

Free divisors on metric graphs

Marc Coppens¹

Received: 20 January 2015 / Accepted: 20 July 2015 / Published online: 8 August 2015 © Fondazione Annali di Matematica Pura ed Applicata and Springer-Verlag Berlin Heidelberg 2015

Abstract On a metric graph, we introduce the notion of a free divisor as a replacement for the notion of a base point-free complete linear system on a curve. By means of an example, we show that the Clifford inequality is the only obstruction for the existence of very special free divisors on a graph. This is different for the situation of base point-free linear systems on curves. It gives rise to the existence of many types of divisors on graphs that cannot be lifted to curves maintaining the rank, and it also shows that classifications made for linear systems of some fixed small positive Clifford index do not hold (exactly the same) on graphs.

Keywords Graph · Free divisor · Clifford index

Mathematics Subject Classification 14H51 · 14T05 · 05C99

1 Introduction

The theory of divisors on a compact metric graph Γ is developed very similar to the theory of divisors on a smooth projective curve *C*. In their paper [9], the authors proved a Riemann–Roch theorem for metric graphs with a statement completely similar to the Riemann–Roch theorem for curves. This was an extension of a similar result for finite graphs in [3]. In this paper, when talking about a graph, we mean a metric graph.

Instead of the dimension of the complete linear system associated with a divisor on a curve, one has the concept of the rank rk(D) of a divisor D on a graph Γ . On a graph Γ , there is a well-defined canonical divisor K_{Γ} and an effective divisor D on Γ is called special in case the rank of $K_{\Gamma} - D$ is at least 0. As in the case of curves, the rank of a non-special

Marc Coppens marc.coppens@kuleuven.be

Marc Coppens is Partially supported by the FWO-grant 1.5.012.13N.

¹ Technologiecampus Geel, Departement Elektrotechniek (ESAT), KU Leuven, Kleinhoefstraat 4, 2440 Geel, Belgium

divisor D on Γ is completely determined by its degree deg(D) because of the Riemann–Roch Theorem. For a general effective special divisor D, either its rank or the rank of $K_{\Gamma} - D$ is zero. An effective divisor D such that both rk(D) > 0 and $rk(K_{\Gamma} - D) > 0$ is called very special, and when considering the possible ranks of divisors, one can restrict to very special divisors. Such divisors have their degree between 2 and 2g-4. If the genus of the graph is <2, then there are no very special divisors on the graph, so from now on we only consider graphs of genus g at least 3. It follows easily from the Theorem of Riemann–Roch that for a very special divisor D on a metric graph Γ , one has deg $(D) \ge 2 rk(D)$. In the case of curves, this corresponding statement is part of the so-called Clifford Theorem.

As in the case of curves, and for the same reason, this inequality is the only obstruction for the existence of a graph Γ having a very special effective divisor D of a prescribed degree d between 2 and 2g - 4 and rank r. In case Γ is a hyperelliptic graph of genus g, meaning it has an effective divisor E of degree 2 and rank 1, then rE + F with F a general effective divisor on Γ of degree d - 2r is a very special divisor of degree d and rank r (of course we assume $2 \le d \le 2g - 4$ and $d \ge 2r$). In case D has rank r and P is any point on Γ , then also D + Phas rank at least r. In the case of curves if a divisor D satisfies $\dim(|D|) = r$ and P is a point on C such that $\dim(|D + P|) = r$, then P is a fixed point of the complete linear system |D + P|, meaning every divisor of |D + P| contains P. In the relationship between linear systems on curves and projective realizations of the curve, one is only interested in linear systems without base points. The examples mentioned above in the case of hyperelliptic curves are not base point free in case d - 2r > 0. In the case one restricts to base point-free linear systems on curves one gets more obstructions. As an example, if p is a prime number and D is an effective divisor on a curve C of degree p such that |D| is a base point-free linear system of dimension 2, then C is birationally equivalent to a plane curve of degree p and therefore $g \le p(p-1)/2$. In the case of graphs, in case D is an effective divisor of rank at least 1 such that for each point P on Γ one has rk(D - P) < rk(D), then we say D is a free divisor on Γ . In the case of curves, the corresponding definition is equivalent to |D| being base point free. In the case of graphs, it could be that a divisor D of rank at least 1 that is not free does not contain a point P such that each effective divisor linearly equivalent to Dcontains P. In contrast to the case of curves, the main result of this paper is the following.

Main Theorem For all integers r, d and g satisfying $r \ge 1$, $d \le r + g - 2$ and $d - 2r \ge 0$, there exists a metric graph Γ of genus g such that Γ has a free divisor D of degree d with $\operatorname{rk}(D) = r$.

We mention a few consequences concerning the differences between the theories of divisors on curves and on graphs. In his paper [2], the author describes a degeneration such that given a divisor D of degree d with dim(D) = r on a curve C, one obtains a graph Γ having a divisor $\tau(D)$ of degree d and rank at least r. Given an effective divisor D on a graph Γ of degree d and rank r, one is interested in conditions implying it comes from a divisor on a curve with the same rank and dimension. In [12] and [13], it is shown that this correspondence between divisors on graphs and curves is very good in the case of graphs of genus at most 3 and in case of hyperelliptic graphs. Moreover, for every genus g in [5], one obtains the existence of graphs for which this correspondence is very good. However, in [14] one obtains graphs of genus 4 such that not all effective divisors can be lifted to the same curve such that the rank on the graph is equal to the dimension of the associated complete linear system on the curve. More examples of this kind are obtained, see e.g., [11]. The examples in this paper show the existence of types of divisors on graphs that cannot be lifted because such divisors do not exist on curves. As an example, for all genus $g \ge 7$, we obtain graphs Γ having a free divisor of degree 5 and rank 2. If such a divisor would be a specialization of

a divisor D on a curve C, then |D| has to be a base point-free linear system on C of degree 5 and dimension at least 2. Such curves do not exist.

Associated with a very special divisor D is the Clifford index of D defined by $c(D) = \deg(D) - 2\operatorname{rk}(D)$. The inequality of Clifford is equivalent to $c(D) \ge 0$. In the case of curves, the second part of Clifford's Theorem is the classification of very special divisors of Clifford index 0. This classification also holds for graphs. In [7], it is proved that in case a graph has a very special divisor of Clifford index 0, then the graph is hyperelliptic. Moreover, it is proved in [8] that in case Γ is a hyperelliptic graph and E is a divisor of degree 2 and rank 1 on Γ , then each very special divisor on Γ of Clifford index 0 is linearly equivalent to a multiple of E. In the case of curves, for small values of the Clifford index, there exist classifications of divisors of the given Clifford index. As a next case in [16], it is proved that on a non-hyperelliptic curve, each very special divisor of degree at most g - 1 of Clifford index 1 has dimension at most 2 (and in case it has dimension 2, then $g \le 6$). In this paper for all genus $g \ge 3$ and all $1 \le r \le g - 2$, we show the existence of a graph Γ of genus g having a divisor D of degree 2r + 1 and rank r (hence c(D) = 1). Therefore, the classifications of linear systems on curves of small Clifford index do not hold for graphs in case of nonzero Clifford index.

The final arguments in Sect. 3.3 do fit within the concept of lingering paths developed in [6]. This observation is due to the referee.

2 Generalities

A metric graph Γ (shortly a graph) is a compact connected metric space such that for each point *P* on Γ , there exists $n \in \mathbb{Z}$ with $n \ge 1$ such that some neighborhood of *P* is isometric to $\{z \in \mathbb{C} : z = te^{2k\pi/n} \text{ with } t \in [0, r] \subset \mathbb{R} \text{ and } k \in \mathbb{Z}\}$ for some r > 0 and with *P* corresponding to 0. The valence val(*P*) is equal to *n*. We say that *P* is a vertex of Γ if val(*P*) $\ne 2$.

Let $V(\Gamma)$ be the set of vertices of Γ . A connected component *e* of $\Gamma \setminus V(\Gamma)$ is called an *edge* of Γ . We write \overline{e} to denote its closure, and we call it a closed edge of Γ . In case $\overline{e} \setminus e$ is just one vertex of Γ , then we call \overline{e} a *loop*. In case $V(\Gamma) = \emptyset$, then Γ is also considered as being a closed loop. Let $E(\Gamma)$ be the set of closed edges of Γ . The *genus* of Γ is defined by $g(\Gamma) = 1$ in case Γ is a loop, else

$$g(\Gamma) = |E(\Gamma)| - |V(\Gamma)| + 1.$$

An *arc* on Γ based at *P* is a map $\tau : [0, a] \to \Gamma$ with $\tau(0) = P$ such that τ is an isometry on its image. Two such arcs τ and τ' are called equivalent in case $\tau(\varepsilon) = \tau'(\varepsilon)$ for $\varepsilon > 0$ very small. An equivalence class of such arcs is called a *tangent direction* of Γ at *P*, and $T_P(\Gamma)$ is the set of tangent directions of Γ at *P*. Clearly, $T_P(\Gamma)$ has val(*P*) tangent directions.

A divisor D on a metric graph Γ is a finite formal linear combination $\sum_{P \in \Gamma} n_P P$ of points on Γ with integer coefficients (hence $n_P \neq 0$ for finitely many points P an Γ). We also write D(P) to denote the coefficient n_P . The *degree* of D is $deg(D) = \sum_{P \in \Gamma} n_P$. We say D is *effective* if $n_P \geq 0$ for all $P \in \Gamma$. The *canonical divisor* of Γ is

$$K_{\Gamma} = \sum_{P \in \Gamma} (\operatorname{val}(P) - 2)P$$

(since Γ is compact, one has val(P) $\neq 2$ for finitely many points P on Γ). A *rational function* on Γ is a continuous function $f : \Gamma \to \mathbb{R}$ that can be described as a piecewise affine function with integer slopes on the edges. For $P \in \Gamma$, we define div(f)(P) as being the sum

of all slopes of f on Γ in all directions emanating from P. In this way f defines a divisor div $(f) = \sum_{P \in \Gamma} (\operatorname{div}(f)(P)) P$. Two divisors D_1 and D_2 are called *linearly equivalent* if $D_2 - D_1 = \operatorname{div}(f)$ for some rational function f on Γ . For a divisor D, we define the *rank* rk(D) as follows. In case D is not linearly equivalent to an effective divisor, then rk(D) = -1. Otherwise, rk(D) is the minimal number r such that for each effective divisor E of degree ron Γ , there exists an effective divisor D' on Γ linearly equivalent to D and containing E.

2.1 Reduced divisors

The concept of reduced divisors is introduced in [3] in the context of finite graphs. Its generalization to the metric case is introduced at different papers (see e.g., [10,17]). Let D be a divisor on a metric graph Γ , let X be a closed subset of Γ , and let $P \in \partial X$ be a boundary point of X. We say a tangent direction $v \in T_P(\Gamma)$ leaves X at P if for an arc τ representing v and $\varepsilon > 0$, small one has $\tau(\varepsilon) \notin X$. We say P is a *saturated boundary point* of X with respect to D if the number of tangent directions v at P leaving X is at most D(P); otherwise, we call it *non-saturated*.

Definition 1 Let *D* be a divisor on a metric graph Γ and let $P_0 \in \Gamma$. We say *D* is a P_0 -reduced divisor in case the following conditions are satisfied

- 1. $\forall P \in \Gamma \setminus \{P_0\} : D(P) \ge 0$
- 2. $\forall X \subset \Gamma$, a closed subset with $P_0 \notin X$, there exists $P \in \partial X$ such that P is non-saturated with respect to D.

Theorem 1 Let D be a divisor on a metric graph Γ and let $P \in \Gamma$. There is a unique *P*-reduced divisor D_P on Γ linearly equivalent to D.

2.2 The burning algorithm

In [15], an algorithm is described such that given an effective divisor D on Γ and $P \in \Gamma$, one finds the *P*-reduced divisor D_P linearly equivalent to D. From this algorithm, it is clear that $D_P(P)$ is the maximal value m = D'(P) for an effective divisor D' linearly equivalent to D.

Part of that algorithm consists of checking that a given effective divisor D is P-reduced. This can be made very visible using the so-called *burning algorithm* (see also [4, A.3]).

In case $Q \in \text{Supp}(D)$ with $Q \neq P$, then we assume there are D(Q) firefighters available at Q. There is a fire starting at P and following all tangent directions of P. Each time the fire reaches some point $Q \in \text{Supp}(D)$ along some tangent direction v at Q, then one firefighter does stop the fire at Q coming in along v. Then, this firefighter is occupied and not available any more. In case the fire reaches some point $Q \in \Gamma$ and there is no more firefighter available at Q (because $Q \notin \text{Supp}(D)$ or all firefighters at Q are occupied by fires coming in from other tangent directions at Q), then Q gets burned and the fire goes on from Q in all tangent directions not yet burned. The divisor is P-reduced if and only if the whole graph gets burned by this fire.

2.3 The Jacobian and Abel–Jacobi maps

For making some dimension arguments, we use the tropical Jacobian of a metric graph and the associated Abel–Jacobi maps defined in [17]. In that paper, the authors do introduce one-forms on Γ . The space $\Omega(\Gamma)$ of those one-forms is a *g*-dimensional real vector space. Similar to the case of curves, integrals along paths on Γ do define linear functions on $\Omega(\Gamma)$.



Fig. 1 Graph Γ_0

The cycles on Γ do define a lattice Λ in the dual space $\Omega(\Gamma)^*$, and we call $J(\Gamma) = \Omega(\Gamma)^* / \Lambda$ the *tropical Jacobian* of Γ .

Fixing a base point P_0 on Γ , one also obtains for each nonnegative integer d an Abel– Jacobi map $I(d) : \Gamma^{(d)} \to J(\Gamma)$. Here, $\Gamma^{(d)}$ is the d-th symmetric product of Γ parametrizing effective divisors of degree d on Γ . The structure of $\Gamma^{(d)}$ is described in e.g., [1]. For $D_1, D_2 \in$ $\Gamma^{(d)}$, one has $I(d)(D_1) = I(d)(D_2)$ if and only if D_1 and D_2 are linearly equivalent. For $d \ge g$, the map I(d) is surjective. For d < g, the image of I(d) is a finite union of images on $J(\Gamma)$ of bounded open subsets of affine subspaces of $\Omega(\Gamma)^*$ of dimension at most d.

3 The example

First, we recall the definition of a free divisor on a graph Γ .

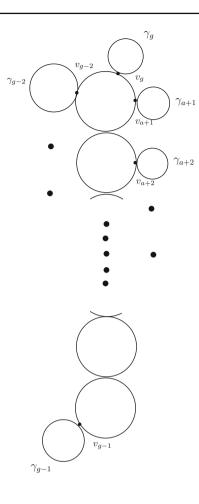
Definition 2 An effective divisor *D* on a metric graph Γ is called free if for all $P \in \Gamma$, one has $\operatorname{rk}(D - P) < \operatorname{rk}(D)$.

In this section, we are going to prove the following theorem

Theorem 2 For all integers r, d and g satisfying $r \ge 1$, $d \le r + g - 2$ and $d - 2r \ge 0$, there exists a metric graph Γ of genus g such that Γ has a free divisor D of degree d with $\operatorname{rk}(D) = r$.

In case d-2r = 0, this is well known (see the Introduction), so we can assume $d-2r \ge 1$. Writing d = 2r + a, we obtain $a \ge 1$ instead of the condition $d - 2r \ge 1$ and the condition $g \ge r + a + 2$ instead of $d \le r + g - 2$. In particular, we can assume $g \ge a + 3 \ge 4$. For a fixed value of the integer a, we are going to construct a graph Γ such that for all integers r satisfying $1 \le r \le g - a - 2$, there exists a free divisor D on Γ of degree 2r + a such that $\operatorname{rk}(D) = r$.

To construct the graph, we start with a graph Γ_0 consisting of a circles as used in [6] (see Fig. 1). We do not need all assumptions from [6, Definition 4.1], we only need that the lengths of both edges from w_i to w_{i+1} are different for $1 \le i \le a - 2$. Take $v_g \in$ $\gamma_1 \setminus \{w_1\}, v_{g-1} \in \gamma_a \setminus \{w_{a-1}\}$ such that $2v_g$ is not linearly equivalent to $2w_1$ on γ_1 and $2v_{g-1}$ is not linearly equivalent to $2w_{a-1}$ on γ_a and some more different points v_{a+1}, \ldots, v_{g-2} (remember $g \ge a + 3$) on Γ_0 different from w_1, \ldots, w_{a-1} . For each $a + 1 \le i \le g$, we attach a loop γ_i to Γ_0 at v_i . This is the graph Γ of genus g we are going to use (see Fig. 2). A divisor E on Γ_0 or on γ_i for some $a + 1 \le i \le g$ can also be considered as a divisor on Γ . Therefore, we write $\mathrm{rk}_{\Gamma_0}(E)$ [resp. $\mathrm{rk}_{\gamma_i}(E)$, $\mathrm{rk}_{\Gamma}(E)$] to denote its rank as a divisor on Γ_0 (resp. γ_i , Γ).





Lemma 1 In case E and E' are linearly equivalent divisors on Γ_0 or γ_i for some $a + 1 \le i \le g$, then E and E' are also linearly equivalent divisors on Γ .

Proof Assume *E* and *E'* are linearly equivalent divisors on Γ_0 . Let *f* be a rational function on Γ_0 such that $\operatorname{div}(f) = E - E'$. Let *g* be the rational function on Γ such that its restriction to Γ_0 is equal to *f* and for $a + 1 \le i \le g$ its restriction is the constant function with value $f(v_i)$, then on Γ one has $\operatorname{div}(g) = E - E'$. This proves the lemma in the case of Γ_0 , the other case is similar.

Lemma 2 Let *E* and *E*' be effective divisors on Γ_0 such that *E* and *E*' are linearly equivalent as divisors on Γ . Then, *E* and *E*' are linearly equivalent as divisors on Γ_0 .

Proof There is a rational function f on Γ such that $\operatorname{div}(f) = E - E'$. For $a+1 \le i \le g$, there is no point P on $\gamma_i \setminus \{v_i\}$ contained in the support of $\operatorname{div}(f)$. Since γ_i is a loop, this implies f is constant on γ_i . Let f' be the restriction of f to Γ_0 , then this implies $\operatorname{div}(f') = E - E'$ on Γ_0 ; hence, E and E' are linearly equivalent divisors on Γ_0 .

3.1 Effective divisors of degree a + 2r and rank r

Lemma 3 Let D be an effective divisor of degree a + 2r on Γ_0 with $1 \le r \le g - a - 2$, then $\operatorname{rk}_{\Gamma}(D) \ge r$.

Proof Let $E = P_1 + \cdots + P_r$ be an effective divisor on Γ . We need to prove that there exists an effective divisor D' on Γ linearly equivalent to D containing E. From the Riemann–Roch Theorem, it follows $\operatorname{rk}_{\Gamma_0}(D) \ge 2r$; hence; in case $P_i \in \Gamma_0$ for $1 \le i \le r$, then there exists a divisor D' on Γ_0 linearly equivalent to D containing E. From Lemma 1, we know D' is also linearly equivalent to D on Γ .

So we can assume not all points P_i belong to Γ_0 . Define $a + 1 \le i_1 < i_2 < \cdots < i_{r'} \le g$ such that $E \cap (\gamma_j \setminus \{v_j\}) \ne \emptyset$ for some $a + 1 \le j \le g$ if and only if there exists $1 \le k \le r'$ such that $i_k = j$. Let deg $(E \cap (\gamma_{i_k} \setminus \{v_{i_k}\})) = n_k$ for $1 \le k \le r'$ and let deg $(E \cap \Gamma_0) = n_0$. On Γ_0 , we consider the divisor $E_0 = (E \cap \Gamma_0) + (n_1 + 1)v_{i_1} + \cdots + (n_{r'} + 1)v_{i_{r'}}$. It has degree $n_0 + (n_1 + 1) + \cdots + (n_{r'} + 1) = r + r' \le 2r$; hence, there exists a divisor D'' on Γ_0 linearly equivalent to D containing E_0 . From Lemma 1, it follows D'' is linearly equivalent to D as a divisor on Γ . For $1 \le k \le r'$, there exists a point Q_k on γ_{i_k} such that $(E \cap (\gamma_{i_k} \setminus \{v_{i_k}\}) + Q_k$ is linearly equivalent to $(n_k + 1)v_{i_k}$ on γ_{i_k} . Again because of Lemma 1, linearly equivalence between those divisors also holds on Γ . Therefore, on Γ the divisor D is linearly equivalent to $D'' - \sum_{k=1}^{r'} (n_k + 1)v_{i_k} + \sum_{k=1}^{r'} (E \cap (\gamma_{i_k} \setminus \{v_{i_k}\}) + Q_k$. This is an effective divisor D' on Γ containing E.

In order to finish the proof of Theorem 2, it is enough to prove that there exists an effective divisor D on Γ_0 of degree a + 2r such that for each point P on Γ , one has $\mathrm{rk}_{\Gamma}(D-P) < r$. Indeed because of Lemma 3, it would imply $\mathrm{rk}_{\Gamma}(D) = r$ and D is a free divisor on Γ . We are going to show that the subset of $J(\Gamma_0)$ consisting of the images under I(a + 2r) of effective divisors D on Γ_0 of degree a + 2r such that there is a point P on Γ with $\mathrm{rk}_{\Gamma}(D-P) \ge r$ is different from $J(\Gamma_0)$. Since the map $I(a + 2r) : \Gamma_0^{(a+2r)} \to J(\Gamma_0)$ is surjective, this is enough to finish the proof of Theorem 2.

3.2 The case $P \notin \Gamma_0$

Lemma 4 Let D be an effective divisor of degree a + 2r on Γ_0 such that there exists a point $P \in \gamma_{a+1} \setminus \{v_{a+1}\}$ satisfying $\operatorname{rk}_{\Gamma}(D - P) = r$. Then, there exists an effective divisor E of degree a - 2 on Γ_0 such that D is linearly equivalent to $2(v_{a+1} + v_{a+2} + \cdots + v_{a+r+1}) + E$ on Γ_0 .

From Lemma 4, it follows that in case *D* is an effective divisor of degree a + 2r on Γ_0 such that there exists a point $P \in \gamma_{a+1} \setminus \{v_{a+1}\}$ satisfying $\mathrm{rk}_{\Gamma}(D-P) = r$, then I(2r+a)(D) belongs to the subset $I(2r+2)(2(v_{a+1} + \cdots v_{a+r+1})) + I(a-2)(\Gamma_0^{(a-2)})$ of $J(\Gamma_0)$. This subset of $J(\Gamma_0)$ is the union of finitely many images of bounded open subsets of affine subspaces of dimension at most a - 2 of $\Omega(\Gamma)^*$. A similar conclusion holds for *P* on any γ_i for $a + 1 \le i \le g$. If I(2r + a)(D) does not belong to such subset of $J(\Gamma)$, then $\mathrm{rk}_{\Gamma}(D-P) < r$ for all $P \notin \Gamma_0$.

In order to prove Lemma 4, we are going to use the following lemma and its corollaries.

Lemma 5 Let G_0 be a metric graph and let G be the graph obtained from G_0 by attaching a loop γ at some point $v \in G_0$ (see Fig. 3). Let P be a point of $\gamma \setminus \{v\}$ and let D be an effective divisor on G_0 . Let Q be a point on G_0 , and let $D_{Q,0}$ be the Q-reduced divisor on G_0 linearly equivalent to D, and let D_Q be the Q-reduced divisor on G linearly equivalent to D + P. Then, $D_Q = D_{Q,0} + P$.

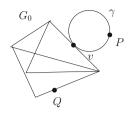


Fig. 3 Graph G

Proof Since $D_{Q,0}$ is linearly equivalent to D on G_0 , it follows from Lemma 1 that $D_{Q,0}$ is linearly equivalent to D on G; hence, $D_{Q,0} + P$ is linearly equivalent to D + P on Γ . So it is enough to prove that $D_Q + P$ is a Q-reduced divisor on G. This is clear using the burning algorithm: a fire starting at Q burns the whole graph G_0 since D_Q is a Q-reduced divisor on Γ_0 . Hence, a fire leaves v in both tangent directions to γ at v. These fires do reach P along both tangent directions to γ at P; hence, also P gets burned because there is only one firefighter at P. This proves $D_Q + P$ is Q-reduced on G.

Corollary 1 Let G_0 be a metric graph, and let G be the graph obtained from G_0 by attaching a loop γ at some point $v \in G_0$. Let P be a point of $\gamma \setminus \{v\}$, and let D be an effective divisor on G_0 . If $\operatorname{rk}_G(D + P) \ge r$, then $\operatorname{rk}_{G_0}(D) \ge r$.

Proof Assume $\operatorname{rk}_G(D + P) \ge 1$, and let Q be a point on G_0 . Let D_Q be the Q-reduced divisor linearly equivalent to D on G_0 . From Lemma 5, we know $D_Q + P$ is the Q-reduced divisor on G linearly equivalent to D + P. Since $\operatorname{rk}_G(D + P) \ge 1$, it follows $D_Q + P$ contains Q; hence, D_Q contains Q. This proves $\operatorname{rk}_{G_0}(D) \ge 1$.

Now assume $\operatorname{rk}_G(D+P) \ge r > 1$, by means of induction we can assume that $\operatorname{rk}_{G_0}(D) \ge r-1$. Take $P_1 + \cdots + P_r$ on G_0 . We can assume $D = P_1 + \cdots + P_{r-1} + D'$ for some effective divisor D' on G_0 . Then, $\operatorname{rk}_G(D'+P) \ge 1$; hence, $\operatorname{rk}_{G_0}(D') \ge 1$. Hence, D' is linearly equivalent to $P_r + D$ on G_0 for some effective divisor D' on G_0 . Then, $D'' + P_1 + \cdots + P_r$ is linearly equivalent to D on G_0 ; hence, $\operatorname{rk}_{G_0}(D) \ge r$.

Corollary 2 Let G_0 be a metric graph, and let G be the graph obtained from G_0 by attaching a loop γ at some point $v \in G_0$. Let D be an effective divisor of degree d on G_0 . There does not exist an effective divisor D' of degree d - 1 on G_0 and $P \in \gamma \setminus \{v\}$ such that D and D' + P are linearly equivalent divisors on Γ .

Proof Assume D' is an effective divisor of degree d - 1 on G_0 and $P \in \gamma \setminus \{v\}$ such that D and D' + P are linearly equivalent divisors on G. Choose $Q \in G_0$ and let D_Q (resp. D'_Q) be the Q-reduced divisors on G_0 linearly equivalent to D (resp. D') on G_0 . Because of Lemma 5, D_Q (resp. $D'_Q + P$) is the Q-reduced divisor on G linearly equivalent to D (resp. D' + P) on G. By assumption, D and D' + P are linearly equivalent divisors on G. Since $D_Q \neq D'_Q + P$, this contradicts Theorem 1.

Now we are going to prove Lemma 4. On Γ_0 , the divisor D is linearly equivalent to $2v_{a+1} + D'$ for some effective divisor D' of degree a + 2r - 2 on Γ_0 . On the loop γ_{a+1} , one has $2v_{a+1}$ linearly equivalent to P + P' for some point P' different from v_{a+1} . From Lemma 1, it follows D is linearly equivalent to D' + P + P' on Γ . By assumption, we have $\mathrm{rk}_{\Gamma}(D' + P') \ge r$. Let $\Gamma' = \Gamma \setminus (\gamma_{a+1} \setminus \{v_{a+1}\})$; then, from Corollary 1, we obtain $\mathrm{rk}_{\Gamma'}(D') \ge r$. For $a + 2 \le j \le a + r + 1$, let P_j be a point on γ_j different from v_j . On Γ' ,

there exists an effective divisor D'' linearly equivalent to D' containing $P_{a+2} + \cdots + P_{a+r+1}$. Write $D'' = D''_{a+2} + \cdots + D''_g + E'$ with $\operatorname{Supp}(D''_j) \subset \gamma_j \setminus \{v_j\}$ for $a + 2 \leq j \leq g$ and $\operatorname{Supp}(E') \subset \Gamma_0$. On γ_j , there exist a nonnegative integer r_j and an effective divisor E''_j of degree at most 1 such that D''_j is linearly equivalent to $r_j v_j + E''_j$. From Lemma 1, we know that $D''' = 2v_{a+1} + r_{a+2}v_{a+2} + \cdots + r_g v_g + E''_{a+2} + \cdots + E''_g + E'$ is linearly equivalent to D as a divisor on Γ .

From Corollary 2, it follows $E''_{a+2} + \cdots + E''_g = 0$. However, for $a + 2 \le j \le a + r + 1$, one has $P_j \in D''_j$ and D''_j linearly equivalent to $r_j v_j$ on γ_j ; hence, $r_j \ge 2$. Hence, $D''' = 2(v_{a+1} + \cdots + v_{a+r+1}) + E$ for some effective divisor E on Γ_0 . From Lemma 2, it follows that D''' is also linearly equivalent to D as divisors on Γ_0 . This finishes the proof of Lemma 4.

3.3 The case $P \in \Gamma_0$

Let *D* be an effective divisor of degree a + 2r on Γ_0 , and assume there exists $P \in \Gamma_0$ such that $rk_{\Gamma}(D-P) = rk_{\Gamma}(D)$. Let *D'* be an effective divisor on Γ_0 such that D' + P is linearly equivalent to *D* as a divisor on Γ_0 . From Lemma 1, we know *D'* is linearly equivalent to D - P as a divisor on Γ ; hence, $rk_{\Gamma}(D') = r$. Therefore, we have to consider effective divisors *D'* on Γ_0 of degree a + 2r - 1 such that $rk_{\Gamma}(D') \ge r$. The divisors *D* we are considering are of the type D' + P for such divisor *D'* on Γ_0 and *P* a point on Γ_0 . So, let *D'* be such a divisor on Γ_0 .

For $a + 1 \le i \le a + r - 1$, we choose $P_i \in \gamma_i \setminus \{v_i\}$ (hence in case r = 1, this means we take no point, also $a + r - 1 \le g - 2$ because $r \le g - a - 2$). Also, we choose points $P_g \in \gamma_g \setminus \{v_g\}$ and $P_{g-1} \in \gamma_{g-1} \setminus \{v_{g-1}\}$. On Γ , there exists an effective divisor D'' linearly equivalent to D' containing $P_{a+1} + \cdots + P_{a+r-1} + P_g$. Repeating the arguments used in the proof of Lemma 4, using Corollary 2 we find D' is linearly equivalent on Γ_0 to a divisor $D''' = 2(v_{a+1} + \cdots + v_{a+r-1} + v_g) + E$ for some effective divisor E on Γ_0 of degree a - 1. Using P_{g-1} instead of P_g , we find the existence of an effective divisor E' on Γ_0 of degree a - 1 such that $2(v_{a+1} + \cdots + v_{a+r-1} + v_{g-1}) + E'$ is linearly equivalent to D' on Γ_0 . It follows that $2v_g + E$ is linearly equivalent to $2v_{g-1} + E'$ on Γ_0 ; hence, $\mathrm{rk}_{\Gamma_0}(2v_g + E - 2v_{g-1}) \ge 0$.

In case *E* is linearly equivalent on Γ_0 to an effective divisor *F* containing v_{g-1} , then D = D' + P is linearly equivalent to $2(v_{a+1} + \dots + v_{a+r-1} + v_g) + v_{g-1} + (F - v_{g-1} + P)$. In that case, I(a + 2r)(D) belongs to the subset $I(2r + 1)(2(v_{a+1} + \dots + v_{a+r-1} + v_g) + v_{g-1}) + I(a - 1)(\Gamma_0^{(a-1)})$ of $J(\Gamma_0)$. This subset is the image of a finite number of bounded open subsets of affine subspaces of $\Omega(\Gamma_0)^*$ of dimension at most a - 1. A similar conclusion can be drawn in case *E* would be linearly equivalent on Γ_0 to an effective divisor *F'* containing v_g . We can assume I(a + 2r)(D) does not belong to such subset of $J(\Gamma)$.

Now we consider the situation where *E* is not linearly equivalent on Γ_0 to an effective divisor *F* (resp. *F'*) containing v_{g-1} (resp. v_g). Using arguments as in Lemma 1, it is clear that each effective divisor on Γ_0 is linearly equivalent to an effective divisor whose support has at most one point in each $\gamma_i \setminus \{w_i\}$ for $1 \le i \le a$ with $w_a = v_{g-1}$. This implies *E* is linearly equivalent on Γ_0 to a divisor $Q_1 + \cdots + Q_{a-1}$ with $Q_i \in \gamma_{j_i} \setminus \{w_{j_i}\}$ for $1 \le i \le a-1$ with $1 \le j_1 < j_2 < \cdots < j_{a-1} \le a$ and $Q_1 \ne v_g$ in case $j_1 = 1$. We are going to describe a certain finite subset *S* of Γ_0 satisfying the following property. If $Q_i \notin S$ for each $1 \le i \le a-1$, then $\mathrm{rk}_{\Gamma_0}(2v_g + E - 2v_{g-1}) = -1$. For $Q \in S$ and $Q_i = Q$ for some $1 \le i \le a-1$, one obtains D = D' + P linearly equivalent as a divisor on Γ_0 to $2(v_{a+1} + \cdots + v_{a+r-1} + v_g) + Q + F' + P$ for some effective divisor *F'* of degree a - 2. In that case, I(a + 2r)(D) belongs to the subset $I(2r+1)(2(v_{a+1} + \cdots + v_{a+r-1} + v_g) + Q) + I(a-1)(\Gamma_0^{(a-1)})$ of $J(\Gamma_0)$. Again this subset is the image of the union of finitely many bounded subsets of affine subspaces of $\Omega(\Gamma_0)^*$ of

dimension at most a - 1. In case I(a + 2r)(D) does not belong to such subset of $J(\Gamma_0)$, then we obtain $rk_{\Gamma}(D - P) < r$ for all $P \in \Gamma$.

Let S be the finite set consisting of the following points: the points Q'_1 and Q''_1 on γ_1 such that $2v_g + Q'_1$ is linearly equivalent to $3w_1$ on γ_1 and $v_g + Q''_1$ is linearly equivalent to $2w_1$ on γ_1 ; for $2 \le i \le a-1$ the points Q'_i and Q''_i on γ_i such that $2w_{i-1} + Q'_i$ is linearly equivalent to $3w_i$ and $w_{i-1} + Q''_i$ is linearly equivalent to $2w_i$ on γ_i and the point Q'_a on γ_a such that $w_{a-1} + Q'_a$ is linearly equivalent to $2v_{g-1}$ on γ_a . So we can assume $Q_i \notin S$ for $1 \le i \le a-1$. Define $0 \le e \le a-1$ such that $j_e = e$ and $j_{e+1} = e+2$ (no condition on j_0 in case e = 0 and no condition on j_a in case e = a - 1). We start with $2v_e + Q_1 + \cdots + Q_{a-1}$. In case e > 0, then on γ_1 , we obtain $2v_g + Q_1$ linearly equivalent to $2w_1 + R_1$ with $R_1 \notin \{w_1, v_g\}$. Hence, because of Lemma 1, $2v_g + Q_1 + \cdots + Q_{a-1}$ is linearly equivalent to $R_1+2w_2+Q_2+\cdots+Q_{a-1}$ on Γ_0 . Continuing in this way, in case e = a-1, we obtain $2v_g+E$ is linearly equivalent to a divisor $R_1 + \cdots + R_{a-1} + 2w_{a-1}$ on Γ_0 with $R_i \in \gamma_i \setminus \{w_{i-1}, w_i\}$ for $1 \le i \le a-1$ (here $w_0 = v_g$). Since $2w_{a-1}$ is not linearly equivalent to $2v_{g-1}$ on γ_a , we obtain $2v_g + E$ is linearly equivalent to $R_1 + \cdots + R_a + v_{g-1}$ with also $R_a \in \gamma_a \setminus \{w_{i-1}, v_{g-1}\}$. Using the burning algorithm on Γ_0 , one easily sees that this divisor is v_{g-1} -reduced. This implies $R_1 + \cdots + R_a - v_{g-1}$ is v_{g-1} -reduced too; hence, $\operatorname{rk}_{\Gamma_0}(2v_g + E - 2v_{g-1}) = -1$ in this case. In case $1 \le e < a - 1$, then we obtain $2v_g + E$ is linearly equivalent to $R_1 + \cdots + R_e + 2w_e + Q_{e+1} + \cdots + Q_{a-1}$ with $R_i \in \gamma_i \setminus \{w_{i-1}, w_i\}$ in case $1 \le i \le e$. Since $2v_g$ is linearly equivalent to $R_1 + w_1$ with $R_1 \in \gamma_1 \setminus \{v_g, w_1\}$ on γ_1 and $2w_{i-1}$ is linearly equivalent to $R_i + w_i$ with $R_i \in \gamma_i \setminus \{w_{i-1}, w_i\}$ on γ_i for $2 \le i \le a - 1$, we obtain $2v_g + E$ linearly equivalent to $R_1 + \cdots + R_{e+1} + w_{e+1} + Q_{e+1} + \cdots + Q_{a-1}$ in case $0 \le e < a-1$. From this, we obtain $2v_q + E$ linearly equivalent on Γ_0 to a divisor $R_1 + \cdots + R_{a-1} + w_{a-1} + Q_{a-1}$ with $R_i \in \gamma_i \setminus \{w_{i-1}, w_i\}$ for $e+2 \le i \le a-1$, and therefore, it is linearly equivalent on Γ_0 to a divisor $R_1 + \cdots + R_a + v_{g-1}$ with $R_a \in \gamma_a \setminus \{w_{a-1}, v_{g-1}\}$. As already mentioned before, this is a v_{g-1} -reduced divisor, implying $\operatorname{rk}_{\Gamma_0}(2v_g + E - 2v_{g-1}) = -1$.

The previous arguments are very similar to the use of the so-called lingering paths in [6]. As a matter of fact, if the divisor $2v_g + F$ is the v_g -reduced divisor linearly equivalent to $2v_g + E$, then this implies the associated lingering path (see [6] for this concept) lingers in a - 1 steps. So we proved that $rk_{\Gamma_0}(2v_g + E - 2v_{g-1}) \ge 0$ implies the lingering path associated with $2v_g + E$ lingers in at most a - 2 steps. This gives rise to the dimension arguments used in the proof.

References

- 1. Amini, O.: Reduced divisors and embeddings of tropical curves. Trans. AMS 365, 4851-4880 (2013)
- Baker, M.: Specialisation of linear systems from curves to graphs. Algebra Number Theory 2, 613–653 (2008)
- Baker, M., Norine, S.: Riemann–Roch and Abel–Jacobi theory on a finite graph. Adv. Math. 215, 766–788 (2007)
- 4. Baker, M., Shokrieh, F.: Chip-firing games, potential theory on graphs and spanning trees. J. Comb. Theory Ser. A **120**, 164–182 (2013)
- Cartwright, D., Jensen, D., Payne, S.: Lifting divisors on a generic chain of loops. Can. Math. Bull. 58, 250–262 (2015)
- Cools, F., Draisma, J., Payne, S., Robeva, E.: A tropical proof of the Brill–Noether Theorem. Adv. Math. 230, 759–776 (2012)
- 7. Coppens, M.: Clifford's theorem for graphs. arXiv:1304.6101 (2013, preprint)
- 8. Facchini, L.: On tropical Clifford's theorem. Ricerche Mat. 59, 343-349 (2010)
- 9. Gathmann, A., Kerber, M.: A Riemann-Roch theorem in tropical geometry. Math. Z. 289, 217-230 (2007)
- Hladký, J., Král, D., Norine, S.: Rank of divisors on tropical curves. J. Comb. Theory Ser. A 120, 1521– 1538 (2013)

- 11. Jensen, D.: The locus of Brill–Noether general graphs is not dense. arXiv:1405.6338 (2014, preprint)
- Kawaguchi, S., Yamaki, K.: Ranks of divisors on hyperelliptic curves and graphs under specialization. Int. Math. Res. Notes 2015, 4121–4176 (2015)
- Kawaguchi, S., Yamaki, K.: Algebraic rank on hyperelliptic graphs and graphs of genus 3. preprint arXiv:1401.3935 (2014, preprint)
- Lim, C.M., Payne, S., Potashnik, N.: A note on Brill–Noether theory and rank-determining sets. Int. Math. Res. Notes 2012, 5484–5504 (2012)
- 15. Luo, Y.: Rank-determining sets of metric graphs. J. Comb. Theory Ser. A 118, 1775–1793 (2011)
- 16. Martens, H.H.: Varieties of special divisors on a curve II. J. Reine Angew. Math. 233, 89-100 (1968)
- Mikhalkin, G., Zharkov, I.: Tropical curves, their Jacobians and theta functions. Curves Abelian Var. 465, 203–231 (2008)