

H. Lange · E. Sernesi

## On the Hilbert scheme of a Prym variety

*Dedicated to the memory of Fabio Bardelli*

Received: July 8, 2002

Published online: February 11, 2004 – © Springer-Verlag 2004

### Introduction

We work over the field of complex numbers. In this paper we consider the Prym map  $\mathcal{P} : \mathcal{R}_g \rightarrow \mathcal{A}_{g-1}$  from the moduli space of unramified double covers of projective irreducible and non-singular curves of genus  $g \geq 6$  to the moduli space of principally polarized Abelian varieties of dimension  $g - 1$ . If  $\pi : \tilde{C} \rightarrow C$  is such a double cover with  $C$  non-hyperelliptic, we consider the natural embedding  $\tilde{C} \subset P$  (defined up to translation) of  $\tilde{C}$  into the Prym variety  $P$  of  $\pi$  and we study the local structure of the Hilbert scheme  $\text{Hilb}^P$  of  $P$  at the point  $[\tilde{C}]$  (here and through the paper we adopt the notation  $[-]$  for the point of a moduli space or of a Hilbert scheme which parametrizes the object  $-$ ). We show that this structure is related to the local geometry of the Prym map, or more precisely with the validity of the infinitesimal version of Torelli's theorem for Pryms at  $[\pi]$  (see Section 3 for the definitions).

The results we prove are the following.

**Proposition.** *If the infinitesimal Torelli theorem for Pryms holds at  $[\pi]$  then  $\text{Hilb}^P$  is non-singular of dimension  $g - 1$  at  $[\tilde{C}]$  (i.e.  $\tilde{C}$  is unobstructed) and the only deformations of  $\tilde{C}$  in  $P$  are translations.*

It is known that if the Clifford index of  $C$  is at least 3 then the condition of the proposition is satisfied. Therefore we have, in particular:

**Corollary.** *If  $\text{Cliff}(C) \geq 3$  then  $\text{Hilb}^P$  is non-singular of dimension  $g - 1$  at  $[\tilde{C}]$  (i.e.  $\tilde{C}$  is unobstructed) and the only deformations of  $\tilde{C}$  in  $P$  are translations.*

On the other side we have the following result:

**Theorem.** *Assume that the following conditions are satisfied:*

- (a) *the infinitesimal Torelli theorem for Pryms fails at  $[\pi]$ ;*
- (b)  *$[\pi]$  is an isolated point of the fibre  $\mathcal{P}^{-1}(\mathcal{P}([\pi]))$ .*

---

H. Lange: Mathematisches Institut, Bismarckstr. 1 $\frac{1}{2}$ , D-91054 Erlangen, Germany,  
e-mail: lange@mi.uni-erlangen.de

E. Sernesi: Dipartimento di Matematica, Università Roma Tre, L.go S.L. Murialdo 1, 00146  
Roma, Italy, e-mail: sernesi@mat.uniroma3.it

Then  $\tilde{C}$  is obstructed. Moreover the only local deformations of  $\tilde{C}$  in  $P$  are translations and the only irreducible component of  $\text{Hilb}^P$  containing  $[\tilde{C}]$  is everywhere non-reduced.

Conversely, if  $\tilde{C}$  is obstructed then the infinitesimal Torelli theorem for Pryms fails at  $[\pi]$ .

Using these results we give some examples in which  $\tilde{C} \subset P$  is obstructed and some in which we have unobstructedness but the infinitesimal Torelli theorem for Pryms fails. The examples we construct are obtained from double covers belonging to  $\mathcal{R}_6$  and to  $\mathcal{R}_7$ . For their construction we make use of a result proved in Section 4 which is a slight extension of a theorem of Recillas (see [12]).

The paper is divided into 5 sections. In Section 1 we discuss the Hilbert scheme of curves Abel–Jacobi embedded in their Jacobian. We prove that such curves are obstructed precisely when they are hyperelliptic of genus  $g \geq 3$ . This case is not relevant for what follows but it is worth keeping in mind the analogies between the two cases. In Section 2 we consider our problem and we study the conditions for the unobstructedness of  $[\tilde{C}]$ . We use the well-known cohomological description of certain tangent spaces and of maps between them. In Section 3 we relate these results with the infinitesimal Torelli theorem for Pryms and we prove our main result. In Section 4 we give a proof of the extension of Recillas’ theorem. The final Section 5 contains the examples.

### 1. The case of curves in their Jacobians

Consider a projective non-singular irreducible curve  $C$  of genus  $g \geq 2$ , let  $JC := \text{Pic}^0(C)$  be the Jacobian variety of  $C$ , and let  $j : C \rightarrow JC$  be an Abel–Jacobi map. We want to study the local structure of the Hilbert scheme  $\text{Hilb}^{JC}$  of  $JC$  at  $[j(C)]$  (the point parametrizing  $j(C)$ ). Since  $j$  is an embedding we will identify  $C$  with  $j(C)$ . We have an exact sequence of locally free sheaves on  $C$ :

$$(1) \quad 0 \rightarrow T_C \rightarrow T_{JC|C} \rightarrow N_C \rightarrow 0.$$

We have a canonical isomorphism  $T_{JC|C} \cong H^1(\mathcal{O}_C) \otimes \mathcal{O}_C$  and therefore the cohomology sequence of (1) is:

$$(2) \quad 0 \rightarrow H^1(\mathcal{O}_C) \rightarrow H^0(N_C) \xrightarrow{\delta} H^1(T_C) \xrightarrow{\sigma} H^1(\mathcal{O}_C) \otimes H^1(\mathcal{O}_C) \rightarrow H^1(N_C).$$

The family of translations of  $C$  in  $JC$  is parametrized by  $JC$  itself, and the map  $H^1(\mathcal{O}_C) \rightarrow H^0(N_C)$  in (2) is precisely the characteristic map of this family at the point 0. Therefore we have the following Lemma, whose proof is obvious:

**Lemma 1.1.** *The following conditions are equivalent:*

- (a)  $\text{Hilb}^{JC}$  is non-singular of dimension  $g$  at  $[C]$ ;
- (b)  $\delta = 0$ ;
- (c)  $\sigma$  is injective.

If these conditions are satisfied then the only local deformations of  $C$  in  $JC$  are translations.

Using this lemma we can prove the following:

**Theorem 1.2.** *Suppose that  $C$  has genus  $g \geq 3$ :*

- (a) *if  $C$  is non-hyperelliptic then  $\text{Hilb}^{JC}$  is non-singular of dimension  $g$  at  $[C]$ ;*
- (b) *if  $C$  is hyperelliptic then the connected component of  $\text{Hilb}^{JC}$  containing  $[C]$  is irreducible of dimension  $g$  and everywhere non-reduced with Zariski tangent space of dimension  $2g - 2$ .*

*In both cases the only deformations of  $C$  in  $JC$  are translations.*

*Proof.* The transpose of  $\sigma$  is the multiplication map:

$$\sigma^\vee : H^0(\omega_C) \otimes H^0(\omega_C) \rightarrow H^0(\omega_C^{\otimes 2})$$

(see [5, Lemma 3]). This map, by Noether’s theorem, is surjective if  $C$  is non-hyperelliptic and has co-rank  $g - 2$  if  $C$  is hyperelliptic (see [1]). Therefore, in view of Lemma 1.1, part (a) follows.

Now assume that  $C$  is hyperelliptic and that  $\bar{C} \subset JC$  is a closed subscheme such that  $[\bar{C}]$  belongs to the connected component of  $\text{Hilb}^{JC}$  containing  $[C]$ . By the criterion of Matsusaka–Ran (see [7])  $\bar{C} = C_1 \cup \dots \cup C_r$  is a reduced curve of compact type, and  $JC$  and  $JC_1 \times \dots \times JC_r$  are isomorphic as ppav’s. Then it follows that  $r = 1$  and  $\bar{C}$  is irreducible and non-singular because  $C$  is. Now we apply Torelli’s theorem to conclude that  $\bar{C}$  is a translate of  $C$ . It follows that the connected component of  $\text{Hilb}^{JC}$  containing  $[C]$  is irreducible of dimension  $g$  and parametrizes the translates of  $C$ . On the other hand by (2) we have  $h^0(N_C) = 2g - 2 > g$ . The conclusion follows. □

Theorem 1.2 can be interpreted in terms of the Torelli morphism,

$$\tau : M_g \rightarrow A_g,$$

from the moduli stack of projective non-singular curves of genus  $g$  to the moduli stack of principally polarized Abelian varieties of dimension  $g$ . The surjectivity of  $\sigma^\vee$  is equivalent to that of the multiplication map

$$S^2 H^0(\omega_C) \rightarrow H^0(\omega_C^{\otimes 2}),$$

which is the codifferential of  $\tau$  at  $[C]$ . Hence the surjectivity of this map is equivalent to the infinitesimal Torelli theorem for  $C$  (see [11]). Therefore Theorem 1.2 implies the following:

**Corollary 1.3.**  *$C$  is unobstructed in  $JC$  if and only if the infinitesimal Torelli theorem holds for  $C$ .*

*Remarks.* (i) The proof of Theorem 1.2(a) has already appeared in [5], but the argument does not appear to be complete. A proof is also given in [3] using the semiregularity map, but it is more complicated; moreover, the semiregularity map does not seem to be able to detect what happens in case (b).

(ii) In the case  $g = 2$  we have that  $C$  is unobstructed in  $JC$  because the semiregularity map  $H^1(N_C) \rightarrow H^2(\mathcal{O}_{JC})$  is injective since  $H^1(\mathcal{O}_{JC}(C)) = 0$  by the ampleness of  $C$  in  $JC$ .

## 2. The Hilbert scheme of the Prym variety at $[\tilde{C}]$

Let now  $\pi : \tilde{C} \rightarrow C$  be an unramified double cover of a projective non-singular irreducible curve  $C$  of genus  $g \geq 3$ , so that  $\tilde{C}$  has genus  $\tilde{g} = 2g - 1$ . Let  $\eta \in Pic^0(C)$  be the 2-division point corresponding to  $\pi$ . We have a canonical isogeny  $J\tilde{C} \rightarrow JC \times P$ , where  $P$  is the Prym variety of  $\pi$ . *Throughout this section we assume  $C$  to be non-hyperelliptic.* Under this hypothesis we have an embedding  $\alpha : \tilde{C} \rightarrow P$  which is obtained as the composition

$$\tilde{C} \rightarrow J\tilde{C} \rightarrow JC \times P \rightarrow P$$

(see [7]). We will identify  $\tilde{C}$  with  $\alpha(\tilde{C})$ . We want to study the Hilbert scheme  $Hilb^P$  locally at the point  $[\tilde{C}]$ .

In analogy with the situation studied in Section 1, we consider the exact sequence of locally free sheaves on  $\tilde{C}$ ,

$$(3) \quad 0 \rightarrow T_{\tilde{C}} \rightarrow T_{P|_{\tilde{C}}} \rightarrow N_{\tilde{C}} \rightarrow 0.$$

We have a canonical isomorphism  $T_{P|_{\tilde{C}}} \cong H^1(C, \eta) \otimes \mathcal{O}_{\tilde{C}}$  so that the cohomology sequence of (3) becomes

$$(4) \quad \begin{array}{ccccccc} 0 & \longrightarrow & H^1(C, \eta) & \longrightarrow & H^0(\tilde{C}, N_{\tilde{C}}) & \xrightarrow{\delta} & H^1(\tilde{C}, T_{\tilde{C}}) \\ & & & & \xrightarrow{\sigma} & H^1(C, \eta) \otimes H^1(\tilde{C}, \mathcal{O}_{\tilde{C}}) & \longrightarrow & H^1(\tilde{C}, N_{\tilde{C}}). \end{array}$$

Along the same lines of Section 1 we can state the following:

**Lemma 2.1.** *The following conditions are equivalent:*

- (a)  $Hilb^P$  is non-singular of dimension  $g - 1$  at  $[\tilde{C}]$ ;
- (b)  $\delta = 0$ ;
- (c)  $\sigma$  is injective.

*If these conditions are satisfied then the only local deformations of  $\tilde{C}$  in  $P$  are translations.*

In order to understand the conditions of Lemma 2.1 we must study the map  $\sigma$ , or equivalently, its transpose  $\sigma^\vee$ . We have canonical isomorphisms:

$$H^1(\tilde{C}, \mathcal{O}_{\tilde{C}})^\vee \cong H^0(\tilde{C}, \omega_{\tilde{C}}) \cong H^0(C, \omega_C) \oplus H^0(C, \omega_C \otimes \eta)$$

and

$$H^1(\tilde{C}, T_{\tilde{C}})^\vee \cong H^0(\tilde{C}, \omega_{\tilde{C}}^{\otimes 2}) \cong H^0(C, \omega_C^{\otimes 2} \otimes \eta) \oplus H^0(C, \omega_C^{\otimes 2})$$

corresponding to the decompositions into +1 and -1 eigenvalues under the action induced by the involution on  $\tilde{C}$ . Hence

$$\begin{aligned} \sigma^\vee : H^0(C, \omega_C \otimes \eta) \otimes [H^0(C, \omega_C) \oplus H^0(C, \omega_C \otimes \eta)] \\ \rightarrow H^0(C, \omega_C^{\otimes 2} \otimes \eta) \oplus H^0(C, \omega_C^{\otimes 2}), \end{aligned}$$

and it is induced by multiplication of sections [2, p. 382]. Therefore, after decomposing the domain of  $\sigma^\vee$  as

$$\begin{aligned} & H^0(C, \omega_C \otimes \eta) \otimes [H^0(C, \omega_C) \oplus H^0(C, \omega_C \otimes \eta)] \\ &= [H^0(C, \omega_C \otimes \eta) \otimes H^0(C, \omega_C)] \oplus [H^0(C, \omega_C \otimes \eta) \otimes H^0(C, \omega_C \otimes \eta)], \end{aligned}$$

we see that  $\sigma^\vee = \mu \oplus \nu$ , where

$$\mu : H^0(C, \omega_C \otimes \eta) \otimes H^0(C, \omega_C) \rightarrow H^0(C, \omega_C^{\otimes 2} \otimes \eta)$$

and

$$\nu : H^0(C, \omega_C \otimes \eta) \otimes H^0(C, \omega_C \otimes \eta) \rightarrow H^0(C, \omega_C^{\otimes 2}).$$

The following lemma is well known (see [2, Prop. 7.7]):

**Lemma 2.2.** *The sheaf  $\omega_C \otimes \eta$  is not very ample if and only if there exist points  $x, y, z, t \in C$  such that  $\eta \cong \mathcal{O}_C(x + y - z - t)$ . If these conditions are satisfied then the map  $\nu$  is not surjective.*

**Proposition 2.3.** (i) *In each of the following cases the map  $\mu$  is surjective:*

- (a)  *$C$  is not bi-elliptic;*
- (b)  *$\nu$  is surjective;*

(ii) *if  $C$  is not bi-elliptic then  $\text{cork}(\sigma^\vee) = \text{cork}(\nu)$ . In particular if  $C$  is not bi-elliptic the surjectivity of  $\sigma^\vee$  is equivalent to the surjectivity of  $\nu$ .*

*Proof.* (i) Note first that in both cases (a) and (b) the linear series  $|\omega_C \otimes \eta|$  is base point free and is not composed with an involution: in fact, in case (a) since  $C$  is not hyperelliptic,  $|\omega_C \otimes \eta|$  is base point free; moreover if it were composed with an involution then, since  $\text{deg}(\omega_C \otimes \eta) = 2g - 2$ , the morphism

$$\phi_\eta : C \rightarrow \mathbf{P}^{g-2}$$

would be of degree 2 onto a curve of degree  $g - 1$ , which has genus  $\leq 1$ , a contradiction. In the case (b) the assertion is true by Lemma 2.2.

Let  $\underline{b} := P_1 + \dots + P_{g-3} \in C^{(g-3)}$  be general. Consider the exact sequence on  $C$

$$0 \rightarrow \omega_C \otimes \eta(-\underline{b}) \rightarrow \omega_C \otimes \eta \rightarrow \mathcal{T} \rightarrow 0,$$

where  $\mathcal{T}$  is a torsion sheaf supported on  $\underline{b}$ . Multiplying firstly by  $H^0(\omega_C)$  and taking cohomology, and secondly by  $\omega_C$  and taking cohomology, we obtain the following commutative diagram with exact rows, where the vertical maps are given by multiplication:

$$\begin{array}{ccccccc} 0 & \rightarrow & H^0(\omega_C \otimes \eta(-\underline{b})) \otimes H^0(\omega_C) & \rightarrow & H^0(\omega_C \otimes \eta) \otimes H^0(\omega_C) & \rightarrow & H^0(\mathcal{T}) \otimes H^0(\omega_C) \rightarrow 0 \\ & & \downarrow \mu_{\underline{b}} & & \downarrow \mu & & \downarrow \bar{\mu} \\ 0 & \rightarrow & H^0(\omega_C^{\otimes 2} \otimes \eta(-\underline{b})) & \rightarrow & H^0(\omega_C^{\otimes 2} \otimes \eta) & \rightarrow & H^0(\mathcal{T} \otimes \omega_C) \rightarrow 0. \end{array}$$

Since  $|\omega_C \otimes \eta|$  is not composed with an involution and  $\underline{b}$  is generic,  $\omega_C \otimes \eta(-\underline{b})$  is base point free, and by the base point free pencil trick we find

$$\ker(\mu_{\underline{b}}) = H^0(\underline{b} \otimes \eta) = 0,$$

hence

$$\text{rk}(\mu_{\underline{b}}) = 2g = h^0(\omega_C^{\otimes 2} \otimes \eta(-\underline{b})),$$

i.e.  $\mu_{\underline{b}}$  is surjective. On the other hand  $\bar{\mu}$  is surjective because  $\omega_C$  is globally generated. The conclusion follows from the above diagram.

(ii) follows immediately from part (i) and from the relation between the maps  $\sigma, \mu, \nu$ . □

Collecting all we have said so far we can state the following:

**Corollary 2.4.** *If  $\nu$  is surjective then  $\text{Hilb}^P$  is non-singular of dimension  $g - 1$  at  $[\tilde{C}]$  (i.e.  $\tilde{C}$  is unobstructed) and the only local deformations of  $\tilde{C}$  in  $P$  are translations.*

As an application we can prove the following:

**Corollary 2.5.** *If  $\text{Cliff}(C) \geq 3$  then  $\text{Hilb}^P$  is non-singular of dimension  $g - 1$  at  $[\tilde{C}]$  (i.e.  $\tilde{C}$  is unobstructed) and the only local deformations of  $\tilde{C}$  in  $P$  are translations.*

*Proof.* It follows easily from a result of [6] (see e.g. [8]) that if  $\text{Cliff}(C) \geq 3$  then the map  $\nu$  is surjective. Therefore the corollary follows from Corollary 2.4. □

### 3. $\text{Hilb}^P$ and the infinitesimal Torelli theorem for Pryms

We keep the notations of Section 2. Consider the Prym morphism

$$\mathcal{P} : \mathcal{R}_g \rightarrow \mathcal{A}_{g-1},$$

which goes from the coarse moduli scheme of étale double covers of curves of genus  $g$  to the coarse moduli scheme of ppav of dimension  $g - 1$ ,  $g \geq 6$ . These schemes have singularities due to the presence of automorphisms of the objects they classify. Therefore if we want to study the infinitesimal properties of  $\mathcal{P}$  it is more natural to consider the corresponding moduli stacks  $R_g, A_{g-1}$ . The Prym construction defines a morphism of stacks

$$\text{Pr} : R_g \rightarrow A_{g-1}.$$

Then the map  $\nu$  considered in Section 2 coincides with the co-differential of  $\text{Pr}$  at  $[\pi]$  (see [2, Proposition 7.5], which implies this statement modulo obvious modifications). Therefore the surjectivity of  $\nu$  is equivalent to  $\text{Pr}$  being an immersion at  $[\pi]$  (see [2, 7.6]). In this case we say that the infinitesimal Torelli theorem for Pryms holds at  $[\pi]$ , according to the terminology most commonly used nowadays. In view of Corollary 2.4 we can therefore state the following:

**Proposition 3.1.** *If the infinitesimal Torelli theorem for Pryms holds at  $[\pi]$  then  $\text{Hilb}^P$  is non-singular of dimension  $g - 1$  at  $[\tilde{C}]$  (i.e.  $\tilde{C}$  is unobstructed) and the only local deformations of  $\tilde{C}$  in  $P$  are translations.*

In the case  $\text{Cliff}(C) \leq 2$  the infinitesimal Torelli theorem for Pryms in general fails, i.e. in general  $v$  is not surjective. Our next goal is to relate the obstructedness of  $\tilde{C}$  in  $P$  to the failure of the infinitesimal Torelli theorem for Pryms. The main result of this section is the following:

**Theorem 3.2.** *Assume that the following conditions are satisfied:*

- (a) *the infinitesimal Torelli theorem for Pryms fails at  $[\pi]$ ;*
- (b)  *$[\pi]$  is an isolated point of the fibre  $\mathcal{P}^{-1}(\mathcal{P}([\pi]))$ .*

*Then  $\tilde{C}$  is obstructed. Moreover the only local deformations of  $\tilde{C}$  in  $P$  are translations; in particular the only irreducible component of  $\text{Hilb}^P$  containing  $[\tilde{C}]$  is everywhere non-reduced of dimension  $g - 1$ .*

*Conversely, if  $\tilde{C}$  is obstructed then the infinitesimal Torelli theorem fails at  $[\pi]$ .*

*Proof.* By (a) the map  $\delta$  in the exact sequence (4) is non-zero. Assume by contradiction that  $[\tilde{C}]$  is unobstructed. Then we can find a non-singular curve  $S \subset \text{Hilb}^P$  passing through  $[\tilde{C}]$  such that  $\delta(T_{S, [\tilde{C}]}) \neq 0$ . This condition implies that the functorial morphism  $S \rightarrow \mathcal{M}_g$  defined by the family of curves  $\mathcal{C} \rightarrow S$  (which can be assumed to be smooth) is not constant and therefore this family does not consist of curves all isomorphic to  $\tilde{C}$ . But this is impossible: in fact for each curve  $\tilde{C}'$  in the family we have

$$\tilde{C}' \equiv_{\text{num}} \tilde{C} \equiv_{\text{num}} \frac{2}{(g - 2)!} \Xi^{g-2},$$

so that by a theorem of Welters (see [13]) there is an étale double cover  $\pi' : \tilde{C}' \rightarrow C'$ ,  $(P, \Xi)$  is the Prym variety of  $\pi'$  and  $\tilde{C}'$  is Prym embedded. But this contradicts condition (b) if  $\tilde{C}'$  is not isomorphic to  $\tilde{C}$  because  $[\pi'] \in \mathcal{P}^{-1}(\mathcal{P}([\pi]))$ .

This analysis also shows that locally the only deformations of  $\tilde{C}$  in  $P$  are translations; and since  $\delta \neq 0$  the Zariski tangent space of  $\text{Hilb}^P$  at  $[\tilde{C}]$  has dimension larger than  $g - 1$ . This also proves the last assertion.

The converse is a special case of Proposition 3.1. □

The theorem does not say anything in the case when  $[\pi]$  is a non-isolated point of the fibre  $\mathcal{P}^{-1}(\mathcal{P}([\pi]))$ . We will see in Section 5 that in this case there are examples where  $[\tilde{C}]$  is unobstructed.

#### 4. Further considerations

A theorem of Recillas [12] says that if  $\pi : \tilde{C} \rightarrow C$  is a double cover with  $C$  trigonal (but not hyperelliptic) of genus  $g$  then  $\mathcal{P}([\pi]) = [JX]$  with  $X$  a 4-gonal curve, and the pair  $(X, g_4^1)$  is uniquely determined. A consequence of this result

and of a theorem of Mumford [9], which gives a list of the Prym varieties which are Jacobians, is that

$$(5) \quad \mathcal{P}^{-1}([JX]) = W_4^1(X)$$

set-theoretically if  $g - 1 \geq 6$ . This says in particular that for  $g \geq 11$  the Prym map is 1-1 on  $\mathcal{R}_{g,T}$  (= the locus of étale double covers of trigonal curves): this follows from the fact that  $W_4^1(X)$  consists of at most one point if  $g(X) \geq 10$ . If  $g - 1 = 5$  then (5) is not true but we have a strict inclusion  $\supset$  (see Section 4). The following proposition gives some further information which will suffice for some applications.

**Proposition 4.1.** *Assume that  $X$  is a non-singular irreducible curve of genus  $g - 1 \geq 5$ , non-hyperelliptic nor trigonal, and such that every  $g_4^1$  on  $X$  has no divisors of the form  $2P + 2Q$  or  $4P$  and let  $\pi : \tilde{C} \rightarrow C$  be an unramified double cover, with  $C$  trigonal of genus  $g$ , such that  $\mathcal{P}([\pi]) = [JX]$ . Then there is a canonical isomorphism between the kernel of the differential of  $\text{Pr}$  at  $[\pi]$  and the Zariski tangent space of  $W_4^1(X)$  at the line bundle  $L$  corresponding to  $\pi$ .*

*Proof.* Since  $X$  is not trigonal we may view  $W_4^1(X)$  as parametrizing 4-1 morphisms of  $X$  into  $\mathbf{P}^1$ . Let  $\varphi : X \rightarrow \mathbf{P}^1$  be the 4-1 cover defined by  $L$ .

Let  $B = \text{Spec}(\mathbf{C}[\epsilon])$  and consider a family of deformations of  $\varphi$  parametrized by  $B$ :

$$\begin{array}{ccc} X \times B & \xrightarrow{\quad} & \mathbf{P}^1 \times B \\ & \searrow & \swarrow \\ & B & \end{array}$$

To this family we can associate a family of deformations of  $\pi$  just extending Recillas’s construction, as follows. Consider the second relative symmetric product over  $\mathbf{P}^1 \times B$ :

$$\tilde{\mathcal{C}} := S_{\mathbf{P}^1 \times B}^{(2)}(X \times B),$$

which comes endowed with an induced family of morphisms of degree 6

$$f^{(2)} : \begin{array}{ccc} \tilde{\mathcal{C}} & \xrightarrow{\quad} & \mathbf{P}^1 \times B \\ & \searrow & \swarrow \\ & B & \end{array}$$

On  $\tilde{\mathcal{C}}$  there is a natural involution  $\iota$  commuting with the projection to  $B$ . Letting  $\mathcal{C} = \tilde{\mathcal{C}}/\iota$ , we obtain a family parametrized by  $B$ :

$$(6) \quad \begin{array}{ccc} \tilde{\mathcal{C}} & \xrightarrow{\quad \Pi \quad} & \mathcal{C} \\ & \searrow & \swarrow \\ & B & \end{array}$$



such that  $f^{(2)}$  factors through  $\Pi$ . Therefore (6) is a first-order deformation of  $\pi$ ; moreover (6) is contained in  $\mathcal{P}^{-1}([JX])$  by construction and therefore it is an element of  $\ker(d\text{Pr}_{[\pi]})$ .

Conversely, assume a family (6) given, and assume that (6) is contained in  $\ker(d\text{Pr}_{[\pi]})$ . Then we also have a family of triple covers of  $\mathbf{P}^1$ :

$$\begin{array}{ccc} \mathcal{C} & \longrightarrow & \mathbf{P}^1 \times B \\ & \searrow & \swarrow \\ & & B. \end{array}$$

Correspondingly we have an inclusion  $\mathbf{P}^1 \times B \subset S_B^{(3)}(\mathcal{C})$ , and an étale morphism of degree 8

$$\Pi^{(3)} : S_B^{(3)}(\tilde{\mathcal{C}}) \rightarrow S_B^{(3)}(\mathcal{C})$$

induced by  $\Pi$ . Let  $\mathcal{D} := \Pi^{(3)-1}(\mathbf{P}^1 \times B)$ . The involution  $\iota$  on  $\tilde{\mathcal{C}}$  induces an involution on  $S_B^{(3)}(\tilde{\mathcal{C}})$  which commutes with  $\Pi^{(3)}$  and induces an involution on  $\mathcal{D}$ . We obtain a commutative diagram:

$$(7) \quad \begin{array}{ccc} \mathcal{D} & \longrightarrow & \mathbf{P}^1 \times B \\ \downarrow & \nearrow & \downarrow \\ \mathcal{D}/\iota & \longrightarrow & B, \end{array}$$

where the diagonal morphism defines a family of deformations of  $X$  with an assigned  $g_4^1$  on the family. The assumption that (6) is contained in  $\ker(d\text{Pr}_{[\pi]})$  means that the family of ppav obtained as Pryms of the family (6) is the family of Jacobians of  $\mathcal{D}/\iota \rightarrow B$  and that it is trivial. By the infinitesimal Torelli theorem for Jacobians  $\mathcal{D}/\iota \rightarrow B$  is the trivial family as well. Therefore diagram (7) gives us a family of deformations of  $\varphi$ . □

### 5. Examples

**5.1.** Let  $\bar{X} \subset \mathbf{P}^2$  be an irreducible sextic having 4 distinct nodes  $N_1, \dots, N_4$ , and let  $X$  be the normalization of  $\bar{X}$ , which has genus 6. If no three among  $N_1, \dots, N_4$  are on a line then  $W_4^1(X)$  consists of five non-singular points, the  $g_4^1$ 's cut by the four pencils of lines through each of the nodes and by the pencil of conics containing  $N_1, \dots, N_4$ . From Proposition 4.1 it then follows that the infinitesimal Prym-Torelli theorem holds at the five double covers  $\pi : \tilde{C} \rightarrow C$  of trigonal curves of genus 7 such that  $\mathcal{P}([\pi]) = [JX]$ .

Assume now that  $\bar{X}$  has three of the nodes, say  $N_1, N_2, N_3$ , on a line. Then the  $g_4^1$  defined by  $N_4$  and that defined by the pencil of conics are identified to a unique element  $L$  of  $W_4^1(X)$  with a 1-dimensional Zariski tangent space. Applying Proposition 4.1 to this case we see that the infinitesimal Prym-Torelli theorem fails at the double cover  $\pi$  corresponding to  $(X, L)$  in the fibre  $\mathcal{P}^{-1}([JX])$ . Moreover,

since equality (5) implies that  $\mathcal{P}^{-1}([JX])$  is finite, from Theorem 3.2 we deduce that  $\tilde{C}$  is obstructed in  $JX$  and that the only component of  $Hilb^P$  containing  $[\tilde{C}]$  is everywhere non-reduced and consists of translates of  $[\tilde{C}]$ .

A count of parameters shows that in this way we get a 14-dimensional locus where the infinitesimal Prym-Torelli theorem fails inside the 18-dimensional space  $\mathcal{R}_7$ .

**5.2.** Let's consider the Prym map  $\mathcal{P} : \mathcal{R}_6 \rightarrow \mathcal{A}_5$ . This case has been extensively studied in [4] and offers a wide variety of examples, but it is not yet completely understood from the point of view of the infinitesimal Prym-Torelli theorem. Recall that both domain and co-domain are irreducible of dimension 15. Some loci where the infinitesimal Prym-Torelli theorem fails are the following.

5.2.1. Consider a non-singular curve  $C \subset \mathbf{P}^4$  obtained as a general hyperplane section of a Reye congruence in  $\mathbf{P}^5$ , i.e. of an Enriques surface  $S$  of degree 10 contained in a non-singular quadric. Then  $C$  is a curve of genus 6, embedded with a Prym canonical linear series  $|\omega \otimes \eta|$ ; since  $C$  is contained in a quadric it follows that the map  $\nu$  is not surjective, and therefore the infinitesimal Prym-Torelli theorem fails at the double cover  $\pi : \tilde{C} \rightarrow C$  associated to  $\eta$ .

Naranjo-Verra proved that the fibre  $\mathcal{P}^{-1}(\mathcal{P}([\pi]))$  is discrete [10]. Therefore from Theorem 3.2 it follows that  $Hilb^P$  is obstructed at  $\tilde{C}$ .

Note that a count of parameters shows that the locus of double covers  $\pi$  constructed in this way has dimension  $14 = 9 + 5$  (9 for the moduli of Enriques surfaces and 5 for the hyperplane sections), i.e. it is a divisor in  $\mathcal{R}_6$ . In particular it follows that a general such curve  $C$  is not trigonal since trigonal curves depend on 13 parameters.

5.2.2. Consider an irreducible sextic  $\tilde{C} \subset \mathbf{P}^2$  with four nodes such that two of its bi-tangents meet in one of the nodes, say  $N$ . Then the normalization  $C$  has genus 6 and the  $g_4^1$  defined by the pencil of lines through  $N$  has two divisors of the form  $2P + 2Q$ . It follows that there is a 2-division point  $\eta \in Pic(C)$  such that  $\omega \otimes \eta$  is not very ample and the map  $\nu$  is not surjective (use Lemma 2.2). Therefore the infinitesimal Prym-Torelli theorem fails at the double cover  $\pi : \tilde{C} \rightarrow C$  associated to  $\eta$ . The locus in  $\mathcal{R}_6$  defined by this family of examples is disjoint from the previous one because there the line bundles  $\omega \otimes \eta$  were very ample. It is not clear to us what the dimensions of the fibres  $\mathcal{P}^{-1}(\mathcal{P}([\pi]))$  are and therefore whether  $[\tilde{C}]$  is obstructed in this case.

5.2.3. Another locus where the infinitesimal Prym-Torelli fails is  $\mathcal{R}_{6,T}$ , the locus of double covers of trigonal curves. It has dimension 13, and the restriction of  $\mathcal{P}$  to  $\mathcal{R}_{6,T}$  has general fibre of dimension 1, as it follows from Recillas's theorem recalling that  $W_4^1(X)$  for a curve  $X$  of genus 5 has dimension 1.

What is interesting here is that  $W_4^1(X) = \Theta_{sing}$ , the singular locus of the theta divisor of  $JX$ : for a general  $X$  this is a non-singular curve of genus 11 which has an involution  $\iota$  with quotient a non-singular plane quintic  $C$ . The double cover  $\pi : W_4^1(X) \rightarrow C$  is associated with a 2-division point  $\eta$  such that  $\mathcal{O}(1) \otimes \eta$  is

an even theta-characteristic (see [4] for details). Moreover  $\mathcal{P}([\pi]) = [JX]$  again, by [9]. Therefore we see that for a general  $X$  of genus 5 we have

$$\mathcal{P}^{-1}([JX]) = W_4^1(X) \cup \{[\pi]\}.$$

In particular the fibre of  $\mathcal{P}$  is not equi-dimensional. Moreover  $\nu$  is surjective at  $[\pi]$  (see [4, part II, Section 5]) and therefore the curve  $W_4^1(X) = \Theta_{sing}$  is unobstructed in  $JX$ . Note that this gives an example of a double cover  $\pi$  of a curve of Clifford index 1 (namely a non-singular plane quintic) at which the infinitesimal Prym–Torelli theorem holds.

Note also that, since  $\text{cork}(\nu)$  is 1-dimensional if  $\pi : \tilde{C} \rightarrow C$  is a double cover of a general trigonal curve of genus 6, we have that  $\text{cork}(\sigma)$  is 1-dimensional as well, by Proposition 2.3 (clearly a general trigonal  $C$  is not bi-elliptic). Therefore  $\delta$  has rank 1 and

$$h^0(\tilde{C}, N_{\tilde{C}}) = g.$$

With some extra effort one can easily show that in this case  $\tilde{C}$  is unobstructed in  $JX$ . In fact consider a small (1-dimensional) neighborhood  $A$  of  $[\pi]$  in the fibre  $\mathcal{P}^{-1}([JX])$  and let

$$(8) \quad \begin{array}{c} \tilde{C} \subset JX \times A \\ \downarrow \\ A \end{array}$$

be the corresponding 1-parameter family of deformations of  $\tilde{C}$  in  $JX$ . Since this family has varying moduli, in the exact sequence (4) we have  $0 \neq \delta(v) \in H^1(\tilde{C}, T_{\tilde{C}})$  if  $v \neq 0$  is a tangent vector to  $A$  at the point  $a_0$  parametrizing  $\tilde{C}$ , and  $\delta(v)$  generates  $\text{Im}(\delta)$ . Now consider a small neighborhood  $B$  of 0 in  $JX$  and build a new family:

$$\begin{array}{c} \tilde{C}' \subset JX \times A \times B \\ \downarrow \\ A \times B, \end{array}$$

whose fibre over  $(a, b)$  is the curve  $t_b^*(\tilde{C}_a)$ , i.e. the translate by  $b$  of the fibre  $\tilde{C}_a$  of the family (8). It is clear that the characteristic map

$$T_{A \times B, (a_0, 0)} \rightarrow H^0(\tilde{C}, N_{\tilde{C}})$$

is an isomorphism, proving that  $\tilde{C}$  is unobstructed. Note that  $h^0(\tilde{C}, N_{\tilde{C}}) > g - 1$  in this case, and  $\tilde{C}$  has non-trivial moduli.

**References**

1. Arbarello, E., Cornalba, M., Griffiths, P.A., Harris, J.: *Geometry of Algebraic Curves I.* Springer Grundlehren **267** (1985)
2. Beauville, A.: Variétés de Prym et Jacobiennes intermédiaires. *Ann. Sci. Éc. Norm. Supér., IV. Sér.* **10**, 309–391 (1977)

3. Bloch, S.: Semi-regularity and De Rham cohomology. *Invent. Math.* **17**, 51–66 (1972)
4. Donagi, R., Smith, R.C.: The structure of the Prym map. *Acta Math.* **146**, 25–102 (1982)
5. Griffiths, Ph.: Some remarks and examples on continuous systems and moduli. *J. Math. Mech.* **16**, 789–802 (1967)
6. Green, M., Lazarsfeld, R.: On the projective normality of complete linear series on an algebraic curve. *Invent. Math.* **83**, 73–90 (1986)
7. Lange, H., Birkenhake, Ch.: *Complex Abelian Varieties*. Springer Grundlehren **302** (1992)
8. Lange, H., Sernesi, E.: Quadrics containing a Prym-canonical curve. *J. Algebr. Geom.* **5**, 387–399 (1996)
9. Mumford, D.: Prym varieties I. In: *Contributions to Analysis*, New York: Academic Press 1974
10. Naranjo, J.C., Verra, A.: In preparation
11. Oort, F., Steenbrink, J.: On the local Torelli problem for algebraic curves. *J. Geom. Alg. Angers 1979*, Sijhoff and Noordhoff (1980), 157–204
12. Recillas, S.: Jacobians of curves with a  $g_4^1$  are Prym varieties of trigonal curves. *Bol. Soc. Mat. Mex., III. Ser.* **19**, 9–13 (1974)
13. Welters, G.: Curves of twice the principal class on principally polarized Abelian varieties. *Indag. Math., New Ser.* **94**, 87–109 (1987)