

# On the well-posedness of the exp-Rabelo equation

Giuseppe Maria Coclite<sup>1</sup> · Lorenzo di Ruvo<sup>1</sup>

Received: 28 January 2015 / Accepted: 11 April 2015 / Published online: 1 May 2015 © Fondazione Annali di Matematica Pura ed Applicata and Springer-Verlag Berlin Heidelberg 2015

**Abstract** The exp-Rabelo equation describes pseudo-spherical surfaces. It is a nonlinear evolution equation. In this paper, the well-posedness of bounded from above solutions for the initial value problem associated with this equation is studied.

**Keywords** Existence · Uniqueness · Stability · Entropy solutions · Conservation laws · The exp-Rabelo equation

**Mathematics Subject Classification** 35G31 · 35L65 · 35L05

#### 1 Introduction

Bäcklund transformations have been useful in the calculation of soliton solutions of certain nonlinear evolution equations of physical significance [9,22,25,26] restricted to one space variable x and a time coordinate t. The classical treatment of the surface transformations, which provide the origin of Bäcklund theory, was developed in [11]. Bäcklund transformations are local geometric transformations, which construct from a given surface of constant Gaussian curvature -1 a two parameter family of such surfaces. To find such transformations, one needs to solve a system of compatible ordinary differential equations [10].

In [15,16], the authors used the notion of differential equation for a function u(t, x) that describes a pseudo-spherical surface, and they derived some Bäcklund transformations for

The authors are members of the Gruppo Nazionale per l'Analisi Matematica, la Probabilità e le loro Applicazioni (GNAMPA) of the Istituto Nazionale di Alta Matematica (INdAM).

Lorenzo di Ruvo lorenzo.diruvo@uniba.it

Department of Mathematics, University of Bari, via E. Orabona 4, 70125 Bari, Italy



Giuseppe Maria Coclite giuseppemaria.coclite@uniba.it http://www.dm.uniba.it/Members/coclitegm/

nonlinear evolution equations which are the integrability condition sl(2, R)-valued linear problems [13,14,18,19,26].

In [20], the authors had derived some Bäcklund transformations for nonlinear evolution equations of the Ablowitz–Kaup–Newell–Segur (AKNS) class. These transformations explicitly express the new solutions in terms of the known solutions of the nonlinear evolution equations and corresponding wave functions which are solutions of the associated AKNS system [2,32].

In [17], the authors used Bäcklund transformations derived in [15,16] in the construction of exact soliton solutions for some nonlinear evolution equations describing pseudo-spherical surfaces which are beyond the AKNS class. In particular, they analyzed the following equation [3]:

$$\partial_x \left( \partial_t u + \alpha g(u) \partial_x u + \beta \partial_x u \right) = \gamma g'(u), \quad \alpha, \ \beta, \ \gamma \in \mathbb{R}, \tag{1.1}$$

where g(u) is any solution of the linear ordinary differential equation

$$g''(u) + \mu g(u) = \theta, \quad \mu, \ \theta \in \mathbb{R}. \tag{1.2}$$

(1.1) include the sine-Gordon, sinh-Gordon and Liouville equations, in correspondence of  $\alpha = 0$ .

In [24], Rabelo proved that the system of the Eqs. (1.1) and (1.2) describes pseudo-spherical surfaces and possesses a zero-curvature representation with a parameter.

We consider (1.1) and assume that  $\alpha \neq 0$ .

When

$$\alpha = -1, \qquad \mu = 0, \qquad \theta = 1, \tag{1.3}$$

(1.2) reads

$$g''(u) = 1. (1.4)$$

A solution of (1.4) is

$$g(u) = \frac{u^2}{2}.\tag{1.5}$$

Taking  $\beta = 0$ ,  $\gamma > 0$ , substituting (1.3), and (1.5) in (1.1), we get

$$\partial_x \left( \partial_t u - \frac{1}{6} \partial_x u^3 \right) = \gamma u, \tag{1.6}$$

that was also introduced recently by Schäfer and Wayne [29] as a model equation describing the propagation of ultra-short light pulses in silica optical fibers.

Integrating (1.6) in x, we gain the integro-differential formulation of (1.6) (see [27])

$$\partial_t u - \frac{1}{6} \partial_x u^3 = \gamma \int^x u(t, y) dy, \tag{1.7}$$

that is equivalent to

$$\partial_t u - \frac{1}{6} \partial_x u^3 = \gamma P, \qquad \partial_x P = u.$$
 (1.8)

In [4,6,8], the authors investigated the well-posedness in classes of discontinuous functions for (1.7) or (1.8). In particular, they proved that (1.7) or (1.8) admits a unique entropy solution in the sense of the following definition:

**Definition 1.1** We say that  $u \in L^{\infty}((0, T) \times \mathbb{R})$ , T > 0, is an entropy solution of (1.7) or (1.8) if



- (i) u is a distributional solution of (1.7) or equivalently of (1.8);
- (ii) for every convex function  $\eta \in C^2(\mathbb{R})$ , the entropy inequality

$$\partial_t \eta(u) + \partial_x q(u) - \gamma \eta'(u) P \le 0, \qquad q(u) = -\int^u \frac{\xi^2}{2} \eta'(\xi) \, \mathrm{d}\xi, \tag{1.9}$$

holds in the sense of distributions in  $(0, \infty) \times \mathbb{R}$ .

Definition 1.1 makes sense because the weak solution of (1.7) lies in  $L^{\infty}$ , see [4,6,8]. Here, we consider the case

$$\alpha = 1. \tag{1.10}$$

Taking  $\mu = -1$ ,  $\theta = 0$ , (1.2) reads

$$g''(u) - g(u) = 0. (1.11)$$

A solution of (1.11) is

$$g(u) = e^u. (1.12)$$

Taking  $\beta = 0$ ,  $\gamma = -1$ , and substituting (1.10), and (1.12) in (1.1), we get

$$\partial_x \left( \partial_t u + \partial_x e^u \right) = -e^u, \tag{1.13}$$

which is known as the exp-Rabelo equation (see [12,28]), and describes pseudo-spherical surfaces with constant negative curvature.

Our aim is to investigate the well-posedness for the initial value problem in classes of discontinuous functions for (1.13). Therefore, we augment (1.13) with the initial datum

$$u(0,x) = u_0(x), (1.14)$$

on which we assume that

$$\sup u_0 < \infty, \qquad \int_{\mathbb{R}} e^{u_0(x)} \mathrm{d}x < \infty. \tag{1.15}$$

Integrating (1.13) in (0, x), we gain the integro-differential formulation of (1.13) (see [1,28, 31])

$$\begin{cases} \partial_t u + \partial_x e^u = -\int_0^x e^{u(t,y)} dy, & t > 0, \ x \in \mathbb{R}, \\ u(0,x) = u_0(x), & x \in \mathbb{R}, \end{cases}$$
(1.16)

that is equivalent to

$$\begin{cases} \partial_{t}u + \partial_{x}e^{u} = -P, & t > 0, \ x \in \mathbb{R}, \\ \partial_{x}P = e^{u}, & t > 0, \ x > 0, \\ P(t, 0) = 0, & t > 0, \\ u(0, x) = u_{0}(x), & x \in \mathbb{R}. \end{cases}$$
(1.17)

We assume (1.15) because the unique useful conserved quantity is

$$t \longmapsto \int_{\mathbb{R}} e^{u(t,x)} \mathrm{d}x.$$

Moreover, we prove that the weak solutions of (1.13) may not belong to  $L^{\infty}$ , but they are only bounded from above.

Therefore, to have the well-posedness of weak solution, we have to consider the following definition of the entropy:



**Definition 1.2** A pair of functions  $(\eta, q)$  is called an entropy–entropy flux pair if  $\eta : \mathbb{R} \to \mathbb{R}$  is a  $C^2$  function and  $q : \mathbb{R} \to \mathbb{R}$  is defined by

$$q(u) = \int_0^u \eta'(\xi) f'(\xi) d\xi.$$

An entropy–entropy flux pair  $(\eta, q)$  is called convex/compactly supported if, in addition,  $\eta$  is convex/compactly supported.

In light of (1.15) and Definition 1.2, we give the following definition of solution:

**Definition 1.3** We say that u, such that

$$u \in L^{\infty}_{loc}((0, \infty) \times \mathbb{R}), \quad \sup u(t, \cdot) < \infty, \quad \int_{\mathbb{R}} e^{u(t, x)} dx < \infty, \quad t > 0,$$
 (1.18)

is an entropy solution of the initial value problem (1.13) and (1.14) if

- (i) u is a distributional solution of (1.16) or equivalently of (1.17);
- (ii) for every convex function  $\eta \in C^2(\mathbb{R})$ , the entropy inequality

$$\partial_t \eta(u) + \partial_x q(u) + \eta'(u) \int_0^x e^u dy \le 0, \qquad q(u) = \int_0^u e^{\xi} \eta'(\xi) d\xi, \tag{1.19}$$

holds in the sense of distributions in  $(0, \infty) \times \mathbb{R}$ .

The main result of this paper is the following theorem.

**Theorem 1.1** Let T > 0 be given and assume (1.15). The initial value problems (1.13) and (1.14) possess a unique entropy solution u in the sense of Definition 1.3. Moreover, if u and w are two entropy solutions of (1.13) in the sense of Definition 1.3, the following inequality holds

$$\|u(t,\cdot) - w(t,\cdot)\|_{L^1(-R,R)} \le e^{C(T)t} \|u(0,\cdot) - w(0,\cdot)\|_{L^1(-R-C(T)t,R+C(T)t)}, \quad (1.20)$$

for almost every 0 < t < T, R > 0, and some suitable constant C(T) > 0 that depends only on R, T,  $\sup u(0, \cdot)$ ,  $\sup w(0, \cdot)$ .

The existence argument is based on passing to the limit using the compensated compactness argument of [30] in a vanishing viscosity approximation of (1.17) (see Sect. 2). Moreover, we argue as in [6,8,21] for the uniqueness and stability of the solutions of (1.17).

The paper is organized as follows. In Sect. 2, we prove several a priori estimates on a vanishing viscosity approximation of (1.17). Those play a key role in the proof of our main result, that is given in Sect. 3.

## 2 Vanishing viscosity approximation

Our existence argument is based on passing to the limit in a vanishing viscosity approximation of (1.17).

Fix a small number  $\varepsilon > 0$ , and let  $u_{\varepsilon} = u_{\varepsilon}(t, x)$  be the unique classical solution of the following mixed problem

$$\begin{cases} \partial_{t}u_{\varepsilon} + \partial_{x}e^{u_{\varepsilon}} = -P_{\varepsilon} + \varepsilon \partial_{xx}^{2} (e^{u_{\varepsilon}}), & t > 0, \ x \in \mathbb{R}, \\ \partial_{x}P_{\varepsilon} = e^{u_{\varepsilon}}, & t > 0, \ x \in \mathbb{R}, \\ P_{\varepsilon}(t,0) = 0, & t > 0, \\ u_{\varepsilon}(0,x) = u_{\varepsilon,0}(x), & x \in \mathbb{R}, \end{cases}$$

$$(2.1)$$



where  $u_{\varepsilon,0}$  is a  $C^{\infty}(0,\infty)$  approximation of  $u_0$  such that

$$u_{0,\varepsilon} \to u_0$$
, a.e. and in  $L^p_{loc}(\mathbb{R})$ ,  $1 \le p < \infty$ ,  
 $\sup u_{\varepsilon,0} \le \sup u_0$ ,  $\varepsilon > 0$ ,  
 $\int_{\mathbb{R}} e^{u_{\varepsilon,0}(x)} \mathrm{d}x \le \int_{\mathbb{R}} e^{u_0(x)} \mathrm{d}x$ ,  $\varepsilon > 0$ . (2.2)

Clearly, (2.1) is equivalent to the integro-differential problem

$$\begin{cases} \partial_t u_{\varepsilon} + \partial_x e^{u_{\varepsilon}} = -\int_0^x e^{u_{\varepsilon}(t,y)} dy + \varepsilon \partial_{xx}^2 \left( e^{u_{\varepsilon}} \right), & t > 0, \ x \in \mathbb{R}, \\ u_{\varepsilon}(0,x) = u_{\varepsilon,0}(x), & x \in \mathbb{R}. \end{cases}$$
(2.3)

The existence of solutions of (2.1) can be obtained by fixing a small number  $\delta > 0$  and considering the further approximation of (2.1) (see [5,7])

$$\begin{cases} \partial_{t}u_{\varepsilon,\delta} + \partial_{x}e^{u_{\varepsilon,\delta}} = -P_{\varepsilon,\delta} + \varepsilon \partial_{xx}^{2} \left(e^{u_{\varepsilon,\delta}}\right), & t > 0, \ x \in \mathbb{R}, \\ -\delta \partial_{xx}^{2} P_{\varepsilon,\delta} + \partial_{x} P_{\varepsilon,\delta} = e^{u_{\varepsilon,\delta}}, & t > 0, \ x \in \mathbb{R}, \\ P_{\varepsilon,\delta}(t,0) = 0, & t > 0, \\ u_{\varepsilon,\delta}(0,x) = u_{\varepsilon,0}(x), & x \in \mathbb{R}, \end{cases}$$

and then sending  $\delta \to 0$ .

Observe that, multiplying (2.3) by  $e^{u_{\varepsilon}(t,x)}$ , we have

$$\partial_{t}\left(e^{u_{\varepsilon}}\right) + \frac{1}{2}\partial_{x}\left(e^{2u_{\varepsilon}}\right) = -e^{u_{\varepsilon}}\int_{0}^{x}e^{u_{\varepsilon}(t,y)}\mathrm{d}y + \varepsilon e^{u_{\varepsilon}}\partial_{xx}^{2}\left(e^{u_{\varepsilon}}\right). \tag{2.4}$$

Introducing the notation

$$v_{\varepsilon}(t,x) = e^{u_{\varepsilon}(t,x)} > 0, \tag{2.5}$$

(2.4) reads

$$\partial_t v_{\varepsilon} + \frac{1}{2} \partial_x v_{\varepsilon}^2 = -v_{\varepsilon} \int_0^x v_{\varepsilon}(t, y) dy + \varepsilon v_{\varepsilon} \partial_{xx}^2 v_{\varepsilon}. \tag{2.6}$$

It follows from (2.5) and  $u_{\varepsilon}(t, \pm \infty) = -\infty$  that

$$v_{\varepsilon}(t, \pm \infty) = 0. \tag{2.7}$$

Moreover, from (2.2) and (2.5), we get

$$\|v_{\varepsilon,0}\|_{L^{\infty}(\mathbb{R})} \le e^{\sup u_0}, \quad \int_{\mathbb{R}} v_{\varepsilon,0}(x) \mathrm{d}x \le \int_{\mathbb{R}} e^{u_0(x)} \mathrm{d}x, \quad \varepsilon > 0.$$
 (2.8)

Let us prove some a priori estimates on  $v_{\varepsilon}$ , and, hence on  $u_{\varepsilon}$ .

**Lemma 2.1** Let T > 0 be given and assume (2.2). We have that

$$\|v_{\varepsilon}\|_{L^{\infty}((0,\infty)\times\mathbb{R})} \le e^{\sup u_0}, \quad \varepsilon > 0.$$
 (2.9)

In particular, we get

$$\sup u_{\varepsilon}(t,\cdot) \le \sup u_0, \quad t > 0. \tag{2.10}$$

*Proof* We begin by observing that, from (2.5) and (2.6), we have

$$\partial_t v_{\varepsilon} + \partial_x \left( \frac{v_{\varepsilon}^2}{2} \right) - \varepsilon \partial_{xx}^2 \left( \frac{v_{\varepsilon}^2}{2} \right) \le 0.$$
 (2.11)



Therefore, a supersolution of (2.6) satisfies the following ordinary differential equation

$$\frac{\mathrm{d}z}{\mathrm{d}t} = 0, \quad z(0) = e^{\sup u_0},$$

that is

$$z(t) = e^{\sup u_0}. (2.12)$$

It follows from the comparison principle for parabolic equation and (2.5) that

$$0 < v_{\varepsilon}(t, x) \le e^{\sup u_0},\tag{2.13}$$

which gives (2.9).

**Lemma 2.2** Let  $\alpha \geq 0$  and T > 0 be given and assume (2.2). For each t > 0, we have

$$\int_{\mathbb{R}} v_{\varepsilon}^{\alpha+1} + \varepsilon (\alpha + 1)^{2} \int_{0}^{t} \int_{\mathbb{R}} v_{\varepsilon}^{\alpha} (\partial_{x} v_{\varepsilon})^{2} ds dx 
+ (\alpha + 1) \int_{0}^{t} \int_{\mathbb{R}} v_{\varepsilon}^{\alpha+1} \left( \int_{0}^{x} v_{\varepsilon} dy \right) ds dx \leq \left( e^{\sup u_{0}} \right)^{\alpha} \int_{\mathbb{R}} e^{u_{0}(x)} dx.$$
(2.14)

In particular, we get

$$\int_{\mathbb{R}} e^{(\alpha+1)u_{\varepsilon}(t,x)} dx \le \left(e^{\sup u_{0}}\right)^{\alpha} \int_{\mathbb{R}} e^{u_{0}(x)} dx,$$

$$\varepsilon(\alpha+1)^{2} \int_{0}^{t} \int_{\mathbb{R}} e^{\alpha u_{\varepsilon}(t,x)} \left(\partial_{x} e^{u_{\varepsilon}(t,x)}\right)^{2} ds dx \le \left(e^{\sup u_{0}}\right)^{\alpha} \int_{\mathbb{R}} e^{u_{0}(x)} dx,$$

$$(\alpha+1) \int_{0}^{t} \int_{\mathbb{R}} e^{(\alpha+1)u_{\varepsilon}(t,x)} \left(\int_{0}^{x} e^{u_{\varepsilon}(t,x)} dy\right) ds dx \le \left(e^{\sup u_{0}}\right)^{\alpha} \int_{\mathbb{R}} e^{u_{0}(x)} dx. \tag{2.15}$$

*Proof* Multiplying (2.6) by  $v_{\varepsilon}^{\alpha}$ , we have

$$v_{\varepsilon}^{\alpha} \partial_{t} v_{\varepsilon} + v_{\varepsilon}^{\alpha+1} \partial_{x} v_{\varepsilon} = -v_{\varepsilon}^{\alpha+1} \int_{0}^{x} v_{\varepsilon} dy + \varepsilon v_{\varepsilon}^{\alpha+1} \partial_{xx}^{2} v_{\varepsilon}.$$

It follows from (2.5), (2.6) and an integration on  $\mathbb{R}$  that

$$\frac{1}{\alpha+1} \frac{d}{dt} \int_{\mathbb{R}} v_{\varepsilon}^{\alpha+1} = \int_{\mathbb{R}} v_{\varepsilon}^{\alpha} \partial_{t} v_{\varepsilon} 
= \varepsilon \int_{\mathbb{R}} v_{\varepsilon}^{\alpha+1} \partial_{xx}^{2} v_{\varepsilon} dx - \int_{\mathbb{R}} v_{\varepsilon}^{\alpha+1} \partial_{x} v_{\varepsilon} - \int_{\mathbb{R}} v_{\varepsilon}^{\alpha+1} \left( \int_{0}^{x} v_{\varepsilon} dy \right) dx 
= -\varepsilon (\alpha+1) \int_{\mathbb{R}} v_{\varepsilon}^{\alpha} (\partial_{x} v_{\varepsilon})^{2} dx - \int_{\mathbb{R}} v_{\varepsilon}^{\alpha+1} \left( \int_{0}^{x} v_{\varepsilon} dy \right) dx,$$

that is,

$$\frac{d}{dt} \int_{\mathbb{R}} v_{\varepsilon}^{\alpha+1} + \varepsilon(\alpha+1)^2 \int_{\mathbb{R}} v_{\varepsilon}^{\alpha} (\partial_x v_{\varepsilon})^2 dx + (\alpha+1) \int_{\mathbb{R}} v_{\varepsilon}^{\alpha+1} \left( \int_0^x v_{\varepsilon} dy \right) dx = 0. \quad (2.16)$$

An integration on (0, t) gives

$$\int_{\mathbb{R}} v_{\varepsilon}^{\alpha+1} dx + \varepsilon (\alpha+1)^{2} \int_{0}^{t} \int_{\mathbb{R}} v_{\varepsilon}^{\alpha} (\partial_{x} v_{\varepsilon})^{2} ds dx + (\alpha+1) \int_{0}^{t} \int_{\mathbb{R}} v_{\varepsilon}^{\alpha+1} \left( \int_{0}^{x} v_{\varepsilon} dy \right) dx = \int_{\mathbb{R}} v_{\varepsilon,0}^{\alpha+1} dx.$$
 (2.17)



From (2.5) and (2.8),

$$\int_{\mathbb{R}} v_{\varepsilon,0}^{\alpha+1} dx \le \|v_{\varepsilon,0}\|_{L^{\infty}((0,\infty)\times\mathbb{R})}^{\alpha} \int_{\mathbb{R}} v_{\varepsilon,0} dx \le (e^{\sup u_0})^{\alpha} \int_{\mathbb{R}} e^{u_0(x)} dx. \tag{2.18}$$

Therefore, (2.17) and (2.18) give (2.14).

Finally, 
$$(2.15)$$
 follows from  $(2.6)$  and  $(2.14)$ 

### 3 Proof of Theorem 1.1

This section is devoted to the proof of Theorem 1.1. We begin with the following result

**Lemma 3.1** Fix T > 0. There exists a subsequence  $\{v_{\varepsilon_k}\}_{k \in \mathbb{N}}$  of  $\{v_{\varepsilon}\}_{\varepsilon > 0}$  and a limit function  $v \in L^{\infty}((0, \infty) \times \mathbb{R})$  such that

$$v_{\varepsilon_k} \to v \text{ a.e. and in } L^p_{loc}((0,\infty) \times \mathbb{R}), 1 \le p < \infty.$$
 (3.1)

In particular, we have

$$u_{\varepsilon_k} \to \log v = u \text{ a.e. and in } L^p_{loc}((0, \infty) \times \mathbb{R}), 1 \le p < \infty$$
 (3.2)

*Proof* Let  $\eta: \mathbb{R} \to \mathbb{R}$  be any convex  $C^2$  entropy function, and  $q: \mathbb{R} \to \mathbb{R}$  be the corresponding entropy flux defined by  $q'(v) = v\eta'(v)$ . By multiplying (2.6) with  $\eta'(v_{\varepsilon})$  and using the chain rule, we get

$$\frac{\partial_{t} \eta(v_{\varepsilon}) + \partial_{x} q(v_{\varepsilon}) = \underbrace{\varepsilon \partial_{x} \left( \eta'(v_{\varepsilon}) v_{\varepsilon} \partial_{x} v_{\varepsilon} \right)}_{=: \mathcal{L}_{1, \varepsilon}} \underbrace{-\varepsilon \eta''(v_{\varepsilon}) v_{\varepsilon} \left( \partial_{x} u_{\varepsilon} \right)^{2}}_{=: \mathcal{L}_{2, \varepsilon}} \underbrace{-\varepsilon \eta'(v_{\varepsilon}) \left( \partial_{x} v_{\varepsilon} \right)^{2}}_{=: \mathcal{L}_{3, \varepsilon}} \underbrace{-\eta'(v_{\varepsilon}) v_{\varepsilon} \int_{0}^{x} v_{\varepsilon} dy,}_{=: \mathcal{L}_{4, \varepsilon}}$$

where  $\mathcal{L}_{1,\varepsilon}$ ,  $\mathcal{L}_{2,\varepsilon}$ ,  $\mathcal{L}_{3,\varepsilon}$ ,  $\mathcal{L}_{4,\varepsilon}$  are distributions. Let us show that

$$\mathcal{L}_{1,\varepsilon} \to 0 \text{ in } H^{-1}((0,T) \times \mathbb{R}), T > 0.$$

By Lemmas 2.1 and 2.2 in correspondence of  $\alpha = 0$ ,

$$\begin{split} \left\| \varepsilon \eta'(v_{\varepsilon}) v_{\varepsilon} \partial_{x} v_{\varepsilon} \right\|_{L^{2}((0,T) \times \mathbb{R})}^{2} &\leq \varepsilon^{2} \left\| \eta' \right\|_{L^{\infty}(I)}^{2} \left\| v_{\varepsilon} \right\|_{L^{\infty}((0,\infty) \times \mathbb{R})} \int_{0}^{T} \left\| \partial_{x} v_{\varepsilon}(s,\cdot) \right\|_{L^{2}(\mathbb{R})}^{2} ds \\ &\leq \varepsilon \left\| \eta' \right\|_{L^{\infty}(T)}^{2} e^{\sup u_{0}} \int_{\mathbb{R}} e^{u_{0}(x)} dx \to 0, \end{split}$$

where

$$I = \left(0, e^{\sup u_0}\right).$$

We claim that

 $\{\mathcal{L}_{2,\varepsilon}\}_{\varepsilon>0}$  is uniformly bounded in  $L^1((0,T)\times\mathbb{R}), T>0$ .



Again by Lemmas 2.1 and 2.2 in correspondence of  $\alpha = 0$ ,

$$\begin{split} \left\| \varepsilon \eta''(v_{\varepsilon}) v_{\varepsilon}(\partial_{x} v_{\varepsilon})^{2} \right\|_{L^{1}((0,T)\times\mathbb{R})} &\leq \left\| \eta'' \right\|_{L^{\infty}(I)} \left\| v_{\varepsilon} \right\|_{L^{\infty}((0,\infty)\times\mathbb{R})} \varepsilon \int_{0}^{T} \left\| \partial_{x} v_{\varepsilon}(s,\cdot) \right\|_{L^{2}(\mathbb{R})}^{2} \mathrm{d}s \\ &\leq \left\| \eta'' \right\|_{L^{\infty}(I)} e^{\sup u_{0}} \int_{\mathbb{R}} e^{u_{0}(x)} \mathrm{d}x. \end{split}$$

We have that

$$\{\mathcal{L}_{3,\varepsilon}\}_{\varepsilon>0}$$
 is uniformly bounded in  $L^1((0,T)\times\mathbb{R}), T>0$ .

Again by Lemmas 2.1 and 2.2 in correspondence of  $\alpha = 0$ ,

$$\begin{split} \left\| \varepsilon \eta'(v_{\varepsilon}) (\partial_{x} v_{\varepsilon})^{2} \right\|_{L^{1}((0,T \times \mathbb{R}))} &\leq \left\| \eta' \right\|_{L^{\infty}(I)} \varepsilon \int_{0}^{T} \left\| \partial_{x} v_{\varepsilon}(s,\cdot) \right\|_{L^{2}(\mathbb{R})}^{2} \mathrm{d}s \\ &\leq \left\| \eta' \right\|_{L^{\infty}(I)} \int_{\mathbb{R}} e^{u_{0}(x)} \mathrm{d}x. \end{split}$$

We claim that

$$\{\mathcal{L}_{4,\varepsilon}\}_{\varepsilon>0}$$
 is uniformly bounded in  $L^1((0,T)\times\mathbb{R}), T>0$ .

Again by Lemmas 2.1 and 2.2 in correspondence of  $\alpha = 0$ ,

$$\left\| \eta'(v_{\varepsilon})v_{\varepsilon} \int_{0}^{x} v_{\varepsilon} dy \right\|_{L^{1}((0,T)\times\mathbb{R})} \leq \left\| \eta' \right\|_{L^{\infty}(I)} \int_{0}^{T} \int_{\mathbb{R}} v_{\varepsilon} \left( \int_{0}^{x} v_{\varepsilon} dy \right) ds dx$$
$$\leq \left\| \eta' \right\|_{L^{\infty}(I)} \int_{\mathbb{R}} e^{u_{0}(x)} dx.$$

Therefore, Murat's lemma [23] implies that

$$\{\partial_t \eta(v_{\varepsilon}) + \partial_x q(v_{\varepsilon})\}_{{\varepsilon}>0}$$
 lies in a compact subset of  $H^{-1}_{loc}((0,T)\times\mathbb{R})$ . (3.3)

The  $L^{\infty}$  bound stated in Lemma 2.1, (3.3), and the Tartar's compensated compactness method [30] give the existence of a subsequence  $\{v_{\varepsilon_k}\}_{k\in\mathbb{N}}$  and a limit function  $v\in L^{\infty}((0,\infty)\times\mathbb{R})$ , such that (3.1) holds.

$$(3.2)$$
 follows from  $(2.5)$  and  $(3.1)$ .

*Proof of Theorem 1.1* We begin by proving that u, defined in (3.2), is an entropy solution of (1.16) or (1.17) in the sense of Definition 1.3. Let  $\phi \in C^{\infty}(\mathbb{R}^2)$  be a positive text function with a support, and let us consider a compactly supported entropy–entropy flux pair  $(\eta, q)$ . We have to prove

$$\int_{0}^{\infty} \int_{\mathbb{R}} (\eta(u)\partial_{t}\phi + q(u)\partial_{x}\phi)dtdx - \int_{0}^{\infty} \int_{\mathbb{R}} \eta'(u) \left(\int_{0}^{x} e^{u}dy\right)dtdx + \int_{\mathbb{R}} \eta(u_{0}(x))\phi(0,x)dx \ge 0.$$
(3.4)

Multiplying (2.1) by  $\eta'(u_{\varepsilon})$ , we have

$$\partial_t \eta(u_{\varepsilon_k}) + \partial_x q(u_{\varepsilon_k}) + \eta'(u_{\varepsilon_k}) \int_0^x e^{u_{\varepsilon_k}} ddy = \varepsilon_k \eta'(u_{\varepsilon_k}) \partial_{xx}^2 \left( e^{u_{\varepsilon_k}} \right).$$



Since

$$\varepsilon_{k}\eta'(u_{\varepsilon_{k}})\partial_{xx}^{2}\left(e^{u_{\varepsilon_{k}}}\right) = \partial_{x}\left(\varepsilon_{k}\eta'(u_{\varepsilon_{k}})\partial_{x}\left(e^{u_{\varepsilon_{k}}}\right)\right) - \varepsilon_{k}\eta''(u_{\varepsilon_{k}})\partial_{x}\left(e^{u_{\varepsilon_{k}}}\right)\partial_{x}u_{\varepsilon_{k}} \\
= \partial_{x}\left(\varepsilon_{k}\eta'(u_{\varepsilon_{k}})\partial_{x}\left(e^{u_{\varepsilon_{k}}}\right)\right) - \varepsilon_{k}\eta''(u_{\varepsilon_{k}})e^{u_{\varepsilon_{k}}}\left(\partial_{x}u_{\varepsilon_{k}}\right)^{2},$$

we have

$$\partial_{t} \eta(u_{\varepsilon_{k}}) + \partial_{x} q(u_{\varepsilon_{k}}) + \eta'(u_{\varepsilon_{k}}) \int_{0}^{x} e^{u_{\varepsilon_{k}}} dy 
= \partial_{x} \left( \varepsilon_{k} \eta'(u_{\varepsilon_{k}}) \partial_{x} \left( e^{u_{\varepsilon_{k}}} \right) \right) - \varepsilon_{k} \eta''(u_{\varepsilon_{k}}) e^{u_{\varepsilon_{k}}} \left( \partial_{x} u_{\varepsilon_{k}} \right)^{2} 
< \partial_{x} \left( \varepsilon_{k} \eta'(u_{\varepsilon_{k}}) \partial_{x} \left( e^{u_{\varepsilon_{k}}} \right) \right).$$
(3.5)

Multiplying (3.5) by  $\phi$ , an integration on  $(0, \infty) \times \mathbb{R}$  gives

$$\int_{0}^{\infty} \int_{\mathbb{R}} (\eta(u_{\varepsilon_{k}}) \partial_{t} \phi + q(u_{\varepsilon_{k}}) \partial_{x} \phi) dt dx - \int_{0}^{\infty} \int_{\mathbb{R}} \eta'(u_{\varepsilon_{k}}) \left( \int_{0}^{x} e^{u_{\varepsilon_{k}}} dy \right) dt dx 
+ \int_{\mathbb{R}} \eta(u_{\varepsilon_{k},0}(x)) \phi(0,x) dx 
+ \varepsilon_{k} \int_{0}^{\infty} \int_{\mathbb{R}} \eta'(u_{\varepsilon_{k}}) \partial_{x} \left( e^{u_{\varepsilon_{k}}} \right) \partial_{x} \phi dt dx \ge 0.$$
(3.6)

Let us show that

$$\varepsilon_k \int_0^\infty \int_{\mathbb{R}} \eta'(u_{\varepsilon_k}) \partial_x \left( e^{u_{\varepsilon_k}} \right) \partial_x \phi dt dx \to 0. \tag{3.7}$$

Fix T > 0. From (2.14) in correspondence of  $\alpha = 0$ , and the Hölder inequality,

$$\begin{split} & \varepsilon_k \int_0^\infty \!\! \int_{\mathbb{R}} \eta'(u_{\varepsilon_k}) \partial_x \left( e^{u_{\varepsilon_k}} \right) \partial_x \phi \mathrm{d}t \mathrm{d}x \\ & \leq \varepsilon_k \int_0^\infty \!\! \int_{\mathbb{R}} |\eta'(u_{\varepsilon_k})| \left| \partial_x \left( e^{u_{\varepsilon_k}} \right) \right| |\partial_x \phi| \mathrm{d}t \mathrm{d}x \\ & \leq \varepsilon_k \left\| \eta' \right\|_{L^\infty((0,\infty) \times \mathbb{R})} \left\| \partial_x \left( e^{u_{\varepsilon_k}} \right) \right\|_{L^2(\sup(\partial_x \phi))} \left\| \partial_x \phi \right\|_{L^2(\sup(\partial_x \phi))} \\ & \leq \varepsilon_k \left\| \eta' \right\|_{L^\infty((0,\infty) \times \mathbb{R})} \left\| \partial_x \left( e^{u_{\varepsilon_k}} \right) \right\|_{L^2((0,T) \times \mathbb{R})} \left\| \partial_x \phi \right\|_{L^2((0,T) \times \mathbb{R})} \\ & \leq \sqrt{\varepsilon_k} \left\| \eta' \right\|_{L^\infty((0,\infty) \times \mathbb{R})} \left\| \partial_x \phi \right\|_{L^2((0,T) \times \mathbb{R})} \left( \int_{\mathbb{R}} e^{u_0(x)} \mathrm{d}x \right)^{\frac{1}{2}} \to 0, \end{split}$$

that is (3.7).

Therefore, (3.6) follows from (2.2), (3.2), (3.6) and (3.7).

We claim that prove that u(t, x) is unique and (1.20) holds. We consider two entropy solutions u(t, x), w(t, x) be of (1.16) or (1.17) such that

$$\sup u(t,\cdot) \le \sup u_0, \quad \sup w(t,\cdot) \le \sup w_0, \quad t > 0,$$

$$\int_{\mathbb{R}} e^{u_0(x)} dx < \infty, \quad \int_{\mathbb{R}} e^{w_0(x)} dx < \infty,$$
(3.8)

Due to (3.8), we have

$$|e^{u} - e^{w}| \le C_0|u - w|,$$
 (3.9)

where

$$C_0 = e^{\sup u_0} + e^{\sup w_0}.$$



Arguing as in [6, Theorem3.1], we can prove that

$$\partial_t(|u-w|) + \partial_x \left( (e^u - e^w) \operatorname{sign}(u-w) \right) + \operatorname{sign}(u-w) \int_0^x \left( e^u - e^w \right) dy \le 0$$

holds in sense of distributions in  $(0, \infty) \times \mathbb{R}$ , and

$$||u(t,\cdot) - w(t,\cdot)||_{I(t)}$$

$$\leq \|u_0 - w_0\|_{I(0)} - \int_0^t \int_{I(s)} \operatorname{sign}(u - w) \left( \int_0^x \left( e^u - e^w \right) dy \right) ds dx, \quad 0 < t < T, \quad (3.10)$$

where

$$I(s) = [-R - C_0(t - s), R + C_0(t - s)].$$

Due to (3.9),

$$-\int_{0}^{t} \int_{I(s)} \operatorname{sign}(u - v) \left( \int_{0}^{x} \left( e^{u} - e^{w} \right) dy \right) ds dx$$

$$\leq \int_{0}^{t} \int_{I(s)} \left| \left( \int_{0}^{x} \left| e^{u} - e^{w} \right| dy \right) \right| ds dx$$

$$\leq C_{0} \int_{0}^{t} \int_{I(s)} \left( \left| \int_{I(s)} \left| u - v \right| dy \right| \right) ds dx$$

$$= C_{0} \int_{0}^{t} \left| I(s) \right| \left\| u(s, \cdot) - v(s, \cdot) \right\|_{L^{1}(I(s))} ds. \tag{3.11}$$

Moreover,

$$|I(s)| = 2R + 2C_0(t - s) < 2R + 2C_0t < 2R + 2C_0T.$$
(3.12)

We consider the following continuous function:

$$G(t) = \|u(t, \cdot) - v(t, \cdot)\|_{L^1(I(t))}, \quad t \ge 0.$$
(3.13)

It follows from (3.10), (3.11), (3.12) and (3.13) that

$$G(t) \le G(0) + C(T) \int_0^t G(s) \mathrm{d}s,$$

where  $C(T) = 2R + 2C_0T$ . The Gronwall inequality and (3.13) give

$$||u(t,\cdot)-v(t,\cdot)||_{L^1(-R,R)} \le e^{C(T)t} ||u_0-v_0||_{L^1(-R-C_0t,R+C_0t)},$$

that is (1.20).

### References

- Ablowitz, M.J., Clarkson, P.A.: Solitons, Nonlinear Evolution Equations and Inverse Scattering, vol. 149. Cambridge University Press, Cambridge, UK (1991)
- Ablowitz, M.J., Kaup, D.J., Newell, A.C., Segur, H.: The inverse scattering transform-fourier analysis for nonlinear problems. Stud. Appl. Math. 53, 249–315 (1974)
- Beals, R., Rabelo, M., Tenenblat, K.: Bäcklund transformations and inverse scattering solutions for some pseudospherical surface equations. Stud. Appl. Math. 81, 125–151 (1989)
- Coclite, G.M., di Ruvo, L.: Wellposedness results for the short pulse equation. To appear on Z. Angew. Math. Phys. arXiv:1401.2958
- Coclite, G.M., di Ruvo, L.: Wellposedness of the Ostrovsky-Hunter equation under the combined effects of dissipation and short wave dispersion. arXiv:1411.0617v1



- Coclite, G.M., di Ruvo, L., Karlsen, K.H.: Some wellposedness results for the Ostrovsky-Hunter equation. Hyperbolic conservation laws and related analysis with applications, pp. 143–159. Springer Proc. Math. Stat., 49, Springer, Heidelberg, (2014)
- Coclite, G.M., Holden, H., Karlsen, K.H.: Wellposedness for a parabolic-elliptic system. Discrete Contin. Dyn. Syst. 13(3), 659–682 (2005)
- di Ruvo, L.: Discontinuous solutions for the Ostrovsky–Hunter equation and two phase flows. Phd Thesis, University of Bari, (2013). www.dm.uniba.it/home/dottorato/dottorato/tesi/
- Dodd, R.K., Bullough, R.K.: Bäcklund transformations for the AKNS inverse method. Phys. Lett. A 62, 70–74 (1977)
- Eisenhart, L.P.: A Treatise on the Differential Geometry of Curves and Surfaces. Ginn & Co., 1909, Dover, NewYork, (1960)
- Goursat, E.: Le Problème de Bäcklund. Mémorial des Sciences Mathmatiques, Fasc. VI. Gauthier-Villars, Paris (1925)
- 12. Gharib, G.M.: Surfaces of a constant negative curvature. Int. J. Differ. Equ. 2012, 17 (2012)
- Khater, A.H., Callebaut, D.K., Abdalla, A.A., Sayed, S.M.: Exact solutions for self-dual YangMills equations. Chaos Solitons Fractals 10, 1309–1320 (1999)
- Khater, A.H., Callebaut, D.K., Ibrahim, R.S.: Bäcklund transformations and Painlevé analysis: exact solutions for the unstable nonlinear Schrödinger equation modelling electron-beam plasma. Phys. Plasmas 5, 395–400 (1998)
- Khater, A.H., Callebaut, D.K., Sayed, S.M.: Conservation laws for some nonlinear evolution equations which describe pseudospherical surfaces. J. Geom. Phys. 51, 332–352 (2004)
- Khater, A.H., Callebaut, D.K., Sayed, S.M.: Bäcklund transformations for some nonlinear evolution equations which describe pseudospherical surfaces. Submitted
- Khater, A.H., Callebaut, D.K., Sayed, S.M.: Exact solutions for some nonlinear evolution equations which describe pseudo-spherical surfaces. J. Comp. Appl. Math. 189, 387–411 (2006)
- Khater, A.H., Helal, M.A., El-Kalaawy, O.H.: Two new classes of exact solutions for the KdV equation via Bäcklund transformations. Chaos Solitons Fractals 8, 1901–1909 (1997)
- Khater, A.H., Shehata, A.M., Callebaut, D.K., Sayed, S.M.: Self-dual solutions for SU(2) and SU(3) gauge fields one Euclidean space. Int. J. Theor. Phys. 43, 151–159 (2004)
- Konno, K., Wadati, M.: Simple derivation of Backlund transformation from Riccati Form of inverse method. Prog. Theor. Phys. 53, 1652–1656 (1975)
- Kružkov SN (1970) First order quasilinear equations with several independent variables. Mat. Sb. (N.S.), 81(123), 28:228–255
- Lamb, M.G.: Bäcklund transformations for certain nonlinear evolution equations. J. Math. Phys. 15, 2157–2165 (1974)
- 23. Murat, F.: L'injection du cône positif de  $H^{-1}$  dans  $W^{-1,q}$  est compacte pour tout q < 2. J. Math. Pures Appl. (9) **60**(3), 309–322 (1981)
- 24. Rabelo, M.: On equations which describe pseudospherical surfaces. Stud. Appl. Math 81, 221–248 (1989)
- Rogers, C., Schief, W.K.: Bäcklund and Darboux Transformations, in Geometry and Modern Applications in Soliton Theory. Cambridge Texts in Applied Mathematics, Cambridge University Press, Cambridge (2002)
- Rogers, C., Schief, W.K.: Bäcklund Transformations and their Applications. Academic Press, New York (1982)
- 27. Sakovich, A., Sakovich, S.: The short pulse equation is integrable. J. Phys. Soc. Jpn. 74, 239–241 (2005)
- 28. Sakovich, A., Sakovich, S.: On the transformations of the Rabelo equations. SIGMA 3, 8 pages (2007)
- Schäfer, T., Wayne, C.E.: Propagation of ultra-short optical pulses in cubic nonlinear media. Phys. D 196, 90–105 (2004)
- Tartar, L.: Compensated compactness and applications to partial differential equations. In Nonlinear analysis and mechanics: Heriot-Watt Symposium, Vol. IV, pages 136–212. Pitman, Boston, Mass., (1979)
- 31. Wadati, M., Sanuki, H., Konno, K.: Relationships among inverse method, Bäcklund transformation and an infinite number of conservation laws. Prog. Theor. Phys. **53**, 419–436 (1975)
- Zakharov, V.E., Shabat, A.B.: Exact theory of two-dimensional self-focusing and one-dimensional self-modulation of waves in nonlinear media. Sov. Phys. JETP 34, 62–69 (1972)

