



# Impact of preoperative chemotherapy as initial treatment for advanced gastric cancer with peritoneal metastasis limited to positive peritoneal lavage cytology (CY1) or localized peritoneal metastasis (P1a): a multi-institutional retrospective study

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## Abstract

**Background** Gastric cancer (GC) patients with peritoneal metastasis are defined as stage IV in the Japanese classification of GC. For patients with peritoneal metastasis limited to positive peritoneal lavage cytology (CY1) and/or localized peritoneal metastasis (P1a), gastrectomy followed by S1 monotherapy is one of the most widely accepted therapeutic strategy in Japan. This study investigated the efficacy of preoperative chemotherapy as initial treatment in GC patients with CY1 and/or P1a.

**Methods** We retrospectively reviewed GC patients diagnosed with CY1 and/or P1a at 34 institutions in Japan between 2008 and 2012. Selection criteria were: adenocarcinoma, no distant metastasis except CY1 or P1a, and no prior treatment. The subjects were divided into an Initial-Chemotherapy group and an Initial-Surgery group, according to the initial treatment.

**Results** A total of 824 patients were collected and 713 eligible patients were identified for this study. As the initial treatment, 150 patients received chemotherapy (Initial-Cx), and 563 patients underwent surgery (Initial-Sx). Initial-Cx regimens were cisplatin plus S1/docetaxel plus cisplatin plus S1/others ( $n=90/37/23$ ). Both overall survival (OS) and progression-free survival (PFS) were similar between the Initial-Cx and Initial-Sx groups (median OS 24.8 and 24.0 months, HR 1.07, 95% CI 0.87–1.3; median PFS 14.9 and 13.9 months, HR 1.04, 95% CI 0.85–1.27). The 5-year OS rates were 22.3% in the Initial-Cx group and 21.5% in the Initial-Sx group.

**Conclusions** Although, the preoperative chemotherapy did not show a survival benefit for GC patients with CY1 and/or P1a, initial-Cx showed favorable survival in patients who converted to P0 and CY0.

**Keywords** Gastric cancer · Peritoneal metastasis · Preoperative chemotherapy

## Introduction

The peritoneum is one of the most frequent metastatic sites of gastric cancer (GC). Peritoneal lavage cytology is a useful method for detecting peritoneal dissemination even in patients without visible metastatic disease, and its positivity is an important predictive factor of peritoneal recurrence and poor prognosis in GC [1–3]. Therefore, cytological examination of peritoneal lavage is recommended by the

Japanese Gastric Cancer Treatment Guidelines, and positive peritoneal lavage cytology (CY1) is defined as a metastatic (M1) factor for staging in the 15th edition of the Japanese Classification of Gastric Carcinoma [4]. Similarly, peritoneal metastasis localized at a limited area close to the primary tumor is defined as P1a [5]. In a previous report, the prognosis of patients with P1a after surgical resection of all visible disease was reported to be similar to that of patients with CY1 [6, 7].

Systemic chemotherapy has been widely accepted as standard therapy for stage IV GC patients globally. However, gastrectomy with lymph node dissection leaving no visible disease followed by S1 monotherapy is one of the most

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widely accepted therapeutic strategy for GC patients with CY1 and/or P1a in clinical practice, in Japan. Because previous reports suggested that post-operative chemotherapy of S-1 monotherapy would prolong the survival for the patients with CY1 and/or P1a. It was reported 5-year overall survival (OS) rate of surgery alone was 7.8% [1], while 5-year overall survival (OS) rates of surgery followed by S-1 monotherapy was 20–30% [8–11]. However, their prognosis is still poor.

On the other hand, it was reported that systemic chemotherapy could eliminate the limited metastatic disease in some patients, converting to resectable disease and achieving long-term survival after curative surgery [12–14]. Based on these previous reports, it is considered that preoperative chemotherapy as initial treatment for GC patients with CY1 and/or P1a would be a promising treatment strategy, and it has been attempted recently in some Japanese institutions. However, few reports have evaluated the efficacy of preoperative chemotherapy as initial treatment for GC patients with CY1 and/or P1a.

This study investigated the efficacy of preoperative chemotherapy as initial treatment in GC patients with CY1 and/or P1a. This retrospective study compared the efficacy between initial chemotherapy followed by surgery and initial surgery followed by chemotherapy for GC patients with CY1 and/or P1a.

## Materials and methods

### Patients

We retrospectively reviewed the medical records of GC patients who were diagnosed with CY1 and/or P1a before initial treatment at 34 institutions in Stomach Cancer Group of the Japan Clinical Oncology Group (JCOG) between 2008 and 2012. We selected the patients who met the following selection criteria: age > 20 years, Eastern Cooperative Oncology Group (ECOG) performance status (PS) 0–2, histological diagnosis of gastric adenocarcinoma, CY1 (positive peritoneal lavage cytology) and/or P1a (metastasis to peritoneal surfaces adjacent to the stomach limited to the area above the transverse colon or the omentum) diagnosed by staging laparoscopy or laparotomy, no distant metastasis other than CY1 or P1a, and no prior treatment for GC.

### Treatment and procedure

The diagnostic procedure of CY1 or P1a was entrusted to each institution. The treatment procedure was decided by each physician, such as initial treatment (chemotherapy or surgery), indication of chemotherapy (pre- and/or postoperative), chemotherapy regimens, duration of chemotherapy, and indication of surgery and surgical procedures.

## Evaluation and statistical analysis

The patients selected for this study were divided into two groups: Initial-Chemotherapy (Initial-Cx) group and Initial-Surgery (Initial-Sx) group. The Initial-Cx group included patients who received chemotherapy as the initial treatment, while the Initial-Sx group comprised patients who underwent surgery as the initial treatment. Re-staging after the initial Cx was evaluated by each physician using CT scans and other methods, and surgery was performed if there were no progressions. The final staging after the initial-Cx, including the therapeutic effect on CY1 and P1a, was diagnosed as a result of the surgery.

We compared OS and progression-free survival (PFS) between the Initial-Cx group and the Initial-Sx group. OS was calculated from the date of diagnosis of CY1 and/or P1a, to the date of death from any cause or censored at the last visit. Disease progression was assessed by image examination according to the RECIST ver. 1.1, and PFS was calculated from the date of diagnosis of CY1 and/or P1a, to the date of progression or death from any cause, and surviving patients without disease progression were censored at the visit. OS and PFS were estimated using the Kaplan–Meier method and compared by the log-rank test.

To adjust for the patients' background, survival differences among the treatment groups were evaluated by multivariate analyses using the Cox proportional hazard regression model, and presented as the hazard ratio (HR) and 95% CI. Covariates for the multivariate analysis included the initial treatment (initial Cx vs. initial Sx), peritoneal metastasis (P0 vs. P1a), peritoneal lavage cytology (CY0 vs. CY1), age ( $\leq 65$  vs.  $> 65$  years), ECOG PS, cT stage, and cN stage. Statistical analyses were performed using SAS software version 9.4 (SAS Institute, Cary, NC, USA).  $p$  values  $< 0.05$  were considered to denote statistically significant differences.

## Results

### Patient characteristics

Data on a total of 824 patients were collected. Figure 1 presents a CONSORT diagram of the study population. Of the 713 selected patients, 150 received chemotherapy as initial treatment (Initial-Cx group) and 563 underwent surgery as initial treatment (Initial-Sx group). Their characteristics are shown in Table 1. Baseline characteristics were similar between the two groups. Median age in the Initial-Cx group was younger than that in the Initial-Sx group (Initial-Cx: 63 years, Initial-Sx: 67 years). The proportions

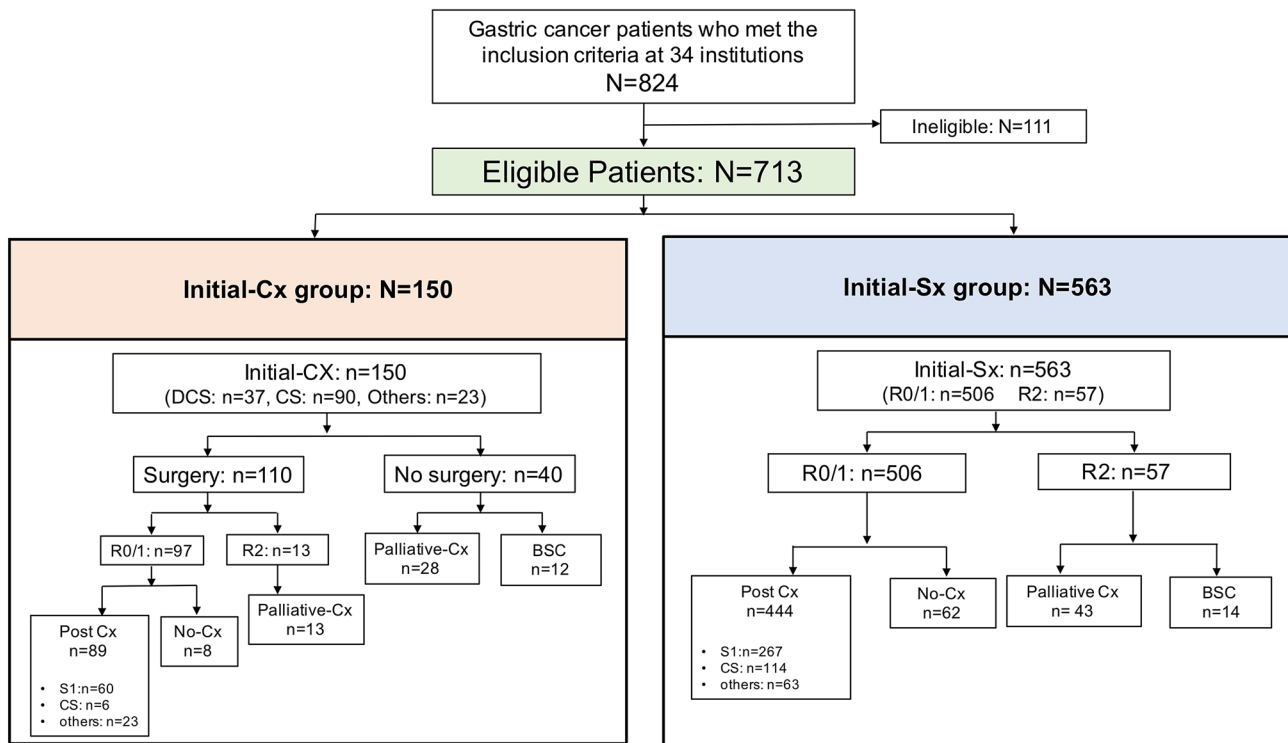


Fig. 1 CONSORT diagram

of P0CY1/P1aCY0/P1aCY1 were 68.7/12/19.3% in Initial-Cx and 67.9/17.9/14.2% in Initial-Sx, respectively. Notably, CY1 and/or P1a before the initial treatment were diagnosed by staging laparoscopy and laparotomy in 136 (91%) and 14 (9%) patients in the initial-Cx group and in 61 (11%) and 502 (89%) patients in the initial-Sx group.

In the Initial-Cx group, the chemotherapy regimens were cisplatin plus S1 (CS;  $n=90$ ), docetaxel plus cisplatin plus S1 (DCS;  $n=37$ ), and others ( $n=23$ ). The details of the regimens in the Initial-Cx group are listed in Table 2. The median treatment duration of Initial-Cx was 62 days (range 36–884) in the CS regimen, 79 days (range 22–224) in the DCS regimen, and 35 days (range 14–158) in the other regimens. Median duration between staging laparoscopy and initial chemotherapy was 14 days (range 6–45). The surgical data are summarized in Table 3. Among the 150 patients in the Initial-Cx group, 110 (74%) underwent gastrectomy and 97 (64.7%) achieved R0/1 resection leaving no visible disease. After R0/1 resection, 89 patients received postoperative chemotherapy with S-1 monotherapy ( $n=60$ ), cisplatin plus S-1 ( $n=6$ ), and others ( $n=23$ ). The median follow-up time was 70.2 months (range 3.8–96.4). Among the 40 patients who did not receive gastrectomy after initial Cx, 28 received palliative chemotherapy and 12 did not.

In the Initial-Sx group, 506 patients (89%) underwent R0/1 resection and 444 (79%) received postoperative chemotherapy with S-1 monotherapy ( $n=267$ ), cisplatin plus S-1

( $n=114$ ), or others ( $n=63$ ). The median treatment duration of postoperative chemotherapy was 285 days (range 2–2191) for S-1, 170 days (range 10–1774) for CS, and 223 days (range 7–1255) for the other regimens. The median duration of follow-up was 61.4 months (range 0.4–107.1).

### Progression-free survival and overall survival

There was no statistically significant difference in OS between the two groups (HR 1.07, 95% CI 0.87–1.32,  $p=0.502$ ). The median OS was 24.8 months (95% CI 20.7–29.8) in the Initial-Cx group and 24.0 months (95% CI 21.7–26.3) in the Initial-Sx group. The 5-year OS rates were 22.3% in the Initial-Cx group and 21.5% in the Initial-Sx group (Fig. 2a).

The durations of PFS were also similar between the two groups (HR 1.04, 95% CI 0.85–1.27,  $p=0.694$ ). The median PFS was 14.9 months (95% CI 11.5–18.3) in the Initial-Cx group and 13.9 months (95% CI 12.2–15.4) in the Initial-Sx group. The 5-year PFS rates were 16.1% in the Initial-Cx group and 15.8% in the Initial-Sx group (Fig. 2b).

Multivariate analysis of OS did not show significant differences between the Initial-Sx group and Initial-Cx group (HR 1.103, 95% CI 0.892–1.365,  $p=0.365$ ). The over 65 years was identified as independent prognostic factor for OS ( $p<0.05$ ) (Table 4).

**Table.1** Patient characteristics

	Initial-Cx ( <i>n</i> = 150)	Initial-Sx ( <i>n</i> = 563)	<i>p</i> value
Age—median (range)	63 (33–84)	67 (22–88)	<0.001
Sex			0.293
Male	87 (58%)	353 (63%)	
Female	63 (36%)	210 (37%)	
PS			0.327
0	104 (69%)	418 (74%)	
1	45 (30%)	135 (24%)	
2	1 (1%)	10 (2%)	
HER2			0.005
Positive	5 (3%)	17 (3%)	
Negative	13 (9%)	113 (20%)	
Unknown	132 (88%)	433 (77%)	
P, CY factor			0.103
P0CY1	103 (69%)	382 (68%)	
P1aCY0	18 (12%)	101 (18%)	
P1aCY1	29 (19%)	80 (14%)	
Histology			0.015
Intestinal	38 (25%)	202 (36%)	
Diffuse	112 (75%)	361 (64%)	
cT			0.831
T1	2 (1%)	4 (1%)	
T2	5 (3%)	21 (4%)	
T3	25 (17%)	113 (20%)	
T4a	110 (73%)	395 (70%)	
T4b	8 (6%)	30 (5%)	
cN			0.126
N0	40 (27%)	126 (22%)	
N1	40 (27%)	209 (37%)	
N2	47 (31%)	152 (27%)	
N3	13 (15%)	76 (14%)	
Staging laparoscopy			<0.001
Yes	136 (91%)	61 (11%)	
No	14 (9%)	502 (89%)	
Follow-up time [months]—median (range)	70.2 (3.8–96.4)	61.4 (0.4–107.1)	0.110

### Subgroup analysis in the Initial-Chemotherapy group

Conversion to P0 and CY0 after initial Cx was obtained in 57 (38.0%) of all 150 patients in the Initial-Cx group: 19 (51.4%) of 37 patients treated with the DCS regimen, 34 (37.8%) of 90 patients with the CS regimen, and 4 (17.4%) of the 23 patients with the other regimens (Table 5). The patients who converted to P0 and CY0 after initial Cx showed better survival than those who did not (median OS, 32.0 vs. 18.8 months, HR = 2.04, 95% CI 1.37–3.03, *p* = 0.001) (Fig. 3a). In contrast, among the

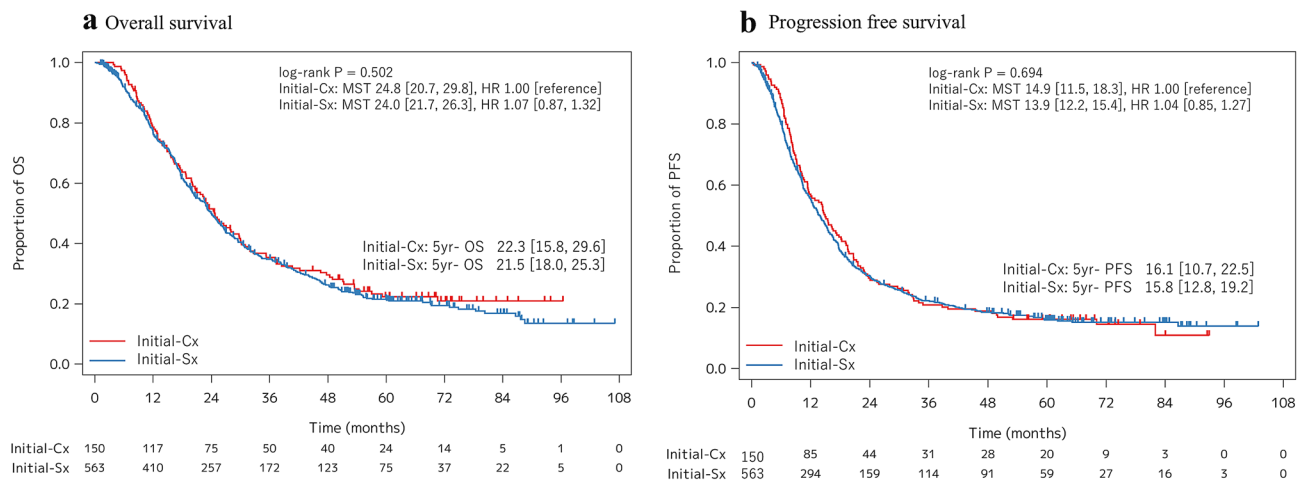
**Table.2** Initial chemotherapy regimens

Cisplatin + S1 (CS)	90 (60%)
Docetaxel + Cisplatin + S1 (DCS)	37 (25%)
5FU + Ciplatine + Paclitaxel	9 (6%)
S-1 monotherapy	5 (3%)
Paclitaxel + Cisplatin	3 (2%)
S1 + Docetaxel	2 (2%)
Nab-paclitaxel	1 (0.5%)
5FU + Leucobolne	1 (0.5%)
Docetaxel + Cisplatin + S1 + Trastuzumab	1 (0.5%)
S1 + Oxaliplatin	1 (0.5%)
Total	150

**Table.3** Surgical findings in 673 operated on patients

	Initial-Cx ( <i>n</i> = 110)	Initial-Sx ( <i>n</i> = 563)
Gastrectomy		
Distal	25 (17%)	231 (41%)
Total	85 (57%)	323 (57%)
Others	0	9 (2%)
Operative procedure		
Open	109 (99%)	554 (98%)
Laparotomy	1 (1%)	9 (2%)
Lymph node dissection		
D0	0	29 (5%)
D1	24 (22%)	136 (24%)
D2	85 (77%)	393 (70%)
D3	1 (1%)	5 (1%)
Residual tumor		
R0/1	97 (88%)	506 (90%)
R2	13 (12%)	57 (10%)

93 patients who did not convert to P0 and CY0 after initial Cx, 40 patients who did not undergo surgery showed worse survival than those who did (median OS, 24.6 vs. 12.5 months, HR = 2.61, 95% CI 1.62–4.20, *p* = 0.001). In terms of the median OS in patients who did not convert to P0 and CY0 and underwent surgery, this was 34.9 months in the R0/1 resection group and 17.2 months (*n* = 40, 95% CI 21–51.3) in the R2 resection group (*n* = 13, 95% CI 8–20.7). The median OS in patients with negative or non-negative conversion was 27.0 (*n* = 45, 95% CI 23.5–48.9) and 21.0 (*n* = 59, 95% CI 14.8–30.1) months in the P0CY1 group (HR 0.68, 95% CI 0.42–1.08, *p* = 0.11); not reached (NR) (*n* = 5, 95% CI 42.2–NR) and 22.5 months (*n* = 13, 95% CI 20.7–51.1) in the P1CY0 group (HR 0.07, 95% CI 0.003–0.38, *p* = 0.001); and 42.8 (*n* = 7, 95% CI 15.7–NR) and 12.5 months (*n* = 21, 95% CI 11.1–31.7) in the CY1P1a group (HR 0.32, 95% CI 0.11–0.77, *p* = 0.01),



**Fig. 2** **a** Kaplan–Meier analysis curve for overall survival. **b** Kaplan–Meier analysis curve for progression-free survival

**Table 4** Univariate and multivariate analyses for OS with Cox proportional hazards models

Covariate	Univariate analysis		Multivariate analysis	
	HR (95% CI)	p value	HR (95% CI)	p value
<b>Group</b>				
Initial-Cx	Reference		Reference	
Initial-Sx	1.072 (0.870–1.319)	0.515	1.103 (0.892–1.365)	0.365
<b>P factor</b>				
P0	Reference		Reference	
P1a	1.168 (0.829–1.645)	0.375	1.485 (0.884–2.497)	0.135
<b>CY factor</b>				
CY0	Reference		Reference	
CY1	0.960 (0.639–1.441)	0.844	1.375 (0.739–2.561)	0.315
<b>Age</b>				
< 65	Reference		Reference	
≥ 65	1.378 (1.027–1.850)	0.033	1.374 (1.13–1.864)	0.041
<b>PS</b>				
0	Reference		Reference	
1	1.068 (0.724–1.573)	0.741	1.137 (0.761–1.698)	0.532
2	0.709 (0.336–1.496)	0.367	0.822 (0.292–2.311)	0.710
<b>cT</b>				
T1	Reference		Reference	
T2	1.062 (0.200–12.827)	0.657	1.347 (0.165–10.98)	0.781
T3	0.936 (0.128–6.829)	0.948	0.836 (0.112–6.257)	0.862
T4a	1.111 (0.155–7.976)	0.916	0.999 (0.343–7.394)	0.999
T4b	0.797 (0.095–6.647)	0.834	0.633 (0.447–5.510)	0.679
<b>cN</b>				
N0	Reference		Reference	
N1	0.918 (0.635–1.326)	0.647	0.886 (0.603–1.302)	0.537
N2	1.098 (0.744–1.621)	0.638	1.123 (0.749–1.685)	0.575
N3	0.727 (0.385–1.371)	0.326	0.703 (0.368–1.343)	0.286

respectively. The median OS was 27.0 months (95% CI 19.9–70.6) for DCS, 23.5 months (95% CI 20.2–30.1) for CS, and 18.7 months (95% CI 11.5–35.5) for the other

regimens (Fig. 3b). Although there were no statistically significant differences in OS among the three initial-Cx, DCS tended to show better OS than the other two groups

**Table 5** Proportion of conversion to P0/CY0 after Initial-Cx

	P0CY1 (n = 103)	P1CY0 (n = 18)	P1CY1 (n = 29)	Total (n = 150)
Conversion rate %* (negative / total)	43.7% (45/103)	27.8% (5/18)	24.1% (7/29)	38.0% (57/150)
DCS**	50% (14/28)	100% (2/2)	42.9% (3/7)	51.4% (19/37)
CS**	44.3% (27/61)	25% (3/12)	23.5% (4/17)	37.8% (34/90)
Others	28.6% (4/14)	0 (0/4)	0 (0/5)	17.4% (4/23)

\*Conversion to P0/CY0 after initial-Cx

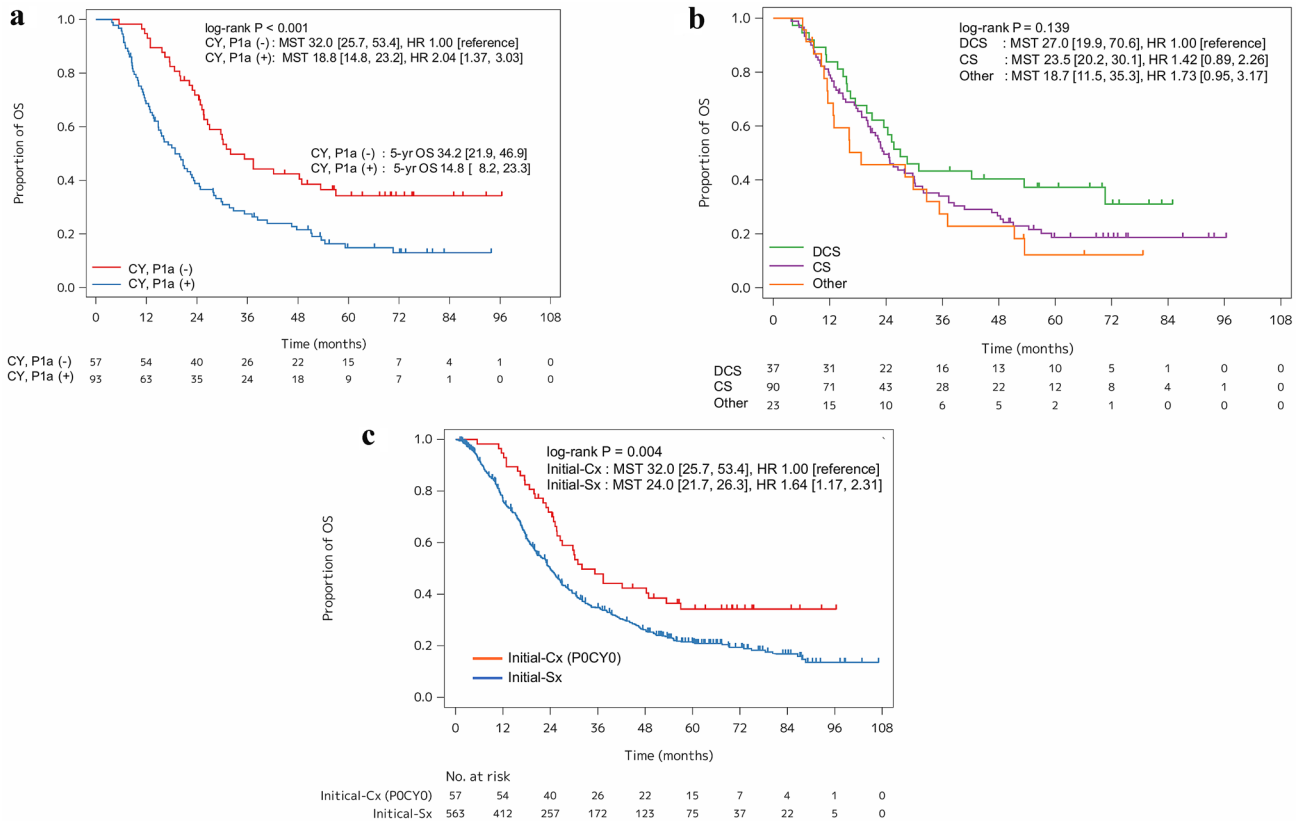
\*\*Initial-Cx regimen, DCS Docetaxel + Cisplatin + S1, CS Cisplatin + S1

(DCS vs. CS: HR 0.70, 95% CI 0.43–1.10,  $p = 0.139$ ; DCS vs. others: HR 0.58, 95% CI 0.32–1.08,  $p = 0.078$ ).

**Subgroup analysis in the Initial-Surgery group**

In terms of the median OS in the Initial-Sx group, this was 25.0 months (95% CI 19.9–70.6) in the R0/1 resection group and 18.8 months (95% CI 20.2–30.1) in the R2 resection group. Median OS stratified by the postoperative chemotherapy in the Initial-Sx group (R0/1 resection) was 29.5 months (95% CI 25.2–32.9) in the S-1 group, 24.7 months (95% CI 20.6–29.6) in the CS group, 25.4 months (95% CI 18.8–38.4) in the ‘others’ group, and 9.9 months (95% CI 6.6–12.8) in the no-Cx group.

The patients who converted to P0 and CY0 after initial-Cx showed better survival than Initial-Sx group (median OS, 32.0 vs. 24.0 months, HR = 1.64, 95% CI 1.17–2.31,  $p = 0.004$ ) (Fig. 3c).



**Fig. 3** Subgroup analysis. **a** Kaplan–Meier analysis curve for overall survival of patients who converted to P0 CY0 or not after initial Cx. **b** Kaplan–Meier analysis curve for overall survival according to treat-

ment group in initial Cx. **c** Kaplan–Meier analysis curves for overall survival of patients who converted to P0 CY0 after initial Cx and patients in the Initial-Sx group

## Discussion

While gastrectomy followed by S1 therapy is one of the most widely accepted therapeutic strategy of GC patients with CY1 and/or P1a in Japan, the Initial-Sx group in this study provided real-world data of Japanese clinical practice. Among the previous reports, 5-year survival rates of gastrectomy followed by S-1 monotherapy for GC patients with CY1 and/or P1a were 20–30% [8–11]. In this study, 5-year OS rates were 22.3% in the Initial-Cx group and 21.5% in the Initial-Sx group. These data support the consistency of 5-year OS rates in this population, meaning that GC patients with CY1 and/or P1a can be a target for cure. It seems reasonable to consider that these data could be adopted as a consistent historical control when we conduct a clinical trial for GC patients with CY1 and/or P1a in the future.

In East Asia, since the Adjuvant Chemotherapy Trial of S-1 for Gastric Cancer (ACTS-GC) showed a survival benefit of adjuvant chemotherapy with S-1 alone compared with surgery alone, there has been some progress achieved using doublet adjuvant chemotherapy such as capecitabine plus oxaliplatin and S-1 plus docetaxel [15, 16]. However, in our previous report, there was no difference in OS between S-1 alone and doublet chemotherapy as postoperative chemotherapy for gastric cancer patients with CY1 and/or P1a [11]. Therefore, while there were some variations of chemotherapy regimens of the Initial-Sx group, especially in postoperative chemotherapy, it is considered that post-chemotherapy regimens would have similar impact on OS, regardless of the regimens.

Considering the survival impact of gastrectomy, among the 93 patients who did not convert to P0 and CY0 after initial Cx, the median OS of the 40 patients not receiving gastrectomy for removal of all visible disease was as short as 12.9 months, which is similar to that reported in a clinical trial of palliative first-line chemotherapy for advanced gastric cancer [17–19]. In contrast, that of the other 53 patients who received gastrectomy resulting in no visible disease was 24.6 months, which is similar to that in the Initial-Sx group. Although there should be some bias regarding the decision of surgery under the treatment policy for the indication of gastrectomy depending on each institution, there was a substantial difference in OS according to surgery (HR 2.61, 95% CI 1.62–4.20,  $p=0.001$ ). In the REGATTA trial, gastrectomy leaving non-curative factors did not show a survival benefit compared with chemotherapy alone for advanced gastric cancer patients with a single non-curative factor) [20]. However, the treatment goal depending on the remaining tumor volume differs quite substantially between our study and the REGATTA trial: a curative setting leaving no visible tumor in our

study vs. a palliative setting leaving a non-curative factor in the REGATTA trial. These results suggest that gastrectomy leaving no visible disease might have some impact on survival.

Interestingly, patients who achieved P0 and CY0 after receiving initial Cx showed favorable survival. Moreover, the DCS regimen showed the highest rate of conversion to P0 and CY0 and longer survival than other regimens. These results suggest that the initial-Cx regimen with greater tumor shrinkage effects may contribute to longer survival and a higher curative rate of GC patients with CY1 and/or P1. As for cytotoxic agents, a triplet regimen consisted of FU, platinum, and taxane is one of the most promising regimens showing a higher response rate than doublet chemotherapy. S-1 plus leucovorin and oxaliplatin is another promising regimen, because it showed a higher response rate (75%) than those reported in other phase III trials of advanced gastric cancer [21, 22]. The combination of these cytotoxic agents with new agents in other classes, such as immune-checkpoint inhibitors and molecular-targeted agents, is also a promising approach for future progress [23, 24]. As for the treatment modality, intraperitoneal chemotherapy (IP) is also a promising therapeutic approach [25]. A phase III study, the PHOENIX-GC trial, evaluated the superiority of intraperitoneal paclitaxel plus systemic chemotherapy (IP) relative to the standard chemotherapy (SP). Although it failed to show a survival benefit of IP due to its small sample size, the median survival times for the IP and SP arms were 17.7 and 15.2 months, respectively (HR 0.72, 95% CI 0.49–1.04,  $p=0.08$ ) [26]. Moreover, the proportion of conversion to CY0 was reported to be as high as 76% in the IP group (69 out of 91 patients). Based on our results that patients achieving conversion to CY0 P0 showed favorable survival, it is expected that IP chemotherapy achieving a higher proportion of conversion to CY0 IP may be another promising therapeutic approach for GC patients with CY0 and/or P1a.

This study has several limitations. First, this was a retrospective study and no established standard operation for CY1 / P1a GC patients, which might contain some bias regarding determination of the investigator's treatment policy for each patient: initial Cx or initial Sx. Second, the diagnosis of CY1 or P1a, most of the cases in the Initial-Sx group were diagnosed at the time of laparotomy, and most of the cases in the Initial-Cx group were diagnosed at the time of staging laparoscopy. However, the study do not collect detailed information on the CY or P1a diagnosis process. Although we adjusted for well-known prognostic factors, other potential prognostic factors may have had some influence on the outcomes. Meanwhile, the treatment strategy, Initial-Cx or Initial-Sx, depended mainly on the policy of each hospital, and there were no major differences in patient background such as the tumor burden (CY1 and/or P1a) and PS between the Initial-Cx and Initial-Sx groups. Moreover,

the postoperative chemotherapy in this study might be out of date, considering the new evidence of adjuvant chemotherapy for curatively resected GC. Furthermore, data about toxicities and quality of life were not collected.

In conclusion, the preoperative chemotherapy did not show a survival benefit for GC patients with CY1 and/or P1a. However, initial Cx showed favorable survival in patients who converted to P0 and CY0. Further development of a novel preoperative chemotherapeutic regimen or innovative treatment strategy that targets peritoneal metastasis is required to improve the survival of GC patients with CY1 and/or P1a.

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### Compliance with ethical standards

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**Human and animal rights** All procedures followed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1964 and later versions. Informed consent or substitute for it was obtained from all patients for being included in the study.

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