

# Counterexamples to the local–global divisibility over elliptic curves

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**Abstract** Let  $p \geq 5$  be a prime number. We find all the possible subgroups  $G$  of  $\mathrm{GL}_2(\mathbb{Z}/p\mathbb{Z})$  such that there exist a number field  $k$  and an elliptic curve  $\mathcal{E}$  defined over  $k$  such that the  $\mathrm{Gal}(k(\mathcal{E}[p])/k)$ -module  $\mathcal{E}[p]$  is isomorphic to the  $G$ -module  $(\mathbb{Z}/p\mathbb{Z})^2$  and there exists  $n \in \mathbb{N}$  such that the local–global divisibility by  $p^n$  does not hold over  $\mathcal{E}(k)$ .

**Keywords** Elliptic curves · Local–global · Galois cohomology

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## 1 Introduction

Let  $k$  be a number field, and let  $\mathcal{A}$  be a commutative algebraic group defined over  $k$ . Several papers have been written on the following classical question, known as the *Local–Global Divisibility Problem*.

**PROBLEM:** Let  $P \in \mathcal{A}(k)$ . Assume that for all but finitely many valuations  $v$  of  $k$ , there exists  $D_v \in \mathcal{A}(k_v)$  such that  $P = qD_v$ , where  $q$  is a positive integer. Is it possible to conclude that there exists  $D \in \mathcal{A}(k)$  such that  $P = qD$ ?

By Bézout’s identity, to get answers for a general integer it is sufficient to solve it for powers  $p^n$  of a prime. In the classical case of  $\mathcal{A} = \mathbb{G}_m$ , the answer is positive for  $p$  odd, and negative for instance for  $q = 8$  (and  $P = 16$ ) (see for example [1, 19]).

For general commutative algebraic groups, Dvornicich and Zannier gave a cohomological interpretation of the problem (see [5] and [7]) that we shall explain. Let  $\Gamma$  be a group and let  $M$  be a  $\Gamma$ -module. We say that a cocycle  $Z: \Gamma \rightarrow M$  satisfies the local conditions if for every  $\gamma \in \Gamma$ , there exists  $m_\gamma \in M$  such that  $Z_\gamma = \gamma(m_\gamma) - m_\gamma$ . The set of the classes of

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cocycles in  $H^1(\Gamma, M)$  that satisfy the local conditions is a subgroup of  $H^1(\Gamma, M)$ . We call it the first local cohomology group  $H^1_{\text{loc}}(\Gamma, M)$ . Dvornicich and Zannier [5, Proposition 2.1] proved the following result.

**Proposition 1** *Let  $p$  be a prime number, let  $n$  be a positive integer, let  $k$  be a number field and let  $\mathcal{A}$  be a commutative algebraic group defined over  $k$ . If  $H^1_{\text{loc}}(\text{Gal}(k(\mathcal{A}[p^n])/k), \mathcal{A}[p^n]) = 0$ , then the local–global divisibility by  $p^n$  over  $\mathcal{A}(k)$  holds.*

The converse of Proposition 1 is not true, but if the group  $H^1_{\text{loc}}(\text{Gal}(k(\mathcal{A}[p^n])/k), \mathcal{A}[p^n])$  is not trivial, we can find an extension  $L$  of  $k$  such that  $L \cap k(\mathcal{A}[p^n]) = k$ , and the local–global divisibility by  $p^n$  over  $\mathcal{A}(L)$  does not hold (see [7, Theorem 3] for the details).

Several mathematicians got criterions for the validity of the local–global divisibility principle for various commutative algebraic groups, as algebraic tori [5] and [12], elliptic curves [3–8, 14–17], and very recently polarized abelian surfaces [9] and  $\text{GL}_2$ -type varieties [10].

In this paper, we focus on elliptic curves. Let  $p$  be a prime number, let  $k$  be a number field, and let  $\mathcal{E}$  be an elliptic curve defined over  $k$ . Dvornicich and Zannier [7, Theorem 1] found a very interesting criterion for the validity of the local–global divisibility by a power of  $p$  over  $\mathcal{E}(k)$ , in the case when  $k \cap \mathbb{Q}(\zeta_p) = \mathbb{Q}$ .

In a joint work with Paladino and Viada (see [16], and Sect. 2), we refined this criterion, by proving that if  $k$  does not contain  $\mathbb{Q}(\zeta_p + \overline{\zeta_p})$  and  $\mathcal{E}(k)$  does not admit a point of order  $p$ , then for every positive integer  $n$ , the local–global divisibility by  $p^n$  holds over  $\mathcal{E}(k)$ . In another joint work with Paladino and Viada [17], we improved our previous criterion and the new criterion allowed us to show that if  $k = \mathbb{Q}$  and  $p \geq 5$ , for every positive integer  $n$  the local–global divisibility by  $p^n$  holds for  $\mathcal{E}(\mathbb{Q})$ .

Very recently, Lawson and Wutrich [13] found a very strong criterion for the triviality of  $H^1(\text{Gal}(k(\mathcal{E}[p^n])/k), \mathcal{E}[p^n])$  (then for the validity of the local–global principle by  $p^n$  over  $\mathcal{E}(k)$ , see Proposition 1), but still in the case when  $k \cap \mathbb{Q}(\zeta_p) = \mathbb{Q}$ .

Finally, Dvornicich and Zannier [6] and Paladino [14] studied the case when  $p = 2$  and Paladino [15] and Creutz [3] studied the case when  $p = 3$ .

Thus we have a fairly good understanding of the local–global divisibility by a power of  $p$  over  $\mathcal{E}(k)$  either when  $p \in \{2, 3\}$  or  $k$  does not contain  $\mathbb{Q}(\zeta_p + \overline{\zeta_p})$  and  $\mathcal{E}(k)$  does not admit a point of order  $p$ . In this paper we prove the following result:

**Theorem 2** *Let  $p \geq 5$  be a prime number, let  $k$  be a number field and let  $\mathcal{E}$  be an elliptic curve defined over  $k$ . Suppose that there exists a positive integer  $n$  such that the local–global divisibility by  $p^n$  does not hold over  $\mathcal{E}(k)$ . Let  $G_1$  be  $\text{Gal}(k(\mathcal{E}[p])/k)$ . Then one of the following holds:*

1.  $p \equiv 2 \pmod{3}$  and  $G_1$  is isomorphic to a subgroup of  $S_3$  of order divisible by 3;
2.  $G_1$  is cyclic of order dividing  $p - 1$ , and it is generated by an element that has an eigenvalue equal to 1;
3.  $G_1$  is contained in a Borel subgroup, and it is generated by an element  $\sigma$  of order  $p$  and an element  $g$  of order dividing 2 such that  $\sigma$  and  $g$  have one common eigenvector for the eigenvalue 1.

Moreover, for every case  $i \in \{1, 2, 3\}$  there exist a number field  $L_i$  and an elliptic curve  $\mathcal{E}_i$  defined over  $L_i$ , such that the  $\text{Gal}(L_i(\mathcal{E}_i[p])/L_i)$ -module  $\mathcal{E}_i[p]$  is isomorphic to the  $G_1$ -module  $\mathcal{E}[p]$  of the case  $i$  and the local–global divisibility by  $p^2$  does not hold over  $\mathcal{E}(L_i)$ .

*Proof* By Proposition 4 and Lemma 15, we are in one of the three cases of the statement. The elliptic curves exist in case 1 by Remark 6 and Corollary 9, in case 2 by Remark 6 and Lemma 10, in case 3 by Remark 6 and Lemma 11. □

Clearly the case 2 of Theorem 2 corresponds to the case when  $\mathcal{E}(k)$  has a point of order  $p$  defined over  $k$ . The cases 1 and 3 of Theorem 2 correspond to the case when  $\mathbb{Q}(\zeta_p + \overline{\zeta_p}) \subseteq k$ .

By the main result of [16] and Theorem 2, we have the following corollary:

**Corollary 3** *Let  $p \geq 5$  be a prime number, let  $k$  be a number field and let  $\mathcal{E}$  be an elliptic curve defined over  $k$ . If  $p \equiv 1 \pmod{3}$  and  $\mathcal{E}$  does not admit any point of order  $p$  over  $k$ , then for every positive integer  $n$ , the local–global divisibility by  $p^n$  holds over  $\mathcal{E}(k)$ . If  $p \equiv 2 \pmod{3}$ ,  $\mathcal{E}$  does not admit any point of order  $p$  over  $k$  and  $[k(\mathcal{E}[p]) : k]$  is not 3 or 6, then for every positive integer  $n$  the local–global divisibility by  $p^n$  holds over  $\mathcal{E}(k)$ .*

*Proof* If  $k$  does not contain  $\mathbb{Q}(\zeta_p + \overline{\zeta_p})$ , just apply the main result of [16]. If  $p \equiv 1 \pmod{3}$  and  $k$  contains  $\mathbb{Q}(\zeta_p + \overline{\zeta_p})$ , and if there exists  $n \in \mathbb{N}$  such that the local–global divisibility by  $p^n$  does not hold over  $\mathcal{E}(k)$ , then either case 2 or case 3 of Theorem 2 applies. Thus  $\mathcal{E}$  admits a point of order  $p$  defined over  $k$ .

If  $p \equiv 2 \pmod{3}$ ,  $\mathcal{E}$  does not admit any point of order  $p$  over  $k$ , and there exists a positive integer  $n$  such that the local–global divisibility by  $p^n$  does not hold over  $\mathcal{E}(k)$ , then case 1 of Theorem 2 applies. Hence  $k(\mathcal{E}[p])/k$  is either an extension of degree 3 or an extension of degree 6.  $\square$

## 2 Known results

In the following proposition, we combine the main results of [16] and [17] with results of [9].

**Proposition 4** *Let  $k$  be a number field and let  $\mathcal{E}$  be an elliptic curve defined over  $k$ . Let  $p$  be a prime number and, for every  $m \in \mathbb{N}$ , let  $G_m$  be  $\text{Gal}(k(\mathcal{E}[p^m])/k)$ . Suppose that there exists  $n \in \mathbb{N}$  such that  $H_{\text{loc}}^1(G_n, \mathcal{E}[p^n]) \neq 0$ . Then one of the following cases holds:*

1. *If  $p$  does not divide  $|G_1|$ , then either  $G_1$  is cyclic of order dividing  $p - 1$ , generated by an element fixing a point of order  $p$  of  $\mathcal{E}$ , or  $p \equiv 2 \pmod{3}$  and  $G_1$  is a group isomorphic either to  $S_3$  or to a cyclic group of order 3;*
2. *If  $p$  divides  $|G_1|$  then  $G_1$  is contained in a Borel subgroup, and it is either cyclic of order  $p$ , or it is generated by an element of order  $p$  and an element of order 2 distinct from  $-Id$ .*

*Proof* Suppose first that  $p$  does not divide  $|G_1|$ . By the argument in [7, p. 29], we have that  $G_1$  is isomorphic to its projective image. By [18, Proposition 16], then  $G_1$  is either cyclic, or dihedral or isomorphic to one of the following groups:  $A_4$ ,  $S_4$ ,  $A_5$ .

Suppose that the last case holds. Then  $G_1$  should contain a subgroup isomorphic to  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ , and so it contains  $-Id$ . This contradicts the fact that  $G_1$  is isomorphic to its projective image.

Suppose that  $G_1$  is dihedral. Then  $G_1$  is generated by  $\tau$  and  $\sigma$  with  $\sigma$  of order 2 and  $\sigma\tau = \tau^{-1}\sigma$ . In particular all the elements of  $G_1$  have determinant either 1 or  $-1$ . Suppose that there exists  $i \in \mathbb{N}$  such that  $\tau^i$  has order dividing  $p - 1$ , and distinct from 1. Observe that since  $p$  does not divide  $|G_1|$ , we have  $H^1(G_1, \mathcal{E}[p]) = 0$ . Then, by [9, Theorem 2], we get that  $\tau^i$  has at least an eigenvalue equal to 1. Thus, since  $\tau^i$  is not the identity, it has determinant  $-1$ . Then  $\tau^i$  has order 2. Since  $\sigma\tau = \tau^{-1}\sigma$ , we get  $\sigma\tau^i = \tau^{-i}\sigma = \tau^i\sigma$ , because  $\tau^i$  has order 2. Then, since  $G_1$  is not cyclic,  $\tau^i$  and  $\sigma$  are two distinct elements of order 2 which commute. Thus, like in the previous case,  $G_1$  contains a subgroup isomorphic

to  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ , and so it contains  $-Id$ . This contradicts the fact that  $G_1$  is isomorphic to its projective image. Then  $\tau$  has odd order dividing  $p + 1$ . In particular it has two eigenvalues over  $\mathbb{F}_{p^2}$ :  $\lambda$  and  $\lambda^p$ . By [9, Proposition 17, Lemma 18] (or see [2, Sect. 3]), if there exists  $n \in \mathbb{N}$  such that  $H^1(G_n, \mathcal{E}[p^n]) \neq 0$ , then the intersection between the sets  $\{1, \lambda^{p-1}, \lambda^{1-p}\}$  and  $\{\lambda, \lambda^p\}$  is not trivial. It follows that  $\tau$  has order 3. Then 3 divides  $p + 1$  and  $G_1$  is isomorphic to  $S_3$ .

Finally suppose that  $G_1$  is cyclic. If  $G_1$  is generated by an element of order dividing  $p - 1$ , by [9, Theorem 2] we have that such an element has an eigenvalue equal to 1. On the other hand if the generator of  $G_1$  has order not dividing  $p - 1$ , again by [9, Proposition 17, Lemma 18] (see the dihedral case) we get that such an element has order 3 and 3 divides  $p + 1$ .

Suppose now that  $p$  divides  $|G_1|$ . Since  $p$  divides the order of  $G_1$ , by [18, Proposition 15] and the fact that  $G_1$  is isomorphic to its projective image, we have that  $G_1$  is contained in a Borel subgroup. In particular the  $p$ -Sylow subgroup  $N$  of  $G_1$  is normal. Suppose that  $G_1/N$  is not cyclic. Then  $G_1$  is not isomorphic to its projective image. Thus  $G_1$  is generated by an element  $\sigma$  of order  $p$ , which generates  $N$ , and an element  $g$  of order dividing  $p - 1$ . Suppose that 1 is not an eigenvalue for  $g$ . Then by [9, Theorem 2] (in particular notice that, by [9, Remark 16], the hypothesis  $H^1(G_1, \mathcal{E}[p]) = 0$  is not necessary), we have  $H^1_{\text{loc}}(G_m, \mathcal{E}[p^m]) = 0$  for every  $m \in \mathbb{N}$  and so we get a contradiction. Then  $g$  has an eigenvalue equal to 1. Suppose that  $g$  has order  $\geq 3$ . Then its determinant has order  $\geq 3$  and so, since the determinant is the  $p$ th cyclotomic character,  $k$  does not contain  $\mathbb{Q}(\zeta_p + \overline{\zeta_p})$ . Then if  $g$  and  $\sigma$  do not fix the same point of order  $p$ , by [16, Theorem 1] we get a contradiction. On the other hand, since  $p$  divides the order of  $G_1$ , we have  $k(\mathcal{E}[p]) \neq k(\zeta_p)$ . Then by [17, Theorem 3], we get a contradiction.

We conclude that  $G_1$  is either cyclic of order  $p$ , or it is generated by an element  $g$  of order 2 distinct from  $-Id$  and an element of order  $p$  (which generates a normal subgroup of  $G_1$ ). □

We now recall some properties of the Galois action over the torsion points on an elliptic curve over a number field. In [8] we proved the following Lemma, which is a direct consequence of very interesting results of Greicius [11] and Zywinia [20].

**Lemma 5** *Given a prime number  $p$ , a positive integer  $n$  and a subgroup  $G$  of  $\text{GL}_2(\mathbb{Z}/p^n\mathbb{Z})$ , there exists a number field  $k$  and an elliptic curve  $\mathcal{E}$  defined over  $k$  such that there are an isomorphism  $\phi: \text{Gal}(k(\mathcal{E}[p^n])/k) \rightarrow G$  and a  $\mathbb{Z}/p^n\mathbb{Z}$ -linear homomorphism  $\tau: \mathcal{E}[p^n] \rightarrow (\mathbb{Z}/p^n\mathbb{Z})^2$  such that, for all  $\sigma \in \text{Gal}(k(\mathcal{E}[p^n])/k)$  and  $v \in \mathcal{E}[p^n]$ , we have  $\phi(\sigma)\tau(v) = \tau(\sigma(v))$ .*

*Proof* See [8, Lemma 11]. □

**Remark 6** Given a prime number  $p$ , a positive integer  $n$  and a subgroup  $G$  of  $\text{GL}_2(\mathbb{Z}/p^n\mathbb{Z})$ , if we suppose  $H^1_{\text{loc}}(G, (\mathbb{Z}/p^n\mathbb{Z})^2) \neq 0$ , then by Lemma 5, there exist a number field  $k$  and an elliptic curve  $\mathcal{E}$  defined over  $k$  such that  $H^1_{\text{loc}}(G_n, \mathcal{E}[p^n]) \neq 0$ . Hence, by [7, Theorem 3], there exists a finite extension  $L$  of  $k$  such that  $L \cap k(\mathcal{E}[p^n]) = k$  and the local-global divisibility by  $p^n$  does not hold over  $\mathcal{E}(L)$ .

### 3 Auxiliary results in the prime to $p$ case

Let  $p \equiv 2 \pmod{3}$  be a prime number. In [9, Sect. 5] we already found a subgroup  $G$  of  $\text{GL}_2(\mathbb{Z}/p^2\mathbb{Z})$  such that  $H^1_{\text{loc}}(G, (\mathbb{Z}/p^2\mathbb{Z})^2) \neq 0$  and the quotient of  $G$  by the subgroup

$H$  of the elements congruent to the identity modulo  $p$  is a cyclic group of order 3. We use the following remark and the following proposition to extend the example to a group  $G'$  containing  $G$  such that  $G'/H$  is isomorphic to  $S_3$ .

*Remark 7* Let  $p$  be a prime number, let  $m$  be a positive integer, let  $V$  be  $(\mathbb{Z}/p^2\mathbb{Z})^{2m}$ , let  $G$  be a subgroup of  $\text{GL}_{2m}(\mathbb{Z}/p^2\mathbb{Z})$  and let  $H$  be the subgroup of  $G$  of the elements congruent to the identity modulo  $p$ . Then we have the following inflation–restriction exact sequence:

$$0 \rightarrow H^1(G/H, V[p]) \rightarrow H^1(G, V[p]) \rightarrow H^1(H, V[p])^{G/H} \rightarrow H^2(G/H, V[p]). \tag{3.1}$$

Moreover, the exact sequence

$$0 \rightarrow V[p] \rightarrow V \rightarrow V[p] \rightarrow 0$$

(the first map is the inclusion and the second map the multiplication by  $p$ ) induces the following exact sequence:

$$H^0(G, V[p]) \rightarrow H^1(G, V[p]) \rightarrow H^1(G, V) \rightarrow H^1(G, V[p]). \tag{3.2}$$

**Proposition 8** *Let  $p$  be a prime number, let  $m$  be a positive integer, let  $V$  be  $(\mathbb{Z}/p^2\mathbb{Z})^{2m}$ , let  $G$  be a subgroup of  $\text{GL}_{2m}(\mathbb{Z}/p^2\mathbb{Z})$ , and let  $H$  be the subgroup of  $G$  of the elements congruent to the identity modulo  $p$ . Suppose that:*

1.  $G$  has an element  $\delta$  not fixing any element of  $V$ ;
2.  $H$  is isomorphic, as an  $G/H$ -module, to a non-trivial  $G/H$ -submodule of  $V[p]$ ;
3. For every  $h \in H$  distinct from the identity, the endomorphism  $h - \text{Id}: V/V[p] \rightarrow V/V[p]$  is an isomorphism;
4.  $G/H$  has order not divisible by  $p$ .

Then  $H_{\text{loc}}^1(G, V) \neq 0$ .

*Proof* By Hypothesis 4, we know that the groups  $H^1(G/H, \mathcal{A}[p])$  and  $H^2(G/H, \mathcal{A}[p])$  in (3.1) are trivial, and hence the restriction map is an isomorphism. Since the action of  $H$  over  $V[p]$  is trivial and  $H$  is an abelian group of exponent  $p$ , we have that  $H^1(H, V[p])^{G/H}$  is isomorphic to  $\text{Hom}_{\mathbb{Z}/p\mathbb{Z}[G/H]}(H, V[p])$ . By Hypothesis 2, there exists  $\phi: H \rightarrow V[p]$  an injective homomorphism of  $\mathbb{Z}/p\mathbb{Z}[G/H]$ -modules. Let  $[Z]$  be in  $H^1(G, V[p])$  such that its image in  $H^1(H, V[p])^{G/H}$  is the class of  $\phi$ . In particular, we have  $[Z] \neq 0$  because  $\phi$  is injective and the restriction map is an isomorphism.

Now observe that  $H^0(G, V[p]) = 0$  by Hypothesis 1. Then, by Remark 7, we have the following exact sequence of  $G$ -modules

$$0 \rightarrow H^1(G, V[p]) \rightarrow H^1(G, V) \rightarrow H^1(G, V[p]).$$

Let us call  $[W] \in H^1(G, V)$  the image of  $[Z] \in H^1(G, V[p])$  defined above by the injective map  $H^1(G, V[p]) \rightarrow H^1(G, V)$ . Since  $[Z] \neq 0$ , the same holds for  $[W]$ . Moreover, since  $G/H$  is not divisible by  $p$ , the restriction  $H^1(G, V) \rightarrow H^1(H, V)$  is injective. We conclude because by Hypothesis 3, the image of  $[W]$  under this map is in  $H_{\text{loc}}^1(H, V)$ .  $\square$

**Corollary 9** *Let  $p$  be an odd prime such that  $p \equiv 2 \pmod{3}$ . Let  $G$  be the subgroup of  $\text{GL}_2(\mathbb{Z}/p^2\mathbb{Z})$  generated by*

$$\tau = \begin{pmatrix} 1 & -3 \\ 1 & -2 \end{pmatrix}$$

(which has order 3), by an element  $\sigma$  of order 2 such that  $\sigma\tau\sigma^{-1} = \tau^2$  and by

$$H = \left\{ \begin{pmatrix} 1 + p(a - 2b) & 3p(b - a) \\ -pb & 1 - p(a - 2b) \end{pmatrix}, a, b \in \mathbb{Z}/p^2\mathbb{Z} \right\}.$$

Then  $H_{\text{loc}}^1(G, (\mathbb{Z}/p^2\mathbb{Z})^2) \neq 0$ .

*Proof* It suffices to show that the conditions of Proposition 8 hold for  $G$ . Conditions 1 and 4 are clear and condition 3 holds by [9, Sect. 5]. Observe that  $G/H$  is isomorphic to  $S_3$  and recall that  $S_3$  has a unique irreducible representation of dimension 2 over  $\mathbb{F}_p$ . To prove condition 2 we equivalently prove that  $H$  is stable by the conjugation by  $\tau$  and  $\sigma$ . In [9, Sect. 5] we proved that the conjugation by  $\tau$  sends  $H$  to  $H$ .

Let us show that  $\sigma H\sigma^{-1} = H$ . A straightforward computation shows that if  $\bar{\sigma}$  has order 2 in  $G/H$  and  $\bar{\sigma}\tau\bar{\sigma}^{-1} = \bar{\tau}^2$ , then there exists  $\alpha, \beta \in \mathbb{F}_p$  such that

$$\bar{\sigma} = \begin{pmatrix} \alpha - 2\beta & 3(\beta - \alpha) \\ \beta & 2\beta - \alpha \end{pmatrix}.$$

Let

$$W = \left\{ \begin{pmatrix} 1 + pc & pd \\ pe & 1 - pc \end{pmatrix}, c, d, e \in \mathbb{Z}/p^2\mathbb{Z} \right\}.$$

It is a subgroup of  $\text{GL}_2(\mathbb{Z}/p^2\mathbb{Z})$  and a  $\mathbb{F}_p$ -vector space of dimension 3. Observe that  $W$  is the subgroup of the group of the matrices congruent to the identity modulo  $p$  and having trace 2. Since the trace is invariant under conjugation, we have that  $\sigma W\sigma^{-1} = W$ . Let  $\phi_\sigma$  be the automorphism of  $W$  such that, for every  $w \in W$ ,  $\phi_\sigma(w) = \sigma w\sigma^{-1}$ . Observe that since  $\sigma$  has order 2, and it is distinct from  $Id$  and  $-Id$ ,  $\phi_\sigma$  has an eigenspace  $W_1$  of dimension 1 for the eigenvalue 1, which is generated by the element  $h_1 \in H$  with  $a = \alpha, b = \beta$ , and an eigenspace  $W_2$  of dimension 2 for the eigenvalue  $-1$ . Let  $h \in H$  and  $h \notin W_1$ . Then  $h \in W$  and, since  $W = W_1 \oplus W_2$ , there exist  $r \in \mathbb{Z}$  and  $h_2 \in W_2$  distinct from the identity such that  $h = h_1^r h_2$ . Thus  $h_2 = hh_1^{-r} \in H$ . Since  $h_1$  and  $h_2$  are linearly independent, they generate  $H$ . Moreover,  $\phi_\sigma(h_2) = h_2^{-1} \in H$ . Then  $\phi_\sigma(H) = \sigma H\sigma^{-1} = H$ .  $\square$

**Lemma 10** *Let  $p$  be a prime number and let  $V$  be  $(\mathbb{Z}/p^2\mathbb{Z})^2$ . Let  $\lambda \in (\mathbb{Z}/p^2\mathbb{Z})^*$  be of order dividing  $p - 1$  and let  $G$  be the following subgroup of  $\text{GL}_2(V)$ :*

$$G = \left\{ g = \begin{pmatrix} \lambda & 0 \\ 0 & 1 \end{pmatrix}, h(1, 0) = \begin{pmatrix} 1 + p & 0 \\ 0 & 1 - p \end{pmatrix}, h(0, 1) = \begin{pmatrix} 1 & p \\ 0 & 1 \end{pmatrix} \right\}.$$

Then  $H_{\text{loc}}^1(G, V) \neq 0$ .

*Proof* Observe that the subgroup  $H$  of  $G$  of the elements congruent to the identity modulo  $p$  is the group generated by  $h(1, 0)$  and  $h(0, 1)$ . Since  $G/H$  has order not divisible by  $p$ ,  $H^1(G/H, V[p]) = 0$  and  $H^2(G/H, V[p]) = 0$ . Then, from the exact sequence (3.1) in Remark 7, we get an isomorphism from  $H^1(G/H, V[p])$  to  $H^1(H, V[p])^{G/H}$ . Since  $H$  acts like the identity over  $V[p]$  and since the groups  $V[p]$  and  $H$  are abelian with exponent  $p$ , we have  $H^1(H, V[p])^{G/H} = \text{Hom}_{\mathbb{Z}/p\mathbb{Z}[G/H]}(H, V[p])$ . Observe that  $gh(0, 1)g^{-1} = h(0, 1)^\lambda$  and  $g(p, 0) = \lambda(p, 0)$ . Then we can define a non-trivial  $\mathbb{Z}/p\mathbb{Z}[G/H]$  homomorphism  $\phi$  from  $H$  to  $V[p]$  by sending  $h(0, 1)$  to  $(p, 0)$  and  $h(1, 0)$  to  $(0, 0)$  and extending it by linearity. Let  $Z$  be a cocycle representing the class  $[Z]$  in  $H^1(G, V[p])$  corresponding to  $\phi$ . By (3.2) of Remark 7, we have an homomorphism from  $H^1(G, V[p])$  to  $H^1(G, V)$ . Let  $[W]$  be the image of  $[Z]$  for such homomorphism. Let us show that  $[W] \in H_{\text{loc}}^1(G, V)$

and  $[W] \neq 0$ . Since  $G/H$  has order not divisible by  $p$ , it is sufficient to prove that the image of  $[W]$  under the restriction to  $H^1(H, V)$  is in  $H^1_{\text{loc}}(H, V)$ . For all integers  $a, b$  define  $h(a, b) := ah(1, 0) + bh(0, 1)$ . Then, by the definition of  $[Z]$ , we have that  $h(a, b)$  is sent to  $(bp, 0)$ . An easy calculation shows that for every  $a, b$ , there exist  $x, y$  in  $\mathbb{Z}/p^2\mathbb{Z}$  such that  $(h - Id)(x, y) = (bp, 0)$ . This proves that  $[W] \in H^1_{\text{loc}}(G, V)$ .

Finally observe that for every  $x, y$  in  $\mathbb{Z}/p^2\mathbb{Z}$  such that  $(h(1, 0) - Id)(x, y) = (0, 0)$ , we have  $x \equiv 0 \pmod{p}$  and  $y \equiv 0 \pmod{p}$ . On the other hand, for every  $x, y$  in  $\mathbb{Z}/p^2\mathbb{Z}$  such that  $(h(1, 0) - Id)(x, y) = (p, 0)$ , we have  $y \equiv 1 \pmod{p}$ . Thus  $[W] \neq 0$ .  $\square$

### 4 Auxiliary results in the $p$ -dividing case

In this section we first prove the following result.

**Lemma 11** *Let  $V$  be  $(\mathbb{Z}/p^2\mathbb{Z})^2$  and let  $G$  be the following subgroup of  $\text{GL}_2(\mathbb{Z}/p^2\mathbb{Z})$ :*

$$G = \left\langle g = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \sigma = \begin{pmatrix} 1+p & 1 \\ 2p & 1+p \end{pmatrix}, h = \begin{pmatrix} 1+p & 0 \\ 0 & 1-p \end{pmatrix} \right\rangle.$$

Then  $H^1_{\text{loc}}(G, V) \neq 0$ .

*Proof* Let  $H$  be the subgroup of  $G$  of the elements congruent to 1 modulo  $p$ . Let  $\bar{g}$  and  $\bar{\sigma}$  be the classes of  $g$  and  $\sigma$  modulo  $H$ . We have that  $H^1(G/H, V[p]) \neq 0$ . In fact we can define a cocycle  $Z: G/H \rightarrow V[p]$ , which is not a coboundary, by sending, for every integer  $i_1, i_2$ ,  $Z_{\bar{g}^{i_1}\bar{\sigma}^{i_2}}$  to  $(pi_2(i_2-1)/2, (-1)^{i_1} pi_2)$ . Since  $H$  is normal, we have an injective homomorphism (the inflation) from  $H^1(G/H, V[p])$  to  $H^1(G, V[p])$ . By abuse of notation we still call  $Z$  a cocycle representing the image of the class of  $Z$  in  $H^1(G, V[p])$ . Moreover, see Remark 7 and in particular the sequence (3.2), we have a homomorphism from  $H^1(G, V[p])$  to  $H^1(G, V)$ . It maps the class of  $Z$  in  $H^1(G, V[p])$  to some class  $[W] \in H^1(G, V)$ . We shall prove that  $[W] \in H^1_{\text{loc}}(G, V)$  and  $[W] \neq 0$ .

First of all let us observe that for every  $a, b, c, d \in \mathbb{Z}/p^2\mathbb{Z}$ , we have

$$\begin{pmatrix} 1+ap & 1+bp \\ cp & 1+dp \end{pmatrix}^p = \begin{pmatrix} 1 & p \\ 0 & 1 \end{pmatrix}.$$

To verify this write

$$\begin{pmatrix} 1+ap & 1+bp \\ cp & 1+dp \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \begin{pmatrix} ap & 1+bp \\ cp & dp \end{pmatrix}$$

and observe that

$$\begin{pmatrix} ap & 1+bp \\ cp & dp \end{pmatrix}^2 \equiv \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \pmod{p}, \quad \begin{pmatrix} ap & 1+bp \\ cp & dp \end{pmatrix}^4 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}.$$

Thus the subgroup  $H$  of  $G$  of the elements congruent to the identity modulo  $p$  is

$$H = \left\langle \begin{pmatrix} 1 & p \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1+p & 0 \\ 0 & 1-p \end{pmatrix} \right\rangle.$$

Now observe that, since  $H$  and  $\langle \sigma, H \rangle$  are normal in  $G$ , for every  $\tau \in G$  there exist integers  $i_1, i_2, i_3$  and  $h \in H$  such that  $\tau = g^{i_1}\sigma^{i_2}h^{i_3}$ . If  $W$  is a representant for  $[W]$ , we have  $W_\tau = (p(i_2 - 1), (-1)^{i_1} pi_2)$ . If  $i_2 \equiv 0 \pmod{p}$ , then clearly  $W_\tau = (0, 0)$  and so  $W_\tau =$

$(\tau - Id)((0, 0))$ . Then we can suppose  $i_2 \not\equiv 0 \pmod{p}$ . It is simple to prove by induction on  $i_2$  that

$$\sigma^{i_2} = \begin{pmatrix} 1 + ap & i_2 + bp \\ 2i_2p & 1 + cp \end{pmatrix}$$

holds for some  $a, b, c \in \mathbb{Z}/p^2\mathbb{Z}$ . Moreover  $\sigma^{i_2}h^{i_3}$  has again the top right entry congruent to  $i_2$  modulo  $p$  and the bottom left entry equal to  $2i_2p$ . From these remarks is an easy exercise to prove that there exist  $\alpha$  and  $\beta \in \mathbb{Z}/p^2\mathbb{Z}$  such that  $W_\tau = (\tau - Id)((\alpha, p\beta))$ . Then  $[W]$  is in  $H_{loc}^1(G, V)$ .

Finally let us observe that  $W$  is not a coboundary. Let  $\alpha, \beta \in \mathbb{Z}/p^2\mathbb{Z}$  be such that  $W_\sigma = (0, p) = (\sigma - Id)((\alpha, \beta))$ . Then  $\alpha \not\equiv 0 \pmod{p}$ . On the other hand, let  $h \in H$  be such that

$$h = \begin{pmatrix} 1 + p & 0 \\ 0 & 1 - p \end{pmatrix}.$$

Then  $W_h = (0, 0)$  and so for every  $\alpha, \beta \in \mathbb{Z}/p^2\mathbb{Z}$  such that  $(h - id)((\alpha, \beta)) = (0, 0)$ , we have  $\alpha \equiv 0 \pmod{p}$ . Hence  $W$  is not a coboundary. □

*Remark 12* For every  $a, b, c, d \in \mathbb{Z}/p^2\mathbb{Z}$ , we have

$$\begin{pmatrix} 1 + ap & 1 + bp \\ cp & 1 + dp \end{pmatrix}^p = \begin{pmatrix} 1 & p \\ 0 & 1 \end{pmatrix}.$$

In a similar way, for every integer  $m \geq 2$ , and every  $a_m, b_m, c_m, d_m \in \mathbb{Z}/p^m\mathbb{Z}$ , we have

$$\begin{pmatrix} 1 + a_m p & 1 + b_m p \\ c_m p & 1 + d_m p \end{pmatrix}^{p^{m-1}} = \begin{pmatrix} 1 & p^m \\ 0 & 1 \end{pmatrix}.$$

**Corollary 13** *Let  $V$  be  $(\mathbb{Z}/p^2\mathbb{Z})^2$  and let  $G$  be the following subgroup of  $GL_2(\mathbb{Z}/p^2\mathbb{Z})$ :*

$$\tilde{G} = \left\langle \sigma = \begin{pmatrix} 1 + p & 1 \\ 2p & 1 + p \end{pmatrix}, h = \begin{pmatrix} 1 + p & 0 \\ 0 & 1 - p \end{pmatrix} \right\rangle.$$

*Then  $H_{loc}^1(\tilde{G}, V) \neq 0$*

*Proof* Observe that  $\tilde{G}$  is a subgroup of index 2 of the group  $G$  of Lemma 11. Since  $p \neq 2$ , the restriction  $H_{loc}^1(G, V) \rightarrow H_{loc}^1(\tilde{G}, V)$  is injective and the result follows. □

Before proving the last result of this section, we need a result of linear algebra.

**Lemma 14** *Let  $n \in \mathbb{N}$  and let  $G$  be a subgroup of  $GL_2(\mathbb{Z}/p^n\mathbb{Z})$ . Let  $H$  be the subgroup of  $G$  of the elements congruent to the identity modulo  $p$ . Suppose that  $G/H$  is contained in a Borel subgroup, and it is generated by an element  $g$  of order 2 and an element  $\sigma$  of order  $p$  such that  $\sigma$  and  $g$  do not fix the same element of order  $p$ . Let  $\tau$  be in  $H$  and let  $\sigma_n \in G$  be such that  $\sigma_n$  is sent to  $\sigma$  by the projection of  $G$  over  $G/H$ . Then there exist  $\tau_d, \tau_l \in H, \lambda \in \mathbb{N}$ , such that  $\tau_d$  is diagonal,  $\tau_l$  is lower untriangular and  $\tau = \tau_d \tau_l \sigma_n^{p^\lambda}$ . In other words  $H$  is generated by its subgroups of the diagonal matrices, its subgroup of the lower untriangular matrices and  $\sigma_n^p$ .*

*Proof* Fix a basis of  $(\mathbb{Z}/p^n\mathbb{Z})^2$  such that

$$\sigma_n \equiv \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \pmod{p}.$$



Then, since  $g$  has order 2 and  $p$  is odd, there exists an element  $g_n$  of  $G$  such that

$$g_n = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}.$$

We remark that  $\sigma_n^p \in H$ . In fact  $\sigma_n^p \equiv Id \pmod{p}$ .

We first show that every  $\tau \in H$  can be written as a product of a lower triangular matrix  $\tau_L \in H$  and a power of  $\sigma_n^p$ . Since  $\tau \in H$ ,  $\tau \equiv Id \pmod{p}$  and so there exist  $e, g, m, r \in \mathbb{Z}/p^n\mathbb{Z}$  such that

$$\tau = \begin{pmatrix} 1 + pe & pg \\ pm & 1 + pr \end{pmatrix}.$$

We prove by induction that for every integer  $i \geq 1$ , there exists  $\lambda_i \in \mathbb{Z}/p^n\mathbb{Z}$  such that

$$\tau \sigma_n^{p\lambda_i} = \begin{pmatrix} 1 + pe_i & p^i g_i \\ pm_i & 1 + pr_i \end{pmatrix}, \tag{4.1}$$

for some  $e_i, g_i, m_i, r_i \in \mathbb{Z}/p^n\mathbb{Z}$ . If  $i = 1$  then for  $\lambda_1 = 0$  the relation (4.1) is satisfied. Suppose that (4.1) is satisfied for an integer  $i \geq 1$ . Then there exists  $\lambda_i \in \mathbb{Z}/p^n\mathbb{Z}$  such that

$$\tau \sigma_n^{p\lambda_i} = \begin{pmatrix} 1 + pe_i & p^i g_i \\ pm_i & 1 + pr_i \end{pmatrix},$$

for some  $e_i, g_i, m_i, r_i \in \mathbb{Z}/p^n\mathbb{Z}$ . Choose an element  $\lambda_{i+1}$  of  $\mathbb{Z}/p^n\mathbb{Z}$  such that  $p\lambda_{i+1} = p\lambda_i - p^i g_i$ . Observe that this element exists because  $i \geq 1$ . By Remark 12 we have

$$\begin{aligned} \sigma_n^{-p^i g_i} &= \begin{pmatrix} 1 + p^{i+1} a_{i+1} & p^i + p^{i+1} b_{i+1} \\ p^{i+1} c_{i+1} & 1 + p^{i+1} d_{i+1} \end{pmatrix}^{-g_i} \\ &= \begin{pmatrix} 1 + p^{i+1} a'_{i+1} & -p^i g_i + p^{i+1} b'_{i+1} \\ p^{i+1} c'_{i+1} & 1 + p^{i+1} d'_{i+1} \end{pmatrix}, \end{aligned}$$

for some  $a'_{i+1}, b'_{i+1}, c'_{i+1}, d'_{i+1} \in \mathbb{Z}/p^n\mathbb{Z}$ . By a short computation

$$\begin{aligned} \tau \sigma_n^{p\lambda_{i+1}} &= \tau \sigma_n^{p\lambda_i} \sigma_n^{-p^i g_i} \\ &= \begin{pmatrix} 1 + pe_i & p^i + p^i g_i \\ pm_i & 1 + pr_i \end{pmatrix} \begin{pmatrix} 1 + p^{i+1} a'_{i+1} & -p^i g_i + p^{i+1} b'_{i+1} \\ p^{i+1} c'_{i+1} & 1 + p^{i+1} d'_{i+1} \end{pmatrix} \\ &= \begin{pmatrix} 1 + pe_{i+1} & p^{i+1} g_{i+1} \\ pm_{i+1} & 1 + pr_{i+1} \end{pmatrix}, \end{aligned}$$

for some  $e_{i+1}, g_{i+1}, m_{i+1}, r_{i+1} \in \mathbb{Z}/p^n\mathbb{Z}$ . Then (4.1) is verified for  $\lambda_{i+1}$  that satisfies  $p\lambda_{i+1} = p\lambda_i - p^i g_i$ . In particular for  $i = n$  we have

$$\tau \sigma_n^{p\lambda_n} = \begin{pmatrix} 1 + pe_n & 0 \\ pm_n & 1 + pr_n \end{pmatrix}.$$

Then, setting  $\tau_L = \tau \sigma_n^{p\lambda_n}$  and  $\lambda = -\lambda_n$ , we have shown that  $\tau$  can be written as a product of a lower triangular matrix  $\tau_L \in H$  and the power  $\sigma_n^{p\lambda}$  of  $\sigma_n^p$ .

Observe that, to conclude the proof, it is sufficient to show that  $\tau_L$  can be written as the product of a diagonal matrix  $\tau_d \in H$  and a lower unitriangular matrix  $\tau_l \in H$ . Since  $H$  is normal in  $G$ ,  $g_n \tau_L g_n^{-1} \in H$ . Then  $g_n \tau_L g_n^{-1} \tau_L^{-1} \in H$ . Moreover, by a simple computation, we have

$$g_n \tau_L g_n^{-1} \tau_L^{-1} = \begin{pmatrix} 1 & 0 \\ -2pm_n/(pe_n + 1) & 1 \end{pmatrix}.$$

Thus

$$(g_n \tau_L g_n^{-1} \tau_L^{-1})^{-(pe_n+1)/2(pr_n+1)} = \begin{pmatrix} 1 & 0 \\ pm_n/(pr_n + 1) & 1 \end{pmatrix} \in H.$$

Call such a matrix  $\tau_l$  and observe that

$$\tau_L = \begin{pmatrix} 1 + pe_n & 0 \\ 0 & 1 + pr_n \end{pmatrix} \begin{pmatrix} 1 & 0 \\ pm_n/(pr_n + 1) & 1 \end{pmatrix}.$$

Call the diagonal matrix  $\tau_d$ . Since  $\tau_L, \tau_l \in H$ , also  $\tau_d \in H$ , proving the statement. □

**Lemma 15** *Under the assumptions and with the notation of Lemma 14, let  $V_n$  be  $(\mathbb{Z}/p^n\mathbb{Z})^2$ . Then  $H_{\text{loc}}^1(G, V_n) = 0$ .*

*Proof* By replacing  $V$  with  $V_n$ , by observing that  $H^0(G, V_n[p^{n-1}]) = 0$  because the group generated by  $g$  and  $\sigma$  do not fix any element of  $V_n[p^{n-1}]$ , and by using the Remark 7, we get the following exact sequence

$$0 \rightarrow H^1(G, V_n[p]) \rightarrow H^1(G, V_n) \rightarrow H^1(G, V_n[p^{n-1}]). \tag{4.2}$$

Suppose that  $H_{\text{loc}}^1(G, V_n) \neq 0$ . Then  $H_{\text{loc}}^1(G, V_n)[p] \neq 0$  and so let  $Z$  be a cocycle representing a non-trivial class  $[Z] \in H_{\text{loc}}^1(G, V_n)[p]$ . Let us observe that  $[Z]$  is in the kernel of the map  $H^1(G, V_n) \rightarrow H^1(G, V_n[p^{n-1}])$  (here we generalize the proof of [9, Lemma 13]). Since  $[Z]$  has order  $p$ , then  $pZ$  is a coboundary and so there exists  $v \in V_n$  such that, for every  $\tau \in G$ ,  $pZ_\tau = \tau(v) - v$ . Let us observe that  $v \in V_n[p^{n-1}]$ . Since for every  $\tau$  we have  $\tau(v) - v \in V_n[p^{n-1}]$ , and we get that  $v \in \bigcap_{\tau \in G} \ker(p^{n-1}(\tau - Id))$ . Since  $G$  does not fix any element of order  $p$ , the unique possibility is that  $v \in V_n[p^{n-1}]$ . Then (see the sequence (4.2))  $[Z]$  is in the image of  $H^1(G, V_n[p]) \rightarrow H^1(G, V_n)$ . By abuse of notation we call  $[Z]$  the class in  $H^1(G, V_n[p])$  sent to  $[Z]$ .

Consider now the inflation–restriction sequence

$$0 \rightarrow H^1(G/H, V_n[p]) \rightarrow H^1(G, V_n[p]) \rightarrow H^1(H, V_n[p])^{G/H}. \tag{4.3}$$

Let us observe that  $H^1(G/H, V_n[p]) = 0$ . Let  $W : G/H \rightarrow V_n[p]$  be a cocycle. Since  $\sigma$  and  $g$  are contained in a Borel subgroup,  $g$  has order 2, and  $g$  and  $\sigma$  do not fix any nonzero element of  $V_n[p^{n-1}]$ , we can choose a basis of  $V_n$  such that  $(p^{n-1}, 0)$  is fixed by  $\sigma$ ,  $g((p^{n-1}, 0)) = (-p^{n-1}, 0)$  and  $(0, p^{n-1})$  is sent to  $(p^{n-1}, p^{n-1})$  by  $\sigma$  and fixed by  $g$ . Observe that, since summing a coboundary to  $W$  does not change its class, we can suppose that  $W_\sigma = (0, p^{n-1})$ . Then, for every integer  $i$ , we have  $W_{\sigma^i} = (p^{n-1}i(i-1)/2, p^{n-1}i)$ . Observe that since  $g$  has order 2, we have  $W_{g^2} = W_g + gW_g = (0, 0)$ . In particular there exists  $a \in \mathbb{Z}/p^n\mathbb{Z}$  such that  $W_g = (p^{n-1}a, 0)$ , and which is fixed by  $\sigma$ . Thus  $W_{g\sigma g^{-1}} = gW_\sigma = (p^{n-1}, -p^{n-1})$ . On the other hand,  $g\sigma g^{-1} = \sigma^{-1}$  and so  $W_{\sigma^{-1}} = (-p^{n-1}, -p^{n-1})$ . We then get a contradiction. Thus, by the sequence (4.3), to every class of  $H^1(G, V_n[p])$  we can associate a class in  $H^1(H, V_n[p])^{G/H}$ . Since  $H$  acts as the identity over  $V_n[p]$ , we have that  $H^1(H, V_n[p])^{G/H}$  is a subgroup of  $\text{Hom}(H, V_n[p])$ . In particular, we can associate with  $[Z] \in H^1(G, V[p])$  defined above a homomorphism from  $H$  to  $V_n[p]$ . By Lemma 14, for every  $\tau \in H$  there exist  $\tau_l \in H$  a lower unitriangular matrix,  $\tau_D \in H$  a diagonal matrix and  $\lambda \in \mathbb{Z}$  such that  $\tau = \tau_l \tau_D \sigma_n^{\lambda p}$ . Consider the homomorphism associated with  $[Z] \in H^1(G, V_n[p])$ . Since the cocycle  $Z$  has values in  $V_n[p]$ , in particular  $Z_{\sigma_n} \in V_n[p]$  and, by the cocycle property,  $Z_{\sigma_n^p} = (0, 0)$ . On the other hand, since  $g_n \tau_D g_n^{-1} = \tau_D$ , there exists  $b \in \mathbb{Z}/p^n\mathbb{Z}$  such that  $Z_{\tau_D} = (0, p^{n-1}b)$ . If  $p^{n-1}b$  is distinct from 0, then  $(0, p^{n-1}b)$  generates  $V[p]$  as an  $G/H$ -module. Since  $g_n \tau_l g_n^{-1} = \tau_l^{-1}$ , there exists  $a \in \mathbb{Z}/p^n\mathbb{Z}$  such that  $Z_{\tau_l} = (p^{n-1}a, 0)$ . Observe

that for every  $(\alpha, \beta) \in V_n$ , we have that  $(\tau_l - Id)(\alpha, \beta) = (p^{n-1}a, 0)$  only if  $p^{n-1}a = 0$ . Then if the image of  $Z$  satisfies the local conditions over  $V_n$ , the homomorphism associated with  $Z$  is trivial, and so  $Z$  is a coboundary.  $\square$

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