

A vanishing result for strictly p -convex domains

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Abstract In view of Andreotti and Grauert (Bull Soc Math France 90:193–259, 1962) vanishing theorem for q -complete domains in \mathbb{C}^n , we reprove a vanishing result by Sha (Invent Math 83(3):437–447, 1986), and Wu (Indiana Univ Math J 36(3):525–548, 1987), for the de Rham cohomology of strictly p -convex domains in \mathbb{R}^n in the sense of Harvey and Lawson (The foundations of p -convexity and p -plurisubharmonicity in riemannian geometry. arXiv:1111.3895v1 [math.DG]). Our proof uses the L^2 -techniques developed by Hörmander (An introduction to complex analysis in several variables, 3rd edn. North-Holland Publishing Co, Amsterdam 1990), and Andreotti and Vesentini (Inst Hautes Études Sci Publ Math 25:81–130, 1965).

Keywords p -Convexity in the sense of Harvey and Lawson · de Rham cohomology · Vanishing theorems

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0 Introduction

A weaker condition than holomorphic convexity for domains in \mathbb{C}^n has been introduced by Andreotti and Grauert [1], defining q -complete domains as domains in \mathbb{C}^n admitting a proper exhaustion function whose Levi form has $n - p + 1$ positive eigenvalues.

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In a recent series of foundational papers, [6,7], and references therein, Harvey and Lawson raise the interest on generalizations of the concept of convexity for Riemannian manifolds, proving many important results for p -convex manifolds: namely, starting with a Riemannian manifold (X, g) , they ask whether it admits an exhaustion function whose Hessian is positive definite or satisfies weaker positive conditions.

Interpolating between the classical notions of convex functions and pluri-sub-harmonic functions, in [7], they define the class of p -pluri-sub-harmonic functions in terms of the positivity of the minors of their Hessian form, and they study p -convex domains, which can be regarded as domains in \mathbb{R}^n endowed with a smooth p -pluri-sub-harmonic proper exhaustion function.

The notions of *geometric pluri-sub-harmonicity* and *geometric convexity*, introduced and studied by Harvey and Lawson [6], is closely related to holomorphic convexity and q -completeness in the sense of Andreotti and Grauert [1].

In the complex case, holomorphic convexity and, more in general, q -completeness provide vanishing theorems for the Dolbeault cohomology ([8], respectively [1,2]).

We are concerned in studying vanishing results for strictly p -convex domains in \mathbb{R}^n in the sense of F. R. Harvey and H. B. Lawson. More precisely, we give a proof of the following result.

Theorem 3.1 *Let X be a strictly p -convex domain in \mathbb{R}^n . Then, $H_{dR}^k(X; \mathbb{R}) = \{0\}$ for every $k \geq p$.*

As pointed out to us by Harvey and Lawson, the above result was already known, as a consequence of [9, Theorem 1] by Sha, and [10, Theorem 1] by Wu, see also [7, Proposition 5.7]: more precisely, they prove, using Morse theory, that the existence of a smooth proper strictly p -pluri-sub-harmonic exhaustion function has consequences on the homotopy type of the domain.

In spite of this, our proof differs in the techniques, which are inspired by Andreotti and Vesentini [2]: in particular, the L^2 -techniques used in our proof could be hopefully applied in a wider context, a fact which we would like to investigate further in future work.

The organization of the paper is as follows. In Sect. 1, we recall the main definitions introduced in [6,7] and the results proven by Andreotti and Grauert in [1]. In Sect. 2, we prove some useful estimates, which will be used in Sect. 3 to prove Theorem 3.1.

1 The notion of p -convexity by Harvey and Lawson

Following Harvey and Lawson [6,7], firstly, we recall point-wise definitions of p -positive symmetric endomorphisms; then, we will turn to manifolds, and finally, we will recall the notion of p -pluri-sub-harmonic (exhaustion) functions and (strictly) p -convex domains.

1.1 p -Positive (sections of) symmetric endomorphisms

Let $(V, \langle \cdot | \cdot \rangle)$ be an n -dimensional real inner product space. Let $G : V \rightarrow V^*$ denote the isomorphism defined as $G(v) := \langle v | \cdot \rangle$.

Let $\text{Sym}^2(V)$ denote the space of symmetric elements of $(V \otimes V)^*$; namely, $A \in \text{Sym}^2(V)$ if and only if $A(v \otimes w) = A(w \otimes v)$, for any $v, w \in V$. By means of the inner product $\langle \cdot | \cdot \rangle$, the space $\text{Sym}^2(V)$ is isomorphic to the space of the $\langle \cdot | \cdot \rangle$ -symmetric endomorphisms of V : given $A \in \text{Sym}^2(V)$, we denote by $G^{-1}A \in \text{Hom}(V, V)$ the corresponding $\langle \cdot | \cdot \rangle$ -symmetric endomorphism.

The endomorphism $G^{-1}A \in \text{Hom}(V, V)$ extends to $D_{G^{-1}A}^{[p]} \in \text{Hom}(\wedge^p V, \wedge^p V)$; namely, on a simple vector $v_{i_1} \wedge \cdots \wedge v_{i_p} \in \wedge^p V$, the endomorphism $D_{G^{-1}A}^{[p]}$ acts as

$$D_{G^{-1}A}^{[p]}(v_{i_1} \wedge \cdots \wedge v_{i_p}) := \sum_{\ell=1}^p v_{i_1} \wedge \cdots \wedge v_{i_{\ell-1}} \wedge G^{-1}A(v_{i_\ell}) \wedge v_{i_{\ell+1}} \wedge \cdots \wedge v_{i_p}.$$

Observe that $D_{G^{-1}A}^{[p]} \in \text{Hom}(\wedge^p V, \wedge^p V)$ is a symmetric endomorphism with respect to the scalar product on $\wedge^p V$ induced by $\langle \cdot | \cdot \rangle$.

Finally, given a $\langle \cdot | \cdot \rangle$ -symmetric endomorphism $E \in \text{Hom}(V, V)$, let $\text{sgn}(E)$ denote the number of non-negative eigenvalues of E .

Notice that, given $A \in \text{Sym}^2(V)$, and given two inner products on V inducing, respectively, the isomorphisms G_1 and G_2 , then there holds $\text{sgn}(G_1^{-1}A) = \text{sgn}(G_2^{-1}A)$; it is also important to notice that, for $p > 1$, it might hold $\text{sgn}(D_{G_1^{-1}A}^{[p]}) \neq \text{sgn}(D_{G_2^{-1}A}^{[p]})$, since the eigenvalues of $D_{G^{-1}A}^{[p]}$ are of the form

$$\lambda_{i_1} + \cdots + \lambda_{i_p} \quad \text{for } i_1, \dots, i_p \in \{1, \dots, n\} \text{ s.t. } i_1 < \cdots < i_p,$$

where $\lambda_1, \dots, \lambda_n$ are the eigenvalues of $G^{-1}A$.

Definition 1.1 [6, 7]

- Let V be a \mathbb{R} -vector space endowed with an inner product $\langle \cdot | \cdot \rangle$. Denote the space of p -positive forms of k th branch on V as

$$\mathcal{P}_p^{(k)}(V, \langle \cdot | \cdot \rangle) := \left\{ A \in \text{Sym}^2(V) : \text{sgn}(D_{G^{-1}A}^{[p]}) \geq \binom{n}{p} - k + 1 \right\}.$$

- Let (X, g) be a Riemannian manifold. Define the space of p -positive sections of k th branch of the bundle $\text{Sym}^2(TX)$ of symmetric endomorphisms of TX as

$$\mathcal{P}_p^{(k)}(X, g) := \left\{ A \in \text{Sym}^2(TX) : \forall x \in X, A_x \in \mathcal{P}_p^{(k)}(T_x X, g_x) \right\}.$$

1.2 p -Pluri-sub-harmonic functions

In order to introduce an exhaustion of a given Riemannian manifold, we focus on special p -positive symmetric 2-forms, those arising from the Hessian of smooth functions.

Thus, let (X, g) be a Riemannian manifold, and let u be a smooth real-valued function on X . Let ∇ denote the Levi-Civita connection of the Riemannian metric g , and let

$$\text{Hess } u(V, W) := VWu - (\nabla_V W)u,$$

where V and W are smooth sections of the tangent bundle TX . Thus, $\text{Hess } u(x) \in \text{Sym}^2(T_x X)$, for any $x \in X$.

Definition 1.2 [6] Let (X, g) be a Riemannian manifold.

- The space

$$\text{PSH}_p^{(k)}(X, g) := \left\{ u \in C^\infty(X; \mathbb{R}) : \text{Hess } u \in \mathcal{P}_p^{(k)}(X, g) \right\},$$

is called the space of p -pluri-sub-harmonic functions of k th branch on X .

- The space

$$\text{int} \left(\text{PSH}_p^{(k)}(X, g) \right) := \left\{ u \in C^\infty(X; \mathbb{R}) : \text{Hess } u \in \text{int} \left(\mathcal{P}_p^{(k)}(X, g) \right) \right\},$$

(where $\text{int} \left(\mathcal{P}_p^{(k)}(X, g) \right)$ denotes the interior of $\mathcal{P}_p^{(k)}(X, g)$) is called the space of strictly p -pluri-sub-harmonic functions of k th branch on X .

1.3 (Strictly) p -convexity

We are now ready to recall the concept of p -convexity, which is central in [7]. Let (X, g) be a Riemannian manifold. Let $K \subseteq X$ be a compact set. The p -convex hull of K is given by

$$\tilde{K}^{\text{PSH}_p^{(1)}(X, g)} := \left\{ x \in X : \forall \phi \in \text{PSH}_p^{(1)}(X, g), \phi(x) \leq \max_{y \in K} \phi(y) \right\}.$$

Definition 1.3 [6] Let (X, g) be a Riemannian manifold. Then, X is called p -convex; if for any compact set $K \subseteq X$, then $\tilde{K}^{\text{PSH}_p^{(1)}(X, g)}$ is relatively compact in X .

Define the p -core of X , [6, Definition 4.1], as

$$\text{Core}_p(X, g) := \left\{ x \in X : \text{for all } u \in \text{PSH}_p^{(1)}(X, g), \text{Hess } u(x) \notin \text{int} \left(\mathcal{P}_p^{(1)}(T_x X, g_x) \right) \right\}.$$

Definition 1.4 [6] Let (X, g) be a Riemannian manifold. Then, X is called strictly p -convex if (i) $\text{Core}_p(X, g) = \emptyset$ and, (ii) for any compact set $K \subseteq X$, then $\tilde{K}^{\text{PSH}_p^{(1)}(X, g)}$ is relatively compact in X .

1.4 (Strictly) p -convexity and (strictly) p -pluri-sub-harmonic exhaustion functions

The following correspondences come from [6].

Theorem 1.5 [6, Theorem 4.4, Theorem 4.8] Let (X, g) be a Riemannian manifold. Then X is p -convex (respectively, strictly p -convex) if and only if X admits a smooth proper exhaustion function $u \in \text{PSH}_p^{(1)}(X, g)$ [respectively, $u \in \text{int}(\text{PSH}_p^{(1)}(X, g))$].

1.5 The p -convexity and the q -completeness

All along the definitions of the previous section, the special case that we had in mind is the following classical construction in Complex Analysis.

In [1], Andreotti and Grauert pointed out the following concept.

Definition 1.6 [1] Let $D \subseteq \mathbb{C}^n$ be a domain, and let ϕ be a smooth real-valued function on D . The function ϕ is called p -pluri-sub-harmonic (respectively, strictly p -pluri-sub-harmonic) if and only if, for any $z \in D$, the Hermitian form defined, for $\xi := (\xi^a)_{a \in \{1, \dots, n\}} \in \mathbb{C}^n$, as

$$L(\phi)_z(\xi) := \sum_{a, b=1}^n \frac{\partial^2 \phi}{\partial z^a \partial \bar{z}^b}(z) \xi^a \bar{\xi}^b,$$

has $n - p + 1$ non-negative (respectively, positive) eigenvalues.

Andreotti and Grauert [1], studied domains of \mathbb{C}^n admitting strictly q -pluri-sub-harmonic exhaustion functions (the so-called q -complete domains), proving a vanishing theorem for the higher-degree Dolbeault cohomology groups of such domains; then Andreotti and Vesentini [2], reproved the same result extending the L^2 -techniques by Hörmander [8].

Thus, in the same vein as A. Andreotti and H. Grauert, we would consider domains X in \mathbb{R}^n endowed with an exhaustion function $u \in C^\infty(X; \mathbb{R})$ whose Hessian is in $\text{int} \left(\mathcal{P}_p^{(1)} \right) (X, g)$, proving a vanishing result for the higher-degree de Rham cohomology groups for strictly p -convex domains in the sense of F. R. Harvey and H. B. Lawson.

2 Vanishing of the de Rham cohomology for strictly p -convex domains

Let X be an oriented Riemannian manifold of dimension n , and denote by g its Riemannian metric and by vol its volume. The Riemannian metric g induces, for every $x \in X$, a point-wise scalar product $\langle \cdot | \cdot \rangle_{g_x} : \wedge^\bullet T_x^* X \times \wedge^\bullet T_x^* X \rightarrow \mathbb{R}$.

Fix $\phi \in C^0(X; \mathbb{R})$ a continuous function. For every $\varphi, \psi \in C_c^\infty(X; \wedge^\bullet T^* X)$, let

$$\langle \varphi | \psi \rangle_{L_\phi^2} := \int_X \langle \varphi | \psi \rangle_{g_x} \exp(-\phi) \text{vol} \in \mathbb{R},$$

and, for $k \in \mathbb{N}$, define $L_\phi^2(X; \wedge^k T^* X)$ as the completion of the space $C_c^\infty(X; \wedge^k T^* X)$ of smooth k -forms with compact support, with respect to the metric induced by $\| \cdot \|_{L_\phi^2} := \langle \cdot | \cdot \rangle_{L_\phi^2}$. Therefore, the space $L_\phi^2(X; \wedge^k T^* X)$ is a Hilbert space, endowed with the scalar product $\langle \cdot | \cdot \rangle_{L_\phi^2}$, and $C_c^\infty(X; \wedge^k T^* X)$ is dense in $L_\phi^2(X; \wedge^k T^* X)$. For any $k \in \mathbb{N}$, let $L_{\text{loc}}^2(X; \wedge^k T^* X)$ denote the space of k -forms f whose restriction $f|_K$ to every compact set $K \subseteq X$ belongs to $L^2(K; \wedge^k T^* X)$.

For every $\phi_1, \phi_2 \in C^0(X; \mathbb{R})$, the operator

$$d : L_{\phi_1}^2(X; \wedge^\bullet T^* X) \dashrightarrow L_{\phi_2}^2(X; \wedge^{\bullet+1} T^* X)$$

is densely defined and closed; denote by

$$d_{\phi_2, \phi_1}^* : L_{\phi_2}^2(X; \wedge^{\bullet+1} T^* X) \dashrightarrow L_{\phi_1}^2(X; \wedge^\bullet T^* X)$$

its adjoint, which is a densely defined closed operator.

Recall that on a domain X in \mathbb{R}^n , fixed $k \in \mathbb{N}, s \in \mathbb{N}$, and $\phi \in C^\infty(X; \mathbb{R})$, the Sobolev space $W_\phi^{s,2}(X; \wedge^k T^* X)$ is the space of k -forms $f := \widetilde{\sum_{|I|=k} f_I dx^I}$ such that $\frac{\partial^{\ell_1+\dots+\ell_n} f_I}{\partial^{\ell_1} x^1 \dots \partial^{\ell_n} x^n} \in L_\phi^2(X; \wedge^k T^* X)$ for every multi-index $(\ell_1, \dots, \ell_n) \in \mathbb{N}^n$ such that $\ell_1 + \dots + \ell_n \leq s$ and for every strictly increasing multi-index I such that $|I| = k$. The space $W_{\text{loc}}^{s,2}(X; \wedge^k T^* X)$ is defined as the space of k -forms f whose restriction $f|_K$ to every compact set $K \subseteq X$ belongs to $W^{s,2}(K; \wedge^k T^* X)$.

As a matter of notation, the symbol $\widetilde{\sum_{|I|=k}}$ denotes the sum over the strictly increasing multi-indices $I := (i_1, \dots, i_k) \in \mathbb{N}^k$ (that is, the multi-indices such that $0 < i_1 < \dots < i_k$) of length k . Given I_1 and I_2 two multi-indices of length k , let $\text{sign} \begin{pmatrix} I_1 \\ I_2 \end{pmatrix}$ be the sign of the permutation $\begin{pmatrix} I_1 \\ I_2 \end{pmatrix}$ if I_1 is a permutation of I_2 and zero otherwise.

2.1 Some preliminary computations

Let X be a domain in \mathbb{R}^n , that is, an open connected subset of \mathbb{R}^n endowed with the metric and the volume induced, respectively, by the Euclidean metric and the standard volume of \mathbb{R}^n .

For $\phi_1, \phi_2 \in C^\infty(X; \mathbb{R})$, consider $d: L^2_{\phi_1}(X; \wedge^{k-1}T^*X) \dashrightarrow L^2_{\phi_2}(X; \wedge^kT^*X)$. The following lemma gives an explicit expression of the adjoint $d^*_{\phi_2, \phi_1}: L^2_{\phi_2}(X; \wedge^kT^*X) \dashrightarrow L^2_{\phi_1}(X; \wedge^{k-1}T^*X)$ (compare, e.g., with [3, §8.2.1], [5, Lemma O.2] in the complex case).

Lemma 2.1 *Let X be a domain in \mathbb{R}^n . Let $\phi_1, \phi_2 \in C^\infty(X; \mathbb{R})$ and consider*

$$L^2_{\phi_1}(X; \wedge^{k-1}T^*X) \xrightarrow[\underset{d^*_{\phi_2, \phi_1}}{\dashrightarrow}]{} L^2_{\phi_2}(X; \wedge^kT^*X) .$$

Let

$$v :=: \widetilde{\sum_{|I|=k} v_I dx^I} \in L^2_{\phi_2}(X; \wedge^kT^*X)$$

and suppose that $v \in \text{dom } d^*_{\phi_2, \phi_1}$. Then

$$\begin{aligned} d^*_{\phi_2, \phi_1} v &= \exp(\phi_1) d^*_{0,0} (\exp(-\phi_2) v) \\ &= \widetilde{\sum_{|J|=k-1} \left(-\exp(\phi_1) \sum_{|I|=k} \sum_{\ell=1}^n \text{sign} \begin{pmatrix} \ell J \\ I \end{pmatrix} \frac{\partial (v_I \exp(-\phi_2))}{\partial x^\ell} \right) dx^J} . \end{aligned}$$

Proof By definition of $d^*_{\phi_2, \phi_1}$, for every $u \in \text{dom } d$, one has $\langle du \mid v \rangle_{L^2_{\phi_2}} = \langle u \mid d^*_{\phi_2, \phi_1} v \rangle_{L^2_{\phi_1}}$.

Hence, consider

$$u :=: \widetilde{\sum_{|J|=k-1} u_J dx^J} \in C^\infty_c(X; \wedge^{k-1}T^*X) ,$$

and compute

$$du = \widetilde{\sum_{\substack{|J|=k-1 \\ |I|=k}} \sum_{\ell=1}^n \text{sign} \begin{pmatrix} \ell J \\ I \end{pmatrix} \frac{\partial u_J}{\partial x^\ell} dx^I} .$$

The statement follows by computing

$$\begin{aligned} \langle du \mid v \rangle_{L^2_{\phi_2}} &= \int_X \widetilde{\sum_{\substack{|J|=k-1 \\ |I|=k}} \sum_{\ell=1}^n \text{sign} \begin{pmatrix} \ell J \\ I \end{pmatrix} \frac{\partial u_J}{\partial x^\ell} v_I \exp(-\phi_2) \text{ vol}} \\ &= - \int_X \widetilde{\sum_{\substack{|J|=k-1 \\ |I|=k}} \sum_{\ell=1}^n \text{sign} \begin{pmatrix} \ell J \\ I \end{pmatrix} \frac{\partial (v_I \exp(-\phi_2))}{\partial x^\ell} u_J \text{ vol}} \end{aligned}$$

and

$$\langle u \mid d^*_{\phi_2, \phi_1} v \rangle_{L^2_{\phi_1}} = \int_X \widetilde{\sum_{|J|=k-1} (d^*_{\phi_2, \phi_1} v)_J u_J \exp(-\phi_1) \text{ vol}} ,$$

where $d_{\phi_2, \phi_1}^* v := \sum_{|J|=k-1} \widetilde{d_{\phi_2, \phi_1}^* v} \Big|_J dx^J$. □

For any fixed $\phi \in C^\infty(X; \mathbb{R})$ and for any $j \in \{1, \dots, n\}$, define the operator

$$\delta_j^\phi : C^\infty(X; \mathbb{R}) \rightarrow C^\infty(X; \mathbb{R}) ,$$

where

$$\delta_j^\phi(f) := -\exp(\phi) \frac{\partial(f \exp(-\phi))}{\partial x^j} = \frac{\partial \phi}{\partial x^j} \cdot f - \frac{\partial f}{\partial x^j} .$$

The following lemma states that δ_j^ϕ is the adjoint of $\frac{\partial}{\partial x^j}$ in $L_\phi^2(X; \wedge^0 T^*X)$ and computes the commutator between δ_j^ϕ and $\frac{\partial}{\partial x^k}$ (compare with, e.g., [8, pages 83–84]).

Lemma 2.2 *Let X be a domain in \mathbb{R}^n . Let $\phi \in C^\infty(X; \mathbb{R})$ and $j \in \{1, \dots, n\}$, and consider the operator $\delta_j^\phi : C^\infty(X; \mathbb{R}) \rightarrow C^\infty(X; \mathbb{R})$. Then:*

- for every $w_1, w_2 \in C_c^\infty(X; \mathbb{R})$,

$$\int_X w_1 \cdot \frac{\partial w_2}{\partial x^k} \exp(-\phi) \text{ vol} = \int_X \delta_k^\phi(w_1) \cdot w_2 \exp(-\phi) \text{ vol} ;$$

- for any $k \in \{1, \dots, n\}$, the following commutation formula holds in $\text{End}(C_c^\infty(X; \mathbb{R}))$:

$$\left[\delta_j^\phi, \frac{\partial}{\partial x^k} \right] = -\frac{\partial^2 \phi}{\partial x^j \partial x^k} \cdot .$$

Finally, we prove the following estimate, which will be used in the proof of Theorem 3.1 (we refer to [8, Sect. 4.2], or, e.g., [5, Lemma O.3] and [3, Sect. 8.3.1] for its complex counterpart).

Proposition 2.3 *Let X be a domain in \mathbb{R}^n and $\phi, \psi \in C^\infty(X; \mathbb{R})$. Consider*

$$L_{\phi-2\psi}^2(X; \wedge^{k-1} T^*X) \xrightarrow[\widetilde{d_{\phi-\psi, \phi-2\psi}^*}]{\text{d}} L_{\phi-\psi}^2(X; \wedge^k T^*X) \xrightarrow[\widetilde{d_{\phi^*, \phi-\psi}^*}]{\text{d}} L_\phi^2(X; \wedge^{k+1} T^*X) .$$

Then, for any $\eta := \sum_{|I|=k} \widetilde{\eta_I} dx^I \in C_c^\infty(X; \wedge^k T^*X)$, one has

$$\begin{aligned} & \int_X \sum_{\substack{|J|=k-1 \\ |I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \text{sign} \begin{pmatrix} \ell_1 J \\ I_1 \end{pmatrix} \text{sign} \begin{pmatrix} \ell_2 J \\ I_2 \end{pmatrix} \frac{\partial^2 \phi}{\partial x^{\ell_1} \partial x^{\ell_2}} \eta_{I_1} \eta_{I_2} \exp(-\phi) \text{ vol} \\ & \leq C \cdot \left(\left\| d_{\phi-\psi, \phi-2\psi}^* \eta \right\|_{L_{\phi-2\psi}^2}^2 + \|d\eta\|_{L_\phi^2}^2 + \int_X \sum_{|I|=k} \sum_{\ell=1}^n \left| \frac{\partial \psi}{\partial x^\ell} \right|^2 |\eta_I|^2 \exp(-\phi) \text{ vol} \right) , \end{aligned}$$

where $C := C(k, n) \in \mathbb{N}$ is a constant depending just on k and n .

Proof It is straightforward to compute

$$d\eta = \sum_{\substack{|I|=k \\ |H|=k+1}} \widetilde{\sum_{\ell=1}^n \text{sign} \begin{pmatrix} \ell I \\ H \end{pmatrix} \frac{\partial \eta_I}{\partial x^\ell} dx^H}$$

and, using Lemma 2.1,

$$\begin{aligned} d_{\phi-\psi, \phi-2\psi}^* \eta &= -\exp(-\psi) \widetilde{\sum_{|I|=k-1}} \sum_{\ell=1}^n \text{sign} \left(\begin{matrix} \ell J \\ I \end{matrix} \right) \left(\frac{\partial \eta_I}{\partial x^\ell} - \frac{\partial(\phi-\psi)}{\partial x^\ell} \eta_I \right) dx^J \\ &= \exp(-\psi) \widetilde{\sum_{|I|=k-1}} \sum_{\ell=1}^n \text{sign} \left(\begin{matrix} \ell J \\ I \end{matrix} \right) \left(\delta_\ell^\phi(\eta_I) - \frac{\partial \psi}{\partial x^\ell} \eta_I \right) dx^J. \end{aligned}$$

For every J such that $|J| = k - 1$, the previous equality gives

$$\widetilde{\sum_{|I|=k}} \sum_{\ell=1}^n \text{sign} \left(\begin{matrix} \ell J \\ I \end{matrix} \right) \delta_\ell^\phi(\eta_I) = \exp(\psi) \left(d_{\phi-\psi, \phi-2\psi}^* \eta \right)_J + \widetilde{\sum_{|I|=k}} \sum_{\ell=1}^n \text{sign} \left(\begin{matrix} \ell J \\ I \end{matrix} \right) \frac{\partial \psi}{\partial x^\ell} \eta_I,$$

where $d_{\phi-\psi, \phi-2\psi}^* \eta =: \widetilde{\sum_{|I|=k-1}} \left(d_{\phi-\psi, \phi-2\psi}^* \eta \right)_J dx^J$.

By the arithmetic mean–geometric mean inequality, one gets

$$\begin{aligned} &\int_X \widetilde{\sum_{|I|=k-1}} \left| \widetilde{\sum_{|I|=k}} \sum_{\ell=1}^n \text{sign} \left(\begin{matrix} \ell J \\ I \end{matrix} \right) \delta_\ell^\phi(\eta_I) \right|^2 \exp(-\phi) \text{ vol} \\ &\leq 2 \int_X \widetilde{\sum_{|I|=k-1}} \left(\left| \left(d_{\phi-\psi, \phi-2\psi}^* \eta \right)_J \right|^2 \exp(2\psi) \right. \\ &\quad \left. + \left| \widetilde{\sum_{|I|=k}} \sum_{\ell=1}^n \text{sign} \left(\begin{matrix} \ell J \\ I \end{matrix} \right) \frac{\partial \psi}{\partial x^\ell} \eta_I \right|^2 \right) \exp(-\phi) \text{ vol} \\ &\leq C \left(\left\| d_{\phi-\psi, \phi-2\psi}^* \eta \right\|_{L^2_{\phi-2\psi}}^2 + \int_X \widetilde{\sum_{|I|=k}} \sum_{\ell=1}^n \left| \frac{\partial \psi}{\partial x^\ell} \right|^2 \cdot |\eta_I|^2 \exp(-\phi) \text{ vol} \right), \end{aligned} \tag{1}$$

where $C := C(k, n) \in \mathbb{N}$ depends on k and n only.

Now, using Lemma 2.2, one computes

$$\begin{aligned} &\int_X \widetilde{\sum_{|I|=k-1}} \left| \widetilde{\sum_{|I|=k}} \sum_{\ell=1}^n \text{sign} \left(\begin{matrix} \ell J \\ I \end{matrix} \right) \delta_\ell^\phi(\eta_I) \right|^2 \exp(-\phi) \text{ vol} \\ &= \widetilde{\sum_{|J|=k-1}} \widetilde{\sum_{\substack{|I_1|=k \\ |I_2|=k}}} \sum_{\ell_1, \ell_2=1}^n \text{sign} \left(\begin{matrix} \ell_1 J \\ I_1 \end{matrix} \right) \text{sign} \left(\begin{matrix} \ell_2 J \\ I_2 \end{matrix} \right) \int_X \delta_{\ell_1}^\phi(\eta_{I_1}) \cdot \delta_{\ell_2}^\phi(\eta_{I_2}) \exp(-\phi) \text{ vol} \\ &= \widetilde{\sum_{|J|=k-1}} \sum_{\substack{\ell_1, \ell_2=1 \\ |I_1|=k \\ |I_2|=k}}^n \text{sign} \left(\begin{matrix} \ell_1 J \\ I_1 \end{matrix} \right) \text{sign} \left(\begin{matrix} \ell_2 J \\ I_2 \end{matrix} \right) \\ &\quad \times \int_X \left(\frac{\partial \eta_{I_1}}{\partial x^{\ell_2}} \frac{\partial \eta_{I_2}}{\partial x^{\ell_1}} + \frac{\partial^2 \phi}{\partial x^{\ell_1} \partial x^{\ell_2}} \eta_{I_1} \eta_{I_2} \right) \exp(-\phi) \text{ vol}. \end{aligned} \tag{2}$$

Now, note that

$$\begin{aligned}
 |d\eta|^2 &= \widetilde{\sum}_{|H|=k+1} \left| \widetilde{\sum}_{|I|=k} \sum_{\ell=1}^n \text{sign} \left(\begin{matrix} \ell I \\ H \end{matrix} \right) \frac{\partial \eta_I}{\partial x^\ell} \right|^2 \\
 &= \widetilde{\sum}_{|H|=k+1} \left(\widetilde{\sum}_{\substack{|I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \text{sign} \left(\begin{matrix} \ell_1 I_1 \\ H \end{matrix} \right) \text{sign} \left(\begin{matrix} \ell_2 I_2 \\ H \end{matrix} \right) \frac{\partial \eta_{I_1}}{\partial x^{\ell_1}} \frac{\partial \eta_{I_2}}{\partial x^{\ell_2}} \right) \\
 &= \widetilde{\sum}_{\substack{|I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \text{sign} \left(\begin{matrix} \ell_1 I_1 \\ \ell_2 I_2 \end{matrix} \right) \frac{\partial \eta_{I_1}}{\partial x^{\ell_1}} \frac{\partial \eta_{I_2}}{\partial x^{\ell_2}} \\
 &= \widetilde{\sum}_{|I|=k} \sum_{\ell=1}^n \left| \frac{\partial \eta_I}{\partial x^\ell} \right|^2 - \widetilde{\sum}_{\substack{|J|=k-1 \\ |I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \text{sign} \left(\begin{matrix} \ell_1 J \\ I_1 \end{matrix} \right) \text{sign} \left(\begin{matrix} \ell_2 J \\ I_2 \end{matrix} \right) \frac{\partial \eta_{I_1}}{\partial x^{\ell_2}} \frac{\partial \eta_{I_2}}{\partial x^{\ell_1}}. \tag{3}
 \end{aligned}$$

Hence, in view of (3), (2), (1), we get

$$\begin{aligned}
 &\int_X \widetilde{\sum}_{\substack{|J|=k-1 \\ |I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \text{sign} \left(\begin{matrix} \ell_1 J \\ I_1 \end{matrix} \right) \text{sign} \left(\begin{matrix} \ell_2 J \\ I_2 \end{matrix} \right) \frac{\partial^2 \phi}{\partial x^{\ell_1} \partial x^{\ell_2}} \eta_{I_1} \eta_{I_2} \exp(-\phi) \text{ vol} \\
 &\leq \int_X \left(\widetilde{\sum}_{\substack{|J|=k-1 \\ |I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \text{sign} \left(\begin{matrix} \ell_1 J \\ I_1 \end{matrix} \right) \text{sign} \left(\begin{matrix} \ell_2 J \\ I_2 \end{matrix} \right) \frac{\partial^2 \phi}{\partial x^{\ell_1} \partial x^{\ell_2}} \eta_{I_1} \eta_{I_2} \right. \\
 &\quad \left. + \widetilde{\sum}_{|I|=k} \sum_{\ell=1}^n \left| \frac{\partial \eta_I}{\partial x^\ell} \right|^2 \right) \exp(-\phi) \text{ vol} \\
 &= \int_X \left(\widetilde{\sum}_{|J|=k-1} \left| \widetilde{\sum}_{|I|=k} \sum_{\ell=1}^n \text{sign} \left(\begin{matrix} \ell J \\ I \end{matrix} \right) \delta_\ell^\phi(\eta_I) \right|^2 + \widetilde{\sum}_{|H|=k+1} |(d\eta)_H|^2 \right) \exp(-\phi) \text{ vol} \\
 &\leq C \cdot \left(\|d_{\phi-\psi, \phi-2\psi}^* \eta\|_{L_{\phi-2\psi}^2}^2 + \|d\eta\|_{L_\phi^2}^2 + \int_X \widetilde{\sum}_{|I|=k} \sum_{\ell=1}^n \left| \frac{\partial \psi}{\partial x^\ell} \right|^2 |\eta_I|^2 \exp(-\phi) \text{ vol} \right),
 \end{aligned}$$

concluding the proof. □

Remark 2.4 The argument in the proof of Proposition 2.3 actually proves the following stronger estimate, which will be used in the regularization process in Theorem 3.1.

Let X be a domain in \mathbb{R}^n and $\phi, \psi \in C^\infty(X; \mathbb{R})$. Consider

$$L^2_{\phi-2\psi}(X; \wedge^{k-1} T^*X) \xrightarrow{d} L^2_{\phi-\psi}(X; \wedge^k T^*X) \xrightarrow{d} L^2_{\phi}(X; \wedge^{k+1} T^*X).$$

Then, for any $\eta := \sum_{|I|=k} \widetilde{\eta}_I dx^I \in C^\infty_c(X; \wedge^k T^*X)$, one has

$$\begin{aligned} & \int_X \left(\sum_{\substack{|J|=k-1 \\ |I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \text{sign} \begin{pmatrix} \ell_1 J \\ I_1 \end{pmatrix} \text{sign} \begin{pmatrix} \ell_2 J \\ I_2 \end{pmatrix} \frac{\partial^2 \phi}{\partial x^{\ell_1} \partial x^{\ell_2}} \eta_{I_1} \eta_{I_2} \right. \\ & \left. + \sum_{|I|=k} \sum_{\ell=1}^n \left| \frac{\partial \eta_I}{\partial x^\ell} \right|^2 \right) \exp(-\phi) \text{ vol} \\ & \leq C \cdot \left(\|d^*_{\phi-\psi, \phi-2\psi} \eta\|^2_{L^2_{\phi-2\psi}} + \|d\eta\|^2_{L^2_{\phi}} + \int_X \sum_{|I|=k} \sum_{\ell=1}^n \left| \frac{\partial \psi}{\partial x^\ell} \right|^2 |\eta_I|^2 \exp(-\phi) \text{ vol} \right), \end{aligned}$$

where $C := C(k, n) \in \mathbb{N}$ is a constant depending just on k and n .

3 Proof of the main theorem

We are ready to prove the following vanishing theorem for the higher-degree de Rham cohomology groups of a strictly p -convex domain in \mathbb{R}^n (for a different proof, involving Morse theory, compare [9, Theorem 1] by Sha, and [10, Theorem 1] by Wu, see also [7, Proposition 5.7]).

Theorem 3.1 *Let X be a strictly p -convex domain in \mathbb{R}^n . Then $H^k_{dR}(X; \mathbb{R}) = \{0\}$ for every $k \geq p$.*

Proof We are going to prove that every d -closed k -form $\eta \in C^\infty(X; \wedge^k T^*X)$ is d -exact, namely, there exists $\alpha \in C^\infty(X; \wedge^{k-1} T^*X)$ such that $\eta = d\alpha$; the statement of the theorem is a direct consequence of this result. Let us split the proof in the following steps.

Step 1—Definitions of the weight functions and other notations. Being X a strictly p -convex domain in \mathbb{R}^n , by Harvey and Lawson’s [6, Theorem 4.8] (see also [7, Theorem 5.4]), there exists a smooth proper strictly p -pluri-sub-harmonic exhaustion function

$$\rho \in \text{int} \left(\text{PSH}_p^{(1)}(X, g) \right) \cap C^\infty(X; \mathbb{R}),$$

where g is the metric on X induced by the Euclidean metric on \mathbb{R}^n .

For every $m \in \mathbb{N}$, consider the compact set

$$K^{(m)} := \{x \in X : \rho(x) \leq m\},$$

and define

$$L^{(m)} := \min_{K^{(m)}} \lambda_1^{[k]} > 0,$$

where, for every $x \in X$, the real numbers $\lambda_1^{[k]}(x) \leq \dots \leq \lambda_{\binom{k}{n}}^{[k]}(x)$ are the ordered eigenvalues of $D_{g^{-1}\text{Hess } \rho}^{[k]} \in \text{Hom}(\wedge^k T_x X, \wedge^k T_x X)$, and $\lambda_1(x) \leq \dots \leq \lambda_n(x)$ are the ordered eigenvalues of $g^{-1}\text{Hess } \rho(x) \in \text{Hom}(T_x X, T_x X)$; indeed, note that, for every $x \in X$,

$$\lambda_1^{[k]}(x) = \lambda_1(x) + \dots + \lambda_k(x) \geq \lambda_1(x) + \dots + \lambda_p(x) > 0,$$

being ρ strictly p -pluri-sub-harmonic and that the function $X \ni x \mapsto \lambda_1^{[k]}(x) \in \mathbb{R}$ is continuous.

Fix $\{\rho_\nu\}_{\nu \in \mathbb{N}} \subset C_c^\infty(X; \mathbb{R})$ such that (i) $0 \leq \rho_\nu \leq 1$ for every $\nu \in \mathbb{N}$, and (ii) for every compact set $K \subseteq X$, there exists $\nu_0 := \nu_0(K) \in \mathbb{N}$ such that $\rho_\nu|_K = 1$ for every $\nu \geq \nu_0$.

Then, we can choose $\psi \in C^\infty(X; \mathbb{R})$ such that for every $\nu \in \mathbb{N}$,

$$|\text{d}\rho_\nu|^2 \leq \exp(\psi) .$$

For every $m \in \mathbb{N}$, set

$$\gamma^{(m)} := \max_{K^{(m)}} (C \cdot |\text{d}\psi|^2 + \exp(\psi)) ,$$

where $C := C(n, k)$ is the constant in Proposition 2.3.

Fix $\chi \in C^\infty(\mathbb{R}; \mathbb{R})$ such that (i) $\chi' > 0$, (ii) $\chi'' > 0$, and (iii) $\chi'|_{(-\infty, m]} > \frac{\gamma^{(m)}}{L^{(m)}}$, for every $m \in \mathbb{N}$. Define

$$\phi := \chi \circ \rho :$$

then, $\phi \in \text{int}(\text{PSH}_p^{(1)}(X, g)) \cap C^\infty(X; \mathbb{R})$; furthermore

$$\frac{\partial^2 \phi}{\partial x^{\ell_1} \partial x^{\ell_2}} = \chi'' \circ \rho \cdot \frac{\partial \rho}{\partial x^{\ell_1}} \cdot \frac{\partial \rho}{\partial x^{\ell_2}} + \chi' \circ \rho \cdot \frac{\partial^2 \rho}{\partial x^{\ell_1} \partial x^{\ell_2}} .$$

Choose $\mu \in C^\infty(X; \mathbb{R})$ such that, for every $m \in \mathbb{N}$,

$$\chi' \circ \rho|_{K^{(m)}} \cdot L^{(m)} \geq \mu|_{K^{(m)}} \geq \gamma^{(m)} .$$

Step 2—For every $\eta \in C_c^\infty(X; \wedge^k T^* X)$, it holds $\|\eta\|_{L_{\phi-\psi}^2}^2 \leq C \cdot \left(\|\text{d}_{\phi-\psi}^* \cdot \phi-2\psi \eta\|_{L_{\phi-2\psi}^2}^2 + \|\text{d}\eta\|_{L_\phi^2}^2 \right)$. Since

$$D_{g^{-1}\text{Hess } \rho}^{[k]} = \left(\widetilde{\sum_{|J|=k-1}} \sum_{\ell_1, \ell_2=1}^n \text{sign} \begin{pmatrix} \ell_1 J \\ I_1 \end{pmatrix} \text{sign} \begin{pmatrix} \ell_2 J \\ I_2 \end{pmatrix} \frac{\partial^2 \rho}{\partial x^{\ell_1} \partial x^{\ell_2}} \right)_{I_1, I_2} \in \text{Hom}(\wedge^k T X, \wedge^k T X) ,$$

one estimates

$$\begin{aligned}
 & \widetilde{\sum}_{\substack{|J|=k-1 \\ |I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \operatorname{sign} \begin{pmatrix} \ell_1 J \\ I_1 \end{pmatrix} \operatorname{sign} \begin{pmatrix} \ell_2 J \\ I_2 \end{pmatrix} \frac{\partial^2 \phi}{\partial x^{\ell_1} \partial x^{\ell_2}} \eta_{I_1} \eta_{I_2} \\
 &= \widetilde{\sum}_{\substack{|J|=k-1 \\ |I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \operatorname{sign} \begin{pmatrix} \ell_1 J \\ I_1 \end{pmatrix} \operatorname{sign} \begin{pmatrix} \ell_2 J \\ I_2 \end{pmatrix} \chi'' \circ \rho \cdot \frac{\partial \rho}{\partial x^{\ell_1}} \frac{\partial \rho}{\partial x^{\ell_2}} \eta_{I_1} \eta_{I_2} \\
 &+ \widetilde{\sum}_{\substack{|J|=k-1 \\ |I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \operatorname{sign} \begin{pmatrix} \ell_1 J \\ I_1 \end{pmatrix} \operatorname{sign} \begin{pmatrix} \ell_2 J \\ I_2 \end{pmatrix} \chi' \circ \rho \cdot \frac{\partial^2 \rho}{\partial x^{\ell_1} \partial x^{\ell_2}} \eta_{I_1} \eta_{I_2} \\
 &= \widetilde{\sum}_{|J|=k-1} \chi'' \circ \rho \cdot \left| \widetilde{\sum}_{|I|=k} \sum_{\ell=1}^n \operatorname{sign} \begin{pmatrix} \ell J \\ I \end{pmatrix} \frac{\partial \rho}{\partial x^\ell} \eta_I \right|^2 \\
 &+ \chi' \circ \rho \cdot \widetilde{\sum}_{\substack{|J|=k-1 \\ |I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \operatorname{sign} \begin{pmatrix} \ell_1 J \\ I_1 \end{pmatrix} \operatorname{sign} \begin{pmatrix} \ell_2 J \\ I_2 \end{pmatrix} \frac{\partial^2 \rho}{\partial x^{\ell_1} \partial x^{\ell_2}} \eta_{I_1} \eta_{I_2} \\
 &\geq \chi' \circ \rho \cdot \lambda_1^{[k]}(x) \cdot \widetilde{\sum}_{|I|=k} |\eta_I|^2 \\
 &\geq \mu \cdot \widetilde{\sum}_{|I|=k} |\eta_I|^2 .
 \end{aligned}$$

Hence, using Proposition 2.3, we get that, for every $\eta \in C_c^\infty(X; \wedge^k T^*X)$,

$$\begin{aligned}
 \|\eta\|_{L_{\phi-\psi}^2}^2 &= \int_X \widetilde{\sum}_{|I|=k} |\eta_I|^2 \exp(-(\phi - \psi)) \operatorname{vol} \\
 &\leq \int_X \widetilde{\sum}_{|I|=k} \left(\mu - C \cdot \sum_{\ell=1}^n \left| \frac{\partial \psi}{\partial x^\ell} \right|^2 \right) \cdot |\eta_I|^2 \exp(-\phi) \operatorname{vol} \\
 &\leq \int_X \left(\widetilde{\sum}_{\substack{|J|=k-1 \\ |I_1|=k \\ |I_2|=k}} \sum_{\ell_1, \ell_2=1}^n \operatorname{sign} \begin{pmatrix} \ell_1 J \\ I_1 \end{pmatrix} \operatorname{sign} \begin{pmatrix} \ell_2 J \\ I_2 \end{pmatrix} \frac{\partial^2 \phi}{\partial x^{\ell_1} \partial x^{\ell_2}} \eta_{I_1} \eta_{I_2} \right. \\
 &\quad \left. - C \cdot \widetilde{\sum}_{|I|=k} \sum_{\ell=1}^n \left| \frac{\partial \psi}{\partial x^\ell} \right|^2 |\eta_I|^2 \right) \exp(-\phi) \operatorname{vol} \\
 &\leq C \cdot \left(\|d_{\phi-\psi, \phi-2\psi}^* \eta\|_{L_{\phi-2\psi}^2}^2 + \|d\eta\|_{L_\phi^2}^2 \right) ,
 \end{aligned}$$

where $C :=: C(k, n) \in \mathbb{N}$ is the constant in Proposition 2.3, depending just on k and n .

Step 3— $\mathcal{C}_c^\infty(X; \wedge^k T^*X)$ is dense in $(\text{dom } d \cap \text{dom } d_{\phi-\psi, \phi-2\psi}^*, \|\cdot\|_{L_{\phi-\psi}^2} + \|d_{\phi-\psi, \phi-2\psi}^* \cdot\|_{L_{\phi-2\psi}^2} + \|d \cdot\|_{L_{\phi}^2})$. Consider

$$L_{\phi-2\psi}^2(X; \wedge^{k-1} T^*X) \xrightarrow[\text{d}_{\phi-\psi, \phi-2\psi}^*]{d} L_{\phi-\psi}^2(X; \wedge^k T^*X) \xrightarrow[\text{d}_{\phi-\psi, \phi-2\psi}^*]{d} L_{\phi}^2(X; \wedge^{k+1} T^*X).$$

Fix $\eta \in \text{dom } d \cap \text{dom } d_{\phi-\psi, \phi-2\psi}^* \subseteq L_{\phi-\psi}^2(X; \wedge^k T^*X)$. Firstly, we prove that $\{\rho_\nu \eta\}_{\nu \in \mathbb{N}} \subset \text{dom } d \cap \text{dom } d_{\phi-\psi, \phi-2\psi}^* \subseteq L_{\phi-\psi}^2(X; \wedge^k T^*X)$ (where $\{\rho_\nu\}_{\nu \in \mathbb{N}} \subset \mathcal{C}_c^\infty(X; \mathbb{R})$ has been defined in Step 1) is a sequence of functions having compact support and converging to η in the graph norm $\|\cdot\|_{L_{\phi-\psi}^2} + \|d_{\phi-\psi, \phi-2\psi}^* \cdot\|_{L_{\phi-2\psi}^2} + \|d \cdot\|_{L_{\phi}^2}$. Indeed,

$$\begin{aligned} |d(\rho_\nu \eta) - \rho_\nu d\eta|^2 \exp(-\phi) &= |\eta|^2 \cdot |d\rho_\nu|^2 \exp(-\phi) \\ &\leq |\eta|^2 \exp(-(\phi - \psi)) \in L^2(X; \wedge^k T^*X), \end{aligned}$$

hence, by Lebesgue’s dominated convergence theorem, $\|d(\rho_\nu \eta) - \rho_\nu d\eta\|_{L_{\phi}^2} \rightarrow 0$ as $\nu \rightarrow +\infty$. Furthermore, for every $\nu \in \mathbb{N}$, note that $\rho_\nu \eta \in \text{dom } d_{\phi-\psi, \phi-2\psi}^*$, since the map

$$L_{\phi-2\psi}^2(X; \wedge^{k-1} T^*X) \supseteq \text{dom } d \ni u \mapsto \langle \rho_\nu \eta \mid du \rangle_{L_{\phi-\psi}^2} \in \mathbb{R}$$

is continuous, being

$$\begin{aligned} \langle \rho_\nu \eta \mid du \rangle_{L_{\phi-\psi}^2} &= \langle \eta \mid d(\rho_\nu u) \rangle_{L_{\phi-\psi}^2} - \langle \eta \mid d\rho_\nu \wedge u \rangle_{L_{\phi-\psi}^2} \\ &= \left\langle \rho_\nu d_{\phi-\psi, \phi-2\psi}^* \eta \mid u \right\rangle_{L_{\phi-2\psi}^2} - \langle \eta \mid d\rho_\nu \wedge u \rangle_{L_{\phi-\psi}^2}, \end{aligned}$$

hence, by the Riesz representation theorem, there exists $\tilde{\eta} := d_{\phi-\psi, \phi-2\psi}^*(\rho_\nu \eta) \in L_{\phi-2\psi}^2(X; \wedge^{k-1} T^*X)$ such that, for every $u \in \text{dom } d \subseteq L_{\phi-2\psi}^2(X; \wedge^{k-1} T^*X)$, it holds $\langle \rho_\nu \eta \mid du \rangle_{L_{\phi-\psi}^2} = \langle \tilde{\eta} \mid u \rangle_{L_{\phi-2\psi}^2}$. Lastly, note that, for every $u \in \text{dom } d \subseteq L_{\phi-2\psi}^2(X; \wedge^{k-1} T^*X)$,

$$\begin{aligned} &\left| \left\langle d_{\phi-\psi, \phi-2\psi}^*(\rho_\nu \eta) - \rho_\nu d_{\phi-\psi, \phi-2\psi}^* \eta \mid u \right\rangle_{L_{\phi-2\psi}^2} \right| \\ &= \left| \langle \rho_\nu \eta \mid du \rangle_{L_{\phi-\psi}^2} - \left\langle d_{\phi-\psi, \phi-2\psi}^* \eta \mid \rho_\nu u \right\rangle_{L_{\phi-2\psi}^2} \right| \\ &= \left| \langle \eta \mid d\rho_\nu \wedge u \rangle_{L_{\phi-\psi}^2} \right| \\ &\leq \|\eta\|_{L_{\phi-\psi}^2} \cdot \|d\rho_\nu \wedge u\|_{L_{\phi-\psi}^2}, \end{aligned}$$

hence, by Lebesgue’s dominated convergence theorem, $\|d_{\phi-\psi, \phi-2\psi}^*(\rho_\nu \eta) - \rho_\nu d_{\phi-\psi, \phi-2\psi}^* \eta\|_{L_{\phi-2\psi}^2} \rightarrow 0$ as $\nu \rightarrow +\infty$. This shows that $\rho_\nu \eta \rightarrow \eta$ as $\nu \rightarrow +\infty$ with respect to the graph norm.

Hence, we may suppose that $\eta \in \text{dom } d \cap \text{dom } d_{\phi-\psi, \phi-2\psi}^* \subseteq L_{\phi-\psi}^2(X; \wedge^k T^*X)$ has compact support. Let $\{\Phi_\varepsilon\}_{\varepsilon \in \mathbb{R}} \subseteq \mathcal{C}^\infty(\mathbb{R}^n; \mathbb{R})$ be a family of positive mollifiers, that is,

$\Phi_\varepsilon := \varepsilon^{-n} \Phi \left(\frac{\cdot}{\varepsilon} \right)$, where (i) $\Phi \in C_c^\infty(\mathbb{R}^n; \mathbb{R})$, (ii) $\int_{\mathbb{R}^n} \Phi \nu_{\mathbb{R}^n} = 1$, (iii) $\lim_{\varepsilon \rightarrow 0} \Phi_\varepsilon = \delta$, where δ is the Dirac delta function, and (iv) $\Phi \geq 0$.

Consider the convolution $\{\eta * \Phi_\varepsilon\}_{\varepsilon \in \mathbb{R}} \subset C_c^\infty(X; \wedge^k T^*X)$; we prove that $\eta * \Phi_\varepsilon \rightarrow \eta$ as $\varepsilon \rightarrow 0$ with respect to the graph norm. Clearly, $\|\eta - \eta * \Phi_\varepsilon\|_{L^2_{\phi-\psi}} \rightarrow 0$ as $\varepsilon \rightarrow 0$. Since $d(\eta * \Phi_\varepsilon) = d\eta * \Phi_\varepsilon$, one has that $\|d(\eta * \Phi_\varepsilon) - d\eta\|_{L^2_\phi} \rightarrow 0$ as $\varepsilon \rightarrow 0$. Lastly, write

$$d^*_{\phi-\psi, \phi-2\psi} = \exp(-\psi) (d^*_{0,0} + A_{\phi-\psi, \phi-2\psi}),$$

where $d^*_{0,0}$ is a differential operator with constant coefficients, and $A_{\phi-\psi, \phi-2\psi}$ is a differential operator of order zero defined, for every $v \in L^2_{\phi-\psi}(X; \wedge^k T^*X)$, as

$$A_{\phi-\psi, \phi-2\psi}(v) := \sum_{\substack{|J|=k-1 \\ |I|=k}} \sum_{\ell=1}^n \text{sign} \begin{pmatrix} \ell J \\ I \end{pmatrix} \frac{\partial(\phi - \psi)}{\partial x^\ell} \cdot \eta dx^J;$$

hence

$$\begin{aligned} & (d^*_{0,0} + A_{\phi-\psi, \phi-2\psi})(\eta * \Phi_\varepsilon) \\ &= ((d^*_{0,0} + A_{\phi-\psi, \phi-2\psi})(\eta)) * \Phi_\varepsilon - (A_{\phi-\psi, \phi-2\psi}\eta) * \Phi_\varepsilon + A_{\phi-\psi, \phi-2\psi}(\eta * \Phi_\varepsilon) \\ &\rightarrow (d^*_{0,0} + A_{\phi-\psi, \phi-2\psi})(\eta) \end{aligned}$$

as $\varepsilon \rightarrow 0$ in $L^2_{\phi-2\psi}(X; \wedge^{k-1} T^*X)$; having η compact support, it follows that $d^*_{\phi-\psi, \phi-2\psi}(\eta * \Phi_\varepsilon) \rightarrow d^*_{\phi-\psi, \phi-2\psi}(\eta)$ as $\varepsilon \rightarrow 0$ in $L^2_{\phi-2\psi}(X; \wedge^{k-1} T^*X)$.

Step 4—If $\|\eta\|_{L^2_{\phi-\psi}}^2 \leq C \cdot \left(\|d^*_{\phi-\psi, \phi-2\psi}\eta\|_{L^2_{\phi-2\psi}}^2 + \|d\eta\|_{L^2_\phi}^2 \right)$ holds for every $\eta \in C_c^\infty(X; \wedge^k T^*X)$, then it holds for every $\eta \in \text{dom } d \cap \text{dom } d^*_{\phi-\psi, \phi-2\psi}$. Let $\eta \in \text{dom } d \cap \text{dom } d^*_{\phi-\psi, \phi-2\psi}$. By Step 3, take $\{\eta_j\}_{j \in \mathbb{N}} \subset C_c^\infty(X; \wedge^k T^*X)$ such that $\eta_j \rightarrow \eta$ as $j \rightarrow +\infty$ in the graph norm. Since, for every $j \in \mathbb{N}$, one has $\|\eta_j\|_{L^2_{\phi-\psi}}^2 \leq C \cdot \left(\|d^*_{\phi-\psi, \phi-2\psi}\eta_j\|_{L^2_{\phi-2\psi}}^2 + \|d\eta_j\|_{L^2_\phi}^2 \right)$, and since $\|\eta_j - \eta\|_{L^2_{\phi-\psi}} \rightarrow 0$, $\|d^*_{\phi-\psi, \phi-2\psi}\eta_j - d^*_{\phi-\psi, \phi-2\psi}\eta\|_{L^2_{\phi-2\psi}} \rightarrow 0$ and $\|d\eta_j - d\eta\|_{L^2_\phi} \rightarrow 0$ as $j \rightarrow +\infty$, we

get that also $\|\eta\|_{L^2_{\phi-\psi}}^2 \leq C \cdot \left(\|d^*_{\phi-\psi, \phi-2\psi}\eta\|_{L^2_{\phi-2\psi}}^2 + \|d\eta\|_{L^2_\phi}^2 \right)$.

Step 5—Existence of a solution in $L^2_{\text{loc}}(X; \wedge^k T^*X)$. We prove here that the operator

$$d: L^2_{\phi-2\psi}(X; \wedge^{k-1} T^*X) \dashrightarrow \ker \left(d: L^2_{\phi-\psi}(X; \wedge^k T^*X) \dashrightarrow L^2_\phi(X; \wedge^{k+1} T^*X) \right)$$

is surjective, hence, for every $\eta \in \ker \left(d: L^2_{\phi-\psi}(X; \wedge^k T^*X) \dashrightarrow L^2_\phi(X; \wedge^{k+1} T^*X) \right)$, the equation $d\alpha = \eta$ has a solution α in $L^2_{\phi-\psi}(X; \wedge^{k-1} T^*X) \subseteq L^2_{\text{loc}}(X; \wedge^{k-1} T^*X)$.

We recall (see, e.g., [8, Lemma 4.1.1]) that given two Hilbert spaces $(H_1, \langle \cdot | \cdot \rangle_{L^2_{H_1}})$ and $(H_2, \langle \cdot | \cdot \rangle_{L^2_{H_2}})$, and a densely defined closed operator $T: H_1 \dashrightarrow H_2$, whose adjoint is $T^*: H_2 \dashrightarrow H_1$, if $F \subseteq H_2$ is a closed subspace such that $\text{im } T \subseteq F$, then the following conditions are equivalent:

- (i) $\text{im } T = F$;

(ii) there exists $C > 0$ such that, for every $y \in \text{dom } T^* \cap F$,

$$\|y\|_{L^2_{H_2}} \leq C \cdot \|T^*y\|_{L^2_{H_1}} .$$

Hence, consider

$$d: L^2_{\phi-2\psi} \left(X; \wedge^{k-1} T^*X \right) \dashrightarrow L^2_{\phi-\psi} \left(X; \wedge^k T^*X \right)$$

and

$$\begin{aligned} L^2_{\phi-\psi} \left(X; \wedge^k T^*X \right) &\supseteq F := \ker \left(d: L^2_{\phi-\psi} \left(X; \wedge^k T^*X \right) \dashrightarrow L^2_{\phi} \left(X; \wedge^{k+1} T^*X \right) \right) \\ &\supseteq \text{im} \left(d: L^2_{\psi-2\psi} \left(X; \wedge^{k-1} T^*X \right) \dashrightarrow L^2_{\phi-\psi} \left(X; \wedge^k T^*X \right) \right) . \end{aligned}$$

By Step 4, for every $\eta \in \text{dom } d^*_{\phi-\psi, \phi-2\psi} \cap F \subseteq \text{dom } d \cap \text{dom } d^*_{\phi-\psi, \phi-2\psi}$, it holds that

$$\|\eta\|^2_{L^2_{\phi-\psi}} \leq C \left\| d^*_{\phi-\psi, \phi-2\psi} \eta \right\|^2_{L^2_{\phi-2\psi}} ,$$

from which it follows that

$$F = \text{im} \left(d: L^2_{\psi-2\psi} \left(X; \wedge^{k-1} T^*X \right) \dashrightarrow L^2_{\phi-\psi} \left(X; \wedge^k T^*X \right) \right) .$$

Step 6—*Sobolev regularity of the solutions with compact support.* We prove that, for every $\alpha \in L^2 \left(X; \wedge^{k-1} T^*X \right)$ with compact support, if $d\alpha \in L^2 \left(X; \wedge^k T^*X \right)$ and $d^*_{0,0}\alpha \in L^2 \left(X; \wedge^{k-2} T^*X \right)$, then $\alpha \in W^{1,2} \left(X; \wedge^{k-1} T^*X \right)$. Indeed, take $\{\Phi_\varepsilon\}_{\varepsilon \in \mathbb{R}}$ a family of positive mollifiers and, for every $\varepsilon \in \mathbb{R}$, consider $\alpha * \Phi_\varepsilon \in C^\infty_c \left(X; \wedge^{k-1} T^*X \right)$; by Remark 2.4 with $\phi := 0$ and $\psi := 0$, we get that, for any multi-index I such that $|I| = k - 1$ and for any $\ell \in \{1, \dots, n\}$,

$$\int_X \left| \frac{\partial(\alpha_I * \Phi_\varepsilon)}{\partial x^\ell} \right|^2 \text{vol} \leq C \cdot \left(\|d^*_{0,0}(\alpha * \Phi_\varepsilon)\|_{L^2}^2 + \|d(\alpha * \Phi_\varepsilon)\|_{L^2}^2 \right) ,$$

where $C := C(k, n)$ is a constant depending just on k and n ; since, for every multi-index I such that $|I| = k - 1$, and for every $\ell \in \{1, \dots, n\}$, it holds that $\lim_{\varepsilon \rightarrow 0} \int_X \left| \frac{\partial(\alpha_I * \Phi_\varepsilon)}{\partial x^\ell} - \frac{\partial\alpha_I}{\partial x^\ell} \right|^2 \text{vol} = \lim_{\varepsilon \rightarrow 0} \|d^*_{0,0}(\alpha * \Phi_\varepsilon) - d^*_{0,0}\alpha\|_{L^2} = \lim_{\varepsilon \rightarrow 0} \|d(\alpha * \Phi_\varepsilon) - d\alpha\|_{L^2} = 0$, we get that

$$\int_X \left| \frac{\partial\alpha_I}{\partial x^\ell} \right|^2 \text{vol} \leq C \cdot \left(\|d^*_{0,0}\alpha\|_{L^2}^2 + \|d\alpha\|_{L^2}^2 \right) ,$$

proving the claim.

Step 7—*Regularization of the solution.* By Step 5, if $\eta \in C^\infty \left(X; \wedge^k T^*X \right)$ is such that $d\eta = 0$, then the equation $d\alpha = \eta$ has a solution $\alpha \in L^2_{\text{loc}} \left(X; \wedge^{k-1} T^*X \right)$; we prove that actually $\alpha \in C^\infty \left(X; \wedge^{k-1} T^*X \right)$.

Note that we may suppose that the solution $\alpha \in L^2_{\text{loc}} \left(X; \wedge^{k-1} T^*X \right)$ satisfies

$$\alpha \in (\ker d)^\perp_{L^2_{\text{loc}}(X; \wedge^{k-1} T^*X)} = \overline{\text{im } d^*_{0,0}} = \text{im } d^*_{0,0} \subseteq \ker d^*_{0,0} ;$$

hence, α satisfies the system of differential equation

$$\begin{cases} d\alpha = \eta \\ d^*_{0,0}\alpha = 0 \end{cases} .$$

We prove, by induction on $s \in \mathbb{N}$, that $\alpha \in W_{\text{loc}}^{s,2}(X; \wedge^{k-1}T^*X)$ for every $s \in \mathbb{N}$. Indeed, we have by *Step 5* that $\alpha \in W_{\text{loc}}^{0,2}(X; \wedge^{k-1}T^*X) = L_{\text{loc}}^2(X; \wedge^{k-1}T^*X)$. Suppose now that $\alpha \in W_{\text{loc}}^{s,2}(X; \wedge^{k-1}T^*X)$ and prove that $\alpha \in W_{\text{loc}}^{s+1,2}(X; \wedge^{k-1}T^*X)$. Clearly, $\eta \in C^\infty(X; \wedge^k T^*X) \subseteq W_{\text{loc}}^{\sigma,2}(X; \wedge^k T^*X)$ for every $\sigma \in \mathbb{N}$. Take K a compact subset of X , and choose $\widehat{\chi} \in C_c^\infty(X; \mathbb{R})$ such that $\text{supp}\widehat{\chi} \supset K$. For any multi-index $L := (\ell_1, \dots, \ell_n) \in \mathbb{N}^n$ such that $\ell_1 + \dots + \ell_n = s$, being

$$d\left(\widehat{\chi} \cdot \frac{\partial^s \alpha}{\partial \ell_1 x^1 \dots \partial \ell_n x^n}\right) = d\widehat{\chi} \wedge \frac{\partial^s \alpha}{\partial \ell_1 x^1 \dots \partial \ell_n x^n} + \widehat{\chi} \cdot \frac{\partial^s \eta}{\partial \ell_1 x^1 \dots \partial \ell_n x^n} \in L^2\left(K; \wedge^k T^*X\right)$$

and

$$\begin{aligned} d_{0,0}^*\left(\widehat{\chi} \cdot \frac{\partial^s \alpha}{\partial \ell_1 x^1 \dots \partial \ell_n x^n}\right) &= - \sum_{\substack{|J|=k-1 \\ |I|=k}} \widetilde{\sum}_{\ell=1}^n \text{sign}\left(\begin{matrix} \ell J \\ I \end{matrix}\right) \frac{\partial \widehat{\chi}}{\partial x^\ell} \cdot \frac{\partial^s \alpha_I}{\partial \ell_1 x^1 \dots \partial \ell_n x^n} dx^J \\ &\in L^2\left(K; \wedge^{k-2}T^*X\right) \end{aligned}$$

we get that $\widehat{\chi} \cdot \frac{\partial^s \alpha}{\partial \ell_1 x^1 \dots \partial \ell_n x^n} \in W^{1,2}(K; \wedge^{k-1}T^*X)$, that is, $\alpha \in W^{s+1,2}(K; \wedge^{k-1}T^*X)$. Hence, $\alpha \in W_{\text{loc}}^{s+1,2}(X; \wedge^{k-1}T^*X)$. Since $W_{\text{loc}}^{\sigma,2}(X; \wedge^{k-1}T^*X) \hookrightarrow C^m(X; \wedge^{k-1}T^*X)$ for every $0 \leq m < \sigma - \frac{n}{2}$, see [4, Corollary 7.11], we get that $\alpha \in C^\infty(X; \wedge^{k-1}T^*X)$, concluding the proof of the theorem. \square

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