



Sedation and analgosedation performed by pediatricians—experience made with the implementation of an in-house sedation standard

Sedation and analgosedation—implementation of an in-house standard

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Summary

Background (Analgo-) sedations for diagnostic and/or therapeutic procedures form part of the daily clinical routine for pediatric patients. National and international medical specialist associations have published guidelines indicating the general conditions of these procedures, yet the recommendations are not always consistent. Since anesthesiological activities are increasingly performed by nonanesthesiologists at our hospital, the Pediatric Clinic of the University Hospital of Saarland considered it necessary to develop an in-house standard.

Material and methods On the basis of a standard dating back to 2005, which was developed and clinically applied by two of the authors of this article, we created

our “Homburg standard”, taking into account the guidelines of the specialist associations and the international literature. This standard covers patient information, the consumption of food and drink, monitoring before, during and after the sedation as well as documentation. We will present the process of how our standard was established by analyzing protocols of the “old” standard—applied for a period of 18 months—and the application of our standard to two new studies performed at our hospital.

Results In total, 159 sedations of the 18-month reference period could be evaluated; the two studies accounted for 72 sedations for diagnostic and/or interventional cardiac catheter examinations and 40 sedations for outpatient TEE examinations. None of the procedures was associated with complications endangering the safety of a patient. Whereas the documentation of the two studies was nearly complete, it varied considerably in the case of the 159 sedations, depending on how much time had passed since the most recent training.

Conclusion Our standard is a practicable and safe method of performing sedations and analgosedations in pediatric patients. In addition, this standard allows clinical studies to be carried out and evaluated, taking into account certain organizational measures. The development of a specific guideline by the DGKJ and/or the GNPI is considered desirable.

Keywords Standard · Sedation · Analgosedation · Pediatric patients · Guideline

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Sedierung und Analgosedierung durch Pädiater – Erfahrungen mit der Implementierung eines hausinternen Sedierungsstandards. Sedierung und Analgosedierung – Implementierung eines hausinternen Sedierungsstandards

Zusammenfassung

Grundlagen (Analgo-) Sedierungen für diagnostische und/oder therapeutische Prozeduren gehören zum Alltag in der klinischen Versorgung von pädiatrischen Patienten. Nationale und internationale medizinische Fachgesellschaften haben mittels Leitlinien die Rahmenbedingungen für diese Prozeduren gesteckt, jedoch divergieren die Empfehlungen zum Teil voneinander. Aufgrund der zunehmenden Übernahme anästhesiologischer Tätigkeiten an unserer Klinik durch Nicht-Anästhesisten sehen wir uns veranlasst, einen hausinternen Standard an den Kliniken für Kinder- und Jugendmedizin des Universitätsklinikums des Saarlandes zu etablieren.

Material und Methoden Basierend auf einem 2005 entwickelten Standard, an dessen Entwicklung und klinischer Anwendung ein Teil des Autorenteam beteiligt war, erarbeiteten wir aus den Leitlinien der Fachgesellschaften und der internationalen Literatur unseren „Homburger Standard“, der die Aufklärung, Nahrungs-/Flüssigkeitsaufnahme, das Monitoring vor, während und nach einer Sedierung sowie die Dokumentation umfasst. Vorgestellt wird der Weg zur Etablierung unseres Standards mit der Analyse von Protokollen des „alten“ Standards – angewandt über einen Zeitraum von 18 Monaten – sowie die Anwendung unseres Standards im Rahmen zweier neuer Studien an unserer Klinik.

Ergebnisse Insgesamt konnten aus den 18 Monaten 159 Sedierungen ausgewertet werden sowie aus den beiden Studien 72 (Sedierung bei diagnostischen und/oder interventionellen Herzkatheteruntersuchungen) bzw. 40 (Sedierung bei ambulanten TEE-Untersuchungen). Bei keiner Prozedur trat eine Komplikation auf, die eine Gefahr für die Sicherheit eines der Patienten dargestellt hätte. Während die Dokumentation im Rahmen der beiden Studien nahezu vollständig war, variierte sie bei den 159 Sedierungen zum Teil erheblich in Abhängigkeit vom zeitlichen Abstand zur letzten Schulung.

Schlussfolgerung Unser Standard stellt eine praktikable und sichere Möglichkeit zur Durchführung von Sedierungen pädiatrischer Patienten dar. Ebenso lassen sich im Rahmen dieses Standards klinische Studien unter Berücksichtigung bestimmter organisatorischer Maßnahmen durchführen und auswerten. Eine eigene Leitlinie der DGKJ und/oder der GNPI erscheint wünschenswert.

Schlüsselwörter Standard · Sedierung · Analgosedierung · Pädiatrische Patienten · Leitlinie

Introduction

Nearly every physician working in in-patient pediatrics is faced with the challenge of carrying out sedations or analgosedations for certain diagnostic and/or therapeutic procedures. This is not only true for painful procedures, but also for examinations that do not cause pain, for example, computed tomography or magnetic resonance tomography scans, which often require deep sedation to immobilize the patients. Some medical associations such as the American Academy of Pediatrics (AAP), the American Society of Anesthesiologists (ASA), the German Society of Anesthesiology and Intensive Care (DGAI), and the Association of German Anesthesiologists (BDA) have developed guidelines describing the general conditions of sedation/analgosedation procedures [1–7]. Some of the recommendations differ from each other, for example, in terms of the required staff or the level of training of the person performing the sedation/analgosedation. Against this background, we developed our own standard at the University Hospital and Polyclinic for Pediatrics and Adolescent Medicine of Martin Luther University Halle-Wittenberg (MLU HW) in 2005, taking into account the existing guidelines. The main objectives of the standard were, for one thing, the safety of our patients and, for another, practicability. The results of a test run were presented in a lecture at the Annual Meeting of the Society of Neonatology and Pediatric Intensive Care. The results of a 12-month application period were published in the “Klinische Pädiatrie” journal in 2008 [8]. We provided our standard to various children’s hospitals upon request. The ongoing debate during the past few years [9–12] and the increasing fulfillment of anesthesiologist tasks by nonanesthesiologists at our hospital prompted us to establish an updated in-house standard at the Clinic for Pediatrics and Adolescent Medicine of the University of Saarland. In addition to the practicability and safety for the patients, which had been proven by the application of the old standard, the implementation of a new standard was intended to allow studies about sedation/analgosedation procedures to be carried out. This paper will present our “Homburg standard” and show up the milestones of its development—ranging from literature review and data analysis extended to specific aspects between July 2005 and December 2006 to the application of our standard within the framework of two studies.

Material and methods

In addition to reviewing the literature, we performed an enhanced evaluation of the former retrospective data analysis, which could be extended to a period of 18 months. The retrospective data analysis, published in 2008, covered the period between July 2005 and June 2006 [8]. The extended retrospective data analysis, in turn, referred to the period between July 2005 and December 2006. Unfortunately, it was not possible to evaluate a lon-

Table 1 Overview of the in-house sedation standard

<i>Moderate sedation</i>			
	Medical qualification	Monitoring	Staff requirements
ASA I–II	Resident safely performing sedation and emergency management including resuscitation	Pulse oximetry	1 physician—examiner
		Noninvasive blood pressure (NIBP) at intervals of 10 min	1 physician—responsible for sedation
			1 nurse
ASA III	Resident safely performing sedation and emergency management including resuscitation	Pulse oximetry	1 physician—examiner
		NIBP at intervals of 10 min	1 physician—responsible for sedation
		ECG	1 nurses
ASA IV–V	Specialist in anesthesiology	According to national guidelines	According to national guidelines
<i>Deep sedation</i>			
	Medical qualification	Monitoring	Staff requirements
ASA I–II	Resident safely performing sedation and emergency management including resuscitation	Pulse oximetry	1 physician—examiner
		NIBP at intervals of 5 min	1 physician—responsible for sedation
		ECG	2 nurses
ASA III	Medical specialist safely performing sedation and emergency management including resuscitation	Pulse oximetry	1 physician—examiner
		NIBP at intervals of 5 min	1 physician—responsible for sedation
		ECG	2 nurses
ASA IV–V	Specialist in anesthesiology	According to national guidelines	According to national guidelines

After the termination of the sedation, the monitoring is performed by means of pulse oximetry, NIBP at intervals of 15 min and ECG (except for moderate sedations in ASA I/II patients). Monitoring last until the patients wake up and the defensive reflexes have recovered completely but at least for 2 h.

Table 2 Applied analgosedatives

Active substance	Initial dose (mg/kg bw)	Maximum dose
Propofol	0.5–2.0	10 mg/kg bw/h
4-hydroxybutyric acid	Up to 50	50 mg/kg bw/h
S-ketamine	0.25–1.0	3 mg/kg bw
Piritramid	0.05–0.1	0.2 mg/kg bw
Midazolam	0.05–0.1	0.2 mg/kg bw
Phenobarbital	5–10	30 mg/kg bw

- Application, dosage, effects, side-effects, and contraindications of the sedatives/analgetics
- Documentation in the sedation protocol [8—basically identical].

The available protocols resulted in an 18-month evaluation period. This included all the sedations/analgosedations performed by consultants and pediatric residents at the Hospital of Pediatrics and Adolescent Medicine of Martin Luther University Halle-Wittenberg (MLU HW). Furthermore, our evaluation included the patients of two new studies. First of all, there is a prospective randomized study which has been approved by the ethics committee of the Medical Association of Saarland covering the period between April 2011 and March 2012 and

comparing two different methods of analgosedation in the context of pediatric cardiac catheter examinations. Second, there is a retrospective study investigating all outpatient transesophageal echocardiographies (TEE examinations) in patients younger than 18 years of the year 2011 at the Clinic for Pediatric Cardiology of the University Hospital of Saarland. These sedations were exclusively performed by two physicians, one of whom was a specialist in pediatrics with the subspecialization in pediatric intensive care, while the other one was a specialist in pediatrics with subspecializations in pediatric intensive care and neonatology in addition to being a specialist in anesthesiology.

The minimum requirements for the working place, as described by Meyer and Kleinschmidt [20, 21] and specified in the updated recommendations of the DGAI and the BDA [7], are fulfilled, apart from a defibrillator, a ventilator (ventilation unit always at hand) and the end-expiratory CO₂ monitoring. In the cardiac catheter laboratory and the pediatric cardiac outpatient clinic, there is always a defibrillator available. In addition, a defibrillator is at hand in the case of existing cardiac diseases; for all the other analgosedations, it is available within 2 min.

We distinguished three degrees of severity in the complications that occurred:

- Minor complication: spontaneous recovery, no intervention necessary
- Medium complication: intervention necessary, yet not administration of medication (apart from oxygen by means of nasal prongs or atropine to avoid hypersalivation) nor invasive measures (apart from suction in the nasal, oral, and pharyngeal cavities as well as placement of a nasopharyngeal tube)
- Severe complication: intervention necessary, for example, invasive measures such as application of medication and/or infusion, mask ventilation, intubation, or resuscitation

Results

In the aforementioned 18-month period, a total of 159 sedations/analosedations were carried out. These can be broken down as follows:

- 76 bone marrow punctures, either performed exclusively or in combination with bone punches or lumbar punctures: midazolam + S-ketamine in each case
- 30 joint punctures: midazolam + S-ketamine in 29 cases and 4-hydroxybutyric acid + S-ketamine in 1 patient
- 17 colonoscopies: midazolam + S-ketamine in each case
- 12 gastroscopies: midazolam + S-ketamine in 8 cases, propofol and S-ketamine in 3 cases and propofol only in 1 case
- 5 placements of central venous catheters: midazolam + S-ketamine in each case, additional propofol in 1 case
- 3 echocardiographies: midazolam in each case
- 3 liver and kidney biopsies: midazolam + piritramid in each case
- 3 bronchoscopies: midazolam + S-ketamine + propofol in 2 cases, propofol + piritramid in 1 case
- 2 transesophageal echocardiographies: midazolam + S-ketamine in each case
- 2 angiographies: midazolam + S-ketamine in one case, midazolam + piritramid + propofol + phenobarbital in 1 case
- 1 radioscopy: midazolam + S-ketamine
- 1 pleuracentesis: midazolam + S-ketamine
- 4 undocumented interventions: midazolam + S-ketamine in each case.

The maximum dosage indicated in Table 2 was not exceeded. However, a gastroscopy had to be cancelled and repeated in a general anesthesia supervised by an anesthesiologist because it was not possible to achieve adequate reflex reduction using the combination of propofol and S-ketamine and the saturation dropped sharply several times when the endoscope was inserted.

In line with our classification of the degrees of severity, no severe complications occurred in the 159 (analgo-) sedations. The same holds true for the TEE study. In the cardiac catheter study, by contrast, two patients of the

Table 3 Core data of the three groups of patients

	Patients of MLU HW 07/2005–12/2006	Cardiac catheter study 04/2011–03/2012	TEE study 01/2011–12/2011
Quantity	159	72	40
Age	6 days–17 ⁹ / ₁₂ years	31 days–16 ⁵ / ₁₂ years	3 months–17 ⁷ / ₁₂ years
Weight (kg)	2.6–102	4–75	5.4–99.2
MLU University Hospital and Polyclinic for Pediatrics and Adolescent Medicine of Martin Luther University Halle-Wittenberg			

4-hydroxybutyric acid group suffered from severe complications requiring suction, oxygen administration, and drug application because of an allergic response to the contrast medium. Both procedures could be performed and completed smoothly.

The data evaluation focused on those parameters which had not been documented sufficiently in the analysis published in 2008, in particular ASA classification, sufficient sedation, sufficient vital parameters at the end of the monitoring and time intervals. Additional parameters assessed were weight, duration of the sedation, vital parameters (heart rate, blood pressure, saturation), and potential complications. It is the careful documentation of the aforementioned parameters that allows randomized prospective studies with various sedation regimes for identical procedures to be performed. The same holds true for the retrospective analysis of a single standardized procedure. Table 3 provides a brief overview of the three analyzed groups of patients.

Table 4 and 5 show the complete documentation of the aforementioned parameters. After the second training session, which was held after 4 months (the first training session was held before the standard was introduced), the results varied. While the complete documentation had increased after the second training session for all parameters, the documentation behavior both improved and deteriorated—in particular in the area of ASA classification and time intervals for recording the vital parameters (10 instead of 5 min) in the remaining 6 months (see Table 4).

As Table 5 demonstrates, the documentation behavior in both studies was nearly impeccable, showing consistently positive rates of over 90%. Only the “sedation sufficient” parameter had not been ticked in nine cases each in the two groups of the cardiac catheter study. However, all procedures could be performed without any problems. The three missing ASA classifications of the propofol group could be completed on the basis of the medical file. All complications or sedation incidents in the cardiac catheter study were documented appropriately on the reverse side of the protocol. It was only in one patient of the 4-hydroxybutyric acid group and two patients in the propofol group that the corresponding notes were missing on the front page of the protocol.

Since the TEE study included outpatients only, we did not record the ASA classification. When we evaluated the data, we realized that this was a drawback and modified our procedure for outpatient (analgo-) sedations.

Table 4 Complete documentation of important parameters before and after the second training session

Complete documentation			
	1st year July 2005–June 2006		2nd year July–December 2006
	Before 2nd training (<i>n</i> =33) (%)	After 2nd training (<i>n</i> =70) (%)	<i>n</i> =56 (%)
Weight	82	93	95
ASA classification	28	86	36
Sedation sufficient	44	76	56
Heart rate	44	92	95
niBP	31	69	84
Saturation	94	93	93
Time intervals	67	71	54
Moderate/deep sedation	73	85	96
Medication	85	86	95
Sufficient vital parameters at the end of the monitoring	47	72	66
Documentation of complications	73	79	86
Beginning and end / duration of sedation	73	92	81

Table 5 Documentation of important parameters in the context of the cardiac catheter and TEE studies

Complete documentation			
	Cardiac catheter study 04/2011–03/2012		TEE study 01/2011–12/2011 <i>n</i> =40 (%)
	4-hydroxybutyric acid group (<i>n</i> =36) (%)	Propofol group (<i>n</i> =36) (%)	
Consent	100	100	100
ASA classification	100	92	Not noted
Weight	100	100	100
Heart rate	100	100	100
nBP	100	100	100
Saturation	100	100	100
Time intervals	100	100	100
Medication	100	100	100
Moderate/deep sedation	100	100	100
Sedation sufficient	76	75	Not noted
Oxygen administration incl. flow/min	100	100	100
Beginning and end of sed.	100	100	100
Documentation of complications	97	94	100

Discussion

In line with the national [including 7] and international recommendations [including 1, 22], we think that it is

indispensable to have a standardized procedure for sedations and analgosedations of pediatric patients which takes into account the structural and organizational conditions of each hospital.

The assessment of the sedation depth continues to be based on the AAP definitions, outlined in the guidelines of 1992 and 2002 [13] and reaffirmed in 2012 [23]. They are also applied or recommended in other publications or guidelines [7, 22, 24–26]. For reasons of practicability, the assessments of “minimal sedation” (formerly called anxiolysis) and “moderate sedation” (formerly called conscious sedation or sedation/analgesia) were again summarized by the term of “moderate sedation”, which resulted in three differentiations of the sedation depth. We consider “general anesthesia” to form part of the organizational domain of an anesthesiologist department, which is also in line with the recommendations of the aforementioned specialist associations [including 6].

In spite of the aforementioned restrictions [8, 15], we consider the application of the ASA classification to assess a patient’s current health condition to be positive.

As far as the fasting periods are concerned, we adhere to our old standard; in addition to the aforementioned considerations [8], we have defined a minimum fasting period of 4 h for clear liquids, breast-milk, liquid dairy products, and industrial infant milk for reasons of simplification. We have adjusted the age categories in line with the German recommendations [7]. For reasons of safety, we think it is indispensable to enquire for and check the patient’s latest intake of food and liquids—even though this aspect might have been discussed in detail in the context of the patient information. We are very skeptical about the recommendations of the NICE (National Institute for Health and Care Excellence) clinical guideline 112 [22] to have no fasting period in case of “minimal sedation”, “sedation with nitrous oxide (in oxygen)” and “moderate sedation during which children or young person will maintain verbal contact with the healthcare professional”. For one thing, the abstract of this guideline [22] points out to rather weak evidence—“based on moderate quality observational studies”—for another, any food or liquid that remains in the digestive system might result in severe complications if, accidentally, the sedation is deeper than initially intended.

Looking back on the 18-month period and the 159 analgosedations and the 2 studies with a total of 113 patients, the combination of the parameters of risk profile, sedation depth, medical qualification, staff requirements, and monitoring as described by Sauer et al. [8] has proved to be effective.

It should be a matter of course to implement monitoring and documentation—on the basis of anesthesiologist standards. Several publications explicitly point out to this requirement [1, 3, 4, 5, 7, 22, 27, 28]. We also emphasize the need for medical qualification, ensuring adequate training when performing anesthesiologist activities, and the staff requirements for analgosedations. In addition to the examiner, there must be one physician exclusively in charge of the analgosedation. To ensure the safety of the

patients entrusted to us, it has to be avoided that physicians perform both activities. As the DGAI and the BDA sum up “in the case of severely ill children (ASA status III-IV) and all deep sedations, an additional physician with training in anesthesiology or intensive care must be available in addition to the examiner; this physician must not be identical with the examiner, and must solely be in charge of continually monitoring the vital parameters” [7]. Whether there should be a second physician in the case of moderate sedations of children with ASA status I and II, as required by our standard for the sake of the safety of our patients, has not been examined sufficiently yet and is also being debated at our hospital. Since the majority of our analgosedations can be classified as deep, we cannot draw any conclusion from our data. As far as the qualification of the physicians is concerned, we think that it is indispensable that they are able to perform a safe sedation procedure on the basis of a profound knowledge of the applied analgetics and sedatives as well as adequate monitoring. In addition, they have to be fully competent of airway and emergency management including resuscitation measures. If sedations are performed by physicians in specialty training, we think that there has to be a specialist or staff physician readily available who is experienced in emergency care—as is the case in anesthesiologist organizational structures. It remains to be said that several guidelines and publications (see above) deal with documentation, medical qualification, and staff requirements, which are fulfilled by our standard. Whether the presence of a second nurse (one nurse assisting the examiner, one assisting in the sedation) is compulsory for all deep sedations or whether one nurse might be enough, depending on the kind of examination/procedure, has not been investigated sufficiently yet. However, we think that it is absolutely necessary that the assisting nurse has sufficient training and experience in emergency care of sedated patients.

We were astonished by the varying completeness of the parameters to be documented in the 18-month period. There was an initial training session before the standard had been introduced, a second one followed 4 months after the introduction of the standard. After the second training session, documentation improved in part significantly for all parameters. Since the documentation behavior showed both improving and deteriorating levels of completeness in months 13 through 18, further training sessions seem to be appropriate. Against this background, we suggest annual training sessions for a start; depending on the re-evaluation of the documentation behavior, these intervals could later on be reduced to 6-month intervals or extended to 2 years.

The nearly perfect documentation in the context of the two studies probably results from the fact that only two physicians were in charge of the analgosedations here. For clinical studies in the area of diagnostic and/or therapeutic procedures, it could therefore make sense to limit the number of physicians involved. In addition, we think that it is a matter of course to ensure a complete documentation, including the full chronology of

the administered drugs and infusions and the recorded vital parameters. We believe that a sedation protocol as described by Sauer et al. [8], for example, is most appropriate to fulfill these requirements.

Finally, it remains to be said that there are also some points of weakness. First of all, the experience of the old standard was based on a small number of cases, which could be increased by 56 patients only. Second, the analysis of the protocols from the old standard was based on data obtained retrospectively. Third, the described experience with the new standard was again gathered from a moderate number of patients. On the other hand, we summarized the national and international recommendations to form a feasible standard, which can be easily part of the daily routine in a children’s hospital.

Conclusion

Owing to the relatively low number of just 271 analyzed patients, it is difficult to make a statement based on broad evidence. On the other hand, we can look back on nearly 10 years of experience with the old and new standard. We are deeply convinced that our “Homburg standard” provides a feasible and safe regulation of the preparation and monitoring of sedation and analgosedation in pediatric patients. It is also suitable for performing clinical studies, which are urgently needed in view of the rather scarce data about analgosedation for diagnostic and therapeutic procedures in pediatric patients. Regular training should be ensured—for a start at intervals of not more than 12 months after the standard has been established, as our experience has shown. In view of scarcer and scarcer human resources and the increasing density of the activities of physicians and nurses, the safety of the children and adolescents entrusted to us calls for sufficient provision of qualified staff. This requirement can be summarized by a quote by Gozal and Mason: “The challenge facing sedation care providers moving forward in the 21st century will be to determine how to apply the local, regional and national guidelines to the individual sedation practices. A greater challenge, perhaps impossible, will be to determine whether the sedation community can come together worldwide to develop standards, guidelines and recommendations for safe sedation practice” [9].

Ethical standard statement

All procedures followed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1975, as revised in 2008.

Conflict of interest

Harald Sauer, Laura Gruenzinger, Jochen Pfeifer, Ulla Lieser, and Hashim Abdul-Khaliq declare that there is no conflict of interest.

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