN (JES 10th)

Table 24 Pathological findings of lymph node metastasis, pN (UICC 7th)

Table 25 Pathological findings of distant organ metastasis, pM (JES 10th)

Table 26 Residual tumor

Table 27 Causes of death

Figure 7 Survival of patients who underwent esophagectomy

Figure 8 Survival of patients who underwent esophagectomy according to clinical stage (JES 10th)

Figure 9 Survival of patients who underwent esophagectomy according to clinical stage (UICC 7th)

Figure 10 Survival of patients who underwent esophagectomy according to the depth of tumor invasion, pT (JES 10th)

Figure 11 Survival of patients who underwent esophagectomy according to lymph node metastasis, pN (JES 10th)

Figure 12 Survival of patients who underwent esophagectomy according to lymph node metastasis, pN (UICC 7th)

Figure 13 Survival of patients who underwent esophagectomy according to pathological stage (JES 10th)

Figure 14 Survival of patients who underwent esophagectomy according to pathological stage (UICC TNM 7th)

Figure 15 Survival of patients who underwent esophagectomy according to residual tumor (R)

## I. Clinical factors of esophageal cancer patients treated in 2012

Institution-registered cases in 2012

#### Institution

Ageo Central General Hospital

Aichi Cancer Center

Aichi Medical University Hospital

Aizawa Hospital Akaishi Hospital

Akita Kouseiren Hiraga Hospital Akita University Hospital Aomori City Hospital

Arao Municipal Hospital Asahikawa Medical College Hospital

Cancer Institute Hospital of JFCR Chiba Cancer Center

Chiba Medical Center Chiba University Hospital

Chibaken Saiseikai Narashino Hospital

Chigasaki Municipal Hospital Dokkyo Medical University Hospital

Dokkyo Medical University Saitama Medical Center

Ehime University Hospital Eiju General Hospital

Foundation for Detection of Early Gastric Carcinoma

Fuchu Hospital

Fujioka General Hospital
Fujisawa Shounandai Hospital
Fujita Health University Hospital
Fukui Prefectural Hospital
Fukui University Hospital
Fukui-ken Saiseikai Hospital

Fukuoka Dental College and Dental Hospital

Fukuoka Saiseikai General Hospital Fukuoka University Chikushi Hospital

Fukuoka University Hospital Fukuoka Wajiro Hospital

Fukushima Medical University Hospital

Fukuyama City Hospital

Fussa Hospital

Gifu Prefectural General Medical Center

Gifu University Hospital Gunma Central General Hospital Gunma Prefectural Cancer Center Gunma University Hospital

Gunmaken Saiseikai Maebashi Hospital

Hachinohe City Hospital Hakodate Goryokaku Hospital

#### continued

#### Institution

Hakodate National Hospital

Hamamatsu University School of Medicine, University Hospital

Heartlife Hospital

Higashiosaka City Medical Center

Hino Memorial Hospital Hino Municipal Hospital Hiratsuka City Hospital Hiratsuka Kyosai Hospital Hirosaki University Hospital Hiroshima City Asa Hospital

Hiroshima City Hiroshima Citizens Hospital

Hiroshima Red Cross Hospital & Atomic-bomb Survivors Hospital

Hiroshima University Hospital Hitachi General Hospital Hokkaido University Hospital Hyogo Cancer Center

Hyogo Prefectural Nishinomiya Hospital Ibaraki Prefectural Central Hospital

Iizuka Hospital Imazu Surgical Clinic Inazawa City Hospital

International University of Health and Welfare Hospital

International Goodwill Hospital

International University of Health and Welfare Mita Hospital

Isehara Kyodo Hospital

Ishikawa Prefectural Central Hospital
Iwate Medical University Hospital
Iwate Prefectural Central Hospital
Iwate Prefectural Chubu Hospital
Iwate Prefectural Isawa Hospital
Japanese Red Cross Fukui Hospital
Japanese Red Cross Ishinomaki Hospital
Japanese Red Cross Kyoto Daini Hospital
Japanese Red Cross Nagaoka Hospital
Japanese Red Cross Okayama Hospital

Japanese Red Cross Society Kyoto Daiichi Hospital

Japanese Red Cross Tottori Hospital JCHO Kurume General Hospital

JCHO Kyushu Hospital

JCHO Miyazaki Konan Hospital

JCHO Osaka Hospital

JCHO Saitama Medical Center JCHO Tokuyama Central Hospital JCHO Yokohama Chuo Hospital Jichi Medical University Hospital

Jichi Medical University Saitama Medical Center

Juntendo University Hospital

Juntendo University Shizuoka Hospital

Junwakai Memorial Hospital

Kagawa Prefectural Central Hospital



#### continued

#### Institution

Kagawa Rosai Hospital Kagawa University Hospital

Kagoshima Kenritsu Satsunan Hospital

Kagoshima University Hospital

Kaizuka City Hospital
Kameda General Hospital
Kanagawa Cancer Center
Kanazawa University Hospital
Kansai Medical University Hospital
Kansai Medical University Medical Center

Kansai Rosai Hospital Kasamatsu Hospital

Kashiwa Kousei General Hospital Kawakita General Hospital Kawasaki Medical School Hospital

Kawasaki Medical School Kawasaki Hospital

Kawasaki Municipal Ida Hospital

Keio University Hospital
Keiyukai Sapporo Hospital
Kikuna Memorial Hospital
Kin-ikyo Chuo Hospital
Kinki Central Hospital
Kinki University Hospital
Kiryu Kosei General Hospital
Kishiwada City Hospital
Kitaakita Municipal Hospital

Kitakyushu Municipal Medical Center

Kitano Hospital

Kitasato Institute Hospital Kitasato University Hospital

Kobe City Medical Center General Hospital Kobe City Nishi-Kobe Medical Center

Kobe University Hospital
Kochi Health Sciences Center
Kochi University Hospital
Kokura Memorial Hospital
Kumagaya General Hospital
Kumamoto City Hospital
Kumamoto University Hospital
Kurashiki Central Hospital
Kurume University Hospital
Kurume University Hospital

Kyushu Central Hospital of the Mutual Aid Association of Public

School Teachers Kyushu Medical Center

Kyorin University Hospital

Kyoto University Hospital

Kyushu University Beppu Hospital Kyushu University Hospital Machida Municipal Hospital

#### continued

#### Institution

Matsuda Hospital
Matsudo City Hospital
Matsushita Memorial Hospital
Matsuyama Red Cross Hospital
Mie University Hospital

Minamiosaka Hospital
Minamiyamato Hospital
Mino City Hospital
Mito Red Cross Hospital
Mitsui Memorial Hospital
Moriguchi Keijinkai Hospital
Murakami General Hospital
Musashino Red Cross Hospital
Nagahama City Hospital
Nagano Red Cross Hospital
Nagaoka Chuo General Hospital

Nagasaki University Hospital Nagoya City East Medical Center Nagoya City University Hospital Nagoya City West Medical Center Nagoya Daiichi Red Cross Hospital Nagoya University Hospital

Nanpuh Hospital Nara City Hospital

Nara Medical University Hospital National Cancer Center Hospital National Cancer Center Hospital East National Defense Medical College Hospital

National Institute of Radiological Sciences Hospital

Nerima Hikarigaoka Hospital NHO Beppu Medical Center NHO Chiba Medical Center NHO Chiba-East-Hospital

NHO Fukuoka-higashi Medical Center

NHO Himeji Medical Center
NHO Hokkaido Cancer Center
NHO Kanmon Medical Center
NHO Kure Medical Center
NHO Kyoto Medical Center
NHO Kyushu Cancer Center
NHO Matsumoto Medical Center
NHO Nagasaki Medical Center
NHO Nagoya Medical Center
NHO Okayama Medical Center
NHO Osaka National Hospital
NHO Tokyo Medical Center
NHO Individual Center
NHO Tokyo Medical Center
NHO Individual Center
NHO Tokyo Medical Center
NHO Tokyo Medical Center
NHO Tokyo Medical Center
Nihonkai General Hospital
Niigata Cancer Center Hospital



#### continued

#### Institution

Niigata Prefectural Shibata Hospital

Niigata University Medical and Dental Hospital

Nikko Memorial Hospital

Nippon Medical School Chiba Hokusoh Hospital

Nippon Medical School Hospital

Nippon Medical School Musashi Kosugi Hospital Nippon Medical School Tama Nagayama Hospital

Nishinomiya Municipal Central Hospital

NTT Medical Center Tokyo NTT WEST Osaka Hospital Numazu City Hospital Obihiro Kousei Hospital Ogachi Central Hospital Ogaki Municipal Hospital Ohta Nishinouchi Hospital

Ohta Nishinouchi Hospital Oita Red Cross Hospital Oita University Hospital

Okayama Saiseikai General Hospital Okayama University Hospital

Omuta Tenryo Hospital Osaka City General Hospital Osaka City University Hospital Osaka Ekisaikai Hospital Osaka General Medical Center

Osaka International Cancer Institute Osaka Medical College Hospital

Osaka Police Hospital Osaka Red Cross Hospital Osaka University Hospital Otsu City Hospital

Rinku General Medical Center Ryukyu University Hospital Saga University Hospital

Saga-ken Medical Center Koseikan Saiseikai Fukushima General Hospital

Saiseikai Kyoto Hospital Saiseikai Utsunomiya Hospital Saiseikai Yahata General Hospital Saiseikai Yokohamashi Tobu Hospital

Saitama Cancer Center Saitama City Hospital

Saitama Medical University Hospital

Saitama Medical University International Medical Center Saitama Medical University Saitama Medical Center

Sakai City Medical Center Saku Central Hospital Sanin Rosai Hospital Sendai City Hospital Sendai Medical Center

#### continued

#### Institution

Shiga General Hospital

Shiga University of Medical Science Hospital

Shikoku Cancer Center Shimane University Hospital Shimizu Welfare Hospital

Shinko Hospital

Shizuoka Cancer Center

Shizuoka City Shizuoka Hospital Shizuoka General Hospital Showa University Hospital

Showa University Koto-Toyosu Hospital

Sonoda Daiichi Hospital

Southern Tohoku General Hospital St. Luke's International Hospital

St. Marianna University School of Medical Hospital

Steel Memorial Hirohata Hospital

Sugita Genpaku Memorial Obama Municipal Hospital

Suita Municipal Hospital Tachikawa Hospital

Takasago Municipal Hospital

Teikyo University Chiba Medical Center

Teikyo University Hospital Teine Keijinkai Hospital

Tenri Hospital

The Jikei University Daisan Hospital
The Jikei University Hospital
Tochigi Cancer Center

Toho University Ohashi Medical Center Toho University Omori Medical Center Toho University Sakura Medical Center

Tohoku University Hospital
Tokai University Hachioji Hospital
Tokai University Hachioji

Tokai University Hospital Tokai University Tokyo Hospital Tokushima Red Cross Hospital Tokushima University Hospital

Tokyo Dental College Ichikawa General Hospital Tokyo Medical and Dental University Hospital Tokyo Medical University Hachioji Medical Center

Tokyo Medical University Hospital

Tokyo Medical University Ibaraki Medical Center

Tokyo Metropolitan Cancer and Infectious Diseases Center Komag-

ome Hospital

Tokyo Metropolitan Tama Medical Center

Tokyo Saiseikai Central Hospital Tokyo University Hospital

Tokyo Women's Medical University Hospital

Tokyo Women's Medical University Medical Center East Tokyo Women's Medical University Yachiyo Medical Center

Tonan Hospital



continued
Institution
Toranomon Hospital
Toshima Hospital
Tottori Prefectural Central Hospital
Tottori University Hospital
Toyama Prefectural Central Hospital
Toyama University Hospital
Toyonaka Municipal Hospital
Tsuchiura Kyodo Hospital
Tsukuba University Hospital
Tsuruoka Municipal Shonai Hospital
University Hospital, Kyoto Prefectural University of Medicine
University of Miyazaki Hospital
Urasoe General Hospital
Wakayama Medical University Hospital
Yamagata Prefectural Central Hospital
Yamagata Prefectural Shinjo Hospital
Yamagata University Hospital
Yamaguchi University Hospital
Yamaguchi-ken Saiseikai Shimonoseki General Hospital
Yamanashi Prefectural Central Hospital
Yamanashi University Hospital
Yao Municipal Hospital
Yokohama City Municipal Hospital
Yokohama City University Medical Center
Yokohama Rosai Hospital
Yuri Kumiai General Hospital

(Total 316 institutions)

### **Patient Background**

Tables 1, 2, 3, 4, 5, 6, 7, 8.

Table 1 Age and gender

Age	Male	Female	Cases (%)
≤29	3	1	4 (0.0%)
30-39	16	14	30 (0.4%)
40-49	163	58	221 (2.8%)
50-59	1028	188	1216 (15.2%)
60-69	2814	453	3267 (40.8%)
70-79	2274	371	2645 (33.1%)
80-89	476	118	594 (7.4%)
90≤	18	8	26 (0.3%)
Total	6792	1211	8003

Table 2 Primary treatment

Surgery Esophagectomy Palliative surgery	Cases (%)
1 0 7	4798 (60.0%)
Palliative surgery	4722 (59.0%)
	76 (1.0%)
Chemotherapy/radiotherapy	1794 (22.4%)
Endoscopic treatment	1407 (17.6%)
Total	7999



Table 3 Tumor location

Location of tumor Endoscopic treatment (%)	-	Surgery		Chemotherapy and/or radiotherapy (%)	Total (%)
	Esophagectomy (%)	Palliative surgery (%)			
Cervical	41 (2.9%)	152 (3.2%)	3 (3.9%)	174 (9.7%)	370 (4.6%)
Upper thoracic	122 (8.7%)	581 (12.3%)	18 (23.7%)	302 (16.8%)	1023 (12.8%)
Middle thoracic	819 (58.2%)	2151 (45.6%)	37 (48.7%)	825 (46.0%)	3832 (47.9%)
Lower thoracic	335 (23.8%)	1344 (28.5%)	14 (18.4%)	392 (21.9%)	2085 (26.1%)
EG	58 (4.1%)	356 (7.5%)	2 (2.6%)	39 (2.2%)	455 (5.7%)
E = G	14 (1.0%)	56 (1.2%)	1 (1.3%)	10 (0.6%)	81 (1.0%)
GE	4 (0.3%)	59 (1.2%)	1 (1.3%)	7 (0.4%)	71 (0.9%)
Unknown	14 (1.0%)	23 (0.5%)		45 (2.5%)	82 (1.0%)
Total	1407	4722	76	1794	7999

 ${\it E}$  esophageal,  ${\it G}$  gastric

Table 4 Histologic types of biopsy specimens

Histologic types	Cases (%)	
Squamous cell carcinoma	7162 (89.5%)	
Squamous cell carcinoma	5130 (64.1%)	
Well differentiated	440 (5.5%)	
Moderately differentiated	1177 (14.7%)	
Poorly differentiated	415 (5.2%)	
Adenocarcinoma	358 (4.5%)	
Barrett's adenocarcinoma	119 (1.5%)	
Adenosquamous carcinoma	15 (0.2%)	
Mucoepidermoid carcinoma	6 (0.1%)	
Basaloid carcinoma	41 (0.5%)	
Neuroendocrine cell tumor	24 (0.3%)	
Undifferentiated carcinoma	12 (0.2%)	
Sarcoma	79 (1.0%)	
Malignant melanoma	1 (0.0%)	
Carcinosarcoma	19 (0.2%)	
GIST	14 (0.2%)	
Other tumors	2 (0.0%)	
Unknown	147 (1.8%)	
Total	7999	

**Table 5** Depth of tumor invasion, cT (UICC TNM 7th)

cT	Cases (%)
cTX	100 (1.3%)
сТ0	14 (0.2%)
cTis	216 (2.7%)
cT1a	1264 (15.8%)
cT1b	1575 (19.7%)
cT2	1004 (12.6%)
сТ3	2843 (35.5%)
cT4a	395 (4.9%)
cT4b	538 (6.7%)
Unknown	50 (0.6%)
Total	7999

**Table 6** Lymph node metastasis, cN (UICC TNM 7th)

cN	Cases (%)
cNX	170 (2.1%)
cN0	3796 (47.5%)
cN1	2020 (25.3%)
cN2	1335 (16.7%)
cN3	519 (6.5%)
Unknown	159 (2.0%)
Total	7999

**Table 7** Distant metastasis, cM (UICC TNM 7th)

cM	Cases (%)
cM0	7214 (90.2%)
cM1	740 (9.3%)
Unknown	45 (0.6%)
Total	7999



 Table 8 Clinical stage (UICC TNM 7th)

Clinical Stage Endoscopic treatment(%)		Surgery		Chemotherapy and/or radio-	Total (%)
		Esophagectomy (%) Palliative surgery (%)		therapy (%)	
Stage 0	254 (18.1%)	104 (2.2%)	2 (2.6%)	54 (3.0%)	414 (5.2%)
Stage IA	981 (69.7%)	1117 (23.7%)	1 (1.3%)	201 (11.2%)	2300 (28.8%)
Stage IB	6 (0.4%)	393 (8.3%)		50 (2.8%)	449 (5.6%)
Stage IIA	5 (0.4%)	499 (10.6%)	6 (7.9%)	79 (4.4%)	589 (7.4%)
Stage IIB	3 (0.2%)	458 (9.7%)		88 (4.9%)	549 (6.9%)
Stage IIIA	9 (0.6%)	951 (20.1%)	14 (18.4%)	210 (11.7%)	1184 (14.8%)
Stage IIIB	10 (0.7%)	510 (10.8%)	6 (7.9%)	117 (6.5%)	643 (8.0%)
Stage IIIC	20 (1.4%)	384 (8.1%)	26 (34.2%)	418 (23.3%)	848 (10.6%)
Stage IV	36 (2.6%)	183 (3.9%)	16 (21.1%)	505 (28.1%)	740 (9.3%)
Unknown	83 (5.9%)	123 (2.6%)	5 (6.6%)	72 (4.0%)	283 (3.5%)
Total	1407	4722	76	1794	7999

### II. Results of endoscopically treated patients in 2012

Tables 9, 10, 11 and Figs. 1, 2, 3.

 Table 9 Details of endoscopic treatment for curative intent

Treatment details	Cases (%)
EMR	185 (14.2%)
EMR + YAG laser	6 (0.5%)
EMR+MCT/RFA	1 (0.1%)
ESD	1064 (81.9%)
ESD+EMR	2 (0.2%)
ESD+PDT	8 (0.6%)
ESD + YAG laser	8 (0.6%)
PDT	3 (0.2%)
YAG laser	22 (1.7%)
Total	1299

EMR endoscopic mucosal resection, PDT photodynamic therapy, YAG yttrium aluminum garnet, MCT microwave coagulation therapy, ESD endoscopic submucosal dissection

**Table 10** Complications of EMR/ESD

Complications of EMR/ESD	Cases (%)
None	1206 (94.7%)
Perforation	20 (1.6%)
Bleeding	1 (0.1%)
Stenosis	35 (2.7%)
Others	5 (0.4%)
Unknown	7 (0.5%)
Total	1274

**Table 11** Pathological depth of tumor invasion of EMR/ESD specimens

Pathological depth of tumor invasion	Cases (%)	
pTX	6 (0.5%)	
pT0	10 (0.8%)	
pTis	234 (18.4%)	
pT1a	873 (68.5%)	
pT1b	140 (11.0%)	
pT2	2 (0.2%)	
Unknown	9 (0.7%)	
Total	1274	



**Fig. 1** Survival of patients treated with EMR/ESD

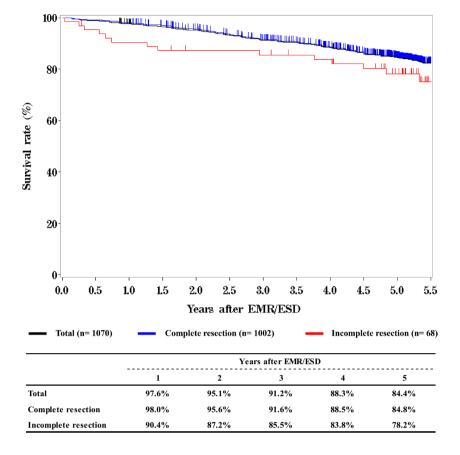


Fig. 2 Survival of patients treated with EMR/ESD according to the pathological depth of tumor invasion, pT (UICC TNM 7th)

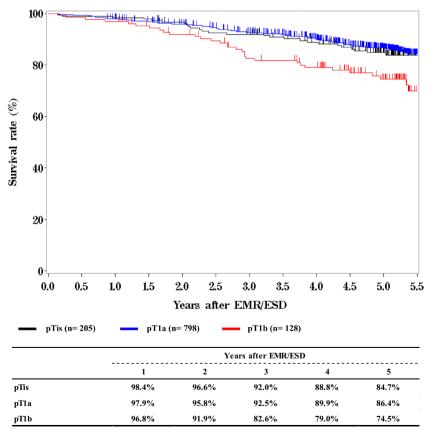
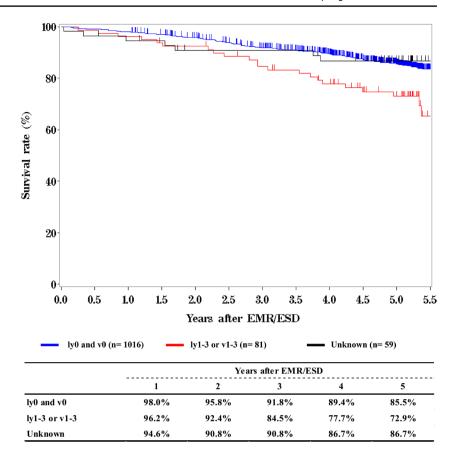




Fig. 3 Survival of patients treated with EMR/ESD according to the lymphatic and venous invasion



# III. Results in patients treated with chemotherapy and/or radiotherapy in 2012

Tables 12, 13 and Figs. 4, 5, 6.

 Table 12 Dose of irradiation (non-surgically treated cases)

Dose of irradia-	Definitive		Palliative (%)	Recurrence (%)	Others (%)	Unknown (%)	Total (%)
tion (Gy)	Radiation alone (%)	Chemoradio- therapy (%)					
-29	7 (3.2%)	14 (1.5%)	14 (4.5%)		1 (3.3%)		36 (2.4%)
30-39	3 (1.4%)	13 (1.4%)	46 (14.7%)	1 (20.0%)	5 (16.7%)	1 (2.5%)	69 (4.6%)
40-49	4 (1.9%)	36 (4.0%)	63 (20.1%)	1 (20.0%)	7 (23.3%)	1 (2.5%)	112 (7.4%)
50-59	35 (16.2%)	192 (21.2%)	76 (24.3%)		8 (26.7%)	10 (25.0%)	321 (21.3%)
60-69	127 (58.8%)	546 (60.4%)	103 (32.9%)	3 (60.0%)	7 (23.3%)	27 (67.5%)	813 (53.9%)
70-	7 (3.2%)	30 (3.3%)	4 (1.3%)		(0.0%)		41 (2.2%)
Unknown	33 (15.3%)	73 (8.1%)	7 (2.2%)		2 (6.7%)	1 (2.5%)	116 (7.7%)
Total	216	904	313	5	30	40	1508
Median (min- max)	60.0 (2.0–139.0)	60.0 (8.0–104.4)	50.0 (2.0–126.0)	60.0 (30.0– 63.0)	50.0 (4.5–63.0)	60.0 (30.0–66.0)	60.0 (2.0–139.0)



 Table 13 Dose of irradiation (surgically treated cases)

Dose of irradiation (Gy)	Preoperative irradiation (%)	Postoperative irradiation (%)
-29	3 (1.0%)	1 (1.8%)
30-39	86 (28.6%)	3 (5.4%)
40-49	166 (55.1%)	9 (16.1%)
50-59	10 (3.3%)	17 (30.4%)
60-69	23 (7.6%)	23 (41.1%)
70-		
Unknown	13 (4.3%)	3 (5.4%)
Total	301	56
Median (min-max)	40.0 (3.0–66.6)	50.5 (16.0–66.0)

**Fig. 4** Survival of patients treated with chemotherapy and/ or radiotherapy

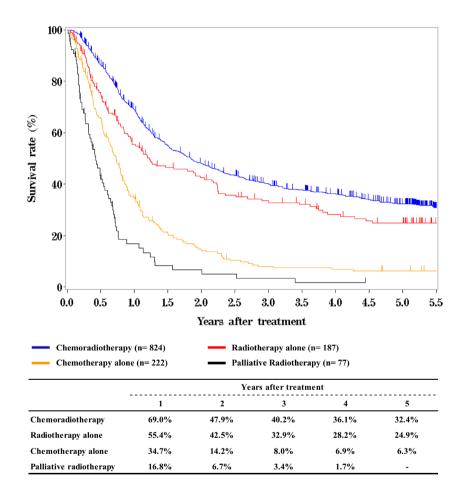




Fig. 5 Survival of patients treated with definitive chemoradiotherapy according to clinical stage (UICC TNM 7th)

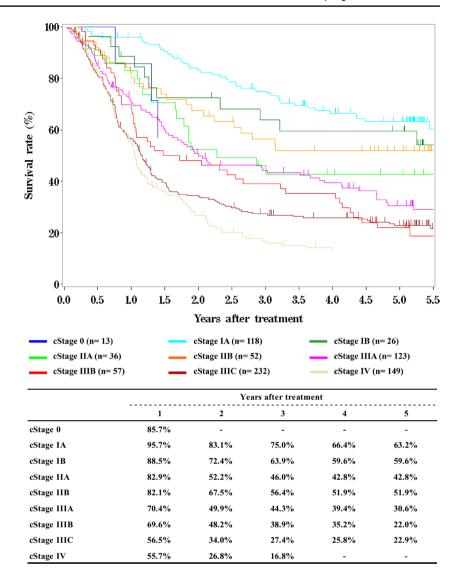
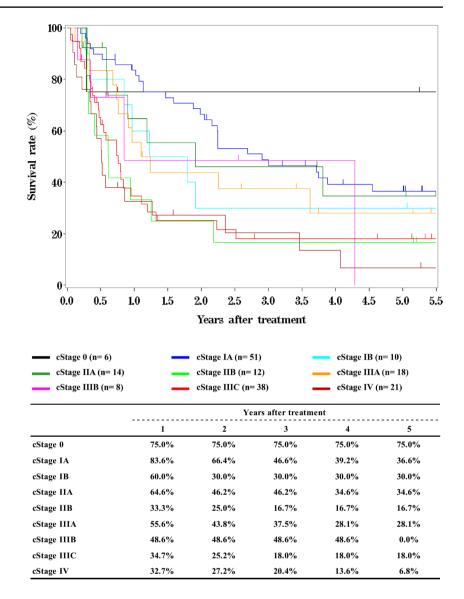




Fig. 6 Survival of patients treated with radiotherapy alone according to clinical stage (UICC TNM 7th)



# IV. Results in patients who underwent esophagectomy in 2012

Tables 14,15,16,17,18,19,20,21,22 23,24,25,26,27, and Figs. 7, 8, 9, 10, 11, 12, 13, 14, 15.



**Table 14** Treatment modalities of esophagectomy

Treatments	Cases (%)
Esophagectomy	1792 (38.0%)
Esophagectomy + endoscopic treatment	123 (2.6%)
Esophagectomy + chemoradiotherapy	806 (17.1%)
Concurrent chemoradiotherapy	557 (11.8%)
Other	249 (5.3%)
Esophagectomy + chemoradiotherapy + endoscopic treatment	24 (0.5%)
Esophagectomy + chemoradiotherapy + other treatment	1 (0.0%)
Esophagectomy + radiotherapy	67 (1.4%)
Preoperative	15 (0.3%)
Postoperative	23 (0.5%)
Recurrence	4 (0.1%)
Other	25 (0.5%)
Esophagectomy + chemotherapy	1886 (39.9%)
Preoperative	1473 (31.2%)
Postoperative	253 (5.4%)
Recurrence	52 (1.1%)
Other	108 (2.3%)
Esophagectomy + chemotherapy + endoscopic treatment	21 (0.4%)
Esophagectomy + chemotherapy + other treatment	1 (0.0%)
Esophagectomy + other treatment	1 (0.0%)
Total	4722

Table 15 Tumor location

Locations	Cases (%)
Cervical	152 (3.2%)
Upper thoracic	581 (12.3%)
Middle thoracic	2151 (45.6%)
Lower thoracic	1344 (28.5%)
E > G	356 (7.5%)
E = G	56 (1.2%)
G > E	59 (1.2%)
Unknown	23 (0.5%)
Total lesions	4722

 Table 16
 Approaches to tumor resection

Approaches	Cases (%)
Cervical approach	83 (1.8%)
Right thoracic	4070 (86.2%)
Left thoracic	81 (1.7%)
Left thoracoabdominal	80 (1.7%)
Abdominal	173 (3.7%)
Transhiatal thoracic esophagectomy	59 (1.2%)
Transhiatal lower esophagectomy	115 (2.4%)
Sternotomy	9 (0.2%)
Others	25 (0.5%)
Unknown	27 (0.6%)
Total	4722

Thoracic includes thoracotomy and thoracoscopic Abdominal includes laparotomy and laparoscopic



Table 17 Video-assisted surgery

Video-assisted surgery	Cases (%)
None	2623 (55.5%)
Thoracoscopy	983 (20.8%)
Thoracoscopy + laparoscopy	712 (15.1%)
Thoracoscopy + laparoscopy + mediastinoscopy	3 (0.1%)
Thoracoscopy + laparoscopy + other	1 (0.0%)
Thoracoscopy + mediastinoscopy	3 (0.1%)
Thoracoscopy + other	2 (0.0%)
Laparoscopy	218 (4.6%)
Laparoscopy + mediastinoscopy	11 (0.2%)
Laparoscopy + mediastinoscopy + other	13 (0.3%)
Mediastinoscopy	41 (0.9%)
Others	107 (2.3%)
Unknown	5 (0.1%)
Total	4722

Table 18 Fields of lymph node dissection according to the location of the tumor

-		)							
Field of lymphadenectomy	Cervical	Upper thoracic	Middle thoracic	Lower thoracic	E > G	E = G	G > E	Unknown	Total
None	19 (12.5%)	27 (4.6%)	81 (3.8%)	39 (2.9%)	17 (4.8%)	3 (5.4%)	1	1 (4.3%)	188 (4.0%)
C	40 (26.3%)	8 (1.4%)	8 (0.4%)	5 (0.4%)	(0.0%)				61 (1.3%)
C+UM	16 (10.5%)	3 (0.5%)	3 (0.1%)	2 (0.1%)		1 (1.8%)		1	26 (0.6%)
C+UM+MLM	11 (7.2%)	25 (4.3%)	43 (2.0%)	18 (1.3%)	1 (0.3%)				98 (2.1%)
C+UM+MLM+A	45 (29.6%)	369 (63.5%)	1103 (51.3%)	506 (37.6%)	39 (11.0%)	3 (5.4%)	1 (1.7%)	6 (26.1%)	2072 (43.9%)
C+UM+MLM+A+OT		1 (0.1%)							1 (0.0%)
C+UM+A	3 (2.0%)	5 (0.9%)	1 (0.0%)	2 (0.1%)					11 (0.2%)
C+MLM			1(0.0%)	1 (0.1%)					2 (0.0%)
C+MLM+A		4 (0.3%)	7 (0.3%)	5 (0.4%)	1 (0.3%)				17 (0.4%)
C+A	2 (1.3%)		4 (0.2%)	1 (0.1%)					7 (0.1%)
MU			5 (0.2%)	2 (0.1%)	3 (0.8%)				10 (0.2%)
UM+MLM	1 (0.7%)	11 (1.9%)	33 (1.5%)	14 (1.0%)	4 (1.1%)			2 (8.7%)	65 (1.4%)
UM + MLM + A	10 (6.6%)	110 (18.9%)	768 (35.7%)	595 (44.3%)	115 (32.3%)	11 (19.6%)	7 (11.9%)	8 (34.8%)	1624 (34.4%)
UM + MLM + A + OT			1 (0.1%)						1 (0.0%)
UM + A			7 (0.3%)	3 (0.2%)	1 (0.3%)				11 (0.2%)
MLM		1 (0.2%)	9 (0.4%)	7 (0.5%)	4 (1.1%)				21 (0.4%)
MLM+A		8 (1.4%)	45 (2.1%)	105 (7.8%)	129 (36.2%)	26 (46.4%)	27 (45.8%)	2 (8.7%)	342 (7.2%)
A	1 (0.7%)	3 (0.5%)	21 (1.0%)	29 (2.2%)	40 (11.2%)	9 (16.1%)	23 (39.0%)	1 (4.3%)	127 (2.7%)
A+OT				1 (0.1%)					1 (0.0%)
Unknown	4 (2.6%)	6 (1.0%)	11 (0.5%)	9 (0.7%)	2 (0.6%)	3 (5.4%)		2 (8.7%)	37 (0.8%)
Total	152	581	2151	1344	356	56			4722

C bilateral cervical nodes, UM upper mediastinal nodes, MLM middle-lower mediastinal nodes, A abdominal nodes



 Table 19
 Reconstruction route

Route	Cases (%)	
None	66 (1.4%)	
Subcutaneous	414 (8.8%)	
Retrosternal	1799 (38.1%)	
Posterior mediastinal	519 (11.0%)	
Intrathoracic	1794 (38.0%)	
Cervical	46 (1.0%)	
Others	49 (1.0%)	
Unknown	35 (0.7%)	
Total	4722	

**Table 20** Organs used for reconstruction

Organs used for reconstruction	Cases (%)
None	62 (1.3%)
Whole stomach	49 (1.0%)
Gastric tube	4057 (85.0%)
Jejunum	286 (6.0%)
Free jejunum	94 (2.0%)
Colon	157 (3.3%)
Free colon	12 (0.3%)
Others	24 (0.5%)
Unknown	33 (0.7%)
Total organs	4774
Total cases	4722

Table 21 Histological classification

Histological classification	Cases (%)
Squamous cell carcinoma	3990 (84.5%)
Squamous cell carcinoma	973 (20.6%)
Well differentiated	713 (15.1%)
Moderately differentiated	1788 (37.9%)
Poorly differentiated	516 (10.9%)
Adenocarcinoma	239 (5.1%)
Barrett's adenocarcinoma	109 (2.3%)
Adenosquamous carcinoma	30 (0.6%)
Mucoepidermoid carcinoma	5 (0.1%)
Adenoid cystic carcinoma	3 (0.1%)
Basaloid carcinoma	81 (1.7%)
Neuroendocrine cell tumor	21 (0.4%)
Undifferentiated carcinoma	12 (0.3%)
Other carcinoma	6 (0.1%)
Carcinosarcoma	36 (0.8%)
Malignant melanoma	11 (0.2%)
GIST	1 (0.0%)
Other	46 (1.0%)
Unknown	132 (2.8%)
Total	4722

**Table 22** Pathological depth of tumor invasion, pT (JES 10th)

Pathological depth of tumor invasion	Cases (%)
pTX	71 (1.5%)
pT0	156 (3.3%)
pTis	33 (0.7%)
pT1a	503 (10.7%)
pT1b	1256 (26.6%)
pT2	570 (12.1%)
pT3	1824 (38.6%)
pT4	20 (0.4%)
pT4a	147 (3.1%)
pT4b	103 (2.2%)
Unknown	39 (0.8%)
Total	4722

**Table 23** Pathological grading of lymph node metastasis, pN (JES 10th)

Lymph node metastasis	Cases (%)
pN0	2237 (47.4%)
pN1	626 (13.3%)
pN2	1172 (24.8%)
pN3	393 (8.3%)
pN4	226 (4.8%)
Unknown	68 (1.4%)
Total	4722

**Table 24** Pathological findings of lymph node metastasis, pN (UICC 7th)

Lymph node metastasis	Cases (%)
pN0	2164 (45.8%)
pN1 (1-2)	1265 (26.8%)
pN2 (3-6)	790 (16.7%)
pN3 (7-)	392 (8.3%)
Unknown	111 (2.4%)
Total	4722

Regional lymph nodes are different in JES 10th and UICC 7th Data for Tables 23 and 24 were analyzed from different variables in the registration application (Tables 25, 26, 27)



**Table 25** Pathological findings of distant organ metastasis, pM (JES 10th)

Distant metas- tasis	Cases (%)		
pMX pM0	172 (3.6%) 4490 (95.1%)		
pM1	60 (1.3%)		
Total	4722		

Table 26 Residual tumor

Residual tumor	Cases (%)		
RX	156 (3.3%)		
R0	4160 (88.1%)		
R1	216 (4.6%)		
R2	190 (4.0%)		
Total	472		

Table 27 Causes of death

Cause of death	Cases (%)
Death due to recurrence	1383 (71.5%)
Death due to other cancer	101 (5.2%)
Death due to other disease (with recurrence)	46 (2.4%)
Death due to other disease (without recurrence)	244 (12.6%)
Death due to other disease (recurrence unknown)	19 (1.0%)
Operative death <sup>a</sup>	25 (1.3%)
Postoperative hospital death <sup>b</sup>	40 (2.1%)
Unknown	77 (4.0%)
Total of death cases	1935

Operative mortality rate: 0.52%

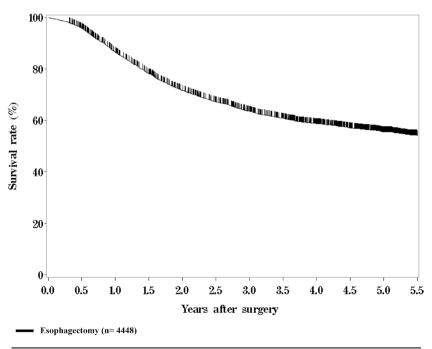
Hospital mortality rate: 2.35%

<sup>a</sup>Operative death means death within 30 days after operation in or out of hospital

<sup>b</sup>Hospital death is defined as death during the same hospitalization, regardless of department at time of death

Follow-up period (months)			
Median (min - max)	46.74 (0.03 - 139.79)		

**Fig. 7** Survival of patients who underwent esophagectomy



	Years after surgery					
	1	2	3	4	5	
Esophagectomy	86.4%	71.6%	63.5%	58.8%	55.6%	



Fig. 8 Survival of patients who underwent esophagectomy according to clinical stage (JES 10th)

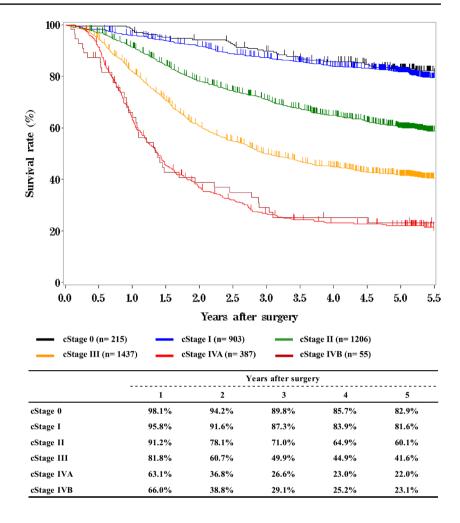




Fig. 9 Survival of patients who underwent esophagectomy according to clinical stage (UICC 7th)

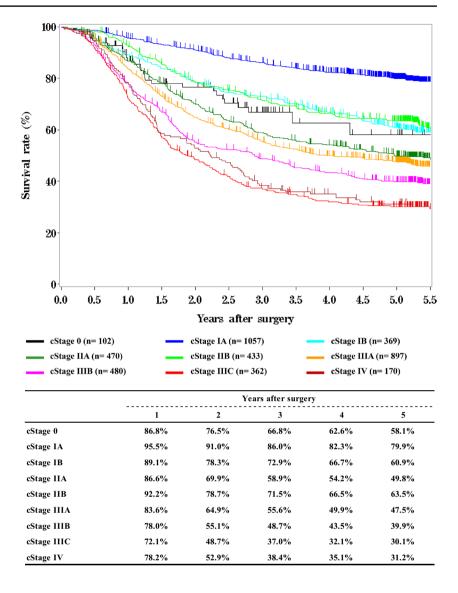




Fig. 10 Survival of patients who underwent esophagectomy according to the depth of tumor invasion, pT (JES 10th)

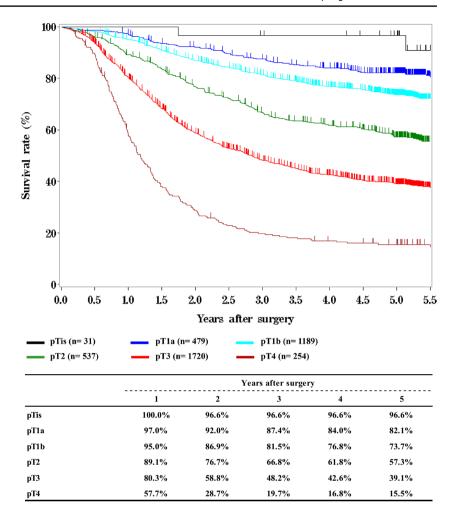




Fig. 11 Survival of patients who underwent esophagectomy according to lymph node metastasis, pN (JES 10th)

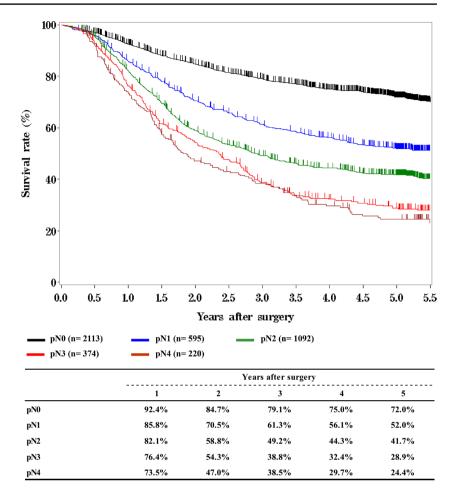




Fig. 12 Survival of patients who underwent esophagectomy according to lymph node metastasis, pN (UICC 7th)

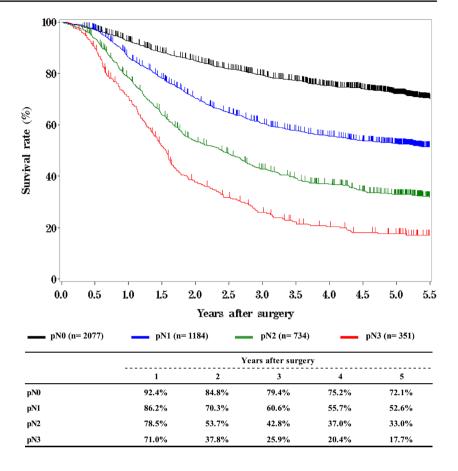
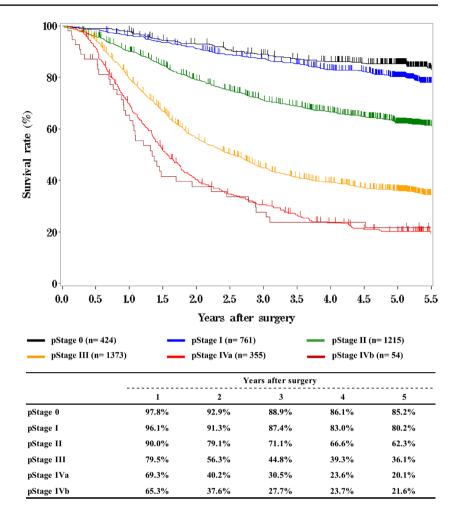


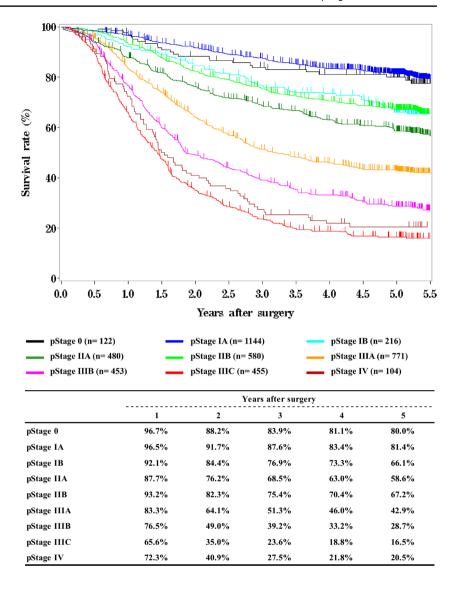


Fig. 13 Survival of patients who underwent esophagectomy according to pathological stage (JES 10th)



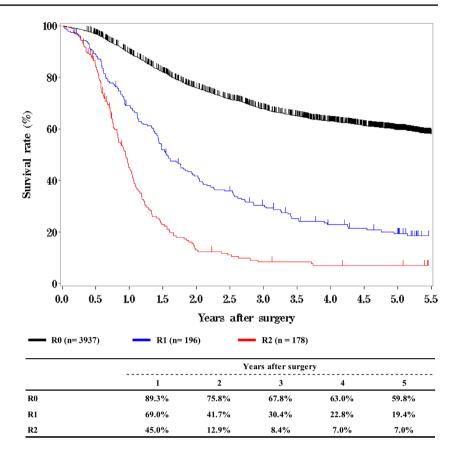


**Fig. 14** Survival of patients who underwent esophagectomy according to pathological stage (UICC TNM 7th)





**Fig. 15** Survival of patients who underwent esophagectomy according to residual tumor (R)



#### **Compliance with ethical standards**

**Ethical Statement** All procedures followed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1964 and later versions.

**Informed consent** Informed consent or substitute for it was obtained from all patients for being included in the study.

**Conflict of interest** All authors have nothing to disclose with regard to commercial support.

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