

# An extension of coherent sheaves defined outside holomorphically convex compact sets

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**Abstract** We show that a coherent analytic sheaf  $\mathcal{F}$  with  $\text{prof } \mathcal{F} \geq 2$  defined outside a holomorphically convex compact set  $K$  in a 1-convex space  $X$  admits a coherent extension to the whole space  $X$  if, and only if, the canonical topology on  $H^1(X \setminus K, \mathcal{F})$  is separated.

**Keywords** Coherent sheaf · Coherent extension · Holomorphically convex compact set · 1-convex space · Remmert reduction

**Mathematics Subject Classification (2000)** 32L10 · 32E05 · 32C35 · 32F10 · 32E10

## 1 Introduction

Let  $X$  be a Stein space and  $K \subset X$  a Stein compact set, i.e.,  $K$  admits a neighborhood system of Stein open sets.

A theorem due to Bănică [4] states that, for any coherent analytic sheaf  $\widehat{\mathcal{F}}$  on  $X$  and any positive integer  $q$ , the canonical topology (defined via the Čech cohomology) on  $H^q(X \setminus K, \widehat{\mathcal{F}})$  is separated.

On the other hand, it is shown in [5] that if  $\mathcal{F}$  is a coherent analytic sheaf on  $X \setminus K$  and  $\text{prof } \mathcal{F} \geq 3$ , then  $\mathcal{F}$  admits a coherent extension to  $X$ , namely there is a coherent analytic sheaf  $\widehat{\mathcal{F}}$  on  $X$  such that  $\widehat{\mathcal{F}}|_{X \setminus K} = \mathcal{F}$  (equality means  $\mathcal{O}_{X \setminus K}$ -module isomorphism). If, moreover,  $K$  is holomorphically convex, then  $\mathcal{F} = \mathcal{F}^{[1]}$  is sufficient for a coherent extension, see [3]; this will be improved in the subsequent Proposition 1. The gap condition is equivalent to saying that  $\text{prof } \mathcal{F} \geq 2$  and the set  $\{x \in X \setminus K ; \text{prof } \mathcal{F}_x = 2\}$  is discrete in  $X \setminus K$ .

In this circle of ideas, we prove:

**Theorem 1** *Let  $X$  be a 1-convex space and  $K \subset X$  a holomorphically convex compact set. Let  $\mathcal{F}$  be a coherent analytic sheaf on  $X \setminus K$  with  $\text{prof } \mathcal{F} \geq 2$ . Then  $\mathcal{F}$  admits a coherent extension  $\widehat{\mathcal{F}}$  to  $X$  if, and only if,  $H^1(X \setminus K, \mathcal{F})$  is separated.*

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*Remark 1* If  $X$  is Stein, then the extension  $\widehat{\mathcal{F}}$  can be chosen such that  $\text{prof } \widehat{\mathcal{F}} \geq 2$ ; moreover such an  $\widehat{\mathcal{F}}$  is unique (up to an isomorphism). However, the unicity fails in the 1-convex case. For instance, if  $\pi : X \rightarrow \mathbb{C}^2$  is the blowing-up of the origin in  $\mathbb{C}^2$ , then  $X$  is 1-convex and its exceptional set  $S$  is a rational curve; its canonically associated invertible sheaf is holomorphically trivial on  $X \setminus S$ . Therefore,  $\mathcal{O}_{X \setminus S}$  admits two non isomorphic coherent extensions. Note also that, if  $\iota : X \setminus S \hookrightarrow X$  is the canonical inclusion, then  $\iota_*(\mathcal{O}_{X \setminus S})$  is not coherent.

**Corollary 1** *Let  $K \subset \mathbb{C}^2$  be a polynomially convex set and  $\mathcal{L}$  an invertible sheaf on  $\mathbb{C}^2 \setminus K$ . Then  $H^1(\mathbb{C}^2 \setminus K, \mathcal{L})$  is separated if, and only if,  $\mathcal{L}$  is the trivial invertible sheaf.*

*Remark 2* This corollary shows that condition  $\text{prof } \mathcal{F} \geq 2$  alone in Theorem 1 does not guarantee the coherent extension. (Take  $K = \{0\}$  and  $\mathcal{L}$  an invertible sheaf of over  $\mathbb{C}^2 \setminus \{0\}$  that is not holomorphically trivial. If  $\mathcal{L}$  would extend coherently, then the extension can be chosen to be an invertible sheaf over  $\mathbb{C}^2$  which would be trivial. See [10].)

**Proposition 1** *Let  $X$  be a complex space,  $K \subset X$  a Stein compact set and  $\mathcal{F}$  a coherent sheaf on  $X \setminus K$  such that  $\mathcal{F} = \mathcal{F}^{[1]}$ . Then there exists a coherent sheaf  $\widehat{\mathcal{F}}$  on  $X$  that extends  $\mathcal{F}$ , i.e.  $\widehat{\mathcal{F}}|_{X \setminus K} = \mathcal{F}$ .*

**Corollary 2** *Let  $X$  be a Stein space,  $K \subset X$  a Stein compact set and  $\mathcal{F}$  a coherent analytic sheaf on  $X \setminus K$  such that  $\mathcal{F} = \mathcal{F}^{[1]}$ . Then  $H^1(X \setminus K, \mathcal{F})$  is separated.*

In order to put our results in a larger context, we note that one recurring theme in Complex Analysis is “Hartogs type extension theorems.” Specifically, let  $X$  be a complex space,  $S \subset X$  a closed subset and  $\mathcal{F}$  a coherent analytic sheaf on  $X \setminus S$ . Find reasonable conditions such that  $\mathcal{F}$  admits a coherent extension to the whole space  $X$ . In particular, if  $\iota : X \setminus S \rightarrow X$  is the inclusion map, the sheaf  $\iota_*(\mathcal{F})$  is an analytic extension and one looks for conditions such that  $\iota_*(\mathcal{F})$  is coherent.

The found necessary conditions are *local* and stated in terms of the absolute or relative gap sheaves and require either (i) that  $S$  is analytic [7, 10, 12–14] or (ii) that  $S$  is a holomorphically convex compact set (or, more generally, a Stein compact set) (as in [5]), or (iii) that  $S$  is the complement of an open set fulfilling certain generalized pseudoconvexity at the boundary (see [11] and [12] for more details).

The extension stated above in Proposition 1 is complementary to results around 1970 and, perhaps, it has been essentially known, but we did not found an appropriate reference. In the same vein (see [11]), an extension is done for  $K$  a closed set of a complex space  $X$  admitting a smooth proper function  $\varphi : X \rightarrow (0, \infty)$  that is  $q$ -convex on  $X$  (the normalization is such that 1-convex  $\equiv$  strictly plurisubharmonic),  $K = \{x \in X; \varphi(x) \leq c\}$  for some  $c > 0$  and  $\mathcal{F} = \mathcal{F}^{[q]}$ . However, our proposition is not a consequence of this result for  $q = 1$  because a Stein compact set does not necessarily have a Stein open neighborhood with respect to which it becomes holomorphically convex. A straightforward example in  $\mathbb{C}$  is given by the Stein compact set

$$K = \{0\} \cup \bigcup_{n \geq 1} \partial \Delta(1/n),$$

where for  $r > 0$  we set  $\Delta(r) := \{z \in \mathbb{C}; |z| < r\}$ . (Use the subsequent Lemma 1 and the maximum principle for subharmonic functions.)

## 2 Preliminaries

Throughout this paper, complex spaces, whose structural sheaves might have nilpotents, are such that their underlying topology admits a countable base of open sets.

Let  $X = (X, \mathcal{O}_X)$  be a complex space and  $\mathcal{F}$  a coherent sheaf on  $X$ . For each point  $x \in X$  there exists an holomorphic embedding  $\iota : U \rightarrow \widehat{U} \subset \mathbb{C}^{m(x)}$  of an open neighborhood  $U \ni x$  into the Zariski tangent space  $\mathbb{C}^{m(x)}$  of  $X$  at  $x$ . Let  $\widehat{\mathcal{F}}$  be the trivial extension of  $\iota_*(\mathcal{F}|_U)$ ; it is a coherent sheaf on  $\widehat{U}$ . Let

$$0 \rightarrow \mathcal{O}^{pd} \rightarrow \mathcal{O}^{pd-1} \rightarrow \dots \rightarrow \mathcal{O}^{p0} \rightarrow \widehat{\mathcal{F}} \rightarrow 0$$

be a resolution of  $\widehat{\mathcal{F}}$  on a neighborhood of  $\iota(x)$  of minimal length. It can be shown that  $d \leq m(x)$  and the number  $\text{prof } \mathcal{F}_x := m(x) - d$  does not depend on the embedding  $\iota$ . If  $\mathcal{F}_x = 0$ , then we set  $\text{prof } \mathcal{F}_x = \infty$ . We let  $\text{prof}_X \mathcal{F} := \inf_{x \in X} \text{prof } \mathcal{F}_x$ ; if  $X$  is clearly understood from the context, we write  $\text{prof } \mathcal{F}$  instead of  $\text{prof}_X \mathcal{F}$ .

(Note that  $\text{prof } \mathcal{F}$  can be larger than  $\text{prof } \mathcal{O}_X$ . Take  $X$  the image of the holomorphic mapping  $h : \mathbb{C}^2 \rightarrow \mathbb{C}^4, (z, w) \mapsto (z^2, z^3, w, zw)$ ;  $X$  is an analytic subset of  $\mathbb{C}^4$  of dimension 2, it has only one singularity at the origin and  $X \setminus \{0\}$  is connected (so that  $X$  is irreducible). The map  $h$  is the normalization of  $X$ ,  $\text{prof } \mathcal{O}_X = 1$  and  $\text{prof } \widetilde{\mathcal{O}}_X = 2$ , where  $\widetilde{\mathcal{O}}_X$  is the coherent sheaf of germs of weakly holomorphic functions in  $X$ .)

For a non-negative integer  $q$  the set  $S_q(\mathcal{F}) := \{x \in X; \text{prof } \mathcal{F}_x \leq q\}$  is analytic in  $X$  of dimension  $\leq q$ ; these are called the singular sets of  $\mathcal{F}$ .

Also the  $q$ th-absolute gap sheaf of  $\mathcal{F}$ , denoted by  $\mathcal{F}^{[q]}$ , is the canonical sheaf associated to the presheaf which to an open subset  $U$  of  $X$  associated  $\lim \Gamma(U \setminus A, \mathcal{F})$ , where in the inductive limit  $A$  runs over all analytic subsets of  $U$  of dimension  $\leq q$ , and with the natural restrictions mappings. One has a canonical morphism  $\mathcal{F} \rightarrow \mathcal{F}^{[q]}$ . This is an isomorphism, and in that case, we write  $\mathcal{F} = \mathcal{F}^{[q]}$  if, and only if,

$$\dim S_{k+2}(\mathcal{F}) \leq k \quad \text{for } k = -1, 0, \dots, q - 1.$$

Thus,  $\mathcal{F} = \mathcal{F}^{[1]}$  means precisely that  $\text{prof } \mathcal{F} \geq 2$  and  $\{x \in X; \text{prof } \mathcal{F}_x = 2\}$  is a discrete set; *a fortiori*  $\mathcal{F} = \mathcal{F}^{[1]}$  whenever  $\text{prof } \mathcal{F} \geq 3$ .

From ([5], pp. 356 and 357), we quote the following two propositions:

**Proposition 2** *Let  $X$  a Stein space and  $K \subset X$  a Stein compact set. Let  $\mathcal{F}$  be a coherent sheaf on  $X$  with  $\text{prof } \mathcal{F} \geq 2$ . Then for every coherent sheaf  $\mathcal{G}$  on  $X$  the natural map*

$$\text{Hom}_{\mathcal{O}}(\mathcal{G}, \mathcal{F}) \rightarrow \text{Hom}_{\mathcal{O}}(\mathcal{G}|_{X \setminus K}, \mathcal{F}|_{X \setminus K})$$

*is bijective.*

**Remark 3** The proposition fails if  $X$  is 1-convex and  $K \subset X$  is holomorphically convex; see Remark 1.

**Proposition 3** *Let  $X$  be a complex space and  $\Lambda \subset X$  a discrete subset. Let  $\mathcal{E}$  be a coherent sheaf on  $X \setminus \Lambda$  with  $\text{prof } \mathcal{E} \geq 2$ . If  $\mathcal{E}$  admits a coherent extension to  $X$ , then  $\iota_*(\mathcal{E})$  is coherent on  $X$  and  $\text{prof}_{\iota_*}(\mathcal{E}) \geq 2$ .*

(Here  $\iota : X \setminus \Lambda \rightarrow X$  is the inclusion map.)

### 3 Holomorphic convexity in 1-convex spaces

Here, we recall that a complex space  $X$  is said to be 1-convex if it satisfies one of the following four equivalent conditions, see [9]:

- There exists a continuous function  $\varphi : X \rightarrow \mathbb{R}$  such that  $\varphi$  is exhaustive, i.e., for every  $c \in \mathbb{R}$  the set  $\{x \in X ; \varphi(x) < c\}$  is relatively compact in  $X$  and  $\varphi$  strictly plurisubharmonic outside a compact subset of  $X$ .
- The space  $X$  is *cohomologically 1-convex*, that is, for every coherent analytic sheaf  $\mathcal{F}$  on  $X$ , the cohomology groups  $H^q(X, \mathcal{F}), q = 1, 2, \dots$ , have finite dimension (as complex vector spaces);
- The space  $X$  is holomorphically convex and admits a maximally compact analytic set  $S$ , called the *exceptional set*.
- The space  $X$  is a *proper modification of a Stein space at a finite number of points*, i.e., there is a Stein space  $Y$ , a proper holomorphic map  $\rho : X \rightarrow Y$  with  $\rho_*(\mathcal{O}_X) \simeq \mathcal{O}_Y$  (in particular  $\rho$  is surjective and has connected fibers) and a finite set  $B \subset Y$  such that  $\rho$  induces a biholomorphism between  $X \setminus \rho^{-1}(B)$  and  $Y \setminus B$ .

The map  $\rho$  is called the *Remmert's reduction* of  $X$ . The exceptional set of  $X$  is  $S = \rho^{-1}(B)$ .

A compact set  $K \subset X$  is “saturated” with respect to  $\rho$ , which means that  $K = \rho^{-1}(\rho(K))$ , if, and only if, every irreducible component of  $S$  meeting  $K$  lies entirely in  $K$ . For instance, any holomorphically convex compact set in  $X$  is saturated.

Notice that Stein spaces are considered as 1-convex with empty exceptional set.

The following result, which in particular shows that 1-convexity is stable under normalization, can be immediately deduced from [16].

**Proposition 4** *Let  $\pi : X \rightarrow Y$  be a holomorphic map of complex spaces that is finite and surjective. Then  $X$  is 1-convex if, and only if,  $Y$  is 1-convex.*

**Lemma 1** *Let  $K$  be a holomorphically convex compact set in a 1-convex space  $X$  with exceptional set  $S$ . Then there is a  $C^\infty$ -smooth, proper function  $\varphi : X \rightarrow [0, \infty)$  such that  $K = \{\varphi = 0\}$  and  $\varphi$  is strictly plurisubharmonic on  $X \setminus (K \cup S)$ .*

*Proof* Observe that  $\varphi$  as above results immediately plurisubharmonic on  $X$ .

Let  $\rho : X \rightarrow Y$  be the Remmert's reduction. Then  $L = \rho(K)$  is holomorphically convex in  $Y$  and  $\rho(S)$  is a finite set. Therefore, it will be enough to produce  $\varphi$  when  $X$  is Stein and  $S = \emptyset$ . To this purpose, we let  $\psi : X \rightarrow [0, \infty)$  be a  $C^\infty$ -smooth strictly plurisubharmonic proper function. Let  $r > \max_K \psi$ . Since  $K$  is holomorphically convex, there is a sequence of holomorphic functions  $\{f_n\}_n$  on  $X$  such that  $|f_n| \leq 1$  on  $K$  for all  $n$  and for any point  $x_0 \in X \setminus K$  there is an index  $n_0$  with  $|f_{n_0}(x_0)| \geq \sqrt{1+r}$ . Select  $\rho : [0, \infty) \rightarrow [0, \infty)$  be smooth of class  $C^\infty$  and convex such that  $\{\rho = 0\} = [0, 1+r]$  and  $\rho$  be strictly increasing on  $[1+r, \infty)$ . Then, we define  $\varphi : X \rightarrow [0, \infty)$  by setting

$$\varphi(x) := \sum \epsilon_n \rho(|f_n(x)|^2 + \psi(x)), \quad x \in X,$$

where  $\{\epsilon_n\}_n$  is a sequence of positive numbers that decreases fast enough to zero. This  $\varphi$  has the required properties. □

**Lemma 2** *Let  $X$  be a 1-convex space and  $K \subset X$  a compact set. Let  $A \subset X$  be a compact analytic set that does not meet  $K$ . Then  $K$  is holomorphically convex if, and only if,  $K \cup A$  is holomorphically convex.*

*Proof* First notice the following fact. Let  $Y$  be a Stein space,  $L \subset X$  a compact set and  $F$  a finite set of points in  $Y \setminus K$ . Then  $L$  and  $L \cup F$  are simultaneously holomorphically convex or not. (If  $L$  is holomorphically convex, and  $y_0 \in Y \setminus (L \cup F)$ , then there is  $f$  and  $g$  holomorphic functions on  $Y$  such that  $|f(y_0)| > \|f\|_L$  and  $\{g = 0\} = F$ . It follows that  $F := f^N g$  for  $N$  positive integer large enough is such that  $|F(y_0)| > \|F\|_{L \cup F}$ . For the other implication, we choose  $\psi : Y \rightarrow [0, \infty)$  that is proper, smooth of class  $C^\infty$ , plurisubharmonic on  $Y$  and strictly plurisubharmonic on  $Y \setminus (L \cup F)$  and such that  $\{\psi = 0\} = L \cup F$ . It follows that the union of the connected components of  $\{\psi < \epsilon\}$  ( $\epsilon > 0$ ) meeting  $K$  form a Runge neighborhoods system for  $L$  so that  $L$  follows holomorphically convex.)

Now let  $\rho : X \rightarrow Y$  be the Remmert’s reduction and  $S$  the exceptional set of  $X$ . Since a compact set  $T \subset X$  is holomorphically convex in  $X$  if, and only if,  $T$  is saturated and  $\rho(T)$  is holomorphically convex in  $Y$ , the proof of the lemma follows easily.  $\square$

**Proposition 5** *Let  $X$  be a Stein space,  $K \subset X$  a holomorphically convex set and  $\mathcal{F}$  a coherent analytic sheaf on  $X \setminus K$ .*

- (a) *If  $\text{prof } \mathcal{F} \geq 3$ , then  $H^1(X \setminus K, \mathcal{F})$  has finite dimension.*
- (b) *Let  $L \subset X$  be another holomorphically convex compact set,  $K \subset L$ . If  $\text{prof } \mathcal{F} \geq 2$ , then the restriction map  $\Gamma(X \setminus K, \mathcal{F}) \rightarrow \Gamma(X \setminus L, \mathcal{F})$  is bijective.*

*Proof* This is only a reformulation of some results from [2]. First select  $\varphi : X \rightarrow [0, \infty)$  that is proper, smooth of class  $C^\infty$ , plurisubharmonic on  $X$  and strictly plurisubharmonic on  $Y \setminus K$  and such that  $K = \{\varphi = 0\}$ . Let  $0 < a < b$  and  $D := \{a < \varphi < b\}$ , which is relatively compact in  $X \setminus K$ . The bumping technique gives that the restriction map  $H^1(X \setminus K, \mathcal{F}) \rightarrow H^1(D, \mathcal{F})$  is bijective. So one concludes by using the classical finiteness lemma.

To verify the second statement, we deal first with the surjectivity. Let  $\sigma \in \Gamma(X \setminus L, \mathcal{F})$ . Let also  $\psi : Y \rightarrow [0, \infty)$  be proper, smooth of class  $C^\infty$ , plurisubharmonic on  $Y$  and strictly plurisubharmonic on  $Y \setminus L$  and such that  $L = \{\psi = 0\}$ . Then for  $c > 0$  large enough, the restriction of  $\sigma$  to  $\{c < \varphi\}$  extends to  $\hat{\sigma} \in \Gamma(X \setminus K, \mathcal{F})$ . Then  $\hat{\sigma}|_{X \setminus L} - \sigma$  vanishes on the set  $\{c_1 < \psi\}$  for  $c_1 > 0$  sufficiently large such that the set  $\{c_1 < \psi\}$  is contained in  $\{c < \varphi\}$ . Then it vanishes on  $X \setminus L$ . The injectivity of the said restriction is similar so it is omitted.  $\square$

For the sake of completeness we mention (cf. Proposition 2)

**Proposition 6** *Let  $\pi : X \rightarrow Y$  be a finite holomorphic surjection map between 1-convex spaces  $X$  and  $Y$ . Let  $K \subset Y$  be a compact set. Then  $\pi^{-1}(K)$  is holomorphically convex if, and only if,  $K$  is holomorphically convex.*

Toward the proof we prepare:

**Lemma 3** *Let  $Z$  be a 1-convex space and  $K \subset Z$  a compact set. Then  $K$  is holomorphically convex if, and only if, for any coherent analytic sheaf  $\mathcal{F}$  on  $Z$ , the restriction map*

$$\Gamma(Z, \mathcal{F}) \rightarrow \Gamma(K, \mathcal{F})$$

*has dense image.*<sup>1</sup>

<sup>1</sup> For a compact set  $K$  in a complex space  $Z$  and  $\mathcal{F}$  a coherent analytic sheaf on  $Z$ , then  $\Gamma(K, \mathcal{F})$  is the inductive limit of  $\Gamma(U_\nu, \mathcal{F})$  where  $(U_\nu)$  forms a neighborhood system of open sets of  $K$  and has a structure of  $LF$  topological vector space that is separated as the continuous map

$$\Gamma(X, \mathcal{F}) \rightarrow \prod_{x \in K} \prod_{v \geq 0} \mathcal{F}_x / m_x^v \mathcal{F}_x$$

is injective according to Krull’s theorem.

As a matter of fact, it is enough to take  $\mathcal{F}$  only coherent ideal subsheaves of  $\mathcal{O}_X$  (or more simply ideal sheaves  $\mathcal{I}_a, a \in X$ ).

*Proof* Indeed, for the “if” part, we consider  $\mathcal{F}$  the ideal sheaf defined by some point  $x_0$  outside  $K$ . For the “only if”, let  $\rho : X \rightarrow Y$  be the Remmert’s reduction. Since  $\rho(K)$  is holomorphically convex in  $Y$ , thanks to Grauert’s coherence theorem  $\rho_*(\mathcal{F})$  is coherent on  $Y$  and since  $\Gamma(Y, \pi_*\mathcal{F}) = \Gamma(X, \mathcal{F})$  and  $\Gamma(\rho(K), \pi_*\mathcal{F}) = \Gamma(K, \mathcal{F})$  the lemma results easily. □

**Lemma 4** *Let  $\pi : X \rightarrow Y$  be a finite holomorphic surjection map between normal 1-convex spaces  $X$  and  $Y$ . Let  $K \subset Y$  be a compact set. Then the holomorphically convex hull of  $\pi^{-1}(K)$  equals  $\pi^{-1}(\widehat{K})$ .*

*Proof* A sketch of the proof is as follows. First there is no loss in generality to assume that  $X$  and  $Y$  are connected so that there is a nowhere dense analytic set  $B \subset Y$  such that  $A := \pi^{-1}(B)$  is nowhere dense in  $X$  and  $\pi$  induces an holomorphic covering map between  $X \setminus A$  and  $Y \setminus B$ , say with  $n$  sheets. Also, we may take  $K = \overline{U}$ , where  $U \subset Y$  is open so that the closure of  $K \setminus B$  equals  $K$  and, consequently, for any holomorphic function  $g$  on  $Y, \sup_K |g| = \sup_{K \setminus B} |g|$ .

Now, any holomorphic function  $f$  on  $X$  satisfies a polynomial equation of the form

$$f^n + \sum_{\nu=1}^n (a_\nu \circ \pi) f^{n-\nu} = 0,$$

where  $a_1, \dots, a_n$  are holomorphic on  $Y$ . In fact, on  $Y \setminus B$ , one has:

$$a_\nu(y) = \sum_{1 \leq i_1 < \dots < i_\nu \leq n} f(x_{i_1}) \cdots f(x_{i_\nu}),$$

where  $\pi^{-1}(y) = \{x_1, \dots, x_n\}$ . Thus, for all  $x \in X$ , if  $y = \pi(x)$ , then

$$|f(x)| \leq \max(1, |a_1(y)| + \dots + |a_n(y)|).$$

Then, we conclude in a standard manner. □

*Proof of Proposition 6* Let  $n = \dim(X) = \dim(Y)$ . By Lemma 4 and straightforward arguments, we reduce ourselves to show that holomorphic convexity of  $\pi^{-1}(K)$  implies that of  $K$  when  $\pi : X \rightarrow Y$  is the normalization map of  $Y$  and assuming the proposition holds true for complex spaces of dimension  $\leq n - 1$ .

Let  $S$  be the exceptional set of  $Y$ . Then  $\pi^{-1}(S)$  is the exceptional set of  $X$ . Thanks to Lemma 6, we may assume that  $S$  lies in  $K$ . Now, we follow the technique of Narasimhan for the Stein setting [8]. Let  $\mathcal{I} \subset \mathcal{O}_Y$  be a coherent ideal sheaf. We want to check that  $\Gamma(Y, \mathcal{I}) \rightarrow \Gamma(K, \mathcal{I})$  has dense image.

Let  $\mathcal{A}$  be the subsheaf of  $\mathcal{O}_Y$  given as the sheaf of universal denominators of  $\pi_*(\mathcal{O}_X)$ , which is the coherent sheaf of weakly holomorphic functions on  $Y$  in  $\mathcal{O}_Y$ . Thus,  $\mathcal{A} \cdot \pi_*(\mathcal{O}_X) \subset \mathcal{O}_Y$ . Let  $\mathcal{B} = \pi_*(\tilde{\mathcal{B}})$ , where  $\tilde{\mathcal{B}} = \pi^*(\mathcal{A} \cdot \mathcal{I}) \cdot \mathcal{O}_X$ . Thus,  $\mathcal{B}$  is a coherent subsheaf of  $\mathcal{I}$  and  $\mathcal{I}/\mathcal{B}$  has the support of dimension  $\leq n - 1$ . Furthermore,  $H^1(Y, \mathcal{B}) \rightarrow H^1(K, \mathcal{B})$  is an isomorphism (because  $\pi$  is finite and  $H^1(Y, \mathcal{B}) = H^1(X, \tilde{\mathcal{B}})$ , the last being isomorphic due to 1-convexity

of  $X$  to  $H^1(\pi^{-1}(K), \tilde{\mathcal{B}}) = H^1(K, \mathcal{B})$ ). From the commutative diagram with exact rows,

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \Gamma(Y, \mathcal{B}) & \longrightarrow & \Gamma(Y, \mathcal{I}) & \longrightarrow & \Gamma(Y, \mathcal{I}/\mathcal{B}) & \longrightarrow & H^1(Y, \mathcal{B}) \\
 & & \downarrow u & & \downarrow v & & \downarrow w & & \downarrow \theta \\
 0 & \longrightarrow & \Gamma(K, \mathcal{B}) & \longrightarrow & \Gamma(K, \mathcal{I}) & \longrightarrow & \Gamma(K, \mathcal{I}/\mathcal{B}) & \longrightarrow & H^1(K, \mathcal{B})
 \end{array}$$

since  $u$  has dense image (because  $\Gamma(Y, \mathcal{B}) = \Gamma(X, \tilde{\mathcal{B}})$ ,  $\Gamma(K, \mathcal{B}) = \Gamma(\pi^{-1}(K), \tilde{\mathcal{B}})$  and  $\pi^{-1}(K)$  is holomorphically convex in  $X$ ),  $w$  has dense image by the induction hypothesis, we conclude easily by diagram chasing the density of  $v$ , so that  $K$  results holomorphically convex in  $Y$  from Lemma 3, whence the proposition.  $\square$

### 4 Decoding separatedness

Below, we give a key fact encapsulated in the separation assumption, namely:

**Proposition 7** *Let  $X$  be a Stein space and  $K \subset X$  a holomorphically convex compact set. Let  $\mathcal{F}$  be a coherent sheaf on  $X \setminus K$  such that  $H^1(X \setminus K, \mathcal{F})$  is separated.*

*Then  $\mathcal{F}$  satisfies Theorem A, that is, for every  $x \in X \setminus K$ , the sections of  $\Gamma(X \setminus K, \mathcal{F})$  generates  $\mathcal{F}_x$  over  $\mathcal{O}_{X,x}$ .*

For the proof of this, we first prepare a few lemmata. From [6], we deduce in a straightforward way:

**Lemma 5** *Let  $Z$  be complex space that is exhausted by an increasing sequence of open sets  $\{Z_n\}_n$  and let  $\mathcal{F}$  be a coherent analytic sheaf on  $Z$ . Suppose that for some integer  $q \geq 1$  the following conditions are satisfied:*

- (a)  $H^q(Z, \mathcal{F})$  is separated.
- (b) Each restriction  $H^q(Z_{n+1}, \mathcal{F}) \longrightarrow H^q(Z_n, \mathcal{F})$  is surjective and induces a bijection between the associated separated spaces.

*Then, for each  $n = 1, 2, \dots$ , the topology on  $H^q(Z_n, \mathcal{F})$  is separated.*

The following statement is easy and is left to the reader.

**Lemma 6** *Let  $Z$  be a complex space and  $\mathcal{F}$  a coherent analytic sheaf on  $Z$  such that for some integer  $q \geq 1$  the topology on  $H^q(Z, \mathcal{F})$  is separated. Let  $\mathcal{I}$  be a coherent ideal subsheaf of  $\mathcal{O}_Z$  such that  $\text{Supp}(\mathcal{O}_Z/\mathcal{I})$  is a finite set. Then  $H^q(Z, \mathcal{I}\mathcal{F})$  is separated.*

**Lemma 7** *Let  $Z$  be a complex space which is the union of two open sets  $Y$  and  $U$  such that the pair  $(Y \cap U, U)$  is Runge.<sup>2</sup> Then for each coherent analytic sheaf  $\mathcal{F}$  on  $Z$  the restriction  $H^1(Z, \mathcal{F}) \longrightarrow H^1(Y, \mathcal{F})$  induces a bijection between the associated separated parts. Besides, if  $H^1(Z, \mathcal{F})$  is separated, then the mapping  $H^0(Z, \mathcal{F}) \longrightarrow H^0(Y, \mathcal{F})$  has dense image and  $H^1(Z, \mathcal{F}) \simeq H^1(Y, \mathcal{F})$ .*

*Proof* Consider the exact portion of the Mayer-Vietoris sequence with coefficients in  $\mathcal{F}$  (which we omit for practical purposes) associated to  $Z = U \cup Y$ ,

$$H^0(Z) \longrightarrow H^0(Y) \oplus H^0(U) \longrightarrow H^0(Y \cap U) \longrightarrow H^1(Z) \longrightarrow H^1(Y) \longrightarrow 0,$$

<sup>2</sup> This means that  $U$  and  $Y \cap U$  are Stein and  $\mathcal{O}(U) \longrightarrow \mathcal{O}(Y \cap U)$  has dense range.

where we used Theorem B for vanishing of cohomology of coherent sheaves on Stein spaces. It is known that in the above diagram the canonical maps are continuous for the natural topologies.

Let  $\mathcal{W} = \{W_m\}_{m=0,1,\dots}$  be a Stein open covering of  $Z$  with  $W_0 = U$  and  $W_m \subset Y$  for  $m > 0$ . Let  $\mathcal{V} = \{V_m\}_m$ , where  $V_m := U_m \cap Y$  for  $m \geq 0$ . Clearly,  $\mathcal{V}$  is a Stein covering of  $Y$ .

Then, since  $W_k \cap W_m = V_k \cap V_m$  for  $k \neq m$  and  $\mathcal{F}(U) \rightarrow \mathcal{F}(U \cap Y)$  has dense image, it results that  $C^i(\mathcal{W}, \mathcal{F}) = C^i(\mathcal{V}, \mathcal{F})$  for  $i > 0$  and the canonical map  $C^0(\mathcal{W}, \mathcal{F}) \rightarrow C^0(\mathcal{V}, \mathcal{F})$  has dense image. The lemma follows readily using the Čech definition of cohomology with alternate cycles.

Now, the additional statement results in the following way. Because the restriction map  $H^0(U, \mathcal{F}) \rightarrow H^0(Y \cap U, \mathcal{F})$  has dense image, it follows that the natural map  $u : H^0(Y, \mathcal{F}) \oplus H^0(U, \mathcal{F}) \rightarrow H^0(Y \cap U, \mathcal{F})$  has dense image, too. But  $\text{Im } u$  is the kernel of the continuous map  $H^0(Y \cap U, \mathcal{F}) \rightarrow H^1(Z, \mathcal{F})$  which is closed since  $\{0\}$  is closed in  $H^1(Z, \mathcal{F})$ . Therefore, the map  $u$  is surjective and the proof finishes easily by diagram chasing from the following simple fact.

Let

$$0 \longrightarrow E' \xrightarrow{u} E_1 \oplus E_2 \xrightarrow{v} E'' \longrightarrow 0$$

be an exact sequence of Fréchet spaces where  $u = (u_1, u_2)$ ,  $u_1 : E' \rightarrow E_1$ ,  $u_2 : E' \rightarrow E_2$ , and  $v = v_1 - v_2$  where  $v_1 : E_1 \rightarrow E''$ ,  $v_2 : E_2 \rightarrow E''$  are all continuous linear mappings. Then  $v_2$  has dense range if, and only if,  $u_1$  has dense range, too. □

Putting these together, we obtain in a standard way a “bumping lemma”:

**Proposition 8** *Let  $Z$  be a complex space and  $\mathcal{F}$  a coherent sheaf on  $Z$ . Assume that  $Z$  is exhausted by an increasing sequence  $\{Z_n\}_n$  of open sets such that  $Z_{n+1} = Z_n \cup U_{n+1}$  and each pair  $(U_{n+1}, Z_n \cap U_{n+1})$  is Runge. Then the following statements hold true:*

- (a) *Each restriction  $H^1(Z, \mathcal{F}) \rightarrow H^1(Z_n, \mathcal{F})$  induces a bijection between their separated spaces.*
- (b) *If  $H^1(Z, \mathcal{F})$  is separated, then  $H^1(Z_n, \mathcal{F})$  is separated for all  $n$  and each restriction  $H^1(Z, \mathcal{F}) \rightarrow H^1(Z_n, \mathcal{F})$  is bijective. Moreover, each mapping  $H^0(Z, \mathcal{F}) \rightarrow H^0(Z_n, \mathcal{F})$  has dense image.*

*Proof of Proposition 7* The assertion results immediately from Nakayama’s lemma and the following more general fact that will be proved subsequently:

- (\*) *For any coherent ideal sheaf  $\mathcal{I} \subset \mathcal{O}_X$  such that  $\Lambda := \text{Supp}(\mathcal{O}_X/\mathcal{I})$  is discrete and does not meet  $K$ , the restriction map*

$$H^0(X \setminus K, \mathcal{F}) \longrightarrow H^0(X \setminus K, \mathcal{F}/\mathcal{I}\mathcal{F})$$

*is surjective.*

(For instance, one may take  $\mathcal{I}$  be defined by a suitable chosen sequence  $\{x_k\}$  of  $X$ .)

To start the proof, let  $\varphi$  be as in Lemma 1 (with  $S = \emptyset$ ) and set  $c_0 := \inf_{\Lambda} \varphi > 0$ . Let  $\{X_n\}$  be an exhaustion of  $X$  by increasing open subsets obtained by the bumping method in [2] such that  $X_0 = \{\varphi < c\}$  with  $c \in (0, c_0)$ . For the sake of simplicity, let us adopt the following *ad-hoc* notation: For a subset  $T$  of  $X$  containing  $K$ , we denote by  $T'$  the set  $T \setminus K$ .

These  $\{X'_n\}$  fulfill the hypothesis of Proposition 8 corresponding to  $X'$ . Besides, it is easily seen that, for all  $n$ ,  $\Lambda \cap X_n$  is a finite set of points (possibly the empty set).



By Proposition 8, for any  $n$ ,  $H^1(X'_n, \mathcal{F})$  is separated, the restriction  $H^1(X'_{n+1}, \mathcal{F}) \rightarrow H^1(X'_n, \mathcal{F})$  is bijective and  $H^0(X'_{n+1}, \mathcal{F}) \rightarrow H^0(X'_n, \mathcal{F})$  have dense images. Therefore,

$$H^1(X', \mathcal{F}) \rightarrow H^1(X'_0, \mathcal{F})$$

is bijective.

From Lemma 6 all cohomological vector spaces  $H^1(X'_n, \mathcal{IF})$  are separated. Thanks to Lemma 7, the restrictions  $H^1(X'_{n+1}, \mathcal{IF}) \rightarrow H^1(X'_n, \mathcal{IF})$  are bijective and  $H^0(X'_{n+1}, \mathcal{IF}) \rightarrow H^0(X'_n, \mathcal{IF})$  have dense images. Thus,

$$H^1(X', \mathcal{IF}) \rightarrow H^1(X'_0, \mathcal{IF})$$

is also bijective. Consider now the following canonical commutative diagram

$$\begin{array}{ccccccc}
 H^0(X', \mathcal{F}) & \longrightarrow & H^0(X', \mathcal{F}/\mathcal{IF}) & \longrightarrow & H^1(X', \mathcal{IF}) & \xrightarrow{t} & H^1(X', \mathcal{F}) \\
 & & & & \downarrow u & & \downarrow v \\
 & & & & H^1(X'_0, \mathcal{IF}) & \xrightarrow{w} & H^1(X'_0, \mathcal{F})
 \end{array}$$

where the mappings  $u$  and  $v$  are bijective by the above discussion. Because  $w$  is obviously bijective,  $t$  follows bijective, too. Hence, the restriction  $H^0(X \setminus K, \mathcal{F}) \rightarrow H^0(X \setminus K, \mathcal{F}/\mathcal{IF})$  is surjective, whence the proof of the proposition.  $\square$

### 5 Complements and some examples

Let  $X$  be a complex space. Let  $A \subset X$  be a closed set. We say that  $A$  is *pseudoconcave* at a point  $x_0 \in A$  if either  $x_0$  is an interior point of  $A$  or else  $x_0$  is a boundary point of  $A$  and there is a non empty open neighborhood  $U$  of  $x_0$  such that  $U \setminus A$  is Stein. Therefore, the set  $A^o$  of pseudoconcave points of  $A$  is open in  $A$ .

For instance, the compact set  $K := \partial\Delta \times \overline{\Delta}$  in  $\mathbb{C}^* \times \mathbb{C}$ , which is holomorphically convex in  $\mathbb{C}^* \times \mathbb{C}$ , is pseudoconcave at every point of  $\partial\Delta \times \Delta$ . (Here,  $\Delta$  is the open unit disk in  $\mathbb{C}$ .)

**Lemma 8** *Let  $A$  be a complex hypersurface in a complex space  $X$ . Then  $A^o$  is dense in  $A$ .*

*Proof* The question being local, there is no loss in generality to assume that  $X$  is Stein. Thus, there is a holomorphic function  $f$  on  $X$  that vanishes on  $A$  and its zero set  $Z_f := \{f = 0\}$  is a hypersurface in  $X$ . Therefore,  $A$  is a union of some irreducible components of  $Z_f$ ; let  $B$  be the union of the remaining ones. Thus,  $B$  is closed in  $X$  and  $A \setminus B$  is dense in  $A$ .

Now, for any point  $x_0 \in A \setminus B$  and every Stein open neighborhood  $V$  of  $x_0$  such that  $V \cap B = \emptyset$ ,  $V \setminus A$  is Stein as it equals  $V \setminus \{f = 0\}$ , whence  $A \setminus B \subset A^o$ . The proof follows.  $\square$

**Proposition 9** *Let  $X$  be a complex space and  $A \subset X$  a closed set. Let  $\iota : X \setminus A \hookrightarrow X$  be the inclusion map. Then  $\iota_*(\mathcal{O}_{X \setminus A})$  is not of finite type at any pseudoconcave boundary point of  $A$ .*

*Proof* Since “pseudoconcavity” is an open property as well as “being of finite type”, assume, in order to reach a contradiction that there is a point  $a \in \partial A$  such that  $A$  is pseudoconcave at  $a$  and  $\mathcal{H} := \iota_*(\mathcal{O}_{X \setminus A})$  is of finite type at  $a$ . Thus, there is a Stein open neighborhood  $W$  of

$a$  such that  $W \setminus A$  is Stein and sections  $\sigma_1, \dots, \sigma_k \in \Gamma(W, \mathcal{H})$  whose germs at  $a$  generates  $\mathcal{H}_a$ . Let  $\{x_\nu\}_\nu$  be a sequence of points in  $W \setminus A$  converging to  $a$ . Because  $W \setminus A$  is Stein we may choose  $\sigma \in \mathcal{O}(W \setminus A)$  such that, for all  $\nu$  one has:

$$|\sigma(x_\nu)| > \nu(1 + \max(|\sigma_1(x_\nu)|, \dots, |\sigma_k(x_\nu)|)).$$

On the other hand, on a suitable open neighborhood  $V$  of  $a$  in  $W$ , there are  $f_1, \dots, f_k \in \mathcal{O}(V)$ , which might be assumed bounded in modulus, say by some  $C > 0$ , such that  $\sigma = f_1\sigma_1 + \dots + f_k\sigma_k$  on  $V \setminus A$ . Therefore, for  $\nu$  sufficiently large one has  $1 \leq kC/\nu$  which is absurd! □

**Corollary 3** *Let  $X$  be a complex space and  $A$  a hypersurface in  $X$ . Let  $\iota : X \setminus A \hookrightarrow X$  be the inclusion map. Then  $\iota_*(\mathcal{O}_{X \setminus A})$  is not of finite type at any point of  $A$ , a fortiori,  $\iota_*(\mathcal{O}_{X \setminus A})$  is not coherent.*

*Proof* This follows immediately from the above lemma and proposition. □

**Proposition 10** *Let  $X$  be a Stein space and  $K \subset X$  a holomorphically convex compact set. Let  $A \subset X \setminus K$  be a discrete set and  $\mathcal{I}_A$  its ideal sheaf, which is coherent on  $X \setminus K$ . Then  $H^1(X \setminus K, \mathcal{I}_A)$  is separated, if, and only if,  $A$  has no accumulation point in  $K$ .*

*Proof* Let  $\varphi : X \rightarrow [0, \infty)$  be smooth, proper such that  $\{\varphi = 0\} = K$  and  $\varphi$  is strictly plurisubharmonic on  $X \setminus K$ . It is straightforward to find a sequence  $\{\epsilon_\nu\}_\nu$  that strictly decreases to 0 such that  $A \cap \{\varphi = \epsilon_\nu\} = \emptyset$ . Thus, setting  $X_\nu := \{\varphi > \epsilon_\nu\}$  it follows that each  $H^1(X_\nu, \mathcal{I}_A)$  is separated. Since  $\{X_\nu\}_\nu$  increases to  $X$ , granting [6],  $H^1(X, \mathcal{I}_A)$  is separated if, and only if, the system  $\{H^0(X_\nu, \mathcal{I}_A)\}_\nu$  satisfies a condition of Mittag–Leffler type and this is readily shown to be equivalent to the fact that  $A$  has no accumulation point in  $K$ . □

Let, we also note the following statement due to Markoe.

**Proposition 11** *Let  $X$  be a complex space and  $\mathcal{F}$  a coherent analytic sheaf on  $X$  such that  $H^1(X, \mathcal{F})$  is separated and  $H^0(X, \mathcal{F})$  has finite dimension. Then, for any coherent subsheaf  $\mathcal{F}'$  of  $\mathcal{F}$ ,  $H^1(X, \mathcal{F}')$  is separated.*

The proof results using a Stein open covering of  $X$  and the simple functional analysis fact saying that if  $u : E \rightarrow F$  is a continuous surjective morphism of Fréchet spaces, then, for every closed subspace  $E' \subset E$ ,  $u(E')$  is closed in  $F$  if, and only if  $E' + \text{Ker } u$  is closed in  $E$ .

For instance, this can be applied for  $X = Y \setminus K$ , where  $Y$  is a compact (connected) normal complex space of dimension  $\geq 2$  and  $K = \{\psi \leq 0\}$ , where  $\psi : W \rightarrow \mathbb{R}$  ( $W$  an open neighborhood of  $K$ ) is continuous on  $W$  and strictly plurisubharmonic on  $W \setminus K$ . Then, for any coherent subsheaf  $\mathcal{I}$  of  $\mathcal{O}_X$ ,  $H^1(X, \mathcal{I})$  is separated. In particular, for any coherent subsheaf  $\mathcal{I}$  of  $\mathcal{O}_M$ , where  $M$  is the complex manifold of regular points of  $Y$ ,  $H^1(M, \mathcal{I})$  is separated.

**Corollary 4** *Let  $A$  be a complex submanifold of  $\mathbb{P}^n$  of pure codimension  $\geq 2$ . Let  $\mathcal{F}$  be a locally free sheaf on  $\mathbb{P}^n \setminus A$  of finite rank. Then, for every coherent subsheaf  $\mathcal{F}' \subset \mathcal{F}$ ,  $H^1(\mathbb{P}^n \setminus A, \mathcal{F}')$  is separated.*

**Corollary 5** *Let  $X$  be a pseudoconcave space and  $\mathcal{F}$  a torsion free-coherent sheaf on  $X$  such that  $H^1(X, \mathcal{F}')$  is separated. Then, for every coherent subsheaf  $\mathcal{F}' \subset \mathcal{F}$ ,  $\mathcal{H}^\infty(X, \mathcal{F}')$  is separated.*

*Note.* Recall that a complex space  $X$  is said to be *pseudoconcave* in the sense of Andreotti [1] if there is a relatively compact open subset  $Y$  of  $X$  such that every point  $x_0 \in \partial Y$  admits a neighborhood system of open sets  $\{U_\nu\}_\nu$  such that  $x_0$  is an interior point of the holomorphically convex hull of  $U_\nu \cap Y$  with respect to  $\mathcal{O}(U_\nu)$ . It is shown in [1] that if  $X$  is pseudoconcave and  $\mathcal{F}$  a torsion-free coherent sheaf on  $X$ , then  $H^0(X, \mathcal{F})$  has finite dimension.

### 6 The proofs of theorem 1 and proposition 1

*Proof of Theorem 1, the Stein case* Let  $K$  be a holomorphically convex compact set in a Stein space  $X$  and  $\mathcal{F}$  a coherent analytic sheaf on  $X \setminus K$  such that  $\text{prof } \mathcal{F} \geq 2$  and  $H^1(X \setminus K, \mathcal{F})$  is separated. Since the extension is a question around  $K$ , there is no loss in generality to consider  $X$  embedded as a closed analytic subset of some complex number space  $\mathbb{C}^n$  ( $n \geq 3$ ). Let  $\iota : X \hookrightarrow \mathbb{C}^n$  be the analytic embedding. Clearly,  $\iota_*(\mathcal{F})$  is coherent on  $\mathbb{C}^n$ ,  $\text{prof } \iota_*\mathcal{F} = \text{prof } \mathcal{F}$ ,  $K$  stays holomorphically convex in  $\mathbb{C}^n$ , and  $H^\bullet(\mathbb{C}^n \setminus K, \iota_*\mathcal{F}) = H^\bullet(X \setminus K, \mathcal{F})$ . Therefore, there is no loss in generality to take  $X = \mathbb{C}^n$  with  $n \geq 3$ . From Proposition 7,  $\mathcal{F}$  satisfies Theorem A on  $X \setminus K$ .

Let  $\varphi : X \rightarrow [0, \infty)$  proper, smooth, plurisubharmonic on  $X$  and strictly plurisubharmonic on  $X \setminus K$  such that  $\{\varphi = 0\} = K$ . Let  $L = \{\varphi \leq \epsilon\}$  ( $\epsilon > 0$ ) be a compact neighborhood of  $K$  and  $c > 0$  such that  $L \subset D := \{\varphi < c\}$ . Then  $D \setminus L$  is relatively compact in  $X \setminus K$  and there are sections  $s_1, \dots, s_q \in \Gamma(X \setminus K, \mathcal{F})$  that generates  $\mathcal{F}$  on  $D \setminus L$ ; in other words, we have a morphisms of sheaves  $\mathcal{O}_X^q \rightarrow \mathcal{F}$  that is surjective on  $D \setminus L$ . Let  $\mathcal{G}$  be the kernel of the above  $\mathcal{O}_{D \setminus L}$ -morphism. One has  $\text{prof } (\mathcal{G}) \geq 3$ . (For the exact sequence,  $0 \rightarrow \mathcal{G} \rightarrow \mathcal{O}^q \rightarrow \mathcal{F} \rightarrow 0$  implies that for every  $x \in D \setminus L$  either  $\mathcal{G}_x$  is free so that  $\text{prof } \mathcal{G}_x = n$ , or  $\text{prof } \mathcal{G}_x = 1 + \text{prof } \mathcal{F}_x$ . In any case, we deduce  $\text{prof } \mathcal{G} \geq 3$ .)

Because  $H^1(D \setminus L, \mathcal{G})$  has finite dimension from Proposition 5, the exact sequence

$$H^0(D \setminus L, \mathcal{O}^q) \rightarrow H^0(D \setminus L, \mathcal{F}) \rightarrow H^1(D \setminus L, \mathcal{G}) \rightarrow H^1(D \setminus L, \mathcal{O}^q)$$

and  $H^1(D \setminus L, \mathcal{O}^q) = 0$  implies that  $H^0(D \setminus L, \mathcal{F})$  regarded as  $H^0(D \setminus L, \mathcal{O})$ -module is of finite type. But since  $H^0(D \setminus K, \mathcal{F}) \simeq H^0(D \setminus L, \mathcal{F})$  and  $H^0(D \setminus K, \mathcal{O}) \simeq H^0(D \setminus L, \mathcal{O})$  there are sections  $\sigma_1, \dots, \sigma_M \in \Gamma(D \setminus K, \mathcal{F})$  which generates  $H^0(D \setminus K, \mathcal{F})$  as an  $H^0(D \setminus K, \mathcal{O})$ -module. Because Theorem A is true for  $\mathcal{F}$  on  $D \setminus K$ , we get a surjective morphism  $\mu : \mathcal{O}^M \rightarrow \mathcal{F}$  on  $D \setminus K$ . For  $\mathcal{K}$ , the kernel of  $\mu$ , we apply again the above discussion (possibly, we shrink  $D$  a little bit, as a matter of fact, one may choose a new  $D$  given as  $\{\varphi < c'\}$  with  $c' < c$  close to  $c$ ). Thus, we obtain an exact sequence

$$\mathcal{O}^N \rightarrow \mathcal{O}^M \rightarrow \mathcal{F} \rightarrow 0$$

on  $D \setminus K$ . The matrix giving the  $\mathcal{O}$ -morphism  $\mathcal{O}^N \rightarrow \mathcal{O}^M$  has as entries holomorphic functions on  $D \setminus K$  so that they extend holomorphically  $D$  and therefore it gives a morphism  $\mathcal{O}^N \rightarrow \mathcal{O}^M$  over  $D$ . Its cokernel is the desired extension  $\mathcal{H}$  to  $D$ . Furthermore, since the set  $\{x \in D; \text{prof } \mathcal{H}_x \leq 1\}$  is analytic in  $D$  and contained in  $K$ , thus compact, it is a finite set, say  $\Lambda$ . Finally, we glue  $\iota_*(\mathcal{H}|_{D \setminus \Lambda})$  with  $\mathcal{F}$  on  $D \setminus K$  and get a coherent extension  $\widehat{\mathcal{F}}$  of  $\mathcal{F}$  with  $\text{prof } \widehat{\mathcal{F}} \geq 2$ . □

*Remark 4* From Proposition 2, it results that there is a unique (up to an isomorphism) coherent extension  $\widehat{\mathcal{F}}$  of  $\mathcal{F}$  with  $\text{prof } \widehat{\mathcal{F}} \geq 2$ . Furthermore, in the same vein, we can show the following:

Let  $X$  a Stein space,  $K \subset X$  a Stein compact set and  $\mathcal{F}$  a coherent sheaf on  $X \setminus K$  with  $\text{prof } \mathcal{F} \geq 2$  such that, for every Stein open neighborhood  $W$  of  $K$ ,  $H^1(W \setminus K, \mathcal{F})$  is separated. Then  $\mathcal{F}$  admits a coherent extension to  $X$ .

*Proof of Theorem 1, the 1-convex case* First, we show the “if” part, which amounts to complement [4] by proving:

**Proposition 12** *Let  $X$  be a 1-convex space and  $K \subset X$  a holomorphically convex compact set. Then for any coherent sheaf  $\mathcal{H}$  on  $X$ , the cohomology groups  $H^i(X \setminus K, \mathcal{H})$ ,  $i \geq 0$ , are separated.*

Indeed, since  $\Gamma(X \setminus K, \mathcal{H})$  is separated, it remains to check the assertion for  $H^i(X \setminus K, \mathcal{H})$  with  $i \geq 1$ . Let  $S$  be the exceptional set of  $X$  and decompose  $S$  as union  $S' \cup S''$  into disjoint analytic sets such that  $S'' \subset K$  and  $S' \cap K = \emptyset$ . From a standard long exact cohomology sequence, we retain the exact portion

$$H^i(X, \mathcal{H}) \longrightarrow H^i(X \setminus K, \mathcal{H}) \longrightarrow H_K^{i+1}(X, \mathcal{H}) \longrightarrow H^{i+1}(X, \mathcal{H}) \tag{‡}$$

in which the extremes are complex vector spaces of finite dimension.

Let  $\rho : X \rightarrow Y$  be the Remmert’s reduction. Then  $L := \rho(K)$  is holomorphically convex in  $Y$ . Consider  $L$  defined by a function  $\psi$  as in Lemma 1 and take  $V = \{\psi < \epsilon\}$  for  $\epsilon > 0$  small enough such that  $V \cap \rho(S') = \emptyset$ . Let  $U := \rho^{-1}(V)$ . Now write the exact sequence as in (‡) this time for  $U$ ; it follows using excision and 1-convexity that the canonical restriction map

$$H^i(X \setminus K, \mathcal{H}) \longrightarrow H^i(U \setminus K, \mathcal{H}),$$

which is linear and continuous, has finite dimensional kernel and cokernel. On the other hand, as  $\rho_*(\mathcal{H})$  is coherent on  $Y$ , thanks to Bănică [4] and the isomorphism  $H^i(U \setminus K, \mathcal{H}) \rightarrow H^i(V \setminus L, \rho_*(\mathcal{H}))$  it follows that  $H^i(X \setminus K, \mathcal{H})$  is Fréchet by using the following simple functional analysis fact:

*Let  $u : E \rightarrow F$  be a continuous linear map between  $QF$ -spaces such that  $\text{Ker } u$  and  $\text{Coker } u$  are of finite dimension. Then  $E$  is separated provided that  $F$  is separated.*

**Lemma 9** *Let  $\pi : X \rightarrow Y$  be a holomorphic map of complex spaces  $X$  and  $Y$  that contracts an analytic subset  $A$  of  $X$  onto a Stein analytic subset  $B$  of  $Y$  (e.g.  $B$  a finite set of points). Let  $\mathcal{F}$  be a coherent analytic sheaf on  $X$ . Then the natural map  $H^1(Y, \pi_*(\mathcal{F})) \rightarrow H^1(X, \mathcal{F})$  is injective. Moreover, if  $H^1(X, \mathcal{F})$  is separated, then  $H^1(Y, \pi_*(\mathcal{F}))$  is separated, too.*

*Proof* Let  $V$  be a Stein open neighborhood of  $B$  and  $W := \pi^{-1}(V)$ . The Mayer–Vietoris sequence induces a commutative diagram with exact rows

$$\begin{array}{ccccccc} H^0(Y \setminus B) \oplus H^0(V) & \longrightarrow & H^0(V \setminus A) & \longrightarrow & H^1(Y) & \longrightarrow & H^1(Y \setminus B) \oplus \{0\} \\ \downarrow u & & \downarrow v & & \downarrow w & & \downarrow \delta \\ H^0(X \setminus A) \oplus H^0(W) & \longrightarrow & H^0(W \setminus A) & \longrightarrow & H^1(X) & \longrightarrow & H^1(X \setminus A) \oplus H^1(W) \end{array}$$

where, the first row has coefficients in  $\pi_*\mathcal{F}$  and the second in  $\mathcal{F}$ . Since  $u$  is surjective and  $v$  and  $\delta$  are injective, the five lemma shows that  $w$  is injective. Finally, as  $w$  is continuous, the additional statements results, too. □

To conclude the proof of Theorem 1 in the 1-convex case, keeping the notations from above, because  $L := \rho(K)$  is holomorphically convex in  $Y$  and granting Lemma 9, using that  $H^1(X \setminus K, \mathcal{F})$  is separated, it follows that  $H^1(Y \setminus L, \rho_*(\mathcal{F}))$  is separated, too. Consider  $L$  defined by a function  $\psi$  as in Lemma 1 and take  $V = \{\psi < \epsilon\}$  for  $\epsilon > 0$  small enough such that  $V \cap \rho(S') = \emptyset$ . It follows now that  $H^1(V \setminus L, \rho_*(\mathcal{F}))$  is separated and  $\text{prof } \rho_*(\mathcal{F}|_{V \setminus L}) \geq 2$  so that there is a coherent extension  $\mathcal{B}$  on  $V$  of  $\rho_*(\mathcal{F}|_{V \setminus L})$ . Then  $\rho^*(\mathcal{B})$  can be glued with  $\mathcal{F}$  on  $\rho^{-1}(V \setminus K)$  to produced the desired coherent extension of  $\mathcal{F}$ .  $\square$

*Proof of Proposition 1* We follow an idea from Bănică and Stănaşilă [5]. Let  $\Sigma := \{x \in X \setminus K ; \text{prof } \mathcal{F}_x = 2\}$ . This set is discrete in  $X \setminus K$  and  $\text{prof } \mathcal{F} \geq 2$  on  $X \setminus K$ . Then one produces in a standard way a sequence  $\{\Omega_\nu\}_\nu$  of Stein open subsets of  $X$  and compact neighborhoods  $K_\nu$  of  $K$  in  $\Omega_\nu$  with the following properties:

- (1)  $\Omega_{\nu+1} \subseteq \Omega_\nu$  and  $\{\Omega\}_\nu$  decreases to  $K$ ,
- (2)  $K_\nu$  is holomorphically convex in  $\Omega_\nu$  and
- (3)  $(\Omega_\nu \setminus K_\nu) \cap \Sigma = \emptyset$ .

It follows that there is a coherent sheaf  $\mathcal{F}_\nu$  on  $X$  such that

$$\mathcal{F}_\nu|_{X \setminus K_\nu} \simeq \mathcal{F}|_{X \setminus K_\nu} \tag{*}$$

and  $\text{prof } \mathcal{F}_\nu \geq 2$ . For each couple  $(i, j)$  and any holomorphically convex compact set  $L$  in  $X$  containing  $\widehat{K}_i \cup \widehat{K}_j$ , we obtain from the above fact an isomorphism

$$\xi_i^{-1}\xi_j : \mathcal{F}_j|_{X \setminus L} \simeq \mathcal{F}_i|_{X \setminus L}$$

which, thanks to Proposition 2, induces an isomorphism

$$\xi_{ij} : \mathcal{F}_j \simeq \mathcal{F}_i.$$

Because the bijections in  $(*)$  are functorial, it is easily shown that  $\xi_{ij}$  does not depend on  $L$  and for each  $i$  and each triple  $(i, j, k)$ , we have the relations  $\xi_{ii} = \text{id}$  and  $\xi_{ij}\xi_{jk}\xi_{ki} = \text{id}$ . We, then, obtain the searched sheaf  $\mathcal{F}$ .  $\square$

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